Catalogue no. 88-001-X

# **Science Statistics**

Research and Development Personnel in Canada,1998 to 2007



February 2010 Edition



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Business Special Surveys and Technology Statistics Division Research and Development Personnel in Canada, 1998 to 2007

# **Science Statistics**

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Published by authority of the Minister responsible for Statistics Canada

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February 2010

Catalogue no. 88-001-X, vol. 34, no. 1

ISSN 1209-1278

Frequency: Irregular

Ottawa

Cette publication est également disponible en français.

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- . not available for any reference period
- .. not available for a specific reference period
- ... not applicable
- 0 true zero or a value rounded to zero
- 0s value rounded to 0 (zero) where there is a meaningful distinction between true zero and the value that was rounded
  - p preliminary
  - r revised
- x suppressed to meet the confidentiality requirements of the Statistics Act
- E use with caution
- F too unreliable to be published

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### **Highlights**

### Research and Development Personnel, 1998 to 2007

- In 2007, a total of 228,680 full-time equivalent personnel were engaged in research and development (R&D) activities in Canada, up 2.0% over the previous year. Between 1998 and 2007, this number increased by 54.7% (Table 1-1).
- The business enterprise sector employs the majority of R&D personnel in Canada. In 2007, 65% of R&D personnel were employed by business enterprises, followed by 26% in the higher education sector, 8% in government (combined federal and provincial) and 1% in private non-profit organizations (Table1-1).
- Approximately three-quarters of total R&D personnel worked in Ontario (45%) and Quebec (31%). Most of the remaining R&D personnel were engaged in British Columbia (10%) and Alberta (7%) (Table 2-1).
- Researchers accounted for 63% of total R&D personnel, while technicians comprised one-quarter and support staff 12% (Table 3-2).

### **Analysis**

Research and development (R&D) personnel are instrumental in the advancement of R&D. This edition of the Science Statistics Bulletin reports on the number of full-time equivalent personnel performing R&D activities in Canada from 1998 to 2007. R&D personnel encompass a variety of occupations which are classified into three categories according to the International Standard Classification of Occupation (ISCO): researchers, technicians and support staff. Researchers generally include scientists and engineers who engage in the conception and creation of new knowledge, products, processes, methods and systems. Technicians are individuals whose main tasks require technical knowledge and experience in R&D related fields such as engineering or physical and life sciences. Support staff encompass skilled and unskilled craftsmen, secretarial and clerical staff that participate in R&D projects. Additional details on these occupational categories can be found in the Data quality, concepts and methodology section of this publication.

### Researchers

In 2007 there were 142,950 researchers working in Canada, a 2.8% increase over the previous year and a 23% increase from five years earlier (2002) (Table 2-2).

Researchers are the predominant R&D personnel group. In 2007 doctoral students formed 72% of the 47,310 researchers in the higher education sector. Fifty-three percent of these doctoral students focused on natural science and engineering work, while the remaining 47% worked within the social sciences and humanities sector (Table 4-2).

Between 1998 and 2007 the count of researchers in the field of natural sciences and engineering increased 54%, while the number in social sciences and humanities increased 32% (Table 3-2).

Comparing all performing sectors in 2007, Prince Edward Island, Quebec, Ontario and British Columbia held the largest count of full-time equivalent researchers in the business enterprise sector. For the remaining provinces the higher education sector employed the most researchers. (Table 2-1)

In comparison with selected OECD countries, in 2006, the number of researchers per 1,000 labour force in Canada was 7.9 while the United States and Sweden posted higher ratios of 9.4 and 11.9 respectively. (Table 4-1).

The government sector employed 6.1% of total researchers in 2007. Eighty-two percent of government researchers work with the federal government. The National Research Council employed 1,540, the largest number of researchers among all federal departments. The majority of federal researchers, 94%, work in the natural sciences and engineering field. However, in the past decade the share of federal research personnel in the social sciences and humanities has grown from 4% to 6% (Tables 1-2, 4-3, 7).

### **Technicians**

In 2007, a total of 57,390 technicians worked in Canada, up 4.1% from 2006. Over the five year period from 2002 to 2007 the number of technicians increased 34.4% (Table 2-2).

Over the past decade, the number of technicians in the field of natural sciences and engineering increased three-quarters while technicians in the social sciences and humanities field increased 30% (Table 3-2).

The business enterprise sector employed the largest number of technicians among all provinces in 2007, with the exception of Prince Edward Island, where the business enterprise, higher education and federal government sectors employed the same amount of technicians (Table 2-1).

### **Other Support Staff**

In 2007 a total of 28,340 R&D support staff worked in Canada, a decline of 5.4% from the previous year. However, from a five year perspective, 2002 to 2007, other R&D support staff increased 14.7% (Table 2-1).

During the ten year period between 1998 and 2007, the number of other R&D support staff in the field of natural sciences and engineering increased by almost half (48%), while the count in the social sciences and humanities increased 29% (Table 3-2).

In Quebec, Ontario, Manitoba, Alberta and British Columbia the business enterprise sector employed the greatest number of support staff. For the remaining provinces the higher education sector employed the most support staff (Table 2-1).

## **Related products**

### Selected publications from Statistics Canada

88-202-X	Industrial Research and Development: Intentions
88-204-X	Federal Scientific Activities
88-221-X	Gross Domestic Expenditures on Research and Development in Canada (GERD), and the Provinces
88-522-X	Science and Technology Activities and Impacts: A Framework for a Statistical Information
88F0006X	Business Special Surveys and Technology Statistics Division Working Papers
88F0017M	Science, Innovation and Electronic Information Division Research Papers

### **Selected CANSIM tables from Statistics Canada**

358-0001	Gross domestic expenditures on research and development, by science type and by funder and performer sector, annual
358-0024	Business enterprise research and development (BERD) characteristics, by industry group based on the North American Industry Classification System (NAICS), annual
358-0026	Intellectual property management, by federal departments and agencies indicators, annual

### **Selected surveys from Statistics Canada**

4201	Research and Development in Canadian Industry
4204	Research and Development of Canadian Private Non-Profit Organizations
4208	Provincial Research Organizations (PRO)
4209	Provincial Government Activities in the Natural Sciences
4210	Provincial Government Activities in the Social Sciences
4212	Federal Science Expenditures and Personnel, Activities in the Social Sciences and Natural Sciences
5109	Higher Education Research and Development Estimates

### Selected summary tables from Statistics Canada

- Research and development performed by the business enterprise sector
- Domestic spending on research and development (GERD), funding sector, by province
- Domestic spending on research and development (GERD), performing sector, by province
- Domestic spending on research and development (GERD)

## **Statistical tables**

Table 1-1 Personnel engaged in research and development — Sector of performance

	1998 <sup>r</sup>	1999 <sup>r</sup>	2000 <sup>r</sup>	2001 <sup>r</sup>	2002 <sup>r</sup>	2003 <sup>r</sup>	2004 <sup>r</sup>	2005 <sup>r</sup>	2006 <sup>r</sup>	2007
					numb	per				
Total	147,860	153,340	168,120	179,380	183,420	196,510	210,550	218,610	224,110	228,680
Federal government	13,730	14,080	14,700	13,740	13,960	13,580	13,720	15,250	15,140	15,630
Provincial governments 1	2,850	2,520	2,710	2,730	2,820	2,560	2,550	2,620	2,820	3,120
Business enterprise 2	85,930	91,300	104,710	115,720	118,460	127,210	138,170	142,030	146,670	147,600
Higher education	44,320	44,590	45,150	46,300	47,340	51,880	54,730	56,950	57,270	60,140
Private non-profit organizations 3	1,030	850	850	890	840	1,280	1,380	1,760	2,210	2,190
					percent of	change				
Total	1.5	3.7	9.6	6.7	2.2	7.1	7.1	3.8	2.5	2.0
Federal government	-1.6	2.5	4.4	-6.5	1.6	-2.7	1.0	11.2	-0.7	3.2
Provincial governments 1	-4.0	-11.6	7.5	0.7	3.3	-9.2	-0.4	2.7	7.6	10.6
Business enterprise 2	4.0	6.2	14.7	10.5	2.4	7.4	8.6	2.8	3.3	0.6
Higher education	-1.3	0.6	1.3	2.5	2.2	9.6	5.5	4.1	0.6	5.0
Private non-profit organizations 3	-14.9	-17.5	0.0	4.7	-5.6	52.4	7.8	27.5	25.6	-0.9

<sup>1.</sup> Provincial government data includes provincial research organizations data.

3. Private non-profit organization's personnel counts may fluctuate due to these organization's intramural research and development activities.

Note(s): Personnel counts are reported as full-time equivalents (rounded to the nearest 10). Due to rounding, components may not add to the totals.

Table 1-2 Personnel engaged in research and development — Federal government, occupational category

Total		Support staff			Technicians			Researchers		
	Total	Social sciences and humanities	Natural sciences and engineering	Total	Social sciences and humanities	Natural sciences and engineering	Total	Social sciences and humanities	Natural sciences and engineering	
					number					
13,730	4,060	220	3,840	3,820	60	3,760	5,850	230	5,620	1998
14,080	4,200	250	3,950	3,860	70	3,790	6,020	270	5,750	1999
14,700	4,760	230	4,530	3,820	70	3,750	6,120	280	5,840	2000
13,740	4,350	260	4,090	3,780	80	3,700	5,610	360	5,250	2001
13,960	4,000	280	3,720	3,770	70	3,700	6,190	390	5,800	2002
13,580	3,710	270	3,440	3,760	70	3,690	6,110	370	5,740	2003
13,720	4,010	270	3,740	3,730	90	3,640	5,980	360	5,620	2004
15,250	4,200	310	3,890	3,960	90	3,870	7,090	380	6,710	2005
15,140	4,200	420	3,780	4,190	130	4,060	6,750	430	6,320	2006
15,630	3,870	330	3,540	4,660	170	4,490	7,100	460	6,640	2007

<sup>2.</sup> Natural sciences and engineering only.

Table 1-3
Personnel engaged in research and development — Provincial government sector<sup>1</sup>, by occupational category

	Researchers				Technicians			Support staff		
	Natural sciences and engineering	Social sciences and humanities	Total	Natural sciences and engineering	Social sciences and humanities	Total	Natural sciences and engineering	Social sciences and humanities	Total	
					number					
1998	1,280	180	1,460	890	20	910	440	40	480	2,850
1999	1,140	130	1,270	820	20	840	390	20	410	2,520
2000	1,210	170	1,380	870	50	920	380	30	410	2,710
2001	1,160	170	1,330	830	30	860	510	30	540	2,730
2002	1,170	190	1,360	880	40	920	510	30	540	2,820
2003	1,030	230	1,260	730	50	780	480	40	520	2,560
2004	1,010	220	1,230	800	40	840	440	40	480	2,550
2005	1,060	270	1,330	790	40	830	410	50	460	2,620
2006	1,140	290	1,430	900	50	950	410	30	440	2,820
2007	1,300	310	1,610	980	60	1,040	430	40	470	3,120

<sup>1.</sup> Provincial government data includes provincial research organizations data. Provincial research organizations data are in natural sciences and engineering only. **Note(s):** Personnel counts are reported as full-time equivalents (rounded to the nearest 10). Due to rounding, components may not add to the totals.

Table 1-4
Personnel engaged in research and development — Business enterprise sector, by occupational category

	Researchers	Technicians	Support staff	Total						
		number								
1998	54,680	22,010	9,240	85,930						
1999 r	58,000	22,810	10,490	91,300						
2000 r	66,870	26,740	11,100	104,710						
2001 r	73,140	29,660	12,920	115,720						
2002 r	73,290	31,590	13,580	118,460						
2003 r	76,600	34,570	16,040	127,210						
2004 r	81,330	39,850	16,990	138,170						
2005 r	84,390	40,430	17,210	142,030						
2006 r	86,580	42,210	17,880	146,670						
2007	86,370	44,060	17,170	147,600						

Table 1-5
Personnel engaged in research and development — Higher education sector, by occupational category

	F	Researchers			Technicians		Support staff			Total
	Natural sciences and engineering	Social sciences and humanities	Total	Natural sciences and engineering	Social sciences and humanities	Total	Natural sciences and engineering	Social sciences and humanities	Total	
					number					
1998 1999 2000 2001 2002 2003 2004 2005 2006 2007	16,250 17,400 17,440 18,110 18,530 21,160 22,500 23,720 23,540 25,700	16,590 15,620 15,860 16,090 16,380 17,740 18,880 19,700 19,990 21,610	32,840 33,020 33,300 34,200 34,910 38,900 41,380 43,420 43,530 47,310	4,370 4,400 4,490 4,440 4,560 4,750 4,850 4,920 4,980 4,670	1,640 1,660 1,710 1,540 1,580 1,660 1,730 1,750 1,790 2,010	6,010 6,060 6,200 5,980 6,140 6,410 6,580 6,670 6,770 6,680	3,320 3,330 3,400 3,640 3,730 3,900 3,980 4,030 4,080 3,420	2,150 2,180 2,250 2,480 2,560 2,670 2,790 2,830 2,890 2,730	5,470 5,510 5,650 6,120 6,290 6,570 6,770 6,860 6,970 6,150	44,320 44,590 45,150 46,300 47,340 51,880 54,730 56,950 57,270 60,140

Table 1-6
Personnel engaged in research and development — Private non-profit sector<sup>1</sup>, by occupational category

Researchers	Technicians	Support staff	Total					
 number								
380	420	230	1,030					
330	340	180	850					
300	300	250	850					
310	300	280	890					
280	260	300	840					
430	540	310	1,280					
460	610	310	1,380					
520	890	350	1,760					
720	1,030	460	2,210					
550	940	700	2,190					

<sup>1.</sup> Private non-profit organization's personnel counts may fluctuate due to these organization's intramural research and development activities.

Note(s): Personnel counts are reported as full-time equivalents (rounded to the nearest 10). Due to rounding, components may not add to the totals.

Table 2-1
Provincial distribution of personnel engaged in research and development (R & D) — Sector of performance, by occupational category

·	·					2007					
	Newfoundland and Labrador	Prince Edward Island	Nova Scotia E	New Brunswick	Quebec	Ontario	Manitoba	Saskat- chewan	Alberta	British Columbia	Canada
					n	umber					
Total <sup>2</sup>	1,880	390	3,730	2,630	69,830	102,260	4,120	3,490	15,090	22,740	228,680
Researchers	1,060	200	2,230	1,540	41,690	65,100	2,370	1,870	10,330	15,780	142,950
Technicians	520	120	910	700	19,120	25,060	1,160	1,070	3,040	4,690	57,390
Other	300	70	590	390	9,020	12,100	590	550	1,720	2,270	28,340
Federal government	230	100	620	270	2,370	2,740	550	500	860	770	9,030
Researchers	100	40	270	150	1,100	1,220	230	180	370	380	4,050
Technicians	80	40	200	80	620	1,140	220	190	290	250	3,120
Other	50	20	150	40	650	380	110	130	200	140	1,860
Federal government (National											,
Capital Region)					630	5,970					6,600
Researchers					190	2,870					3,060
Technicians					60	1,490					1,550
Other					380	1,610					1,990
Provincial governments 3	50			160	890	620	70	260	840	200	3,120
Researchers	40			50	490	380	40	70	390	140	1,610
Technicians	10			70	300	150	20	180	260	50	1,040
Other	0			40	100	90	10	10	190	10	470
Business enterprise	580	150	1,300	1,140	49,110	69,560	1,910	1,240	7,180	15,150	147,600
Researchers	220	90	770	620	26,130	41,920	950	640	4,690	10,130	86,370
Technicians	260	40	380	370	16,540	19,890	690	430	1,790	3,620	44,060
Other 4	100	20	150	150	6,440	7,750	270	170	700	1,400	17,170
Higher education	1,020	140	1,810	1,060	16,830	23,370	1,590	1,490	6,210	6,620	60,140
Researchers	700	70	1,190	720	13,780	18,710	1,150	980	4,880	5,130	47,310
Technicians	170	40	330	180	1,600	2,390	230	270	700	770	6,680
Other	150	30	290	160	1,450	2,270	210	240	630	720	6,150

<sup>1.</sup> Includes the Yukon, Northwest Territories and the Nunavut.

The data for the private non-profit sector performing research and development are not distributed by provinces, territories or the National Capital Region. The national totals of research and development, by performing sector include the private non-profit sector.

<sup>3.</sup> Provincial government data includes provincial research organizations data.

<sup>4.</sup> No provincial distribution between technicians and other, estimated proportionally according to national total.

Table 2-2 Provincial distribution of personnel engaged in research and development (R & D) — Occupational category

	Newfoundland and Labrador	Prince Edward Island	Nova Scotia B	New runswick	Quebec	Ontario	Manitoba	Saskat- chewan	Alberta	British Columbia	Yukon, Northwest Territories and Nunavut	Canada
						numb	er					
Researchers 1												
2002 r	770	130	1,840	970	34,630	55,920	2,020	1,580	7,730	10,140	20	116,030
2003 r	870	160	1,940	1,130	36,270	58,160	2,120	1,790	8,500	11,890	40	123,300
2004 r	920	170	2,110	1,180	37,790	60,440	2,210	1,870	9,520	13,660	50	130,380
2005 r	980	200	2,210	1,370	38,720	63,640	2,370	1,840	9,610	15,210	70	136,750
2006 r	1,040	220	2,230	1,530	40,390	64,310	2,360	1,850	9,880	14,210	270	139,010
2007	1,060	200	2,230	1,540	41,690	65,100	2,370	1,870	10,330	15,780	230	142,950
Technicians 1												
2002 r	310	80	800	510	14.660	18,060	970	810	2,620	3,590	20	42,690
2003 r	340	100	790	530	15.760	19,900	980	860	2,680	3,550	30	46,060
2004 r	370	90	980	610	17,290	22,250	1,130	960	3,140	4,130	50	51,610
2005 r	410	120	950	570	17,230	22,890	1,230	990	3.120	4,340	40	52,780
2006 r	530	140	890	700	17,980	24,130	1,170	1,060	2,940	4,540	40	55,150
2007	520	120	910	700	19,120	25,060	1,160	1,070	3,040	4,690	60	57,390
Other support staff	1											
2002 r	270	60	610	370	7.750	10,520	640	540	1.660	1,970	10	24,700
2002 r	260	80	600	390	8.680	11,810	630	580	1,760	2,040	10	27,150
2004 r	270	70	680	400	8,830	12,580	680	570	1,930	2,230	10	28,560
2005 r	280	80	650	380	8,890	12,810	720	610	1,920	2,390	10	29,080
2006 r	330	80	630	420	9,090	13,440	670	590	1,790	2,440	10	29,950
2007	300	70	590	390	9,020	12,100	590	550	1,720	2,270	40	28,340

<sup>1.</sup> The data for the private non-profit sector performing research and development are not distributed by provinces, territories or the National Capital Region. The national totals of research and development, by performing sector include the private non-profit sector.

Note(s): Personnel counts are reported as full-time equivalents (rounded to the nearest 10). Due to rounding, components may not add to the totals.

Table 3-1 Personnel engaged in research and development (R & D) — Selected OECD countries and by major sector

	1998	1999	2000 <sup>r</sup>	2001 <sup>r</sup>	2002 <sup>r</sup>	2003 <sup>r</sup>	2004 <sup>r</sup>	2005 <sup>r</sup>	2006 <sup>r</sup>	2007
					thousan	ds				
Total research and developement personnel										
Japan 1	926	919	897	892	857	882	896	921	935	938
Germany	462	480	485	481	480	473	471	475	488	506
Jnited Kingdom	284	290	289	299	309	316	319	325	335	349
France	309	314	327	334	340	342	352	350	366	372
taly	146	143	150	154	164	162	164	175	192	208
Canada	148	153	168	179	183	197	211	219	224	
Netherlands	85	87	88	89	87	86	91	88	93	89
Sweden		67		72		73	72	78	79	77
Sovernments		01	••	12		7.5	12	70	13	' '
Japan <sup>1</sup>	59	59	59	63	64	62	62	63	63	63
Germany	73	71	71	72	73	74	76	76	78	8′
Jnited Kingdom	73 29	30	30	23	73 21	21	21	20	21	18
France	52	53	53	49	48	48	48	50 50	51	5
	32	33 31	33 31	30	46 31	46 31	46 32	33	36	3
taly Canada	32 <b>17</b>	17	17	30 <b>16</b>	17	16	32 16	33 18	36 <b>18</b>	
Sanada Netherlands	16	17	13		13		14			
	10		13	13	13	14		13	13	12
Sweden	••	3		3		3	3	4	4	;
Business enterprise	040	005	500	500	550	504	507	040	040	00/
Japan <sup>1</sup>	613	605	582	562	556	581	587	610	619	620
Germany	288	307	312	307	303	298	299	305	312	322
United Kingdom	148	153	145	154	158	156	150	145	149	163
rance	168	172	178	185	191	193	201	195	208	213
taly	61	60	64	65	70	68	68	71	80	94
Canada	86	91	105	116	118	127	138	142	147	148
Netherlands	44	45	48	48	47	44	50	49	53	49
Sweden		44		49		48	47	56	58	56
ligher education										
lapan <sup>1</sup>	225	228	228	250	221	224	232	234	239	24
Germany	100	101	101	101	105	101	96	95	97	10
Jnited Kingdom								153	158	16
rance	82	83	90	92	94	95	97	99	101	103
taly	53	52	55	59	60	59	61	67	68	7
Canada	44	45	45	46	47	52	55	57	57	
Netherlands	24	24	27	27	27	27	27	27	27	2
Sweden		19		20		21	22	18	17	18

Overestimated (not in full-time equivalent).
 Note(s): Personnel counts are reported as full-time equivalents.
 Source(s): OECD, Main Science and Technology Indicators, Volume 2009/2.

Table 3-2 Personnel engaged in research and development (R & D) — All sectors, by occupational category

	i	Researchers			Technicians		Support staff			Total,
	Natural sciences and engineering	Social sciences and humanities	Total	Natural sciences and engineering	Social sciences and humanities	Total	Natural sciences and engineering	Social sciences and humanities	Total	all sectors
					numbei	r				
1998 r	78,210	17,000	95,210	31,450	1,720	33,170	17,070	2,410	19,480	147,860
1999 r	82,620	16,020	98,640	32,160	1,750	33,910	18,340	2,450	20,790	153,340
2000 r	91,660	16,310	107,970	36,150	1,830	37,980	19,660	2,510	22,170	168,120
2001 r	97,970	16,620	114,590	38,930	1,650	40,580	21,440	2,770	24,210	179,380
2002 r	99,070	16,960	116,030	40,990	1,690	42,680	21,840	2,870	24,710	183,420
2003 r	104,960	18,340	123,300	44,280	1,780	46,060	24,170	2,980	27,150	196,510
2004 r	110,920	19,460	130,380	49,750	1,860	51,610	25,460	3,100	28,560	210,550
2005 r	116,400	20,350	136,750	50,900	1,880	52,780	25,890	3,190	29,080	218,610
2006 r	118,300	20,710	139,010	53,180	1,970	55,150	26,610	3,340	29,950	224,110
2007	120,560	22,380	142,950	55,150	2,240	57,390	25,260	3,100	28,340	228,680

Table 3-3
Personnel engaged in research and development (R & D) — Major field of science and sector of performance

	Bus	siness enterprise		Hi	gher education		Fed	eral government	
	Natural sciences and engineering	Social sciences and humanities	Total	Natural sciences and engineering	Social sciences and humanities	Total	Natural sciences and engineering	Social sciences and humanities	Total
					number				
1998 1999 r	85,930 91,300		85,930 91,300	23,940 25,130	20,380 19,460	44,320 44,590	13,220 13,490	510 590	13,730 14,080
2000 r 2001 r	104,710 115,720		104,710 115,720	25,330 26,190	19,820 20,110	45,150 46,300	14,120 13,040	580 700	14,700 14,700 13,740
2002 r 2003 r 2004 r	118,460 127,210 138,170		118,460 127,210 138,170	26,820 29,810 31,330	20,520 22,070 23,400	47,340 51,880 54,730	13,220 12,870 13,000	740 710 720	13,960 13,580 13,720
2004 r 2006 r 2007	142,030 146,670 147,600	 	142,030 146,670 147,600	32,670 32,600 33,790	24,280 24,670 26,350	56,950 57,270 60,140	13,000 14,470 14,160 14.670	720 780 980 960	15,720 15,250 15,140 15,630
	,	ncial governments			ivate non-profit		,	Canada	
	Natural sciences and engineering	Social sciences and humanities	Total	Natural sciences and engineering	Social sciences and humanities	Total	Natural sciences and engineering	Social sciences and humanities	Total
					number				
1998 r 1999 r 2000 r 2001 r 2002 r 2003 r 2004 r 2005 r 2006 r 2007	2,610 2,350 2,460 2,500 2,560 2,240 2,250 2,260 2,450 2,710	240 170 250 230 260 320 300 360 370 410	2,850 2,520 2,710 2,730 2,820 2,560 2,550 2,620 2,820 3,120	1,030 850 850 890 840 1,280 1,380 1,760 2,210 2,190		1,030 850 850 890 840 1,280 1,380 1,760 2,210 2,190	126,730 133,120 147,470 158,340 161,900 173,410 186,130 193,190 198,090 200,960	21,130 20,220 20,650 21,040 21,520 23,100 24,420 25,420 26,020 27,720	147,860 153,340 168,120 179,380 183,420 196,510 210,550 218,610 224,110 228,680

<sup>1.</sup> Provincial government data includes provincial research organizations data. Provincial research organizations data are in natural sciences and engineering only. **Note(s):** Personnel counts are reported as full-time equivalents (rounded to the nearest 10). Due to rounding, components may not add to the totals.

Table 4-1 Researchers engaged in research and development (R & D) — Selected OECD countries

	1998	1999 <sup>r</sup>	2000 <sup>r</sup>	2001 <sup>r</sup>	2002 <sup>r</sup>	2003 <sup>r</sup>	2004 <sup>r</sup>	2005 <sup>r</sup>	2006 <sup>r</sup>	2007
					thousar	nds				
Researchers		4.004	4.000	4 000	4.040	4 404	4 004	4 000	4 400	
United States Japan 1	653	1,261 659	1,290 648	1,320 676	1,342 647	1,431 675	1,394 677	1,388 705	1,426 710	710
Germany	238	255	258	264	266	269	270	272	280	291
United Kingdom	158	168	171	182	198	217	229	249	254	255
France	156	160	172	177	186	193	202	203	211	216
Italy	65	65	66	67	71	70	72	82	88	93
Canada	95	<b>99</b> 40	108	115	116	<b>123</b> 37	130	137	139	
Netherlands Sweden	39 	40 40	42 	46 46	38 	37 48	47 49	47 55	52 56	50 48
					millior	ns				
Total labour force	-									
United States	139	141	144	145	146	148	149	150	152	154
Japan 1	68	68	68	68	67	67	66	67	67	67
Germany	40	40	40	40	40	40	40	41	42	42
United Kingdom	28 26	29 27	29 27	29 27	29 27	29 28	29 28	30	31	31
France Italy	26 23	27 24	27 24	27 24	27 24	28 24	28 24	28 24	28 25	28 25
Canada	15	16	16	16	17	17	17	17	18	18
Netherlands	8	8	8	8	8	8	9	9	9	9
Sweden	4	4	4	4	4	4	5	5	5	5
					ratio					
Total researchers per thousand labour force										
United States		9.0	9.0	9.1	9.2	9.7	9.4	9.3	9.4	
Japan 1	9.6	9.7	9.6	10.0	9.7	10.1	10.2	10.6	10.7	10.7
Germany United Kingdom	5.9 5.6	6.4 5.9	6.5 5.9	6.7 6.3	6.7 6.8	6.8 7.4	6.8 7.8	6.6 8.3	6.7 8.3	7.0 8.3
France	5.6 5.9	5.9 6.0	5.9 6.4	6.5	6.8	7.4 7.0	7.8 7.3	6.3 7.2	6.3 7.5	7.6
Italy	2.8	2.8	2.8	2.8	3.0	2.9	3.0	3.4	3.6	3.8
Canada	6.2	6.3	6.8	7.1	7.0	7.3	7.6	7.9	7.9	•••
Netherlands	5.0	5.1	5.2	5.5	4.5	4.4	5.5	5.5	6.0	5.7
Sweden		9.1		10.3		10.7	10.8	11.9	11.9	9.9

Overestimated (not in full-time equivalent).
 Note(s): Personnel counts are reported as full-time equivalents.
 Source(s): OECD, Main Science and Technology Indicators, Volume 2009/2, Table 08.

Table 4-2
Researchers engaged in research and development (R & D) — Higher education sector, by occupation

Natural sciences	Social	Natural							
and ngineering	sciences and humanities	sciences and engineering	Social sciences and humanities	Natural sciences and engineering	Social sciences and humanities	Natural sciences and engineering	Social sciences and humanities	Natural sciences and engineering	Social sciences and humanities
				numb	er				
5,024 5,051 5,156 5,349 5,489 5,731 5,849 5,932 5,999	3,640 3,692 3,806 3,942 4,061 4,247 4,429 4,491 4,592	754 758 773 749 768 802 819 831	473 480 495 473 487 510 531 539 551	10,043 11,156 11,092 11,625 11,877 14,148 15,366 16,422 16,236	12,409 11,363 11,487 11,614 11,753 12,899 13,826 14,583 14,757	428 434 422 391 398 478 471 532 462	73 89 73 65 81 86 90 83	16,249 17,399 17,443 18,114 18,532 21,159 22,505 23,717 23,536	16,595 15,624 15,861 16,094 16,382 17,741 18,876 19,696
	5,024 5,051 5,156 5,349 5,489 5,731 5,849	5,024 3,640 5,051 3,692 5,156 3,806 5,349 3,942 5,489 4,061 5,731 4,247 5,849 4,429 5,932 4,491 5,999 4,592	5,024 3,640 754 5,051 3,692 758 5,156 3,806 773 5,349 3,942 749 5,489 4,061 768 5,731 4,247 802 5,849 4,429 819 5,932 4,491 831 5,999 4,592 840	5,024     3,640     754     473       5,051     3,692     758     480       5,156     3,806     773     495       5,349     3,942     749     473       5,489     4,061     768     487       5,731     4,247     802     510       5,849     4,429     819     531       5,932     4,491     831     539       5,999     4,592     840     551	5,024         3,640         754         473         10,043           5,051         3,692         758         480         11,156           5,156         3,806         773         495         11,092           5,349         3,942         749         473         11,625           5,489         4,061         768         487         11,877           5,731         4,247         802         510         14,148           5,849         4,429         819         531         15,366           5,932         4,491         831         539         16,422           5,999         4,592         840         551         16,236	5,024         3,640         754         473         10,043         12,409           5,051         3,692         758         480         11,156         11,363           5,156         3,806         773         495         11,092         11,487           5,349         3,942         749         473         11,625         11,614           5,489         4,061         768         487         11,877         11,753           5,731         4,247         802         510         14,148         12,899           5,849         4,429         819         531         15,362         13,826           5,932         4,491         831         539         16,422         14,583           5,999         4,592         840         551         16,236         14,757	number           5,024         3,640         754         473         10,043         12,409         428           5,051         3,692         758         480         11,156         11,363         434           5,156         3,806         773         495         11,092         11,487         422           5,349         3,942         749         473         11,625         11,614         391           5,489         4,061         768         487         11,877         11,753         398           5,731         4,247         802         510         14,148         12,899         478           5,849         4,429         819         531         15,366         13,826         471           5,932         4,491         831         539         16,422         14,583         532           5,999         4,592         840         551         16,236         14,757         462	number           5,024         3,640         754         473         10,043         12,409         428         73           5,051         3,692         758         480         11,156         11,363         434         89           5,156         3,806         773         495         11,092         11,487         422         73           5,349         3,942         749         473         11,625         11,614         391         65           5,489         4,061         768         487         11,877         11,753         398         81           5,731         4,247         802         510         14,148         12,899         478         86           5,849         4,429         819         531         15,366         13,826         471         90           5,932         4,491         831         539         16,422         14,583         532         83           5,999         4,592         840         551         16,236         14,757         462         91	number           5,024         3,640         754         473         10,043         12,409         428         73         16,249           5,051         3,692         758         480         11,156         11,363         434         89         17,399           5,156         3,806         773         495         11,092         11,487         422         73         17,443           5,349         3,942         749         473         11,625         11,614         391         65         18,114           5,489         4,061         768         487         11,877         11,753         398         81         18,532           5,731         4,247         802         510         14,148         12,899         478         86         21,159           5,849         4,429         819         531         15,366         13,826         471         90         22,505           5,932         4,491         831         539         16,422         14,583         532         83         23,717           5,999         4,592         840         551         16,236         14,757         462         91         23,536

Note(s): Personnel counts are reported as full-time equivalents.

Table 4-3
Researchers engaged in research and development (R & D) — Sector of performance

	1998	1999	2000 <sup>r</sup>	2001 <sup>r</sup>	2002 <sup>r</sup>	2003 <sup>r</sup>	2004 <sup>r</sup>	2005 <sup>r</sup>	2006 <sup>r</sup>	2007
					nur	mber				
Total Federal government Provincial governments Provincial research organizations Business enterprise Higher education Private non-profit organizations	95,210 5,850 1,070 390 54,680 32,840 380	98,640 6,020 870 400 58,000 33,020 330	107,970 6,120 970 410 66,870 33,300 300	114,590 5,610 1,140 190 1 73,140 34,200 310	116,030 6,190 1,170 190 1 73,290 34,910 280	123,300 6,110 1,070 190 1 76,600 38,900 430	130,380 5,980 1,040 1901 81,330 41,380 460	136,750 7,090 1,150 180 <sup>1</sup> 84,390 43,420 520	139,010 6,750 1,250 180 1 86,580 43,530 720	7,100 1,460 150 1 86,370 47,310 550

<sup>1.</sup> In 2001, the Alberta Research Council Inc. became an agency of the provincial government, and is therefore included in that sector of performance. **Note(s):** Personnel counts are reported as full-time equivalents (rounded to the nearest 10). Due to rounding, components may not add to the totals.

Table 5-1
Technicians engaged in research and development (R & D) — Natural sciences and engineering, by sector of performance

	Federal government	Provincial <sup>1</sup> governments	Business enterprise	Higher education	Private non-profit	Total
			number			
	3,760	890	22,010	4,370	420	31,450
9 r	3,790	820	22,810	4,400	340	32,160
00 r	3,750	870	26,740	4,490	300	36,150
)1 r	3,700	830	29,660	4,440	300	38,930
)2 r	3,700	880	31,590	4,560	260	40,990
)3 r	3,690	730	34,570	4,750	540	44,280
) <b>4</b> r	3,640	800	39,850	4,850	610	49,750
)5 r	3,870	790	40,430	4,920	890	50,900
06 r	4,060	900	42,210	4,980	1,030	53,180
)7	4,490	980	44,060	4,670	940	55,140

<sup>1.</sup> Provincial government data includes provincial research organizations data. Provincial research organizations data are in natural sciences and engineering only. **Note(s):** Personnel counts are reported as full-time equivalents (rounded to the nearest 10). Due to rounding, components may not add to the totals.

Table 5-2 Technicians engaged in research and development (R & D) — Social sciences and humanities, by sector of

	Federal government	Provincial governments	Business <sup>1</sup> enterprise	Higher education	Private <sup>1</sup> non-profit	Total
			number			
998	60	20		1,640		1,720
999	70	20		1,660		1,750
2000	70	50		1,710		1,830
2001	80	30		1,540	•••	1,650
2002	70	40		1,580	•••	1,690
2003	70	50		1,660	•••	1,780
2004	90	40		1,730	•••	1,860
2005	90	40		1,750	•••	1,880
2006	130	50		1,790	•••	1,970
2007	170	60		2,010	•••	2,240

Research and development surveys of the business enterprise and private non-profit sectors collect only natural science and engineering data. Note(s): Personnel counts are reported as full-time equivalents (rounded to the nearest 10). Due to rounding, components may not add to the totals.

Table 6 Support staff in research and development, by major field of science and sector of performance

	Federal government	Provincial <sup>1</sup> governments	Business enterprise	Higher education	Private non-profit organization	Total
			number			
All sciences						
1998	4,060	480	9,240	5,470	230	19,480
1999 <sup>r</sup>	4,200	410	10,490	5,510	180	20,790
2000 r	4,760	410	11,100	5,650	250	22,170
2001	4,350	540	12,920	6,120	280	24,210
2002	4,000	540	13,580	6,290	300	24,710
2003 <sup>r</sup>	3,710	520	16,040	6,570	310	27,150
2004	4,010	480	16,990	6,770	310	28,560
2005 <sup>r</sup>	4,200	460	17,210	6,860	350	29,080
2006	4,200	440	17,880	6,970	460	29,950
2007	3,870	470	17,170	6,150	700	28,360
Natural sciences and engineering						
1998	3,840	440	9,240	3,320	230	17,070
1999 r	3,950	390	10,490	3,330	180	18,340
2000 r	4,530	380	11,100	3,400	250	19,660
2001	4,090	510	12,920	3,640	280	21,440
2002	3,720	510	13,580	3,730	300	21,840
2003 r	3,440	480	16,040	3,900	310	24,170
2004	3,740	440	16,990	3,980	310	25,460
2005 r	3,890	410	17,210	4,030	350	25,890
2006	3,780	410	17,880	4,080	460	26,610
2007	3,540	430	17,170	3,420	700	25,260

<sup>1.</sup> Provincial government data includes provincial research organizations data. Provincial research organizations data are in natural sciences and engineering only. Note(s): Personnel counts are reported as full-time equivalents (rounded to the nearest 10). Due to rounding, components may not add to the totals.

Table 7
Natural sciences and engineering and social sciences and humanities, by occupational category and department or agency

		2007		
	Researchers	Technicians	Support staff	Total
	-	number		
Natural sciences and engineering	6,640	4,490	3,540	14,670
Agriculture and Agri-Food Canada	670	970	430	2,070
Atomic Energy of Canada Limited	590	700	120	1,410
Canadian Space Agency	320	40	210	570
Environment Canada	580	240	130	950
Fisheries and Oceans Canada	230	220	40	490
Health Canada	250	160	30	440
Industry Canada	260	50	50	360
National Defence	800	390	420	1,610
National Research Council	1,540	1,060	1,240	3,840
Natural Resources Canada	880	410	170	1,460
Other Departments or Agencies	520	250	700	1,470
Social sciences and humanities	460	170	330	960
Bank of Canada	30	30	20	80
Canadian Museum of Civilization	10	20	40	70
International Development Research Centre	90	0	50	140
National Defence	50	10	10	70
National Gallery of Canada	20	10	10	40
Social Sciences and Humanities Research Council	10	0	120	130
Statistics Canada	160	90	60	310
Other departments or agencies	90	10	20	120

Table 8
Occupational coefficients, by category and field of science

	Natural sciences and engineering				Social sciences and humanities			
	Full-time university teachers	Part-time university teachers	Technicians	Other support staff	Full-time university teachers	Part-time university teachers	Technicians	Other support staff
	number							
2003	1	0.14	0.83	0.68	1	0.12	0.39	0.63
2004	1	0.14	0.83	0.68	1	0.12	0.39	0.63
2005	1	0.14	0.83	0.68	1	0.12	0.39	0.63
2006	1	0.14	0.83	0.68	1	0.12	0.39	0.63
2007	1	0.18	0.75	0.55	1	0.12	0.42	0.57

Note(s): For example, in 2003, in the Natural sciences and engineering, for every 1.0 full-time teacher doing research and development, there was 0.14 part-time teacher, 0.83 technician and 0.68 other support staff.

# Estimates of research and development personnel in Canada

Canada's economic growth and competitiveness, like that of every other industrialized country, is tied to the development of its scientific and technological base. Of all the factors needed for a country's scientific and industrial development, the supply of suitable human resources is unquestionably one of the most vital. Thus, the formulation of science and technology policy requires reliable information on these human resources, especially those engaged in research and development (R&D). "... unless people with certain training and qualifications are available, organized R&D is almost impossible. Education and training are lengthy processes; personnel data are, therefore, essential to realistic science policy planning".1

The number of R&D personnel is also considered a supplementary measure to intramural expenditures on R&D. The Frascati Manual states that "... personnel provide concrete measurements for international comparisons of resources devoted to R&D". <sup>2</sup>

It is important to determine the status of these resources on a regular basis. In this report, we present some statistical estimates and definitions concerning R&D personnel. Data on R&D personnel are derived from surveys conducted by the Science and Technology Surveys Section, Business Special Surveys and Technology Statistics Division (BSSTSD) and from estimates based on various data sources.

### Classification by occupation

R&D personnel are drawn from a wide variety of occupations "... from the Nobel prize-winner to the winner's secretary, from the designer of space experiments to the breeder of laboratory animals". In order to assist analysis on needs and supplies of highly qualified S&T personnel, R&D personnel are classified into three categories. The International Standard Classification of Occupation (ISCO) distinguishes three occupational levels: researchers, technicians and equivalent staff, and other support staff.

- Researchers (scientists and engineers) are engaged in the conception or creation of new knowledge, products, processes, methods and systems. This level also includes managers and administrators engaged in the planning and management of the scientific and technical aspects of a researcher's work. They are usually equal in rank to the researchers and are often former or part-time researchers themselves. Post-graduate students, in particular those performing significant amounts of R&D, are included in this category.
- Technicians and equivalent staff are persons whose main tasks require technical knowledge and experience in
  one or more fields of engineering, physical and life sciences, or social sciences and humanities. They participate
  in R&D by performing scientific and technical tasks involving the application of concepts and operational methods,
  normally under the supervision of researchers. Equivalent staff performs the corresponding R&D tasks under the
  supervision of researchers in the social sciences and humanities.
- Support staff includes skilled and unskilled craftsmen, secretarial and clerical staff participating in R&D projects
  or directly associated with such projects. Also included are all managers and administrators dealing mainly with
  financial and personnel matters and general administration given that their activities are directly supporting R&D.
  Those providing an indirect service, such as canteen and cleaning staff, should be excluded.

<sup>1.</sup> OECD, Frascati Manual 1980, Proposed standard practice for surveys on research and experimental development, (Paris), page 19, paragraph 23.

<sup>2.</sup> OECD, Frascati Manual 2002, Proposed standard practice for surveys on research and experimental development, (Paris), page 20, paragraph 30.

<sup>3.</sup> OECD, Frascati Manual 2002, Proposed standard practice for surveys on research and experimental development, (Paris), page 20, paragraph 32.

### Institutional classification

R&D data are classified into five sectors of performance. This method facilitates the collection of data and also provides information that can be cross referenced between sectors.

The sectors are:

- · federal government
- provincial governments (includes provincial research organizations)
- · business enterprise
- · higher education
- · private non-profit organizations

### Measurement and data collection

Scientific research and experimental development (R&D): comprise creative work undertaken on a systematic basis in order to increase the stock of knowledge, including knowledge of man, culture and society and the use of this stock of knowledge to devise new applications.

Whenever possible, the data are also classified by major field of science; natural sciences and engineering (NSE) or social sciences and humanities (SSH).

Natural Sciences and Engineering (NSE): The NSE consists of disciplines concerned with understanding, exploring, developing or utilizing the natural world. Included are engineering, mathematical, life and physical sciences.

Social Sciences and Humanities: (SSH): The SSH embraces all disciplines involving the study of human actions and conditions and the social, economic and institutional mechanisms affecting humans. Included are such disciplines as anthropology, business administration and commerce, information and knowledge management, criminology, demography, economics, geography, history, languages, literature and linguistics, law, library science, philosophy, political science, psychology, religious studies, social work, sociology, and urban and regional studies.

Since most workers do not all spend the same amount of time on R&D, it is necessary to express the number of persons performing R&D in terms of full-time equivalents (FTE). If only those persons employed in pure R&D are counted, the number of R&D personnel will be understated, just as counting every person who spends part of his/her time on R&D will result in an overstatement. On a full-time equivalence basis then, a person devoting a third of his/her time to R&D will be counted as 0.3 of a full-time equivalent.

In Canada with the exception of the higher education sector, each of the sectors mentioned above are surveyed on an annual basis in order to collect R&D data (both expenditures and personnel). Questionnaires used to collect R&D data can be viewed on the *Statistics Canada website* (http://www.statcan.ca/english/concepts/index.htm).

The higher education sector R&D activities are estimated by Statistics Canada. Modifications were made to the estimation procedures in 2005. Data were revised back to 1991. A description of the estimation procedure is found in the section on the higher education sector.

#### Related information available from Statistics Canada

Information derived from surveys on scientific activities in Canada is available from the Science and Technology Surveys Section, Science Innovation and Electronic Information Division. Catalogue no. 88-001-X presents highlights of each survey once the survey has been completed. Three annual publications, catalogue no. 88-202-X, Industrial Research and Development, catalogue no. 88-204-X, Federal Scientific Activities and catalogue no. 88-221-X, Gross Domestic Expenditures on Research and Development in Canada and the Provinces are

also available. You may contact Michael Lynch at 613-951-2201; *Michael.Lynch@statcan.gc.ca* or Cindy Carter at 613-951-1856; *Cindy.Carter@statcan.gc.ca* to obtain more information or visit our website at *www.statcan.gc.ca*.

### R&D personnel by sector

### **Federal government**

This sector comprises all federal departments and organizations. All employees are included (indefinite, temporary and casual status). The data on persons engaged in R&D in the federal government are taken from the annual survey of the federal science expenditures and personnel. These data are classified into three occupational categories: researchers (scientists and engineers), technicians and support staff. The allocation of personnel to these classes is based on their public service classifications. Due to the nature of the work in the social sciences and humanities it is sometimes difficult to distinguish between technicians and other support staff; for convenience, these two categories have been combined and are shown as support staff up until 1990. From 1991 on, technicians involved in social science activities have been identified.

### **Provincial governments**

The provincial government sector consists of all provincial government departments, ministries and agencies and provincial research organizations.

### **Government departments and agencies**

Each year, Science and Technology Surveys Section, BSSTSD assists provincial governments to carry out surveys of resources devoted to their scientific and technological activities.

The statistics presented are aggregates of the provincial government science surveys conducted by Statistics Canada under contract with the provinces, and cover the period 1979-1980 to 2007-2008. The surveys currently cover six provinces: Newfoundland and Labrador, New Brunswick, Ontario, Manitoba, Alberta and British Columbia. Scientific expenditures for Newfoundland and Labrador are based on last year's survey and therefore their data for 2007/2008 are indicated as preliminary. Quebec conducts their own survey and shares the information with Statistics Canada.

### **Provincial research organizations**

Statistics on the R&D personnel of provincial research organizations are estimated on the basis of an annual survey of the resources of the provincial research foundations and councils.

R&D is only one of the activities of these provincial research organizations. In the survey conducted by BSSTSD, the organizations are asked to allocate their expenditures by a number of activities, including R&D. The total number of personnel for all organizations is multiplied by the ratio of R&D to total expenditures in order to produce an estimate of R&D personnel. Since the three occupational categories are already specified in the survey, their relative proportions are applied to the R&D full-time equivalence total.

It should be noted that provincial research organizations data pertain to activities in the natural sciences and engineering only.

### **Business enterprise**

The term "business enterprise" encompasses all commercially oriented enterprises (privately or publicly owned), industrial non-profit organizations and industrial research institutes.

Until 1969, the survey was biennial. From 1970 to 1981, all known performers or funders of industrial R&D were surveyed for odd-numbered years and a sample, including the leading performers, were surveyed for even numbered years. Estimates for the 1980 R&D personnel were computed by averaging data for 1979 and 1981. From 1982 to 1991, a full survey was conducted annually.

Because of reductions in the science and technology program, in the even-years starting with the 1992 reference year, only the top 100 R&D performers (accounting for 64% of all industrial R&D), were surveyed. However, as a result of a cost-sharing agreement with the province of Quebec, the 1992 and 1994 surveys also include firms having R&D activities in the province of Quebec. In 1995 the industrial R&D survey was re-established annually under the new S&T project "An information system for science and technology".

The 1998 data reflects a new methodology for estimating R&D expenditure in the business sector in Canada. The essence of the new approach was the use of administrative data from the Canada Revenue Agency (CRA), in place of survey data, for any firm funding or performing less than \$1 million worth of R&D. To relieve respondent burden, the survey threshold was raised from one million dollars to one and one half million dollars in the survey year 2006, thereby reducing the number of surveyed firms. These firms continue to be included in our tabulations as their R&D data is imputed using CRA administrative data from the SR&ED program.

Under the current regulations, firms have up to 18 months to submit a claim for R&D tax credits to CRA. This means that when survey data are ready for publication, not all of the CRA data for that year will have been received. At the time this bulletin is released, a portion of the R&D tax credit is still outstanding and their value is estimated. This bulletin provides preliminary estimates of R&D personnel in the business enterprise sector. In 2008, the Canada Revenue Agency (CRA) introduced new tax forms for applicants to the Scientific Research and Experimental Development (SR&ED) investment tax credit program. These new forms request R&D personnel data by head count, which may impact the R&D full-time equivalent personnel counts in this sector for the next edition of this publication.

It should be noted that business enterprise data pertain to activities in the natural sciences and engineering only. For further information, see Industrial Research and Development, catalogue no. 88-202-X.

### **Higher education**

This sector includes universities, colleges of technology and other institutions of post-secondary education. Since existing surveys of this sector do not provide information on the R&D activities of staff, it is necessary to estimate R&D personnel.

As in other sectors of performance, we are interested in determining the full-time equivalence by three occupational categories (researchers, technicians and support staff) and by science type (NSE and SSH). The first step we take is to determine "researchers".

It is common knowledge that university professors are involved in other activities besides research (teaching and community service work). Doctoral students and postdoctoral research fellows also do research. The level of education held by these persons would qualify them as researchers. But, how much of their time is actually spent doing R&D?

When estimating R&D expenditures in the higher education sector, universities are classified into small, medium and large based on

- sponsored research expenditures;
- sponsored research as a percentage of general operating expenditures and
- the number of doctoral programs. This is based on the assumption that, depending on the size of the university, some universities spend more time on R&D than others. The same size classification is used to estimate R&D personnel.

Also, when estimating R&D expenditures, we use the full-time teachers field of study to determine science type. Science type of R&D personnel is based on the same field of study classification. Crossing the size classification of institutions with the science type and personnel category, we arrive at percentages used to determine how much time is spent on R&D (table 8).

Now, we apply this methodology to full-time teacher, doctoral student and Ph.D. fellows information. The Centre for Education Statistics provides us with full-time teacher and doctoral students data by institution and by field of study. Postdoctoral fellows information is received from the three granting councils, Natural Sciences and Engineering Research Council, Social Sciences and Humanities Research Council and the Canadian Institutes for Health Research. These data are organized by university size and by field of study. To arrive at the amount of time these persons spend doing R&D in FTE's, we multiply the full-time teachers, doctoral students and Ph.D. fellows by the percentages in Table 8. As mentioned before, these persons are all considered to be researchers.

In addition to full-time university professors, doctorate students and Ph.D. fellows; there are part-time teachers, technicians and other support staff involved in R&D. Estimates for these classifications of R&D personnel are based on information provided by the Census.

The Census labour market statistics provide data on sector of employment, occupation (based on the National Occupational Classification for Statistics, 2001 (NOC-S), level of education and gender of the employed labour force. The division was able to purchase 1991, 1996 and 2001 Census data with funds made available through our memorandum of understanding (MOU) with Industry Canada. Census data prior to 1991 was not purchased and therefore no revisions to the higher education R&D personnel were made prior to 1991. Estimates previous to 1991 used coefficients based on the older Standard Occupational Classification, 1980 (SOC).

In order to use the Census data, the occupations had to be classified into our three categories – Researchers, Technicians and Other. In order to do this, we have attempted a concordance of NOCS 2001 to the Frascati Manual's (2002) categories of R&D personnel by occupation, which are based on the International Standard Classification of Occupations, 1988 (ISCO). Once this concordance was completed, detailed analysis was made on Canada's employed labour force who work in the university industry (SIC 8531) in order to arrive at the Occupational Coefficients listed in table 9. What the coefficients imply are that for every full-time teacher, there is 0.18 part-time teachers, 0.75 technicians and 0.55 other support staff doing R&D.

We have determined that "researchers" constitute the R&D full-time equivalent of full-time teachers, doctoral students and Ph.D. fellows. In addition to these we add part-time teachers using the appropriate occupational coefficient provided by the Census data (table 9). The total of these occupations equal "Researchers".

Technicians and Other Support staff are determined by applying the coefficient derived from the census data. That coefficient considers both the occupation specified, the highest level of education achieved as well as the field in which the person works (natural sciences and engineering or social sciences and humanities).

As a result of the analysis completed on the Census data, we have been able to identify technicians in the social sciences and humanities back as far as 1991. Previous to that year the distinction between technicians and other support staff is unclear in the social sciences and humanities, these two categories have been combined and are shown as support staff.

The use of large-scale estimates naturally causes data reliability problems. Nevertheless, in the absence of more reliable data, these estimates provide us with a general idea of the situation in this sector, given certain assumptions. Caution should be used when comparing them with other sectors or with expenditure estimates.

### Private non-profit organizations

This sector is comprised of private and semipublic organizations and entities for which profit-making is not a primary goal. There are four main types of organizations included: private philanthropic foundations, scientific societies and associations, voluntary health organizations, and research institutes which do not belong to other sectors.

Since 1983, BSSTSD has been collecting personnel data through its survey of R&D performed by private non-profit organizations in Canada. In this survey, respondents are asked to estimate the number of employees engaged in R&D by occupational category.

Since no statistics on R&D personnel in these organizations for the years prior to 1983 are available, estimates were made on the 1983 relationships of personnel, R&D expenditures and occupational categories. Finally, since R&D in this sector is carried out basically in the health sciences, there are no estimates for personnel engaged in R&D in the social sciences and humanities.

The PNP sector appears in both the performing and funding sector for the GERD for Canada. Commencing with reference year 2000, the data for the PNP sector performing research and development are not distributed by provinces, territories or the national capital region. However, the national totals of research and development by performing sector include the PNP sector. The PNP sector continues to be distributed for the funding sector.