Annual Report on the Access to Information Act

2012-2013

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PREFACE AND PURPOSE

The Access to Information Act (Revised Statutes of Canada, Chapter A-1, 1985) was proclaimed on July 1, 1983.

Section 2 of the *Access to Information Act* gives Canadian citizens and permanent residents a broad right of access to information contained in government records, subject to certain specific and limited exceptions.

Section 72 of the *Access to Information Act* requires that the head of every government institution prepare, for submission to Parliament, an annual report on the administration of the Act within the institution during each financial year.

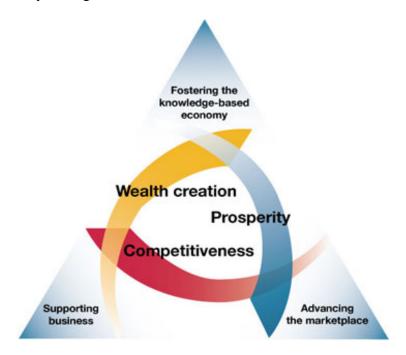
This annual report is tabled in Parliament in accordance with section 72 of the *Access to Information Act* and describes how Industry Canada administered its responsibilities for 2012-2013 in the thirtieth year of operation of the *Access to Information Act*.

ABOUT THE ORGANIZATION

Industry Canada's Mandate

Industry Canada's mandate is to help make Canadian industry more productive and competitive in the global economy, thus improving the economic and social well-being of Canadians.

The many and varied activities Industry Canada carries out to deliver on its mandate are organized around three interdependent and mutually reinforcing strategic outcomes, each linked to a separate key strategy. The key strategies are shown in the illustration below.



Additional information is available on <u>Industry Canada's website</u> (www.ic.gc.ca/eic/site/icgc.nsf/eng/h_00018.html).

Information and Privacy Rights Administration

Information and Privacy Rights Administration (IPRA) is part of the Information Management Branch (IMB) which is part of the Small Business, Tourism and Marketplace Services (SBTMS) Sector of Industry Canada. IPRA has a complement of 15 employees consisting of one Director, three managers, eight advisors and three support staff, all of whom are dedicated to processing access and privacy requests, as well as related functions.

IPRA is responsible for the implementation and management of programs and services relating to the administration of the *Access to Information Act* and *Privacy Act* for Industry Canada. Specifically, IPRA makes decisions on the disposition of access and privacy requests; promotes awareness of the legislation to ensure departmental responsiveness to statutory obligations; monitors and advises on departmental compliance with the Acts, regulations, procedures and policies; and acts as the spokesperson for the Department when dealing with the Treasury Board Secretariat, the Information

Commissioner, the Privacy Commissioner, and other government departments and agencies. IPRA is also responsible for consulting with other federal departments and third parties with respect to ATIP requests received by Industry Canada.

Delegation of Authority

The current Access to Information and Privacy (ATIP) Delegation Order was approved by the Minister in September 2011, and provides full delegated authority to the Assistant Deputy Minister of SBTMS, the Director General of IMB, the Director and the managers of IPRA. The designation of the Assistant Deputy Minister and Director General positions are for the purposes of providing strategic support and advice to the executive management of the Department concerning ATIP issues, if and when required. For all daily ATIP activities and operations, the Director and the managers of IPRA exercise full responsibility (see Appendix C).

The Director of IPRA is responsible for the development, coordination and implementation of effective policies, guidelines and procedures to manage the Department's compliance with the Acts. The administration of the legislation within Industry Canada is managed by IPRA, but is also facilitated at the sector, branch and regional office levels. Each sector and corporate branch has an ATIP Liaison Officer (reporting to an Assistant Deputy Minister, Executive Director, etc.) who coordinates activities and provides guidance on the administrative processes and procedures of the Acts. IPRA, which is located in Ottawa, responds to all formal requests submitted to Industry Canada under both Acts.

ATIP Policies, Procedures and Business Practices

To improve the administration of the ATIP program, and to ensure that Treasury Board ATIP policies are respected and implemented, IPRA develops and updates various internal guidelines, procedures, and business practices. Given the continued priority of eliminating the backlog of access requests in 2012-2013, the Department focused its efforts on implementing the ATIP processes and business practices developed in 2011-2012. No new policies, procedures or business practices were developed during the reporting period. In addition, no issues were raised by the Office of the Information Commissioner or other Agents of Parliament that would have necessitated any policy or procedural changes.

Training and Outreach

Enhanced awareness and knowledge of ATIP obligations on the part of departmental officials improves the quality of responses and the Department's rate of compliance with legal obligations.

Given the continued priority of eliminating the backlog of access requests in 2012-2013, as well as the 51% increase in new access requests received, only two formal ATIP training sessions were conducted during the reporting period, with 28 employees receiving training. In addition to formal sessions, an intranet site and wiki were used to raise awareness and disseminate information to employees.

Quarterly meetings with Sector ATIP Liaison Officers, which were established in 2011-2012, continued in 2012-2013 and provided a forum to provide regular updates, discuss various ATIP issues,

and ensure understanding of ATIP roles and responsibilities. IPRA also continued to work closely with various program areas to improve compliance and address ATIP related issues.

ACCESS TO INFORMATION – TRENDS AND STATISTICS

A summarized statistical report on *Access to Information Act* requests processed from April 1, 2012 to March 31, 2013 is found at Appendix A. The supplementary report on completed informal access to information requests is found at Appendix B. An explanation and interpretation of the information contained in the statistical report follows.

Significant Trends

Increase in the number of requests received and completed

The Department received a total of 741 new ATI requests in 2012-2013, a 51 percent increase over the previous reporting period (492). A total of 860 requests were completed during the reporting period, which represents an increase of 36 percent from the previous year.

Increase in the number of consultations received and completed

The Department received 26 percent more consultation requests from other institutions (774), compared to the 615 received in 2011-2012. Of the consultations received, 73 percent (562) involved the review of declassified Cabinet documents. Consultation requests completed totaled 714, a 37 percent increase from the previous reporting period (520).

Decrease in number of requests carried forward from previous years

In 2012-2013, IPRA reported a 54 percent decrease in the number of requests carried forward (101) from the previous reporting period (220). As a result of the ATIP Action Plan, the Department completed 105 of the 106 requests carried forward from 2010-2011.

Statistical Report – Interpretation and Analysis

Workload

In 2012–2013, the Department received 741 new access requests and continued to process 220 outstanding requests from previous years, for a total workload of 961 requests. In addition, the Department carried forward 112 consultation requests from the previous reporting period, and received another 774 consultation requests from other government departments and organizations.

The Department completed a total of 860 requests and responded to 714 consultations from other government institutions and organizations. This represents an increase of 36 percent in completed access requests (632 completed the previous year) and a 37 percent increase in completed consultation requests (520 completed the previous year). The volume of pages processed in 2012-2013 was 2,828,056 – a five percent increase from the previous year (2,698,190).

Despite the increased operational workload, the IPRA Policy and Outreach Unit continued to support Industry Canada program areas through the provision of guidance and advice on the legislation, TBS policies, and a variety of access and privacy issues. The unit responded to more than 83 queries from both internal and external clients; reviewed 11 audit/evaluation reports, 123 parliamentary questions, along with 1,713 pages of documents on a variety of subjects prior to posting on Industry Canada's Proactive Disclosure site (Contracts, Travel and Hospitality claims, Grants and Contributions, summaries of completed ATI requests).

Other activities completed during the reporting period consisted of updating Info Source, maintaining the proactive disclosure website for completed ATI requests and updating various ATIP instructional communication products for the Department.

Costs

Total salary costs associated with IPRA administering the *Access to Information Act* activities amounted to \$1,234,371 for this reporting period. Non-salary costs amounted to \$138,905 for a total cost of \$1,373,276.

In addition to the above, administrative costs as reported by program officials across the Department associated with retrieving, reviewing and providing information to IPRA amounted to \$384,686.

The overall cost to administer the ATIA at Industry Canada amounts to \$1,757,962 for the reporting period.

Human resources

The human resources required to administer the *Access to Information Act* amounted to 20.5 full-time equivalents (FTE). This figure included 12.7 FTEs in IPRA as well as 7.8 additional resources acquired temporarily from within Industry Canada.

In addition to the above, the departmental program officials required to retrieve and provide the documents to IPRA totaled 5.9 full-time employees. Overall total human resources required to administer the *Access to Information Act* at Industry Canada during the reporting period was 26.4 full-time employees.

Requests received at Industry Canada

Nature of information requested

In 2012-2013, there was a continued level of interest in specific activities, particularly related to government expenditures. Many of the requests received concerned grants and contributions, travel, hospitality, as well as issue-specific requests linked to particular legislation, such as the *Investment Canada Act* and the *Competition Act*. There also continued to be a high level of interest in Copyright Reform, the Digital Economy Strategy, and the automotive and telecommunications sectors.

Source of requests

The media was once again the most frequent type of requester (39% of requests), and business was the second most frequent requester category (26% of requests). The proportion of requests received from the public declined from 25 percent in 2011-2012 to 16 percent of requests received. The proportion of requests from academia (5 percent) and organizations (14 percent) remain unchanged.

Completion time

The 860 requests were completed within the following timeframes:

- 357 within 1 to 15 days (42 percent)
- 195 within 16 to 30 days (23 percent)
- 70 within 31 to 60 days (8 percent)
- 85 within 61 to 120 days (10 percent)
- 52 within 121 to 180 days (6 percent)
- 22 within 181 to 365 days (2 percent)
- 79 in 366 or more days (9 percent)

An overall 84 percent compliance level was achieved for on-time responses to ATI requests ("C" rating as per the Office of the Information Commissioner's ranking), which marks a significant improvement from the 72 percent compliance level reported the previous year ("F" rating).

Extensions

The Department completed 348 requests (excluding those treated informally) within the first 30 calendar days. Of the extensions invoked, 70 percent were for reasons of consultations pursuant to section 9(1)(b) of the Act.

Of the 294 legal extensions taken during the reporting period:

- 39 were extended 30 days or less (13 percent)
- 87 were extended 31 to 60 days (30 percent)
- 108 were extended 61 to 120 days (37 percent)
- 45 were extended 121 to 180 days (15 percent)
- 12 were extended 181 to 365 days (4 percent)
- 3 were extended 365 days or more (1 percent)

Fees

The *Access to Information Act* authorizes fees for certain activities related to the processing of formal requests. In addition to a \$5 application fee, search, preparation and reproduction charges may also apply. No fees are imposed for reviewing records, overhead or shipping costs, or for the first five hours required to search for a record or prepare any part of it for disclosure. Fees may be waived at the discretion of the delegated authority when a request is deemed to be in the public interest and if less than \$25.00 in accordance with Treasury Board policy.

Fees collected during the reporting period totaled \$2,720 (\$2,405 for application, \$215 for search, and \$100 for preparation fees). Fees in the amount of \$393 were waived during 2012-2013 (reproduction costs).

Relevant Pages Processed and Disclosed by Size of Requests

Of the 860 requests completed, 204 were treated informally, 95 were abandoned, 90 had no records, and 6 were transferred to other institutions having a greater interest in the requested information.

Of the remaining 560 requests for which Industry Canada held responsive records, a total of 2,828,056 pages were processed and 104,396 pages were disclosed. The volume of pages processed includes a handful of requests related to large investigation files with the Competition Bureau, which can involve several hundred thousand pages.

Complexities contributing to processing delays

Defining the complexity levels of requests has allowed IPRA to better manage workload and positively impact performance, in particular, when assigning cases to appropriate level officers.

In building its definitions, IPRA reviewed other jurisdictions and found that a number of provinces were also using similar descriptions in defining the complexity level of requests received pursuant to their respective legislation. IPRA has described the complexity level from low to high as noted below:

| Level 1 (low) | Level 2 (moderate) | Level 3 (high) |
|---|--|--|
| - Fewer than 100 pages - Full disclosure and/or minor severances - Minimal number of interests in the file, if any (limited or no consultations) - Exemption application, if any, is consistent - Disclosure of previously released information - Informal disclosure | - Fewer than 2 000 pages - Involves more than one area of the Department - Partial disclosure or full exemption due to limited number of exemptions with appropriate arguments - Reasonable number of consultations with other government departments (OGDs) and third parties (less than 10) - Track and monitor significant number of administrative activities such as consultations, updates, follow-ups, etc. | - More than 2 000 pages - Information is intertwined - Involves one or more areas of the Department - A number of entities are involved - Disclosure is influenced by other legal authorities/obligations (e.g., other governments, other statutes, third party, etc.) - May require legal services to provide opinion and/or comments - Subject issue may be high profile and sensitive - May require the need to advise senior management of the outcome due to subject matter - May require multiple consultations with OGDs, third parties and other levels of government (in excess of 10) - Variety of exemptions invoked with a mixture of mandatory and discretionary exemptions |
| Examples: - Temp Help contracts - List of terms/casuals - List of contracts | Examples: - Telecommunication files - Bankruptcy files - Contracts and deliverables | Examples: - Copyright reform - Competition Act requests |

In 2012-2013, IPRA found that of the 860 access cases completed, 69 percent (593 requests) were of level 1 complexity, due mainly to routine cases and large number of re-released information, 20

percent (172 requests) were of level 2 complexity, and 11 percent (95 requests) were of level 3 complexity. Section 2.5 of the statistical report at Appendix A provides additional details about the complexity of requests completed during the reporting period.

Frequency and reasons for not meeting statutory deadline

Of the 860 completed requests, the Department was able to respond within legislated deadlines 84 percent of the time (730 requests). However, 130 requests were completed past the legal due date. Most of these instances were attributed to workload, but external and internal consultations and other reasons were also contributing factors in some instances. For example, of the 49 consultations to confirm Cabinet confidences, 37 responses were completed past the deadline.

Format of information released

In 399 instances (excluding the 204 informal requests), documents were released and the requesters received copies of the material that they were seeking, either on paper (235) or electronically (164). There have been no requests for on-site examination since the department implemented the use of CDs in 2001.

Disposition of requests

The disposition of the 860 completed requests is as follows:

- 310 were disclosed in part (36 percent)
- 204 requests were treated informally* (24 percent)
- 95 requests were abandoned (11 percent)
- 89 were fully disclosed (10 percent)
- 90 had no existing records (10 percent)
- 35 were all exempted (4 percent)
- 31 were all excluded (4 percent)
- 6 requests were transferred* to another institution (1 percent)

*Note: these cases still involve a certain amount of work, be it research, administrative activities, negotiations with applicants and other government departmental officials, and discussions with departmental employees, prior to determining their disposition.

Limits to the right of access - Exemptions and Exclusions

Exemptions in accordance with sections 13 through 24 of the *Access to Information Act* were invoked by the Department as outlined at Appendix A. Several exemption provisions can be applied to withhold information in response to one request, and these are reported separately in the statistical report. However, the same exemption provision invoked to withhold information more than once within the same request is reported only once in the statistical report.

The statistics demonstrate that IPRA invoked a broad range of exemption provisions throughout the reporting period. Paragraphs 19, 20, 21, 23 and 24 were the most frequently used exemption provisions. This reflects the nature of the information held by the Department (i.e., third party, personal, advice/recommendations, legal advice and other statutes).

Although the Department has four statutory provisions recognized by the *Access to Information Act* relating to section 24, such exemptions are most frequently applied to information relating to the *Competition Act* and the *Investment Canada Act*.

The *Access to Information Act* does not apply to published material, material available for purchase by the public, or confidences of the Queen's Privy Council for Canada, pursuant to sections 68 and 69 of the Act, respectively. As in the case of exemptions, Appendix A shows the types of exclusions invoked. The majority of exclusionary provisions invoked by Industry Canada to withhold information related to Cabinet records.

Consultations received from other government institutions and organizations

Industry Canada received 26 percent more consultation requests from other institutions and organizations (774) in 2012-2013 than it received during the previous reporting period (615). Seven hundred and seventy-four (774) new consultation requests were received in 2012-2013, in addition to the 112 carried forward from the previous reporting period, for a total of 886 requests. Of the consultations received, 73 percent (562) involved the review of declassified Cabinet documents.

A total of 714 consultation requests were completed during the reporting period, which required the review of 21,106 pages. One hundred and seventy-two (172) consultation requests were carried forward to the following reporting period.

Of the 770 consultation requests received from other government institutions, 710 were completed during the reporting period. Of these, 37 percent of the consultation requests (265) were concluded within 60 days. The Department recommended that the other government institutions either disclose the documents entirely or in part in 98 percent of these requests.

Four requests were received from other organizations during the reporting period. All four requests were completed within 60 days. Of the four completed consultation requests, three were recommended for full release and one was recommended to be fully exempt.

Complaints, Audits, Investigations and Appeals

Applicants have the right to register a complaint with the Office of the Information Commissioner regarding any matter relating to the processing of their requests, and several complaints can relate to the same request. A total of 39 new complaints were reported to the Information Commissioner during the reporting year, which is slightly higher than the 32 complaints received during the previous reporting period. An increase in the number of complaints is reasonable in light of the significant increase in the number of requests completed during this time.

Nearly half of the complaints received (18 of 39) by the Office of the Information Commissioner concerned exemptions that had been applied to refuse the disclosure of certain information. Nine (9) complaints concerned the Department's refusal to disclose information that was considered to consist of Cabinet confidences, while seven (7) others involved general allegations of refusal. The remaining five (5) complaints were administrative in nature and concerned the Department's performance in responding to requests within legislated timeframes.

The Information Commissioner issued findings and concluded 28 complaint investigations during the reporting period. The nature of these complaints and their findings are summarized as follows:

| Complaint type | Number | Findings |
|------------------------|-----------|--|
| | completed | |
| Refusal – exemptions | 9 | 3 – well-founded resolved (no recommendations) |
| | | 3 – discontinued |
| | | 2 – not well-founded |
| | | 1 – settled in the course of investigation |
| Refusal - general | 7 | 5 – not well-founded |
| | | 1 – settled in the course of investigation |
| | | 1 – discontinued |
| Deemed refusal (delay) | 5 | 3 – well-founded (no recommendations) |
| | | 2 – discontinued |
| Extensions | 3 | 2 – not well-founded |
| | | 1 – resolved with no recommendations |
| Refusal - exclusion | 2 | 1 – not well-founded |
| | | 1 – discontinued |
| Delay | 1 | 1 – well-founded resolved (no recommendations) |
| Miscellaneous | 1 | 1 – discontinued |
| Total | 28 | |

No new court challenges were received during the reporting period. The two cases noted in 2011-2012 remain pending. No audits relating to the administration of ATIP legislation were concluded during the reporting period.

APPENDIX A



Statistical Report on the Access to Information Act

Name of institution: Industry Canada

Reporting period: 2012-04-01 to 2013-03-31

PART 1 – Requests under the Access to Information Act

1.1 Number of Requests

| | Number of Requests |
|--|--------------------|
| Received during reporting period | 741 |
| Outstanding from previous reporting period | 220 |
| Total | 961 |
| Closed during reporting period | 860 |
| Carried over to next reporting period | 101 |

1.2 Sources of requests

| Source | Number of Requests |
|---------------------------|--------------------|
| Media | 291 |
| Academia | 39 |
| Business (Private Sector) | 192 |
| Organization | 105 |
| Public | 114 |
| Total | 741 |

PART 2 – Requests closed during the reporting period

2.1 Disposition and completion time

| | | Completion Time | | | | | | | | |
|-------------------------|-----------------|------------------|------------------|-------------------|--------------------|--------------------|-----------------------|-------|--|--|
| Disposition of requests | 1 to 15 days | 16 to 30 days | 31 to 60 days | 61 to 120 days | 121 to 180 days | 181 to 365 days | More than 365 days | Total | | |
| All disclosed | 10 | 51 | 12 | 13 | 2 | 1 | 0 | 89 | | |
| Disclosed in part | 3 | 64 | 54 | 61 | 37 | 20 | 71 | 310 | | |
| All exempted | 6 | 24 | 0 | 4 | 0 | 1 | 0 | 35 | | |
| All excluded | 6 | 0 | 4 | 7 | 13 | 0 | 1 | 31 | | |
| No records exist | 36 | 54 | 0 | 0 | 0 | 0 | 0 | 90 | | |
| Request transferred | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | | |
| Request abandoned | 86 | 2 | 0 | 0 | 0 | 0 | 7 | 95 | | |
| Treated informally | 204 | 0 | 0 | 0 | 0 | 0 | 0 | 204 | | |
| Total | 357 | 195 | 70 | 85 | 52 | 22 | 79 | 860 | | |



2.2 Exemptions

| | Number | | Number | | Number | | Number |
|---------------|----------|------------|-----------------|--------------------|---------------|------------------|----------------|
| Section | requests | Section | requests | Section | requests | Section | requests |
| 13(1)(a) | 11 | 16(2)(a) | 1 | 18(a) | 44 | 20.1 | 0 |
| 13(1)(b) | 5 | 16(2)(b) | 0 | 18(b) | 4 | 20.2 | 0 |
| 13(1)(c) | 14 | 16(2)(c) | 9 | 18(c) | 0 | 20.4 | 0 |
| 13(1)(d) | 3 | 16(3) | 0 | 18(d) | 1 | 21(1)(a) | 214 |
| 13(1)(e) | 0 | 16.1(1)(a) | 1 | 18.1(1)(a) | 0 | 21(1)(b) | 217 |
| 14(a) | 29 | 16.1(1)(b) | 0 | 18.1(1)(b) | 4 | 21(1)(c) | 37 |
| 14(b) | 7 | 16.1(1)(c) | 1 | 18.1(1)(c) | 0 | 21(1)(d) | 8 |
| 15(1) - I.A.* | 49 | 16.1(1)(d) | 0 | 18.1(1)(d) | 0 | 22 | 1 |
| 15(1) - Def.* | 22 | 16.2(1) | 0 | 19(1) | 208 | 22.1(1) | 0 |
| 15(1) - S.A.* | 0 | 16.3 | 0 | 20(1)(a) | 16 | 23 | 77 |
| 16(1)(a)(i) | 1 | 16.4(1)(a) | 0 | 20(1)(b) | 122 | 24(1) | 54 |
| 16(1)(a)(ii) | 4 | 16.4(1)(b) | 0 | 20(1)(b.1) | 0 | 26 | 0 |
| 16(1)(a)(iii) | 0 | 16.5 | 0 | 20(1)(c) | 151 | | |
| 16(1)(b) | 3 | 17 | 17 | 20(1)(d) | 25 | | |
| 16(1)(c) | 7 | | _ | _ | | _ | |
| 16(1)(d) | 0 | * I.A.: | International A | Affairs Def.: Defe | ence of Canad | la S.A.: Subvers | ive Activities |

2.3 Exclusions

| Section | Number of requests | Section | Number of requests | Section | Number of requests |
|---------|--------------------|----------|--------------------|-----------------|--------------------|
| 68(a) | 11 | 69(1)(a) | 14 | 69(1)(g) re (a) | 71 |
| 68(b) | 0 | 69(1)(b) | 0 | 69(1)(g) re (b) | 1 |
| 68(c) | 0 | 69(1)(c) | 9 | 69(1)(g) re (c) | 54 |
| 68.1 | 0 | 69(1)(d) | 10 | 69(1)(g) re (d) | 27 |
| 68.2(a) | 0 | 69(1)(e) | 31 | 69(1)(g) re (e) | 80 |
| 68.2(b) | 0 | 69(1)(f) | 4 | 69(1)(g) re (f) | 12 |
| | • | | • | 69.1(1) | 2 |

2.4 Format of information released

| Disposition | Paper | Electronic | Other formats |
|-------------------|-------|------------|---------------|
| All disclosed | 68 | 21 | 0 |
| Disclosed in part | 167 | 143 | 0 |
| Total | 235 | 164 | 0 |

2.5 Complexity

2.5.1 Relevant pages processed and disclosed

| Disposition of requests | Number of pages processed | Number of pages disclosed | Number of requests |
|-------------------------|---------------------------|------------------------------|--------------------|
| All disclosed | 10,121 | 10,121 | 89 |
| Disclosed in part | 285,252 | 94,275 | 310 |
| All exempted | 2,466,980 | 0 | 35 |
| All excluded | 959 | 0 | 31 |
| Request abandoned | 64,744 | 0 | 95 |

2.5.2 Relevant pages processed and disclosed by size of requests

| Disposition | Less than 100 pages processed | | 101-500 pages processed | | 501-1000 pages processed | | 1001-5000 pages processed | | More than 5000 pages processed | |
|-------------------|-------------------------------|--------------------|----------------------------|--------------------|-----------------------------|--------------------|---------------------------|--------------------|--------------------------------|--------------------|
| Dieposition | Number of requests | Pages disclosed | Number of requests | Pages disclosed | Number of requests | Pages disclosed | Number of requests | Pages disclosed | Number of requests | Pages disclosed |
| All disclosed | 77 | 1,330 | 6 | 1,111 | 1 | 814 | 5 | 6,866 | 0 | 0 |
| Disclosed in part | 161 | 3,124 | 58 | 9,226 | 36 | 9,756 | 41 | 25,512 | 14 | 46,657 |
| All exempted | 24 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 10 | 0 |
| All excluded | 28 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Abandoned | 90 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 3 | 0 |
| Total | 380 | 4454 | 68 | 10337 | 37 | 10570 | 48 | 32378 | 27 | 46657 |

2.5.3 Other complexities

| Disposition | Consultation required | Assessment of fees | Legal advice sought | Other | Total |
|-------------------|-----------------------|--------------------|---------------------|-------|-------|
| All disclosed | 14 | 0 | 0 | 0 | 14 |
| Disclosed in part | 130 | 3 | 0 | 59 | 192 |
| All exempted | 3 | 0 | 0 | 14 | 17 |
| All excluded | 22 | 0 | 0 | 7 | 29 |
| Abandoned | 1 | 5 | 0 | 0 | 6 |
| Total | 170 | 8 | 0 | 80 | 258 |

2.6 Deemed refusals

2.6.1 Reasons for not meeting statutory deadline

| Number of requests along disease | | Principa | Reason | | |
|---|--|----------|--------|---|--|
| Number of requests closed past the statutory deadline | External Internal Workload consultation Consultation C | | | | |
| 130 | 99 | 15 | 13 | 3 | |

2.6.2 Number of days past deadline

| Number of days past deadline | Number of requests past deadline where no extension was taken | Number of requests past deadline where an extension was taken | Total |
|------------------------------|---|---|-------|
| 1 to 15 days | 30 | 4 | 34 |
| 16 to 30 days | 0 | 0 | 0 |
| 31 to 60 days | 3 | 1 | 4 |
| 61 to 120 days | 1 | 7 | 8 |
| 121 to 180 days | 0 | 3 | 3 |
| 181 to 365 days | 1 | 12 | 13 |
| More than 365 days | 15 | 53 | 68 |
| Total | 50 | 80 | 130 |

2.7 Requests for translation

| Translation Requests | Accepted | Refused | Total |
|----------------------|----------|---------|-------|
| English to French | 0 | 0 | 0 |
| French to English | 0 | 0 | 0 |
| Total | 0 | 0 | 0 |

PART 3 – Extensions

3.1 Reasons for extensions and disposition of requests

| Disposition of requests where an | 9(1)(a) Interference with | 9(1)(b) Consultation | | 9(1)(c) |
|----------------------------------|------------------------------|--------------------------------|-------|--------------------|
| extension was taken | operations | Section 69 | Other | Third party notice |
| All disclosed | 1 | 1 | 14 | 5 |
| Disclosed in part | 42 | 47 | 111 | 36 |
| All exempted | 1 | 0 | 2 | 1 |
| All excluded | 0 | 21 | 2 | 0 |
| No records exist | 0 | 0 | 0 | 0 |
| Request abandoned | 3 | 0 | 7 | 0 |
| Total | 47 | 69 | 136 | 42 |

3.2 Length of extensions

| | 9(1)(a) Interference with | 9(1)(b) Consultation | | 9(1)(c) |
|----------------------|---------------------------|--------------------------------|-------|--------------------|
| Length of extensions | operations | Section 69 | Other | Third party notice |
| 30 days or less | 25 | 2 | 4 | 8 |
| 31 to 60 days | 9 | 5 | 43 | 30 |
| 61 to 120 days | 11 | 39 | 54 | 4 |
| 121 to 180 days | 1 | 20 | 24 | 0 |
| 181 to 365 days | 0 | 3 | 9 | 0 |
| 365 days or more | 1 | 0 | 2 | 0 |
| Total | 47 | 69 | 136 | 42 |

PART 4 – Fees

| | Fee C | ollected | Fee Waived or Refunded | |
|--------------------|--------------------|----------|------------------------|--------|
| Fee Type | Number of requests | Amount | Number of requests | Amount |
| Application | 483 | \$2,405 | 0 | \$0 |
| Search | 2 | \$215 | 0 | \$0 |
| Production | 0 | \$0 | 0 | \$0 |
| Programming | 0 | \$0 | 0 | \$0 |
| Preparation | 1 | \$100 | 0 | \$0 |
| Alternative format | 0 | \$0 | 0 | \$0 |
| Reproduction | 0 | \$0 | 186 | \$393 |
| Total | 486 | \$2,720 | 186 | \$393 |

PART 5 – Consultations received from other institutions and organizations

5.1 Consultations received from other government institutions and organizations

| Consultations | Other government institutions | Number of pages to review | Other organizations | Number of pages to review |
|--|-------------------------------------|---------------------------|---------------------|---------------------------|
| Received during reporting period | 770 | 16190 | 4 | 358 |
| Outstanding from the previous reporting period | 112 | 5009 | 0 | 48 |
| Total | 882 | 21199 | 4 | 406 |
| Closed during the reporting period | 710 | 20700 | 4 | 406 |
| Pending at the end of the reporting period | 172 | 499 | 0 | 0 |

5.2 Recommendations and completion time for consultations received from other government institutions

| | Nu | Number of days required to complete consultation requests | | | | | sts | |
|---------------------------|-----------------|---|------------------|----------------------|-----------------------|-----------------------|--------------------------|-------|
| Recommendation | 1 to 15 days | 16 to 30 days | 31 to 60 days | 61 to 120 days | 121 to 180 days | 181 to 365 days | More than 365 days | Total |
| Disclose entirely | 82 | 52 | 30 | 12 | 8 | 65 | 1 | 250 |
| Disclose in part | 22 | 36 | 31 | 101 | 152 | 101 | 4 | 447 |
| Exempt entirely | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 3 |
| Exclude entirely | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Consult other institution | 3 | 2 | 0 | 0 | 1 | 0 | 0 | 6 |
| Other | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| Total | 113 | 91 | 61 | 113 | 161 | 166 | 5 | 710 |

5.3 Recommendations and completion time for consultations received from other organizations

| | Nu | Number of days required to complete consultation requests | | | | | sts | |
|---------------------------|-----------------|---|------------------|----------------------|-----------------------|-----------------------|--------------------------|-------|
| Recommendation | 1 to 15 days | 16 to 30 days | 31 to 60 days | 61 to 120 days | 121 to 180 days | 181 to 365 days | More than 365 days | Total |
| Disclose entirely | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 3 |
| Disclose in part | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Exempt entirely | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Exclude entirely | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Consult other institution | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 3 | 0 | 1 | 0 | 0 | 0 | 0 | 4 |

PART 6 – Completion time of consultations on Cabinet confidences

| Number of days | Number of responses received | Number of responses received past deadline |
|----------------|------------------------------|--|
| 1 to 15 | 7 | 0 |
| 16 to 30 | 7 | 2 |
| 31 to 60 | 5 | 5 |
| 61 to 120 | 23 | 23 |
| 121 to 180 | 5 | 5 |
| 181 to 365 | 1 | 1 |
| More than 365 | 1 | 1 |
| Total | 49 | 37 |

PART 7 – Resources related to the Access to Information Act

7.1 Costs

| Expenditures | | Amount |
|---------------------------------|-----------|-------------|
| Salaries | | \$1,234,371 |
| Overtime | | \$0 |
| Goods and Services | | \$138,905 |
| Professional services contracts | \$7,493 | |
| Other | \$131,412 | |
| Total | | \$1,373,276 |

7.2 Human Resources

| Resources | Dedicated full-time to ATI activities | Dedicated part-time to ATI activities | Total |
|----------------------------------|---------------------------------------|---------------------------------------|-------|
| Full-time employees | 12.70 | 0.00 | 12.70 |
| Part-time and casual employees | 7.80 | 0.00 | 7.80 |
| Regional staff | 0.00 | 0.00 | 0.00 |
| Consultants and agency personnel | 0.20 | 0.00 | 0.20 |
| Students | 0.00 | 0.00 | 0.00 |
| Total | 20.70 | 0.00 | 20.70 |

<u>APPENDIX B – COMPLETED INFORMAL ATI REQUESTS</u>

Previously released ATI package released informally

| Institution | Number of informal releases of previously released ATI packages |
|-----------------|---|
| Industry Canada | 204 |

APPENDIX C – DELEGATION OF AUTHORITY

Industry Canada / Industrie Canada

Access to Information Act and Privacy Act Delegation Order

Arrêté sur la délégation en vertu de la Loi sur l'accès l'information et de la Loi sur protection des renseignements personnels

The Minister of Industry Canada, pursuant to section 73 of the Access to Information Act and the Privacy Act, hereby designates the persons holding the positions set out in the schedule hereto, or the persons occupying on an acting basis those positions, to exercise the powers and functions of the Minister as the head of a government institution, under the section of the Acts set out in the schedule opposite each position. This Delegation Order supersedes all previous Delegation Orders.

En vertu de l'article 73 de la *Loi sur l'accès a* l'information et la Loi sur la protection des renseignements personnels, le Ministre d'Industrie Canada délègue aux titulaires des postes mentionnes a l'annexe ci-après, ainsi qu'aux personnes occupant à titre intérimaire les-dits postes, les attributions dont il est, en qualité de responsable d'une institution fédérale, investie par les articles des lois mentionnées en regard de chaque poste. Le présent décret de délégation remplace et annule tout décret antérieur.

Schedule / Annexe

| Position/Poste | Access to Information Act and | Privacy Act and Regulations / |
|----------------|---------------------------------|-------------------------------|
| | Regulations / Loi sur l'accès a | Loi sur la protection des |
| | l'information et règlements | renseignements personnels et |
| | | règlements |

Assistant Deputy Minister, Full authority / Autorité Full authority / Autorité Small Business, Tourism absolue absolue and Marketplace Services /

Sous-ministre adjoint(e), Services axes sur le marché, le tourisme et les petites entreprises Director General.

Information Management Full authority / Autorité Branch (IMB) / Directeur Full authority / Autorité absolue (trice) général, Gestion de absolue l'information (DGI)

Director, Information and Privacy Rights
Administration (IPRA),
Information Management
Branch (MB)/ Directeur
(trice), Administration
des droits a l'information
et a la protection des
renseignements
personnels (ADIPRP),
Gestion de l'information
(DGGI)

Full authority / Autorité absolue

Full authority / Autorité absolue

Manager, IPRA-IMB / Gestionnaire, ADIPRP-DGGI

Full authority / Autorité absolue

Full authority / Autorité absolue

and

Senior Advisor IPRA, IMB / Conseiller (ère) principal(e), ADIPRP -DGI Sections / Articles: 7, 8(1), 9, 11(4)(5), 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27(1), 68, 69 Sections / Articles: 8(1), 14, 15, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 69, 70

Dated, at the City of Ottawa this day of , 2011 SEP 0 6 2011

Date, en la ville d'Ottawa ce jour de 2011 SEP 0 6 2011

THE HONOURABLE CHRISTIAN PARADIS MINISTER OF INDUSTRY CANADA

MINISTRE D'INDUSTRIE CANADA L'HONORABLE CHRISTIAN PARADIS