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# **Science Statistics**

May 2008 edition



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Statistics Canada Science, Innovation and Electronic Information Division

# **Science Statistics**

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- . not available for any reference period
- .. not available for a specific reference period
- ... not applicable
- 0 true zero or a value rounded to zero
- 0s value rounded to 0 (zero) where there is a meaningful distinction between true zero and the value that was rounded
- p preliminary
- r revised
- x suppressed to meet the confidentiality requirements of the Statistics Act
- E use with caution
- F too unreliable to be published

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# **Highlights**

### Research and Development Personnel (R&D) - 1996 to 2005

- The growth in the number of people engaged in research and development in Canada, such as researchers, technicians and supporting staff, slowed down considerably in 2005. (Table 1-1)
- A total of 213,930 full-time equivalent researchers, technicians, and other support personnel were engaged in R&D activities in 2005, up 3.8% from the previous year. This was well below the increase of 6.7% in 2003. (Table 3-2)
- The number of these individuals increased by about 48.8% between 1996 and 2005, largely the result of increased employment of researchers in the business enterprise sector. (Table 1-1 and Table 1-4)
- Together, the business enterprise and higher education sectors employed 8 of every 10 new researchers in 2005. (Table 4-2)
- Researchers accounted for 63% of all the personnel engaged in R&D in Canada in 2005. However, only three provinces had higher proportions than the national average: British Columbia, where researchers accounted for 70%, Alberta (66%) and Ontario (64%). (Table 2-1)
- Between 1996 and 2005, the proportion of personnel engaged in R&D in the business enterprise sector increased from 55% in 1996 to 64% in 2005. (Table 1-1)
- On the other hand, during the same period, the share in the higher education sector declined from 32% to 27%. This was a reflection of the more robust increase in the number of R&D personnel in the business enterprise sector. (Table 1-1)

# Analysis

Intense global competition, the new economy and fast changing technology have made research and development (R&D) a top priority for many countries including Canada. In this light, R&D personnel play a pivotal role in any government strategy to strengthen and expand Canada's R&D capacity. This issue sheds some light on the nature of the evolution of the number of people who perform R&D activities in Canada from 1996 to 2005.

The number of people engaged in R&D in Canada (i.e., researchers, technicians and supporting staff) increased by 3.8% from 2004 to 2005, but this growth rate is relatively sluggish when compared to the 5.3% rate recorded in 2004 or the 9.6% increase posted between 1999 and 2000 (153,350 to 168,130) (table 1-1). In 2005, 8 out of every 10 (83%) new R&D personnel was a researcher (table 3-2).

Between 1996 and 2005, the number of people engaged in R&D posted an impressive growth of 48.8%. This increase was largely precipitated by the swelling of the ranks of researchers (48.4%) and technicians (55.2%) (table 3-2). Increases in the number of natural sciences and engineering researchers (55.6%) accounted for much of the rise in the total number of researchers over this period (table 3-2). During this same time frame, 4 out of every 5 new natural sciences and engineering researchers enterprise sector (table 1-4).<sup>1</sup>

In 2005, the number of personnel engaged in R&D in the business enterprise sector increased by 2.9% (about half of the growth rate of 5.8% chalked between 2003 and 2004) (tables 1-1 and 1-4), while those in the higher education sector (the second largest employer of R&D personnel) experienced a modest growth of 4.1%, a lower rate than what was recorded for this sector from 2003 and 2004 (5.5%) (tables 1-1 and 1-5).

Importantly, between 1996 and 2005, the business enterprise sector witnessed its share of the total number of personnel engaged in R&D increase from 55% in 1996 to 64% in 2005 (tables 1-4 and 3-2). On the other hand, during the same period, the higher education sector experienced a decline in its share of R&D personnel from 32% in 1996 to 27% in 2005 (tables 1-5 and 3-2). Although the number of R&D personnel in higher education institutions has been rising over the years, the business enterprise sector has seen a much larger increase in the number of R&D personnel it has employed.

In 2005, researchers accounted for 63% of all the personnel engaged in R&D in Canada, however, British Columbia (70%) Alberta (66%) and Ontario (64%) were the only provinces to record higher proportions of researchers among their R&D personnel (table 2-1).

The business enterprise sector provided employment to 81,960 researchers in 2005 and almost half (49%) of the 6,460 new researchers (tables 1-4 and 3-2). The higher education sector employed 43,420 researchers in 2005 and almost one-third (32%) of the new researchers (table 1-5). Also, during the period spanning 1996 to 2005, the number of doctoral students engaged in R&D in the higher education sector increased by 7,727 people (33.2%) (table 4-3).

In 2005, Ontario and Quebec employed 3 out of every 4 personnel engaged in R&D (75%) as their researchers amounted to 62,060 and 39,000 respectively. This may be related to the fact that these two provinces host a significant percentage of the R&D performing organizations in Canada (tables 2-2 and 3-2).

Among countries with similar methods of measuring R&D personnel, Canada has an impressive rate of researchers per 1,000 persons in the labour force. For example, in 2004, Canada's rate was 7.7 researchers per 1,000 persons in the labour force, while the United Kingdom and France posted rates of 5.7 and 8.0 respectively (table 4-1).

The natural sciences and engineering sector is the most important field of science in which federal government R&D personnel are active (table 1-2). The number of R&D personnel employed by the federal government

<sup>1.</sup> In this analysis, all researchers in the business enterprise sector are engaged in natural sciences and engineering.

fluctuated between 1996 and 2005, however, in 2005 there was an impressive growth of 11.2% in the numbers of such personnel (table 1-1).

# **Related products**

### Selected publications from Statistics Canada

88-202-X	Industrial Research and Development: Intentions
00-202-7	industrial Research and Development. Intentions
88-204-X	Federal Scientific Activities
88-221-X	Gross Domestic Expenditures on Research and Development in Canada and the Provinces
88-522-X	Science and Technology Activities and Impacts: A Framework for a Statistical Information
88F0006X	Science, Innovation and Electronic Information Division Working Papers
88F0017M	Science, Innovation and Electronic Information Division Research Papers

### Selected CANSIM tables from Statistics Canada

358-0001	Gross domestic expenditures on research and development, by science type and by funder and performer sector, annual
358-0024	Business enterprise research and development (BERD) characteristics, by industry group based on the North American Industry Classification System (NAICS), annual
358-0026	Intellectual property management, by federal departments and agencies indicators, annual

### Selected surveys from Statistics Canada

4201	Research and Development in Canadian Industry
4204	Research and Development of Canadian Private Non-Profit Organizations
4208	Provincial Research Organizations (PRO)
4209	Provincial Government Activities in the Natural Sciences
4210	Provincial Government Activities in the Social Sciences
4212	Federal Science Expenditures and Personnel, Activities in the Social Sciences and Natural Sciences
5109	Higher Education Research and Development Estimates

### Selected summary tables from Statistics Canada

- Research and development performed by the business enterprise sector
- Domestic spending on research and development (GERD), funding sector, by province
- Domestic spending on research and development (GERD), performing sector, by province
- Domestic spending on research and development (GERD)

# **Statistical tables**

#### Table 1-1 Personnel engaged in research and development — Sector of performance

	1996	1997	1998 <sup>r</sup>	1999 <sup>r</sup>	2000 <sup>r</sup>	2001 <sup>r</sup>	2002 <sup>r</sup>	2003 <sup>r</sup>	2004 <sup>r</sup>	2005	
					numb	er					
<b>Total</b> Federal government Provincial governments <sup>1</sup> Business enterprise <sup>2</sup> Higher education Private non-profit organizations <sup>3</sup>	<b>143,760</b> 14,840 2,880 79,380 45,430 1,230	<b>145,690</b> 13,950 2,970 82,640 44,920 1,210	<b>147,860</b> 13,730 2,850 85,930 44,320 1,030	<b>153,350</b> 14,080 2,520 91,310 44,590 850	<b>168,130</b> 14,700 2,710 104,720 45,150 850	<b>179,360</b> 13,740 2,730 115,700 46,300 890	<b>183,360</b> 13,960 2,820 118,400 47,340 840	<b>195,730</b> 13,580 2,560 126,430 51,880 1,280	<b>206,180</b> 13,720 2,560 133,790 54,730 1,380	<b>213,930</b> 15,250 2,620 137,690 56,950 1,420	
	percentage change										
<b>Total</b> Federal government Provincial governments 1 Business enterprise <sup>2</sup> Higher education Private non-profit organizations <sup>3</sup>	<b>0.9</b> -7.1 -6.4 4.0 -1.0 4.5	<b>1.3</b> -6.0 3.1 4.1 -1.1 -1.6	<b>1.5</b> -1.6 -4.0 4.0 -1.3 -14.9	<b>3.7</b> 2.5 -11.6 6.2 0.6 -17.5	<b>9.6</b> 4.4 7.5 14.7 1.3 0.0	<b>6.7</b> -6.5 0.7 10.5 2.5 4.7	<b>2.3</b> 1.6 3.3 2.4 2.2 -5.6	<b>6.7</b> -2.7 -9.2 6.8 9.6 52.4	<b>5.3</b> 1.0 0.0 5.8 5.5 7.8	<b>3.8</b> 11.2 2.3 2.9 4.1 2.9	

1. Provincial government data includes provincial research organizations data.

2. Natural sciences and engineering only.

Private non-profit organization's personnel counts may fluctuate due to these organization's intramural research and development activities.
 Note(s): Personnel counts are reported as full-time equivalents (rounded to the nearest 10).

#### Table 1-2 Personnel engaged in research and development — Federal government, by occupational category

	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005		
	number											
Total	14,840	13,950	13,730	14,080	14,700	13,740	13,960	13,580	13,720	15,250		
Researchers	6,310	5,850	5,850	6,020	6,120	5,610	6,190	6,110	5,990	7,090		
Technicians	4,100	3,900	3,820	3,860	3,820	3,780	3,770	3,760	3,730	3,960		
Support staff	4,430	4,200	4,060	4,200	4,760	4,350	4,000	3,710	4,010	4,200		
Natural sciences and												
engineering	14,260	13,420	13,220	13,490	14,120	13,040	13,220	12,870	13,000	14,470		
Researchers	6,030	5,610	5,620	5,750	5,840	5,250	5,800	5,740	5,620	6,710		
Technicians	4,040	3,830	3,760	3,790	3,750	3,700	3,700	3,690	3,640	3,870		
Support staff	4,190	3,980	3,840	3,950	4,530	4,090	3,720	3,440	3,740	3,890		
Social sciences and humanities	580	530	510	590	580	700	740	710	720	780		
Researchers	280	240	230	270	280	360	390	370	360	380		
Technicians	60	70	60	70	70	80	70	70	90	90		
Support staff	240	220	220	250	230	260	280	270	270	310		

	1996	1997	1998	1999 <sup>r</sup>	2000 <sup>r</sup>	2001 <sup>r</sup>	2002 <sup>r</sup>	2003 <sup>r</sup>	2004 <sup>r</sup>	2005
					numbe	r				
Government departments <sup>1</sup>										
Total	2,880	2,970	2,850	2,520	2,710	2,730	2,820	2,560	2,560	2,620
Researchers	1,420	1,490	1,460	1,280	1,380	1,340	1,360	1,260	1,230	1,330
Technicians	890	960	910	830	910	850	920	780	840	830
Support staff	570	520	480	410	420	540	540	520	490	460
Natural sciences and										
engineering	1,750	1,740	1,690	1,400	1,510	2,020	2,080	1,780	1,770	1,790
Researchers	900	860	890	740	800	960	980	840	820	880
Technicians	580	590	520	450	500	670	700	560	590	580
Support staff	270	290	280	210	210	390	400	380	360	330
Social sciences and humanities	290	260	240	170	250	230	260	320	300	360
Researchers	210	200	180	130	170	180	190	230	220	270
Technicians	30	20	20	20	50	20	40	50	40	40
Support staff	50	40	40	20	30	30	30	40	40	50
Sub-total	2,040	2,000	1,930	1,570	1,760	2,250	2,340	2,100	2,070	2,150
Provincial research										
organizations <sup>2</sup>	840	970	920	950	950	480	480	460	490	470
Researchers	310	430	390	410	410	200	190	190	190	180
Technicians	280	350	370	360	360	160	180	170	210	210
Support staff	250	190	160	180	180	120	110	100	90	80

### Table 1-3 Personnel engaged in research and development — Provincial government, by occupational category

1. In 2001 the Alberta Research Council Inc. became an agency of the provincial government, and is therefore included in that sector of performance.

2. Provincial research organizations include natural sciences and engineering only.

Note(s): Personnel counts are reported as full-time equivalents (rounded to the nearest 10).

# Table 1-4 Personnel engaged in research and development — Business enterprise sector, by occupational category

	1996	1997	1998	1999 <sup>r</sup>	2000 <sup>r</sup>	2001 <sup>r</sup>	2002 <sup>r</sup>	2003 <sup>r</sup>	2004 <sup>r</sup>	2005			
		number											
<b>Total</b> Researchers Technicians Support staff	<b>79,380</b> 48,530 21,580 9,270	<b>82,640</b> 51,960 21,570 9,110	<b>85,930</b> 54,680 22,010 9,240	<b>91,310</b> 58,000 22,800 10,510	<b>104,720</b> 66,870 26,750 11,100	<b>115,700</b> 73,120 29,660 12,920	<b>118,400</b> 73,220 31,590 13,590	<b>126,430</b> 75,850 34,570 16,010	<b>133,790</b> 78,790 38,480 16,520	<b>137,690</b> 81,960 39,490 16,240			

Note(s): Personnel counts are reported as full-time equivalents (rounded to the nearest 10).

#### Table 1-5

#### Personnel engaged in research and development — Higher education sector, by occupational category

	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005
_					numbe	er				
Total	45,430	44,920	44,320	44,590	45,150	46,300	47,340	51,880	54,730	56,950
Researchers	33,790	33,430	32,840	33,020	33,300	34,200	34,910	38,900	41,380	43,420
Technicians	6,090	6,010	6,010	6,060	6,200	5,980	6,140	6,410	6,580	6,670
Support staff	5,550	5,480	5,470	5,510	5,650	6,120	6,290	6,570	6,770	6,860
Natural sciences and										
engineering	24,790	24,190	23,940	25,130	25,330	26,190	26,820	29,810	31,330	32,670
Researchers	17,010	16,550	16,250	17,400	17,440	18,110	18,530	21,160	22,500	23,720
Technicians	4,420	4,340	4,370	4,400	4,490	4,440	4,560	4,750	4,850	4,920
Support staff	3,360	3,300	3,320	3,330	3,400	3,640	3,730	3,900	3,980	4,030
Social sciences and humanities	20,640	20,730	20,380	19,460	19,820	20,110	20,520	22,070	23,400	24,280
Researchers	16,780	16,880	16,590	15,620	15,860	16,090	16,380	17,740	18,880	19,700
Technicians	1,670	1,670	1,640	1,660	1,710	1,540	1,580	1,660	1,730	1,750
Support staff	2,190	2,180	2,150	2,180	2,250	2,480	2,560	2,670	2,790	2,830

# Table 2-1 Provincial distribution of personnel engaged in research and development — Sector of performance, by occupational category

						2005					
	Newfound- land and Labrador	Prince Edward Island	Nova Scotia	New Brunswick	Quebec	Ontario	Manitoba	Saskat- chewan <sup>1</sup>	Alberta	British Columbia	Canada <sup>2</sup>
						number					
Total 3	1,650	360	3,770	2,310	65,130	96,290	4,350	3,400	14,000	21,160	213,930
Researchers	970	190	2,110	1,330	39,000	62,060	2,350	1,820	9,180	14,710	134,280
Technicians	400	100	1,000	590	17,390	22,040	1,270	980	2,980	4,180	51,550
Other	280	70	660	390	8,740	12,190	730	600	1,840	2,270	28,100
Federal government	200	80	600	230	2,410	2,780	600	530	870	770	9,130
Researchers	100	40	280	140	1,130	1,340	260	240	400	390	4,360
Technicians	60	20	190	50	520	760	210	160	270	230	2,490
Other	40	20	130	40	760	680	130	130	200	150	2,280
Federal government											,
(National Capital											
Region)					580	5,540					6,120
Researchers					310	2,430					2.740
Technicians					130	1,340					1,470
Other					140	1,770					1,910
Provincial governments <sup>4</sup>				90	930	450	60	210	670	190	2,620
Researchers				40	500	290	40	70	250	120	1,320
Technicians				30	310	90	10	120	220	40	830
Other				20	120	70	10	20	200	30	470
Business enterprise	490	130	1,380	940	45,210	66,070	2,060	1,160	6,600	13,640	137,690
Researchers	250	80	720	470	24.250	41,390	960	550	4.070	9,210	81,960
Technicians	170	40	470	330	14.850	17,490	780	430	1,790	3,140	38,490
Other <sup>5</sup>	70	10	190	140	6.110	7,190	320	180	740	1,290	16,240
Higher education	960	150	1.790	1,050	16.000	21,450	1,630	1,500	5.860	6,560	56,950
Researchers	620	70	1,110	680	12.810	16,610	1,090	960	4,460	4,990	43,400
Technicians	170	40	340	180	1,580	2,360	270	270	700	770	6,680
Other	170	40	340	190	1,610	2,480	270	270	700	800	6.870

1. 2005 university degrees, diplomas and certificates granted for University of Regina not available.

2. Includes the Yukon Territories, Northwest Territories and the Nunavut.

The data for the private non-profit sector performing research and development are not distributed by provinces, territories or the National Capital Region. The national totals of research and development, by performing sector include the private non-profit sector.

4. Provincial government data includes provincial research organizations data.

5. No provincial distribution between technicians and other, estimated proportionally according to national total.

#### Table 2-2 Provincial distribution of personnel engaged in research and development — Occupational category

	Newfound- land and Labrador	Prince Edward Island	Nova Scotia I	New Brunswick	Quebec	Ontario	Manitoba	Saskat- chewan <sup>1</sup>	Alberta	British Columbia	Yukon Territory, Northwest Territories and Nunavut	Canada
						num	ber					
Researchers <sup>2</sup> 2000 r 2001 r 2003 r 2003 r 2004 r 2005 Technicians <sup>2</sup>	700 760 770 870 920 970	150 140 130 160 170 190	1,800 1,750 1,840 1,940 2,070 2,110	910 950 970 1,130 1,130 1,330	32,170 33,190 34,650 36,280 37,500 39,000	51,670 56,000 55,920 57,550 59,080 62,060	1,950 1,880 2,020 2,120 2,160 2,350	1,530 1,600 1,580 1,800 1,860 1,820	6,830 7,270 7,640 8,350 9,090 9,180	9,940 10,700 10,140 11,890 13,350 14,710	20 20 30 50 60	107,970 114,570 115,960 122,550 127,840 134,280
2000 r 2001 r 2002 r 2003 r 2003 r 2004 r 2005	320 320 310 340 370 400	110 110 80 100 100 100	830 790 800 790 950 1,000	480 480 510 530 570 590	12,400 13,860 14,670 15,750 17,020 17,390	16,120 16,780 18,060 19,910 21,660 22,040	930 1,060 970 980 1,080 1,270	840 840 810 860 960 980	2,460 2,710 2,610 2,680 2,890 2,980	3,180 3,310 3,590 3,550 4,000 4,180	20 20 30 30 30	37,990 40,570 42,690 46,060 50,240 51,550
Other support staff 2 2000 r 2001 r 2002 r 2003 r 2004 r 2005	230 260 270 260 270 280	80 80 60 70 70 70	630 650 610 600 670 660	340 360 370 390 390 390	6,520 7,540 7,750 8,680 8,770 8,740	9,740 10,090 10,510 11,800 12,370 12,190	600 670 610 630 670 730	590 600 540 580 560 600	1,440 1,810 1,660 1,750 1,830 1,840	1,740 1,860 1,970 2,040 2,180 2,270	10 10 10 10 10 0	22,170 24,220 24,710 27,120 28,100 28,100

1. 2005 university degrees, diplomas and certificates granted for University of Regina not available.

2000 dimension degrees, appointed and certificates granted for oniversity of regina not available.
 The data for the private non-profit sector performing research and development are not distributed by provinces, territories or the National Capital Region. The national totals of research and development, by performing sector include the private non-profit sector.
 Note(s): Personnel counts are reported as full-time equivalents (rounded to the nearest 10).

#### Table 3-1 Personnel engaged in research and development — Selected OECD countries and by major sector

	1996	1997	1998	1999	2000 <sup>r</sup>	2001 <sup>r</sup>	2002 <sup>r</sup>	2003 <sup>r</sup>	2004 <sup>r</sup>	2005
					thousan	ds				
Total research and										
developement personnel										
Japan <sup>1</sup>	892	894	926	919	897	892	857	882	896	921
Germany	454	460	462	480	485	481	480	473	471	481
United Kingdom						312	322	319	316	323
France	321	306	309	314	327	334	344	346	352	357
Italy	142		146	143	150	154	164	162	164	175
Canada	144	146	148	153	168	179	183	190	199	
Netherlands	81	84	85	87	88	89	87	86	92	
Sweden		65		67		72		73	72	78
Governments		00		07		12		75	12	10
Japan <sup>1</sup>	56	57	59	59	59	63	64	62	62	63
Germany	75	73	73	71	71	72	73	74	76	76
United Kingdom	27	26	29	30	30	23	21	21	21	20
France	69	53	29 52	53	53	49	52	51	52	53
	32	31	32	31	31	49 30	31	31	32	33
Italy Canada	18	17	17	17	17	30 16	17	16	32 16	33
Netherlands	16	16	16	17	17		17	14	14	 13
	16	3	16		13	13 3	13	3		13
Sweden		3		3		3		3		4
Business enterprise	500	500	040	005	500	500		504	507	040
Japan <sup>1</sup>	589	586	613	605	582	562	556	581	587	610
Germany	277	286	288	307	312	307	303	298	299	305
United Kingdom	142	137	148	153	145	154	158	156	152	147
France	163	166	168	172	178	185	191	193	197	199
Italy	61	61	61	60	64	65	70	68	68	71
Canada	79	83	86	91	105	116	118	120	127	
Netherlands	39	42	44	45	48	48	47	44	50	48
Sweden		44		44		49		48	47	56
Higher education										
Japan <sup>1</sup>	218	222	225	228	228	250	221	224	232	234
Germany	102	101	100	101	101	101	105	101	96	100
United Kingdom										
France	82	80	82	83	90	92	94	95	97	99
Italy	49		53	52	55	59	60	59	61	67
Canada	45	45	44	45	45	46	47	52	55	
Netherlands	24	24	24	24	27	27	27	27		
Sweden		18		19		20		21	22	18

Overestimated (not in full-time equivalent).
 Note(s): Personnel counts are reported as full-time equivalents (rounded to the nearest 10).
 Source(s): OECD, Main Science and Technology Indicators, Volume 2007/2.

# Table 3-2 Personnel engaged in research and development — All sectors, by occupational category

Tota		Support staff			Technicians			Researchers		
a sector	Total	Social sciences and humanities	Natural sciences and engineering	Total	Social sciences and humanities	Natural sciences and engineering	Total	Social sciences and humanities	Natural sciences and engineering	
				r	numbe					
143,76	20,040	2,480	17,560	33,200	1,760	31,440	90,520	17,270	73,250	1996
145,69	19,560	2,440	17,120	32,950	1,760	31,190	93,180	17,320	75,860	1997
147,86	19,480	2,410	17,070	33,170	1,720	31,450	95,210	17,000	78,210	1998 r
153,35	20,810	2,450	18,360	33,900	1,750	32,150	98,640	16,020	82,620	1999 r
168,13	22,170	2,510	19,660	37,990	1,830	36,160	107,970	16,310	91,660	2000 r
179,36	24,210	2,770	21,440	40,580	1,650	38,930	114,570	16,620	97,950	2001 r
183,36	24,720	2,870	21,850	42,680	1,690	40,990	115,960	16,960	99,000	2002 r
195,73	27,120	2,980	24,140	46,060	1,780	44,280	122,550	18,340	104,210	2003 r
206,18	28,100	3,100	25,000	50,240	1,860	48,380	127,840	19,460	108,380	2004 r
213,93	28,090	3,190	24,900	51,540	1.880	49.660	134,300	20,350	113,950	2005

## Table 3-3 Personnel engaged in research and development — Major field of science and sector of performance

	Bu	siness enterprise		H	igher education		Fe	deral government	
	Natural sciences and engineering	Social sciences and humanities	Total	Natural sciences and engineering	Social sciences and humanities	Total	Natural sciences and engineering	Social sciences and humanities	Tota
					number				
1996 1997 1998 1999 r 2000 r 2001 r 2002 r 2003 r 2004 r 2005	79,380 82,640 85,930 91,310 104,720 115,700 118,400 126,430 133,790 137,690	    	79,380 82,640 85,930 91,310 104,720 115,700 118,400 126,430 133,790 137,690	24,790 24,190 25,130 25,330 26,190 26,820 29,810 31,330 32,670	20,640 20,730 20,380 19,460 19,820 20,110 20,520 22,070 23,400 24,280	45,430 44,920 44,320 45,150 46,300 47,340 51,880 54,730 56,950	14,260 13,420 13,220 13,490 14,120 13,040 13,220 12,870 13,000 14,470	580 530 510 590 580 700 740 710 720 780	14,840 13,950 13,730 14,080 14,700 13,740 13,960 13,580 13,720 15,250
	Provi	ncial governments	1	Р	rivate non-profit			Canada	
	Natural sciences and engineering	Social sciences and humanities	Total	Natural sciences and engineering	Social sciences and humanities	Total	Natural sciences and engineering	Social sciences and humanities	Total
					number				
1996 1997 1998 r 1999 r 2000 r 2001 r 2002 r 2003 r 2004 r 2005	2,590 2,710 2,610 2,350 2,460 2,500 2,560 2,240 2,260 2,260	290 260 240 170 250 230 260 320 300 360	2,880 2,970 2,850 2,520 2,710 2,730 2,820 2,560 2,560 2,620	1,230 1,210 1,030 850 890 840 1,280 1,380 1,420		1,230 1,210 1,030 850 850 890 840 1,280 1,380 1,420	122,210 124,170 126,730 133,130 147,480 158,320 161,840 172,630 181,760 188,510	21,510 21,520 20,220 20,650 21,040 21,520 23,100 24,420 25,420	143,750 145,690 147,860 153,350 168,130 179,360 183,360 195,730 206,180 213,930

1. Provincial government data includes provincial research organizations data. Provincial research organizations data are in natural sciences and engineering only. **Note(s):** Personnel counts are reported as full-time equivalents (rounded to the nearest 10).

# Table 3-4 Personnel engaged in research and development — Federal government, occupational category

Total		Support staff			Technicians			Researchers		
	Total	Social sciences and humanities	Natural sciences and engineering	Total	Social sciences and humanities	Natural sciences and engineering	Total	Social sciences and humanities	Natural sciences and engineering	
				r	numbe					
14,840	4,430	240	4,190	4,100	60	4,040	6,310	280	6,030	1996
13,950	4,200	220	3,980	3,900	70	3,830	5,850	240	5,610	1997
13,730	4,060	220	3,840	3,820	60	3,760	5,850	230	5,620	1998
14,080	4,200	250	3,950	3,860	70	3,790	6,020	270	5,750	1999
14,700	4,760	230	4,530	3,820	70	3,750	6,120	280	5,840	2000
13,740	4,350	260	4,090	3,780	80	3,700	5,610	360	5,250	2001
13,960	4,000	280	3,720	3,770	70	3,700	6,190	390	5,800	2002
13,580	3,710	270	3,440	3,760	70	3,690	6,110	370	5,740	2003
13,720	4,010	270	3.740	3,730	90	3,640	5,980	360	5,620	2004
15,250	4,200	310	3,890	3,960	90	3,870	7,090	380	6,710	2005

### Table 3-5 Personnel engaged in research and development — Provincial government sector<sup>1,</sup> by occupational category

		Researchers			Technicians			Support staff		Total
	Natural sciences and engineering	Social sciences and humanities	Total	Natural sciences and engineering	Social sciences and humanities	Total	Natural sciences and engineering	Social sciences and humanities	Total	
					numbe	er				
1996	1,210	210	1,420	860	30	890	520	50	570	2,880
1997 1998	1,290 1,280	200 180	1,490 1,460	940 890	20 20	960 910	480 440	40 40	520 480	2,970 2,850
1999 r	1,140	130	1,270	820	20	840	390	20	410	2,520
2000 r	1,210	170	1,380	870	50	920	380	30	410	2,710
2001 r	1,160	170	1,330	830	30	860	510	30	540	2,730
2002 r	1,170	190	1,360	880	40	920	510	30	540	2,820
2003 r	1,030	230	1,260	730	50	780	480	40	520	2,560
2004 r 2005	1,010 1,060	220 270	1,230 1,330	800 790	40 40	840 830	450 410	40 50	490 460	2,560 2,620

1. Provincial government data includes provincial research organizations data. Provincial research organizations data are in natural sciences and engineering only. **Note(s):** Personnel counts are reported as full-time equivalents (rounded to the nearest 10).

# Table 3-6 Personnel engaged in research and development — Business enterprise sector, by occupational category

	Researchers	Technicians	Support staff	Total
		number		
996	48,530	21,580	9,270	79,380
997 r	51,960	21,570	9,110	82,640
998 r	54,680	22,010	9,240	85,930
999 r	58,000	22,800	10,510	91,310
000 r	66.870	26,750	11,100	104,720
001 r	73.120	29,660	12,920	115,700
002 r	73,220	31,590	13,590	118,400
003 r	75,850	34,570	16,010	126,430
004 r	78,790	38,480	16,520	133,790
005	81,960	39,490	16,240	137,690

#### Table 3-7 Personnel engaged in research and development — Higher education sector, by occupational category

		Researchers			Technicians			Support staff		Tota
	Natural sciences and engineering	Social sciences and humanities	Total	Natural sciences and engineering	Social sciences and humanities	Total	Natural sciences and engineering	Social sciences and humanities	Total	
					numb	er				
1996 1997	17,010 16.550	16,780 16.880	33,790 33,430		1,670 1.670	6,090 6.010		2,190 2,180	5,550 5,480	45,430 44,920
1998 1999	16,250 17,400	16,590 15,620	32,840 33,020	4,370	1,640 1,660	6,010 6,060	3,320	2,150 2,180	5,470 5,510	44,320 44,590
2000 2001	17,440 18,110	15,860 16,090	33,300 34,200	4,490	1,710 1,540	6,200 5,980	3,400	2,250 2,480	5,650 6,120	45,150 46,300
2002 2003	18,530 21,160	16,380 17,740	34,910 38,900	4,750	1,580 1,660	6,140 6,410	3,900	2,560 2,670	6,290 6,570	47,340 51,880
2004 r 2005	22,500 23,720	18,880 19,700	41,380 43,420	4,850 4,920	1,730 1,750	6,580 6,670	3,980 4,030	2,790 2,830	6,770 6,860	54,730 56,950

Note(s): Personnel counts are reported as full-time equivalents (rounded to the nearest 10).

#### Table 3-8 Personnel engaged in research and development — Private non-profit sector,<sup>1</sup> by occupational category

Total	Support staff	Technicians	Researchers	
		number		
1,230	220	540	470	1996
1,210	250	510	450	1997
1,030	230	420	380	1998 <sup>r</sup>
850	180	340	330	1999 r
850	250	300	300	2000
890	280	300	310	2001 r
840	300	260	280	2002 r
1,280	310	540	430	2003 r
1,380	310	610	460	2004 r
1,420	330	590	500	2005

1. Private non-profit organization's personnel counts may fluctuate due to these organization's intramural research and development activities.

#### Table 4-1 Researchers engaged in research and development — Selected OECD countries

	1996	1997	1998	1999 <sup>r</sup>	2000 <sup>r</sup>	2001 <sup>r</sup>	2002 <sup>r</sup>	2003 <sup>r</sup>	2004 <sup>r</sup>	2005
-					thousand	ls				
Researchers United States Japan 1 Germany United Kingdom France Italy <b>Canada</b> Netherlands Sweden	617 230 145 155 76 <b>90</b> 36	1,160 625 236 146 155 66 <b>93</b> 38 37	653 238 158 156 65 <b>95</b> 39	1,261 659 255  160 65 <b>99</b> 40 40	1,289 648 258  172 66 <b>108</b> 42	1,320 676 264 167 177 67 <b>115</b> 46 46	1,340 647 266 174 186 71 <b>116</b> 38	1,390 675 269 178 193 70 <b>119</b> 37 48	1,416 677 270 176 200 72 <b>125</b> 41 49	1,395 705 278 180 204 82  40 55
_					millions	;				
Total labour force United States Japan 1 Germany United Kingdom France Italy Canada Netherlands Sweden	129 67 28 23 22 <b>14</b> 7 4	132 68 37 28 23 22 <b>14</b> 8 4	134 67 38 29 23 22 <b>14</b> 8 4	136 67 38 29 24 22 <b>15</b> 8 4	139 67 39 29 24 23 <b>15</b> 8 4 ratio	139 66 39 30 25 23 <b>15</b> 8 4	139 64 39 30 25 24 <b>16</b> 8 4	140 64 39 30 25 24 <b>16</b> 8 4	142 64 39 31 25 24 <b>16</b> 8 4	144 64 39 31 25 24 <b>16</b> 8 4
-					Tatio					
Researchers per 1,000 persons in the labour force United States Japan 1 Germany United Kingdom France Italy Canada Netherlands Sweden	9.2 6.1 5.2 6.8 3.5 <b>6.5</b> 4.9	8.8 9.2 6.3 5.1 6.8 3.0 <b>6.6</b> 5.0 9.2	9.7 6.3 5.5 6.7 2.9 <b>6.6</b> 5.0	9.3 9.9 6.6  2.9 <b>6.7</b> 5.1 9.6	9.3 9.7 6.6  7.1 2.9 7.1 5.2 	9.5 10.4 6.7 5.6 7.2 2.9 <b>7.5</b> 5.5 10.6	9.7 10.1 6.8 5.8 7.5 3.0 <b>7.4</b> 4.6	9.9 10.6 6.9 7.7 2.9 <b>7.5</b> 4.5 11.1	10.0 10.6 6.9 5.7 8.0 3.0 <b>7.7</b> 5.0 11.3	9.7 11.0 7.1 5.8 8.2 3.4  4.9 12.7

Overestimated (not in full-time equivalent).
 Note(s): Personnel counts are reported as full-time equivalents (rounded to the nearest 10).
 Source(s): OECD, Main Science and Technology Indicators, Volume 2007/2, Table 08.

### Table 4-2 Researchers engaged in research and development — Major field of science and sector of performance

	Federal government	Provincial governments <sup>1</sup>	Business enterprise	Higher education	Private non-profit	Total
_			number			
All sciences						
1996	6,310	1,420	48,530	33,790	470	90,520
1997	5,850	1,490	51,960	33,430	450	93,180
1998	5,850	1,460	54,680	32,840	380	95,210
1999 <sup>r</sup>	6,020	1,270	58,000	33,020	330	98,640
2000 r	6,120	1,380	66,870	33,300	300	107,970
2001 r	5,610	1,330	73,120	34,200	310	114,570
2002 r	6,190	1,360	73,220	34,910	280	115,960
2003 r	6,110	1,260	75,850	38,900	430	122,550
2004 r	5,980	1,230	78,790	41,380	460	127,840
2005	7,090	1,330	81,960	43,420	500	134,300
Natural sciences and						
engineering						
1996	6,030	1,210	48,530	17,010	470	73,250
1997	5,610	1,290	51,960	16,550	450	75,860
1998	5,620	1,280	54,680	16,250	380	78,210
1999 <sup>r</sup>	5,750	1,140	58,000	17,400	330	82,620
2000 r	5,840	1,210	66,870	17,440	300	91,660
2001 r	5,250	1,160	73,120	18,110	310	97,950
2002 r	5,800	1,170	73,220	18,530	280	99,000
2003 r	5,740	1,030	75,850	21,160	430	104,210
2004 r	5,620	1,010	78,790	22,500	460	108,380
2005	6,710	1,060	81,960	23,720	500	113,950

1. Provincial government data includes provincial research organizations data. Provincial research organizations data are in natural sciences and engineering only. **Note(s):** Personnel counts are reported as full-time equivalents (rounded to the nearest 10).

# Table 4-3 Researchers engaged in research and development — Higher education sector, by occupation

	Full-time	teachers	Part-time	teachers	Doctoral s	students <sup>1</sup>	Postdoctora	l fellowships	Total res	earchers
	Natural sciences and engineering	Social sciences and humanities	Natural sciences and engineering	Social sciences and humanities	Natural sciences and engineering	Social sciences and humanities	Natural sciences and engineering	Social sciences and humanities	Natural sciences and engineering	Social sciences and humanities
					num	nber				
1996	5,086	3,716	763	483	10,774	12,504	382	74	17,005	16,777
1997	4,990	3,701	749	481	10,423	12,610	391	86	16,553	16,878
1998	5,024	3,640	754	473	10,043	12,409	428	73	16,249	16,595
1999	5,051	3,692	758	480	11,156	11,363	434	89	17,399	15,624
2000	5,156	3,806	773	495	11,092	11,487	422	73	17,443	15,861
2001	5,349	3,942	749	473	11,625	11,614	391	65	18,114	16,094
2002	5,489	4,061	768	487	11,877	11,753	398	81	18,532	16,382
2003	5,731	4,247	802	510	14,148	12,899	478	86	21,159	17,741
2004 r	5,849	4,429	819	531	15,366	13.826	471	90	22,505	18,876
2005	5,932	4,491	831	539	16,422	14.583	532	83	23,717	19,696

1. 2005 university degrees, diplomas and certificates granted for University of Regina not available.

# Table 4-4 Researchers engaged in research and development — Sector of performance

	1996	1997	1998 <sup>r</sup>	1999 <sup>r</sup>	2000 <sup>r</sup>	2001 <sup>r</sup>	2002 <sup>r</sup>	2003 <sup>r</sup>	2004 <sup>r</sup>	2005
					numb	ber				
Total Federal government Provincial governments Provincial research	<b>90,520</b> 6,310 1,110	<b>93,180</b> 5,850 1,060	<b>95,210</b> 5,850 1,070	<b>98,640</b> 6,020 870	<b>107,970</b> 6,120 970	<b>114,570</b> 5,610 1,140	<b>115,960</b> 6,190 1,170	<b>122,550</b> 6,110 1,070	<b>127,840</b> 5,980 1,040	<b>134,300</b> 7,090 1,150
organizations Business enterprise Higher education Private non-profit organizations	310 48,530 33,790 470	430 51,960 33,430 450	390 54,680 32,840 380	400 58,000 33,020 330	410 66,870 33,300 300	190 <sup>1</sup> 73,120 34,200 310	190 <sup>1</sup> 73,220 34,910 280	190 <sup>1</sup> 75,850 38,900 430	190 <sup>1</sup> 78,790 41,380 460	180 <sup>1</sup> 81,960 43,420 500

1. In 2001, the Alberta Research Council Inc. became an agency of the provincial government, and is therefore included in that sector of performance. **Note(s):** Personnel counts are reported as full-time equivalents (rounded to the nearest 10).

#### Table 5-1

#### Technicians engaged in research and development - Natural sciences and engineering, by sector of performance

	Federal government	Provincial governments <sup>1</sup>	Business enterprise	Higher education	Private non-profit	Total
			number			
1996	4,040	860	21,580	4,420	540	31,440
1997	3,830	940	21,570	4,340	510	31,190
1998 <sup>r</sup>	3,760	890	22,010	4,370	420	31,450
1999 <sup>r</sup>	3,790	820	22,800	4,400	340	32,150
2000 r	3,750	870	26,750	4,490	300	36,160
2001 r	3,700	830	29,660	4,440	300	38,930
2002 r	3,700	880	31,590	4,560	260	40,990
2003 r	3,690	730	34,570	4,750	540	44,280
2004 r	3,640	800	38,480	4,850	610	48,380
2005	3,870	790	39,490	4,920	590	49,660

1. Provincial government data includes provincial research organizations data. Provincial research organizations data are in natural sciences and engineering only. **Note(s):** Personnel counts are reported as full-time equivalents (rounded to the nearest 10).

### Table 5-2 Technicians engaged in research and development — Social sciences and humanities, by sector of performance

	Federal government	Provincial governments	Business enterprise <sup>1</sup>	Higher education	Private non-profit <sup>1</sup>	Total
			number			
1996	60	30		1,670		1,760
1997	70	20		1,670		1,760
1998	60	20		1,640		1,720
1999	70	20		1,660		1,750
2000	70	50		1,710		1,830
2001 r	80	30		1,540		1,650
2002	70	40		1,580		1,690
2003	70	50		1,660		1,780
2004	90	40		1,730		1,860
2005	90	40		1,750		1,880

1. Research and development surveys of the business enterprise and private non-profit sectors collect only natural science and engineering data. **Note(s):** Personnel counts are reported as full-time equivalents (rounded to the nearest 10).

#### Table 6

#### Support staff<sup>1</sup> in research and development, by major field of science and sector of performance

	Federal government	Provincial governments <sup>1</sup>	Business enterprise	Higher education	Private non-profit organization	Tota
			number			
All sciences						
1996	4,430	570	9,270	5,550	220	20,040
1997	4,200	520	9,110	5,480	250	19,560
1998	4,060	480	9,240	5,470	230	19,480
1999 r	4,200	410	10,510	5,510	180	20,810
2000 r	4,760	410	11,100	5,650	250	22,170
2001 r	4,350	540	12,920	6,120	280	24,210
2002 r	4,000	540	13,590	6,290	300	24,720
2003 r	3,710	520	16,010	6,570	310	27,120
2004 r	4,010	490	16,520	6,770	310	28,100
2005	4,200	460	16,240	6,860	330	28,090
Natural sciences and						
engineering						
1996	4,190	520	9,270	3,360	220	17,560
1997	3,980	480	9,110	3,300	250	17,120
1998	3,840	440	9,240	3,320	230	17,070
1999 r	3,950	390	10,510	3,330	180	18,360
2000 r	4,530	380	11,100	3,400	250	19,660
2001 r	4,090	510	12,920	3,640	280	21,440
2002 r	3,720	510	13,590	3,730	300	21,850
2003 r	3,440	480	16,010	3,900	310	24,140
2004 r	3,740	450	16,520	3,980	310	25,000
2005	3,890	410	16,240	4,030	330	25,400

1. Provincial government data includes provincial research organizations data. Provincial research organizations data are in natural sciences and engineering only. **Note(s):** Personnel counts are reported as full-time equivalents (rounded to the nearest 10).

### Table 7-1

#### Federal personnel engaged in research and development — Major department or agency

	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005
					numt	ber				
Total	14.840	13,950	13,730	14,080	14,700	13,740	13,960	13,580	13,720	15,250
Agriculture and Agri-Food Canada	2,820	2,430	2,430	2,410	2,800	2,660	1,810	1,740	1,650	2,080
Atomic Energy of Canada Limited	1,700	1,460	1,190	1,170	890	950	1,160	1,000	1,250	1,450
Canadian Space Agency	340	310	290	340	370	420	460	480	500	520
Environment Canada	830	770	740	830	840	840	890	910	910	970
Fisheries and Oceans Canada	880	800	770	850	900	890	890	520	510	500
Health Canada	480	540	520	510	520	670	700	620	400	420
Industry Canada	360	350	400	400	450	420	480	360	350	360
National Defence	1,240	1,170	1,300	1,290	1,350	1,300	1,480	1,480	1,560	1,540
National Research Council	2,650	2,730	2,780	2,810	2,930	2,510	2,720	2,970	3,000	3,650
Natural Resources Canada	2,540	2,370	2,280	2,310	2,430	1,690	1,850	1,920	1,660	1,690
Natural Sciences and Engineering Research										
Council	160	180	180	210	220	230	250	260	270	260
Other departments or agencies	840	850	850	950	1,000	1,160	1,270	1,320	1,660	1,810

#### Table 7-2

Federal personnel engaged in research and development — Natural sciences and engineering and social sciences and humanities, by occupational category and department or agency

		2005		
	Researchers	Technicians	Support staff	Total
		number		
Natural sciences and engineering	<b>6,710</b> 940	<b>3,870</b> 640	3,890	14,470
Agriculture and Agri-Food Canada Atomic Energy of Canada Limited	940 640	370	500 440	2,080 1,450
Canadian Space Agency	260	10	250	520
Environment Canada	590	240	130	960
Fisheries and Oceans Canada	230	220	40	490
Health Canada	190	150	60	400
Industry Canada	260	50	50	360
National Defence	750	380	340	1,470
National Research Council	1,480	1,030	1,150	3,660
Natural Resources Canada	980	540	170	1,690
Other Departments or Agencies	390	240	760	1,390
Social sciences and humanities	380	90	310	780
Bank of Canada	30	30	10	70
Canadian Museum of Civilization International Development Research	10	20	40	70
Centre	70	0	30	100
National Defence	40	10	10	60
National Gallery of Canada Social Sciences and Humanities Research	20	10	20	50
Council	10	0	120	130
Statistics Canada	120	10	50	180
Other departments or agencies	80	10	30	120

Note(s): Personnel counts are reported as full-time equivalents (rounded to the nearest 10).

#### Table 8

# Proportion of time devoted to research and development, by field of science, by classification of institutions and by personnel

	Natural sciences and engineering	Social sciences and humanities
	percent	
Large Universities Full-time teachers Doctoral students Postdoctoral research fellows	0.35 0.85 0.80	0.25 0.85 0.65
<b>Medium Universities</b> Full-time teachers Doctoral students Postdoctoral research fellows	0.30 0.85 0.80	0.20 0.85 0.65
Small Universities Full-time teachers Doctoral students Postdoctoral research fellows	0.25 0.85 0.80	0.15 0.85 0.65

#### Table 9 Occupational coefficients, by category and field of science<sup>1</sup>

	Na	itural sciences ar	nd engineering		Social sciences and humanities				
	Full-time university teachers	Part-time university teachers	Technicians	Other support staff	Full-time university teachers	Part-time university teachers	Technicians	Other support staff	
				number					
1996	1	0.15	0.87	0.66	1	0.13	0.45	0.59	
1997	1	0.15	0.87	0.66	1	0.13	0.45	0.59	
1998	1	0.15	0.87	0.66	1	0.13	0.45	0.59	
1999	1	0.15	0.87	0.66	1	0.13	0.45	0.59	
2000	1	0.15	0.87	0.66	1	0.13	0.45	0.59	
2001	1	0.14	0.83	0.68	1	0.12	0.39	0.63	
2002	1	0.14	0.83	0.68	1	0.12	0.39	0.63	
2003	1	0.14	0.83	0.68	1	0.12	0.39	0.63	
2004	1	0.14	0.83	0.68	1	0.12	0.39	0.63	
2005	1	0.14	0.83	0.68	1	0.12	0.39	0.63	

1. For example, in 2003, in the Natural sciences and engineering, for every 1.0 full-time teacher doing research and development, there was 0.14 part-time teacher, 0.83 technician and 0.68 other support staff.

### Estimates of research and development personnel in Canada

Canada's economic growth and competitiveness, like that of every other industrialized country, is tied to the development of its scientific and technological base. Of all the factors needed for a country's scientific and industrial development, the supply of suitable human resources is unquestionably one of the most vital. Thus, the formulation of science and technology policy requires reliable information on these human resources, especially those engaged in research and development (R&D). "... unless people with certain training and qualifications are available, organized R&D is almost impossible. Education and training are lengthy processes; personnel data are, therefore, essential to realistic science policy planning".<sup>1</sup>

The number of R&D personnel is also considered a supplementary measure to intramural expenditures on R&D. The *Frascati Manual* states that "... personnel provide concrete measurements for international comparisons of resources devoted to R&D"<sup>2</sup>.

It is important to determine the status of these resources on a regular basis. In this report, we present some statistical estimates and definitions concerning R&D personnel. Data on R&D personnel are derived from surveys conducted by the Science and Technology Surveys Section, Science, Innovation and Electronic Information Division (SIEID) and from estimates based on various data sources.

### **Classification by occupation**

R&D personnel are drawn from a wide variety of occupations "... from the Nobel prize-winner to the winner's secretary, from the designer of space experiments to the breeder of laboratory animals"<sup>3</sup>. In order to assist analysis on needs and supplies of highly qualified S&T personnel, R&D personnel are classified into three categories. The *International Standard Classification of Occupation* (ISCO) distinguishes three occupational levels: researchers, technicians and equivalent staff, and other support staff.

- Researchers (scientists and engineers) are engaged in the conception or creation of new knowledge, products, processes, methods and systems. This level also includes managers and administrators engaged in the planning and management of the scientific and technical aspects of a researcher's work. They are usually equal in rank to the researchers and are often former or part-time researchers themselves. Post-graduate students, in particular those performing significant amounts of R&D, are included in this category.
- Technicians and equivalent staff are persons whose main tasks require technical knowledge and experience in
  one or more fields of engineering, physical and life sciences, or social sciences and humanities. They participate
  in R&D by performing scientific and technical tasks involving the application of concepts and operational methods,
  normally under the supervision of researchers. Equivalent staff performs the corresponding R&D tasks under the
  supervision of researchers in the social sciences and humanities.
- Support staff includes skilled and unskilled craftsmen, secretarial and clerical staff participating in R&D projects or directly associated with such projects. Also included are all managers and administrators dealing mainly with financial and personnel matters and general administration given that their activities are directly supporting R&D. Those providing an indirect service, such as canteen and cleaning staff, should be excluded.

<sup>1.</sup> OECD, Frascati Manual 1980, Proposed standard practice for surveys on research and experimental development, (Paris), page 19, paragraph 23.

<sup>2.</sup> OECD, Frascati Manual 2002, Proposed standard practice for surveys on research and experimental development, (Paris), page 20, paragraph 30.

<sup>3.</sup> OECD, Frascati Manual 2002, Proposed standard practice for surveys on research and experimental development, (Paris), page 20, paragraph 32.

### Institutional classification

R&D data are classified into five sectors of performance. This method facilitates the collection of data and also provides information that can be cross referenced between sectors.

The sectors are:

- federal government
- provincial governments (includes provincial research organizations)
- business enterprise
- higher education
- private non-profit organizations

#### **Measurement and data collection**

Scientific research and experimental development (R&D): comprise creative work undertaken on a systematic basis in order to increase the stock of knowledge, including knowledge of man, culture and society and the use of this stock of knowledge to devise new applications.

Whenever possible, the data are also classified by major field of science; natural sciences and engineering (NSE) or social sciences and humanities (SSH).

**Natural Sciences and Engineering (NSE):** The NSE consists of disciplines concerned with understanding, exploring, developing or utilizing the natural world. Included are engineering, mathematical, life and physical sciences.

**Social Sciences and Humanities: (SSH):** The SSH embraces all disciplines involving the study of human actions and conditions and the social, economic and institutional mechanisms affecting humans. Included are such disciplines as anthropology, business administration and commerce, information and knowledge management, criminology, demography, economics, geography, history, languages, literature and linguistics, law, library science, philosophy, political science, psychology, religious studies, social work, sociology, and urban and regional studies.

Since most workers do not all spend the same amount of time on R&D, it is necessary to express the number of persons performing R&D in terms of full-time equivalents (FTE). If only those persons employed in pure R&D are counted, the number of R&D personnel will be understated, just as counting every person who spends part of his/her time on R&D will result in an overstatement. On a full-time equivalence basis then, a person devoting a third of his/her time to R&D will be counted as 0.3 of a full-time equivalent.

In Canada with the exception of the higher education sector, each of the sectors mentioned above are surveyed on an annual basis in order to collect R&D data (both expenditures and personnel). Questionnaires used to collect R&D data can be viewed on the *Statistics Canada website* (http://www.statcan.ca/english/concepts/index.htm).

The higher education sector R&D activities are estimated by Statistics Canada. Modifications were made to the estimation procedures in 2005. Data were revised back to 1991. A description of the estimation procedure is found in the section on the higher education sector.

### **Related information available from Statistics Canada**

Information derived from surveys on scientific activities in Canada is available from the Science and Technology Surveys Section, Science Innovation and Electronic Information Division. Catalogue no. 88-001-X presents highlights of each survey once the survey has been completed. Three annual publications, catalogue no.88-202-X, *Industrial Research and Development*, catalogue no.88-204-X, *Federal Scientific Activities* and catalogue no. 88-221-X, *Gross Domestic Expenditures on Research and Development in Canada and the Provinces* 

are also available. You may contact Michael Lynch 613-951-2201 *Michael.Lynch@statcan.ca* or Louise Earl 613-951-2880 *Louise.Earl@statcan.ca* to obtain more information or visit our website at *www.statcan.ca*.

### **R&D** personnel by sector

#### Federal government

This sector comprises all federal departments and organizations. All employees are included (indefinite, temporary and casual status). The data on persons engaged in R&D in the federal government are taken from the annual survey of the federal science expenditures and personnel. These data are classified into three occupational categories: researchers (scientists and engineers), technicians and support staff. The allocation of personnel to these classes is based on their public service classifications. Due to the nature of the work in the social sciences and humanities it is sometimes difficult to distinguish between technicians and other support staff; for convenience, these two categories have been combined and are shown as support staff up until 1990. From 1991 on, technicians involved in social science activities have been identified.

#### **Provincial governments**

The provincial government sector consists of all provincial government departments, ministries and agencies and provincial research organizations.

#### Government departments and agencies

Each year, Science and Technology Surveys Section, SIEID assists provincial governments to carry out surveys of resources devoted to their scientific and technological activities.

The statistics presented are aggregates of the provincial government science surveys conducted by Statistics Canada under contract with the provinces, and cover the period 1979-1980 to 2005-2006. The surveys currently cover four provinces: Ontario, Manitoba, Alberta and British Columbia. Estimates are made for Saskatchewan and for the Eastern provinces. Quebec conducts their own survey and shares the information with Statistics Canada.

### **Provincial research organizations**

Statistics on the R&D personnel of provincial research organizations are estimated on the basis of an annual survey of the resources of the provincial research foundations and councils.

R&D is only one of the activities of these provincial research organizations. In the survey conducted by SIEID, the organizations are asked to allocate their expenditures by a number of activities, including R&D. The total number of personnel for all organizations is multiplied by the ratio of R&D to total expenditures in order to produce an estimate of R&D personnel. Since the three occupational categories are already specified in the survey, their relative proportions are applied to the R&D full-time equivalence total.

It should be noted that provincial research organizations data pertain to activities in the natural sciences and engineering only.

#### **Business enterprise**

The term "business enterprise" encompasses all commercially oriented enterprises (privately or publicly owned), industrial non-profit organizations and industrial research institutes.

Until 1969, the survey was biennial. From 1970 to 1981, all known performers or funders of industrial R&D were surveyed for odd-numbered years and a sample, including the leading performers, were surveyed for even numbered years. Estimates for the 1980 R&D personnel were computed by averaging data for 1979 and 1981. From 1982 to 1991, a full survey was conducted annually.

Because of reductions in the science and technology program, in the even-years starting with the 1992 reference year, only the top 100 R&D performers (accounting for 64% of all industrial R&D), were surveyed. However, as a result of a cost-sharing agreement with the province of Quebec, the 1992 and 1994 surveys also include firms having R&D activities in the province of Quebec. In 1995 the industrial R&D survey was re-established annually under the new S&T project "An information system for science and technology".

The 1998 data reflects a new methodology for estimating R&D expenditure in the business sector in Canada. The essence of the new approach was the use of administrative data from the Canada Revenue Agency (CRA), in place of survey data, for any firm funding or performing less than \$1 million worth of R&D. Under the current regulations, firms have up to 18 months to submit a claim for R&D tax credits to CRA. This means that when survey data are ready for publication, not all of the CRA data for that year will have been received. At the time this bulletin is released, a portion of the R&D tax credit is still outstanding and their value is estimated. This bulletin provides preliminary estimates of R&D personnel in the business enterprise sector.

It should be noted that business enterprise data pertain to activities in the natural sciences and engineering only. For further information, see *Industrial Research and Development*, catalogue no. 88-202-X.

#### **Higher education**

This sector includes universities, colleges of technology and other institutions of post-secondary education. Since existing surveys of this sector do not provide information on the R&D activities of staff, it is necessary to estimate R&D personnel.

As in other sectors of performance, we are interested in determining the full-time equivalence by three occupational categories (researchers, technicians and support staff) and by science type (NSE and SSH). The first step we take is to determine "researchers".

It is common knowledge that university professors are involved in other activities besides research (teaching and community service work). Doctoral students and postdoctoral research fellows also do research. The level of education held by these persons would qualify them as researchers. But, how much of their time is actually spent doing R&D?

When estimating R&D expenditures in the higher education sector, universities are classified into small, medium and large based on

- 1. sponsored research expenditures;
- 2. sponsored research as a percentage of general operating expenditures and
- 3. he number of doctoral programs. This is based on the assumption that, depending on the size of the university, some universities spend more time on R&D than others. The same size classification is used to estimate R&D personnel.

Also, when estimating R&D expenditures, we use the full-time teachers field of study to determine science type. Science type of R&D personnel is based on the same field of study classification. Crossing the size classification of institutions with the science type and personnel category, we arrive at percentages used to determine how much time is spent on R&D (table 8).

Now, we apply this methodology to full-time teacher, doctoral student and Ph.D. fellows information. The Centre for Education Statistics provides us with full-time teacher and doctoral students data by institution and by field of study. Postdoctoral fellows information is received from the three granting councils, Natural Sciences and Engineering Research Council, Social Sciences and Humanities Research Council and the Canadian Institutes for Health Research. These data are organized by university size and by field of study. To arrive at the amount of time these persons spend doing R&D in FTE's, we multiply the full-time teachers, doctoral students and Ph.D. fellows by the percentages in table 8. As mentioned before, these persons are all considered to be researchers.

In addition to full-time university professors, doctorate students and Ph.D. fellows; there are part-time teachers, technicians and other support staff involved in R&D. Estimates for these classifications of R&D personnel are based on information provided by the Census.

The Census labour market statistics provide data on sector of employment, occupation (based on the *National Occupational Classification for Statistics,* 2001 (NOC-S), level of education and gender of the employed labour force. The division was able to purchase 1991, 1996 and 2001 Census data with funds made available through our memorandum of understanding (MOU) with Industry Canada. Census data prior to 1991 was not purchased and therefore no revisions to the higher education R&D personnel were made prior to 1991. Estimates previous to 1991 used coefficients based on the older *Standard Occupational Classification,* 1980 (SOC).

In order to use the Census data, the occupations had to be classified into our three categories – Researchers, Technicians and Other. In order to do this, we have attempted a concordance of NOCS 2001 to the *Frascati Manual's* (2002) categories of R&D personnel by occupation, which are based on the *International Standard Classification of Occupations*, 1988 (ISCO). Once this concordance was completed, detailed analysis was made on Canada's employed labour force who work in the university industry (SIC 8531) in order to arrive at the Occupational Coefficients listed in table 9. What the coefficients imply are that for every full-time teacher, there is 0.14 part-time teachers, 0.83 technicians and 0.68 other support staff doing R&D.

We have determined that "researchers" constitute the R&D full-time equivalent of full-time teachers, doctoral students and Ph.D. fellows. In addition to these we add part-time teachers using the appropriate occupational coefficient provided by the Census data (table 9). The total of these occupations equal "Researchers".

Technicians and Other Support staff are determined by applying the coefficient derived from the census data. That coefficient considers both the occupation specified, the highest level of education achieved as well as the field in which the person works (natural sciences and engineering or social sciences and humanities).

As a result of the analysis completed on the Census data, we have been able to identify technicians in the social sciences and humanities back as far as 1991. Previous to that year the distinction between technicians and other support staff is unclear in the social sciences and humanities, these two categories have been combined and are shown as support staff.

The use of large-scale estimates naturally causes data reliability problems. Nevertheless, in the absence of more reliable data, these estimates provide us with a general idea of the situation in this sector, given certain assumptions. Caution should be used when comparing them with other sectors or with expenditure estimates.

#### **Private non-profit organizations**

This sector is comprised of private and semipublic organizations and entities for which profit-making is not a primary goal. There are four main types of organizations included: private philanthropic foundations, scientific societies and associations, voluntary health organizations, and research institutes which do not belong to other sectors.

Since 1983, SIEID has been collecting personnel data through its survey of R&D performed by private non-profit organizations in Canada. In this survey, respondents are asked to estimate the number of employees engaged in R&D by occupational category.

Since no statistics on R&D personnel in these organizations for the years prior to 1983 are available, estimates were made on the 1983 relationships of personnel, R&D expenditures and occupational categories. Finally, since R&D in this sector is carried out basically in the health sciences, there are no estimates for personnel engaged in R&D in the social sciences and humanities.

The PNP sector appears in both the performing and funding sector for the GERD for Canada. Commencing with reference year 2000, the data for the PNP sector performing research and development are not distributed by provinces, territories or the national capital region. However, the national totals of research and development by performing sector include the PNP sector. The PNP sector continues to be distributed for the funding sector.