Santé

Canada

**Biologics and Genetic Therapies Directorate Drug Submission Performance Quarterly Report** 

July - September 2012





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## **OVERVIEW**

The Biologics and Genetic Therapies Directorate (BGTD) Quarterly Drug Submission Performance Report reflects biologic and radiopharmaceutical drug submission review activity over five consecutive quarters: from July - September 2011 to July - September 2012. Statistics are provided by Submission Type and show the number received, the number in workload, the number of decisions and the number of approvals.

#### What's New

- Average and Median Days were added to CTA and CTA-A performance graphs which are now based on the date of review decision (rather than the target date).
- The new Cost Recovery Fee Categories<sup>1</sup> introduced on April 1<sup>st</sup> 2011 have been incorporated into the reports.
- Performance continues to be measured against performance standards for Submission Type/Submission Class/ Status combinations as set out in Appendix 3 of the Guidance for Industry: Management of Drug Submissions<sup>2</sup>.
- 'Labelling Only' are now reported along with the other Fee Categories. The Fee Category "Administrative Submission" is reported in a separate section of the report.
- Final results from confirmatory trials submitted in the form of an SNDS-C<sup>3</sup> are now included in the SNDS Received, Workload and Performance figures. SNDS-C are not included in the SNDS Approval figures.
- 'Requests for Reconsideration of Final Decision' figures will continue to be reported on an annual basis but have been removed from the quarterly reports.

## **General Information**

There are several steps involved in the drug submission review<sup>4</sup> and approval process:

- administrative processing,
- regulatory and scientific screening and
- in-depth scientific review.

When deficiencies or non-compliance issues are found, a company may submit responses before a final decision can be reached and thus multiple review cycles may be required. A submission's approval time can vary depending on the number and type of review cycles needed.

**Submissions Received** are counts of submissions received during the year using the filing date (CR date) which is the date the submission is considered administratively complete by Health Canada.

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<sup>&</sup>lt;sup>1</sup> For further clarification refer to the Fees in Respect of Human Drugs and Medical Devices at <a href="http://www.hc-sc.gc.ca/dhp-mps/finance/fees-frais/index-eng.php">http://www.hc-sc.gc.ca/dhp-mps/finance/fees-frais/index-eng.php</a>

<sup>&</sup>lt;sup>2</sup>This is not to be confused with the 'UF Review 1(iteration 1)' performance standards that will be employed to measure performance to meet the *User Fees Act* reporting Requirements in the 'Health Canada Departmental Performance Report (DPR)

<sup>&</sup>lt;sup>3</sup>For further Clarification refer to the <u>Guidance Document: Notice of Compliance with Conditions (NOC/c)</u>. http://www.hc-sc.gc.ca/dhp-mps/prodpharma/applic-demande/guide-ld/compli-conform/noccg\_accd-eng.php#a3.3

<sup>&</sup>lt;sup>4</sup> For further clarification refer to the <u>Guidance for Industry: Management of Drug Submissions.</u>

**Workload** is the number of submissions "under active review" on a given day. "**Backlog**" is the proportion of the workload that is over target. Often the term workload is used to mean the amount of work received over a period of time and is a common source of confusion.

**Approvals** are Notice of Compliances (NOC) Issued or Issuable. An NOC issuable is when a submission's NOC is placed "on hold" awaiting authorization to market, due to Patent regulations or due to de-scheduling (from prescription to Over the Counter).

A **review cycle completion**<sup>5</sup>. is counted upon the conclusion of an in-depth scientific review that then results in a decision of approval or non-approval. The time taken is compared to a set <u>performance standard</u><sup>2</sup> which is based on the type of submission, class and cycle (status). For example, in the case of a Priority NDS, the performance standard is 180 days for Review1 and 90 days for Review2. Health Canada has set a goal of 90% of review cycle completions to be rendered within performance standards.

"First Cycle Review" Approvals are those submissions approved without having to go through several review cycles to resolve submission deficiencies or non-compliance issues, and exclude "refiled" submissions.

Any questions or comments on this report should be forwarded to: Office of Submissions and Intellectual Property (OSIP) (formerly SIPD) Finance Building (#2), A.L. # 0201A1 101 Tunney's Pasture Driveway, Tunney's Pasture Ottawa, Ontario, K1A 1B9

Tel: (613) 957-3123 Fax: (613) 941-0825

Email: SIPDMAIL@hc-sc.gc.ca

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<sup>&</sup>lt;sup>5</sup> Review cycles include all types e.g. Review 1, Review 2, Review QN. The total number of "review decisions" may surpass the total number of "review cycle completions as they include cancellations/withdrawals that occur while the submission is 'inactive'. For example, a withdrawal can be issued when a company fails to respond to a notice of non-compliance within the allotted time frame. A 'Cancelled by Company' is counted as a review decision when a company sends a cancellation letter after the submission's original materials have been accepted for review.

<sup>&</sup>lt;sup>6</sup> For further clarification refer to the <u>Guidance for Industry: Management of Drug Submissions</u> http://www.hc-sc.gc.ca/dhp-mps/prodpharma/applic-demande/guide-ld/mgmt-gest/mands\_gespd-eng.php#a5.7

## **ACRONYMS**

## **Submission Types**

CTA - Clinical Trial Application

CTA-A - Clinical Trial Application-Amendment

DINB - Application for a Drug Identification Number - Biological Product

MP-NDS Pre- New Drug Submission Meeting

MP-SNDS Pre- Supplemental New Drug Submission Meeting

NDS - New Drug Submission

NC - Notifiable Change (Level II) - New Drug

PDC - Post Din Changes

PRNDS - Request for Priority Review Status: New Drug Submission

PRSNDS - Request for Priority Review Status: Supplemental New Drug Submission

SNDS - Supplemental New Drug Submission

SNDS-C - Supplemental New Drug Submission – CONFIRMATORY

YPBR - Yearly Biologic Product Report

#### **Documents**

NOC - Notice of Compliance

NOC-c - Notice of Compliance with Conditions

Issuable NOC (Patent) - NOC on Hold due to Patent Regulations

Issuable NOC (Rx to OTC) - NOC on Hold due to De-Scheduling

NON - Notice of Non-Compliance

NOD - Notice of Deficiency

NON Withdrawal - Notice of Non-Compliance Withdrawal Letter

NOD Withdrawal - Notice of Deficiency Withdrawal Letter

## **Fee Categories**

Fee Category	Fee Category Description
New Active Substance (NAS)* This new NAS definition came into effect on April 1 2011	Submissions in support of a drug, excluding a disinfectant, that contains a medicinal ingredient not previously approved in a drug for sale in Canada, and that is not a variation of a previously approved ingredient such as a salt, ester, enantiomer, solvate or polymorph.
Clinical or non-clinical data and chemistry and manufacturing data	Submissions based on clinical or non-clinical data and chemistry and manufacturing data for a drug that does not include a new active substance.
Clinical or non-clinical data only	Submissions based only on clinical or non-clinical data for a drug that does not include a new active substance.
Comparative studies	Submissions based on comparative studies (e.g. clinical or non-clinical data, bioavailability, pharmacokinetic and pharmacodynamic data) with or without chemistry and manufacturing data for a drug that does not include a new active substance.
Chemistry and manufacturing data only	Submissions based only on chemistry and manufacturing data for a drug that does not include a new active substance.
Published data only	Submissions based only on published clinical or non-clinical data for a drug that does not include a new active substance.
Switch from prescription to nonprescription status	Submissions based only on data that support the modification or removal of a medicinal ingredient listed in Schedule F to the <i>Food and Drug Regulations</i> (i.e. identical claim for existing drug).
Labelling only	Submissions of labelling material (i.e. does not include supporting clinical or non-clinical data or chemistry and manufacturing data).
Administrative submission	Submissions in support of a manufacturer or product name change.
Disinfectants	Submissions and applications that include data in support of a disinfectant.
Drug Identification Number application - labelling standards	Applications attesting to compliance with a labelling standard or Category IV Monograph for a drug that does not include clinical or non-clinical data or chemistry and manufacturing data.

For further information refer to the Guidance Document - Fees for the Review of Drug Submissions and Applications <a href="http://www.hc-sc.gc.ca/dhp-mps/prodpharma/fees-frais/fee\_frais\_guide-eng.php#app1">http://www.hc-sc.gc.ca/dhp-mps/prodpharma/fees-frais/fee\_frais\_guide-eng.php#app1</a>

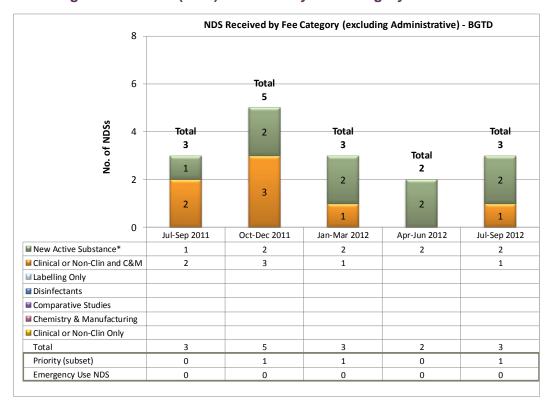
# New Drug Submissions (NDS)

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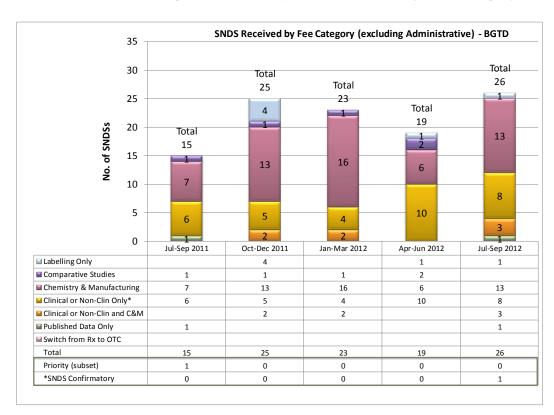
Supplemental New Drug Submissions (SNDS)

## SUBMISSIONS RECEIVED

## New Drug Submissions (NDS) Received by Fee Category

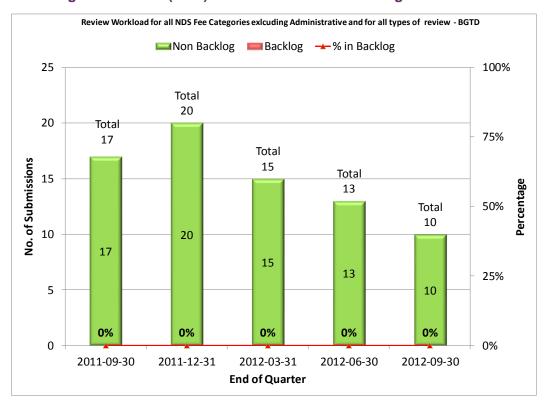


## Supplemental New Drug Submissions (SNDS) Received by Fee Category

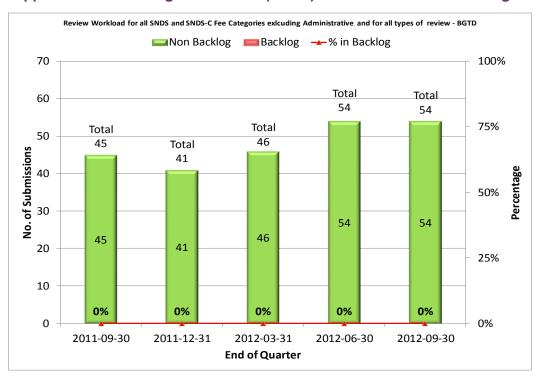


## **WORKLOAD**

## New Drug Submission (NDS) Review Workload / Backlog



## Supplemental New Drug Submission (SNDS) Review Workload / Backlog



## **WORKLOAD**

## New Drug Submission (NDS) Review Workload by Fee Category

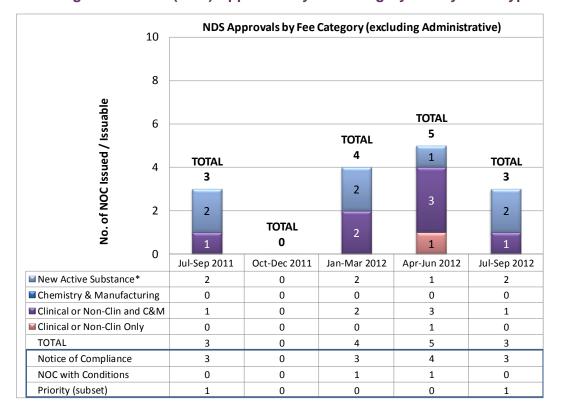
BGTD NDS All REVIEW WORKLOAD BY FEE CATEGORY AND END OF QUARTER								
	2011-09-30	2011-12-31	2012-03-31	2012-06-30	2012-09-30			
Clinical or Non-Clin Only	1	1	1	0	0			
Backlog	0	0	0	0	0			
Clinical or Non-Clin and C&M	11	12	9	6	5			
Backlog	0	0	0	0	0			
New Active Substance	5	7	5	7	5			
Backlog	0	0	0	0	0			
Total	17	20	15	13	10			
Non Backlog	17	20	15	13	10			
Backlog	0	0	0	0	0			
% in Backlog	0%	0%	0%	0%	0%			
Priority (subset)	1	1	1	2	0			
Non Backlog	1	1	1	2	0			
Backlog	0	0	0	0	0			

## Supplemental New Drug Submission (SNDS) Review Workload by Fee Category

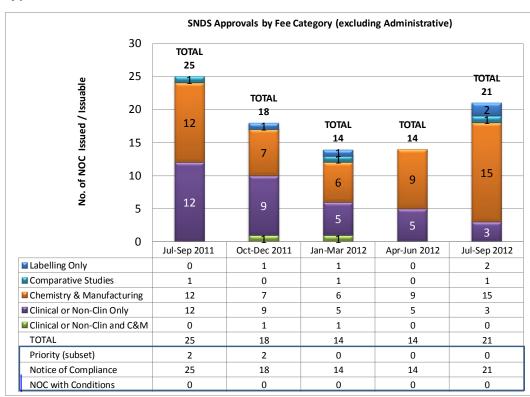
BGTD SNDS All REVIE	w workloa	D BY FEE CAT	EGORY AND	END OF QUA	RTER
	2011-09-30	2011-12-31	2012-03-31	2012-06-30	2012-09-30
Comparative Studies	1	1	1	4	3
Backlog	0	0	0	0	0
Chemistry & Manufacturing	13	16	24	26	21
Backlog	0	0	0	0	0
Clinical or Non-Clin Only	26	20	18	17	22
Backlog	0	0	0	0	0
Clinical or Non-Clin and C&M	4	2	3	6	6
Backlog	0	0	0	0	0
Published Data	1	1	0	0	2
Backlog	0	0	0	0	0
Labelling Only	0	1	0	1	0
Backlog	0	0	0	0	0
Total	45	41	46	54	54
Non Backlog	45	41	46	54	54
Backlog	0	0	0	0	0
% in Backlog	0%	0%	0%	0%	0%
Priority (subset)	3	2	0	0	1
Non Backlog	3	2	0	0	1
Backlog	0	0	0	0	0

## **APPROVALS**

## New Drug Submission (NDS) Approvals by Fee Category and by NOC Type



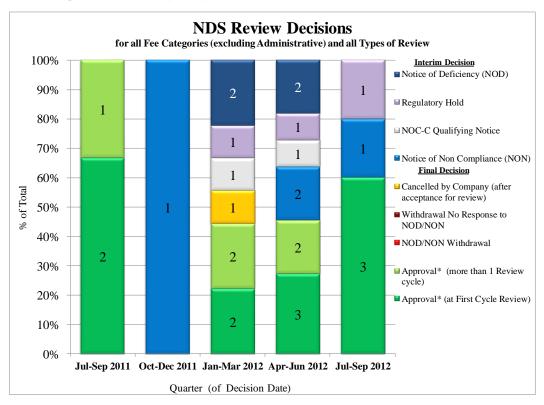
# Supplemental New Drug Submission (SNDS) Approvals by Fee Category and by NOC Type



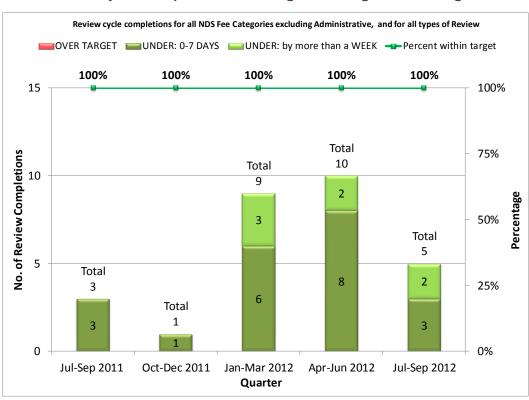
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#### **REVIEW CYCLE DECISIONS**

## **New Drug Submission (NDS) Review Decisions**

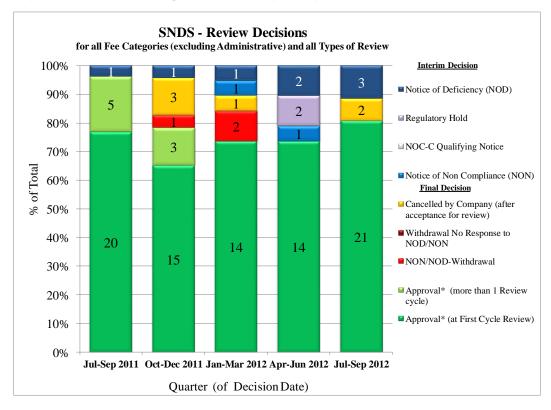


## NDS - Review Cycle Completions Showing Percentage Within Target

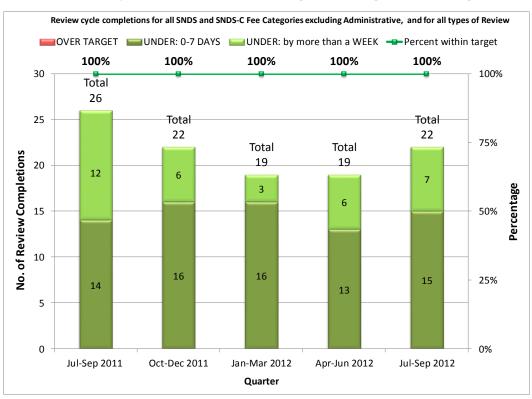


#### REVIEW CYCLE DECISIONS

## Supplemental New Drug Submission (SNDS) Review Decisions

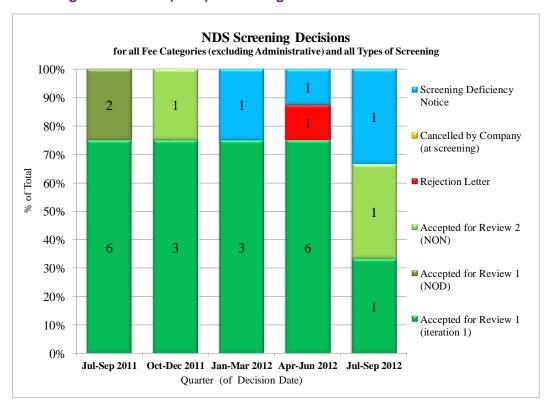


## SNDS - Review Cycle Completions Showing Percentage Within Target

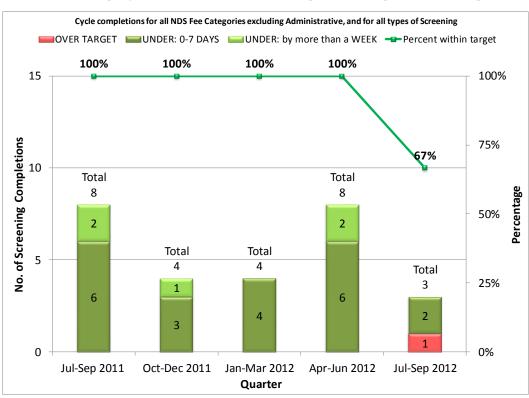


## **SCREENING CYCLE DECISIONS**

## **New Drug Submission (NDS) Screening Decisions**

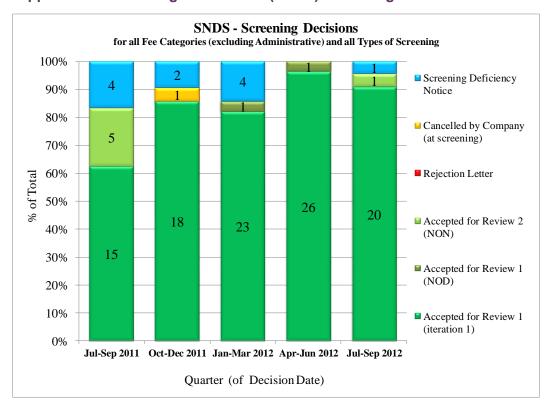


## **NDS - Screening Cycle Completions Showing Percentage Within Target**

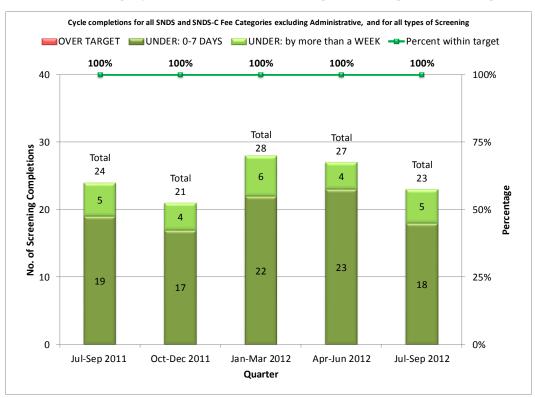


## **SCREENING CYCLE DECISIONS**

## Supplemental New Drug Submission (SNDS) Screening Decisions

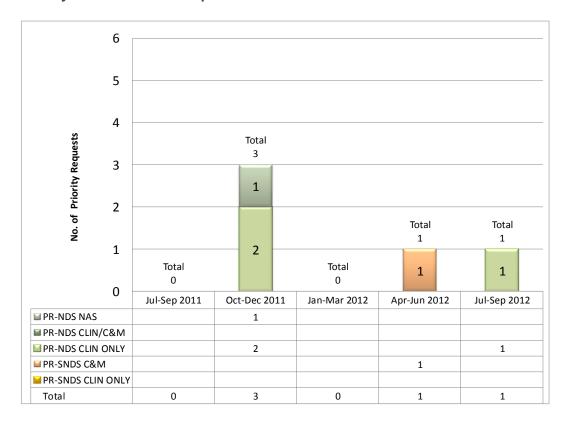


## **SNDS - Screening Cycle Completions Showing Percentage Within Target**

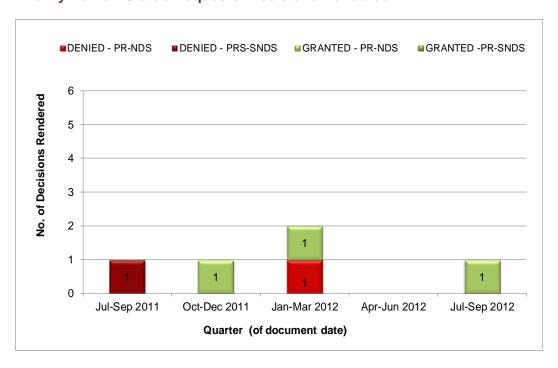


## **Priority Review Status Requests (for NDS & SNDS)**

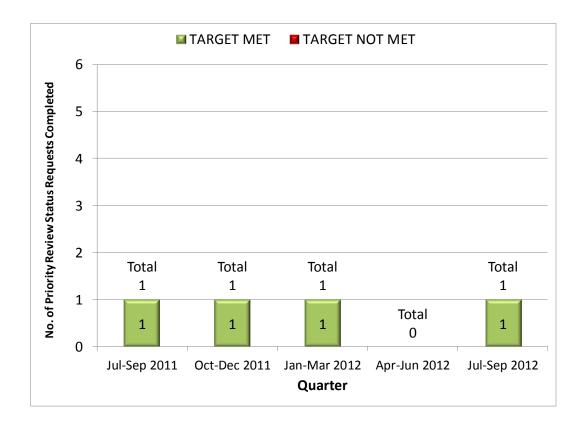
## **Priority Review Status Requests Received**



## **Priority Review Status Requests: Decisions Rendered**



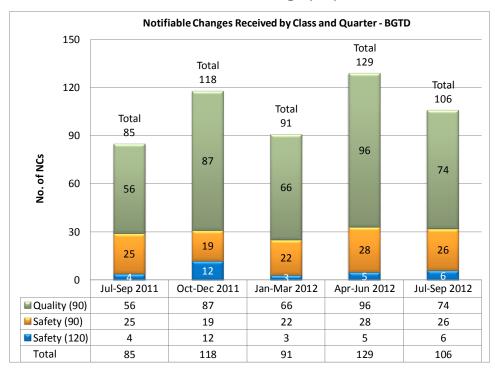
## **Priority Review Status Request: Performance**



# Notifiable Changes (NC)

## **NOTIFIABLE CHANGE<sup>7</sup>,8**

## Submissions Received - Notifiable Change (NC)



## **Decision Documents by Class - Notifiable Change (NC)**

NC - SAFETY (90)							
DOCUMENT TYPE	Jul-Sep 2011	Oct-Dec 2011	Jan-Mar 2012	Apr-Jun 2012	Jul-Sep 2012		
NO OBJECTION LETTER	42	18	15	28	23		
REJECTION LETTER (SCREENING)	2	3		3	1		
CANCELLED BY COMPANY		3		1	1		
NOT SATISFACTORY LETTER					1		

NC - QUALITY (90)							
DOCUMENT TYPE	Jul-Sep 2011	Oct-Dec 2011	Jan-Mar 2012	Apr-Jun 2012	Jul-Sep 2012		
NO OBJECTION LETTER	72	54	85	35	97		
NOT SATISFACTORY NOTICE	1	4					
REJECTION LETTER (SCREENING)	2	5	17	9	6		
SCREENING DEFICIENCY NOTICE	2	2	2		1		
CANCELLED BY COMPANY	3			5	1		

NC - SAFETY (120)					
DOCUMENT TYPE	Jul-Sep 2011	Oct-Dec 2011	Jan-Mar 2012	Apr-Jun 2012	Jul-Sep 2012
NO OBJECTION LETTER	4	2	10	4	6
REJECTION LETTER (SCREENING)		2			
SCREENING DEFICIENCY NOTICE		1			

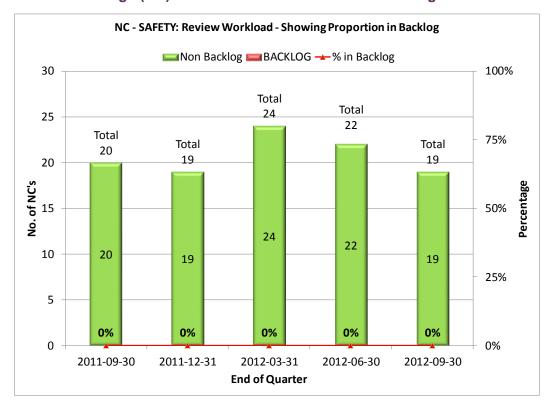
NC - ADMINISTRATIVE (QUALITY)						
DOCUMENT TYPE	Jul-Sep 2011	Oct-Dec 2011	Jan-Mar 2012	Apr-Jun 2012	Jul-Sep 2012	
NO OBJECTION LETTER	9	3	5	7	1	
CANCELLED BY COMPANY			1			

Post-Notice of Compliance (NOC) Changes Guidance Documents. were effective as of September 30, 2009 http://www.hc-sc.gc.ca/dhp-mps/prodpharma/applic-demande/guide-ld-postnoc\_change\_apresac/noc\_postnotice\_ac\_apresavis\_change-eng.php 8 Post-Notice of Compliance (NOC) Changes - Quality Guidance Appendix 1 for Human Pharmaceuticals became effective October 17, 2011.

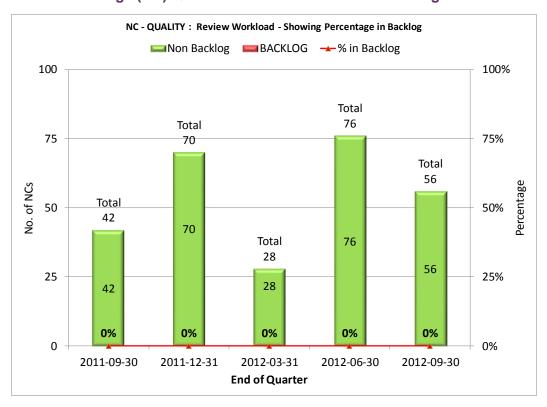
7

## **WORKLOAD**

## Notifiable Change (NC) SAFETY - Review Workload / Backlog



## Notifiable Change (NC) QUALITY - Review Workload / Backlog



## **WORKLOAD**

## Notifiable Change (NC) SAFETY - Review Workload by Class

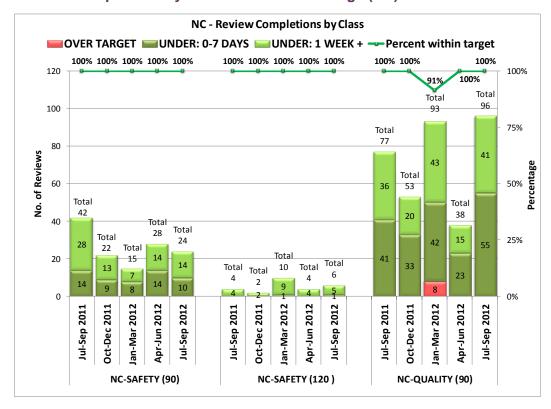
BGTD NC - SAFETY: REVIEW WORKLOAD AT END OF QUARTER									
CLASS	S 2011-09-30 2011-12-31 2012-03-31 2012-06-30 2012-09-30								
SAFETY - 90 day	19	10	22	20	16				
Backlog	0	0	0	0	0				
SAFETY - 120 day	1	9	2	2	3				
	0	0	0	0	0				
Total	20	19	24	22	19				
Non Backlog	20	19	24	22	19				
BACKLOG	0	0	0	0	0				
% in Backlog	0%	0%	0%	0%	0%				

## Notifiable Change (NC) QUALITY - Review Workload by Class

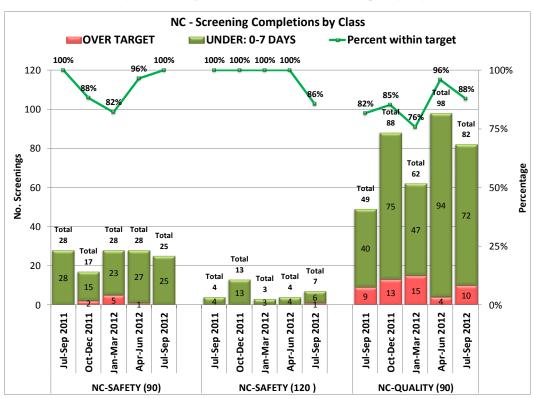
BGTD NC - QUALITY: REVIEW WORKLOAD AT END OF QUARTER								
CLASS 2011-09-30 2011-12-31 2012-03-31 2012-06-30 2012-09-30								
QUALITY - 90 day	42	70	28	76	56			
Backlog	0	0	0	0	0			
Total	42	70	28	76	56			
Non Backlog	42	70	28	76	56			
BACKLOG	0	0	0	0	0			
% in Backlog	0%	0%	0%	0%	0%			

#### **PERFORMANCE**

## **REVIEW Completions by Class - Notifiable Change (NC)**



## **SCREENING Completions by Class - Notifiable Changes (NC)**



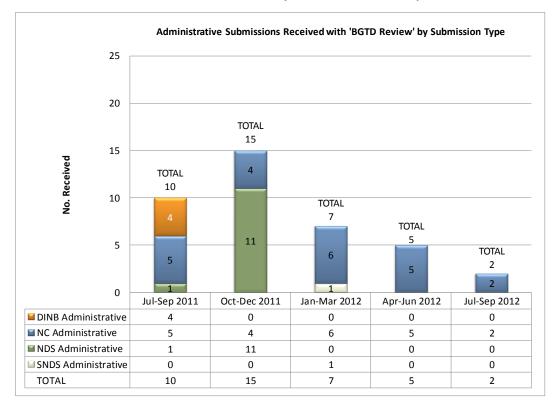
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Submissions in support of a manufacturer or product name change.

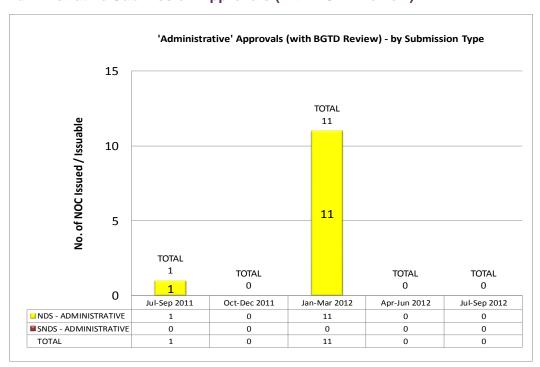
## **ADMINISTRATIVE SUBMISSIONS (with BGTD Review)**

(such as product name change that requires a drug name review)

## **Administrative Submissions Received (with BGTD Review)**



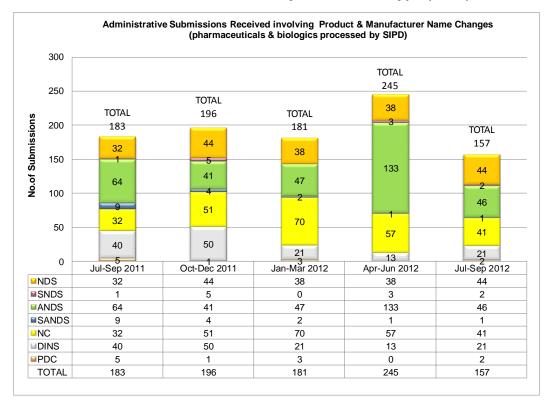
## **Administrative Submission Approvals (with BGTD Review)**



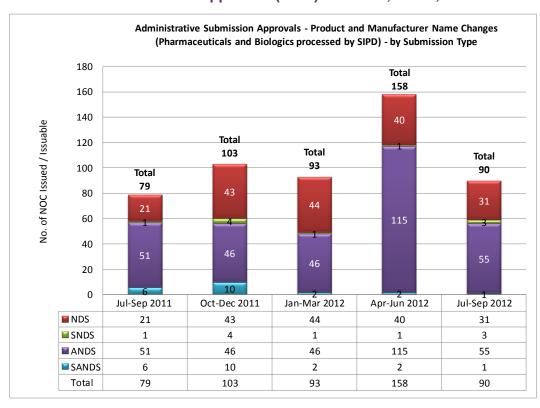
## **ADMINISTRATIVE SUBMISSIONS (Processed by SIPD)**

(Product & Manufacturer Name Changes)

## Administrative Submissions Received by Submission Type (SIPD)



## Administrative Submission Approvals (SIPD) - for NDS, SNDS, ANDS and SANDS



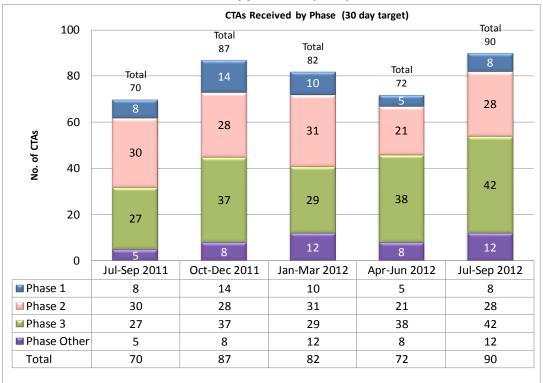
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# Clinical Trial Applications and Amendments

(CTA & CTA-A)

## **Clinical Trial Applications (CTA)**

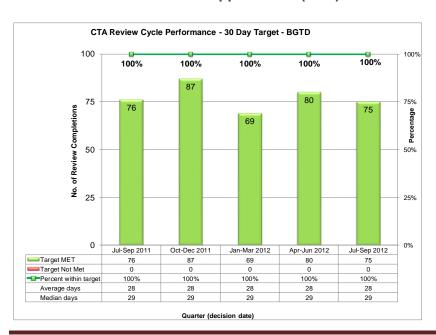
## **Number Received - Clinical Trial Application (CTA)**



## **Decision Documents - Clinical Trial Application (CTA)**

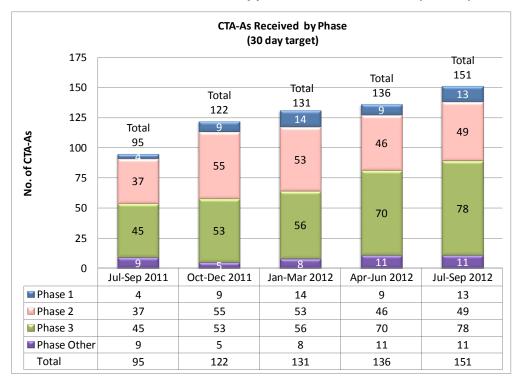
СТА					
DOCUMENT TYPE	Jul-Sep 2011	Oct-Dec 2011	Jan-Mar 2012	Apr-Jun 2012	Jul-Sep 2012
NO OBJECTION LETTER	75	80	66	79	72
CANCELLED BY COMPANY	4	6	6	1	6
NOT SATISFACTORY NOTICE		1	1		1
REJECTION LETTER (SCREENING)			1	1	

## Performance - Clinical Trial Applications (CTA) Reviews - 30 Day Target



## Clinical Trial Application- Amendments (CTA-A)

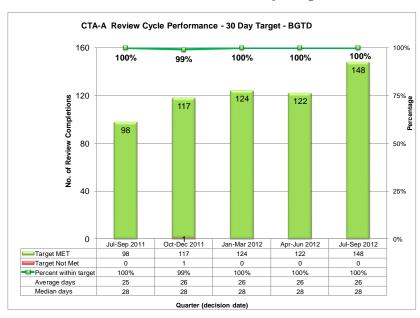
## **Number Received - Clinical Trial Application Amendments (CTA-A)**



#### **Decision Documents - Clinical Trial Application Amendments (CTA-A)**

CTA-A					
DOCUMENT TYPE	Jul-Sep 2011	Oct-Dec 2011	Jan-Mar 2012	Apr-Jun 2012	Jul-Sep 2012
NO OBJECTION LETTER	100	118	124	123	143
REJECTION LETTER (SCREENING)				3	
CANCELLED BY COMPANY			2	2	5
NOT SATISFACTORY NOTICE			3		1

#### Performance – CTA-A Reviews - 30 Day Target

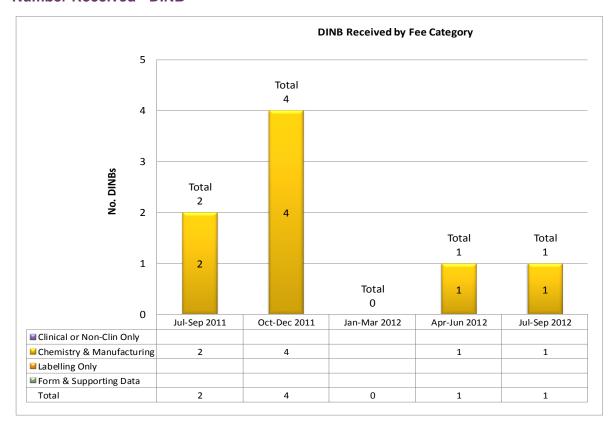


# **DINB**

# Application for a Drug Identification Number Biological Product

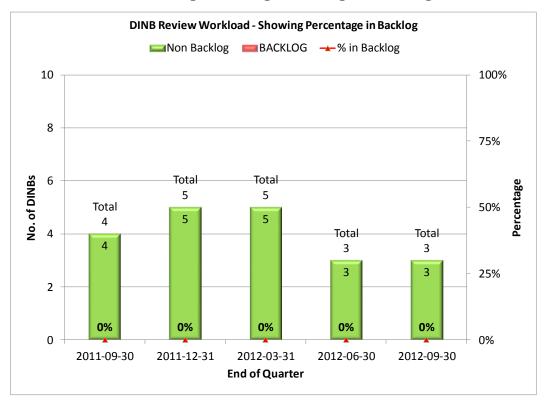
## DINB: Application for a Drug Identification Number - BIOLOGICAL PRODUCT

#### **Number Received - DINB**



## **REVIEW WORKLOAD**

## Review Workload / Backlog - Showing Percentage in Backlog - DINB

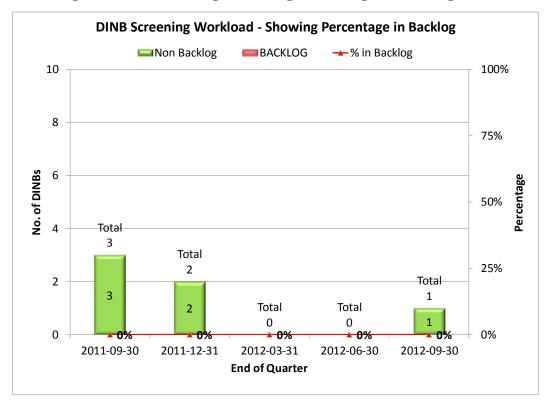


## **Review Workload by Class - DINB**

BGTD DINB All REVIEW WORKLOAD BY FEE CATEGORY AND END OF QUARTER									
	2011-09-30	2011-12-31	2012-03-31	2012-06-30	2012-09-30				
Labelling Only (Form)	0	0	0	0	0				
Backlog	0	0	0	0	0				
Form and Supporting Data	2	1	1	0	0				
Backlog	0	0	0	0	0				
Chemistry & Manufacturing	2	4	4	3	3				
Backlog	0	0	0	0	0				
Total	4	5	5	3	3				
Non Backlog	4	5	5	3	3				
BACKLOG	0	0	0	0	0				
% in Backlog	0%	0%	0%	0%	0%				

## **SCREENING WORKLOAD**

## Screening Workload / Backlog - Showing Percentage in Backlog - DINB



## **Screening Workload by Class - DINB**

	2011-09-30	2011-12-31	2012-03-31	2012-06-30	2012-09-30
Labelling Only (Form)	0	0	0	0	0
Backlog	0	0	0	0	0
Form & Supporting Data	1	0	0	0	0
Backlog	0	0	0	0	0
Clinical or Non-Clin and C&M	0	0	0	0	0
Backlog	0	0	0	0	0
Chemistry & Manufacturing	2	2	0	0	1
Backlog	0	0	0	0	0
Total	3	2	0	0	1
Non Backlog	3	2	0	0	1
BACKLOG	0	0	0	0	0
% in Backlog	0%	0%	0%	0%	0%

## **DECISION DOCUMENTS**

## **Decision Documents - DINB by Class**

DINB - Labelling Only (Form)								
DOCUMENT TYPE	Jul-Sep 2011	Oct-Dec 2011	Jan-Mar 2012	Apr-Jun 2012	Jul-Sep 2012			
NO OBJECTION LETTER	4							

DINB - FORM AND SUPPORTING DATA						
DOCUMENT TYPE	Jul-Sep 2011	Oct-Dec 2011	Jan-Mar 2012	Apr-Jun 2012	Jul-Sep 2012	
NO OBJECTION LETTER	1					
NOTIFICATION FORM/DIN ISSUED		1				

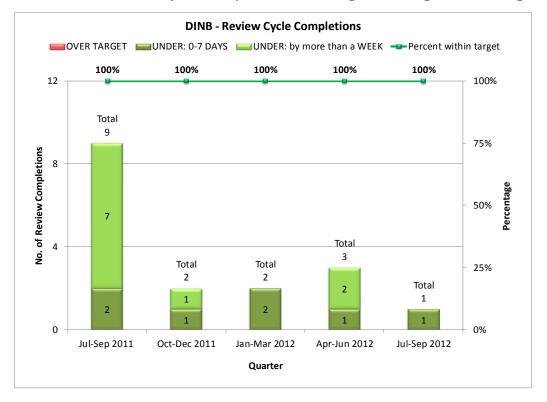
DINB - CLIN ONLY					
DOCUMENT TYPE	Jul-Sep 2011	Oct-Dec 2011	Jan-Mar 2012	Apr-Jun 2012	Jul-Sep 2012
NO OBJECTION LETTER					

DINB - C&M ONLY								
DOCUMENT TYPE	Jul-Sep 2011	Oct-Dec 2011	Jan-Mar 2012	Apr-Jun 2012	Jul-Sep 2012			
NO OBJECTION LETTER	2							
SCREENING DEFICIENCY NOTICE	1	3	2					
NOTICE OF DEFICIENCY			1					
CANCELLED BY COMPANY		2						

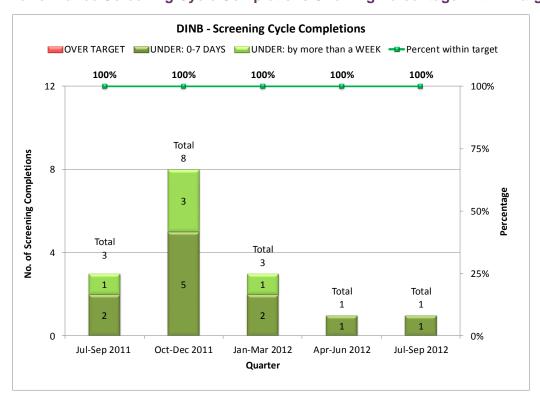
DINB - ADMINISTRATIVE							
DOCUMENT TYPE	Jul-Sep 2011	Oct-Dec 2011	Jan-Mar 2012	Apr-Jun 2012	Jul-Sep 2012		
NOTIFICATION FORM/DIN ISSUED	4				5		

#### **PERFORMANCE**

#### Performance Review Cycle Completions Showing Percentage Within Target - DINB

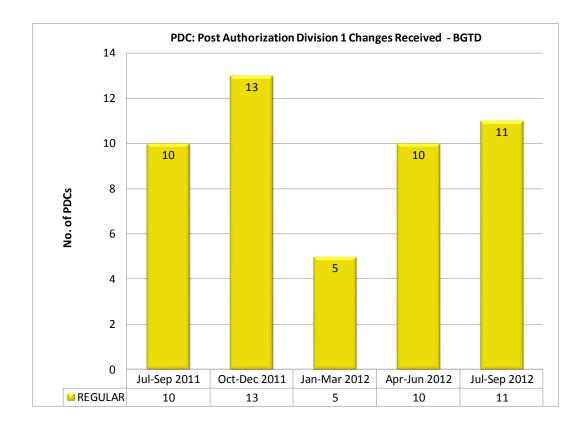


## Performance Screening Cycle Completions Showing Percentage Within Target - DINB



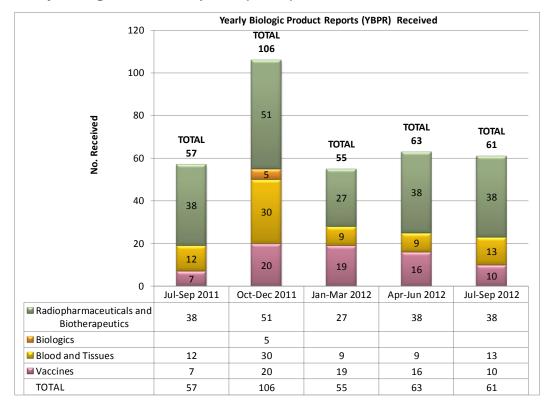
## Post –Authorization Division 1 Changes (PDC)

## Post -Authorization Division 1 Changes (PDC) Received



## Yearly Biologic Product Reports (YBPR9)

## Yearly Biologic Product Report s (YBPR) Received



BGTD Annual Drug Submission Performance Report: YBPR- Yearly Biologic Product Reports

<sup>&</sup>lt;sup>9</sup> Yearly Biologic Product Report (YBPR) is a report that must be submitted annually by manufacturers of all Schedule D (Biologic) drugs. The report contains production information on both drug substance and drug product lots, including test methods and results, reasons for any recalls and corrective action taken, as well as other pertinent post-market information.

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