ANNUAL REPORT

of the Office of the Correctional Investigator

2014-2015







© Her Majesty the Queen in Right of Canada, 2015

Cat. No.: PS100E-PDF ISBN: 1493-5295



The Correctional Investigator Canada

P.O. Box 3421 Station "D" Ottawa, Ontario K1P 6L4 L'Enquêteur correctionnel Canada

C.P. 3421 Succursale "D" Ottawa (Ontario) K1P 6L4

June 26, 2015

The Honourable Steven Blaney Minister of Public Safety House of Commons Ottawa, Ontario

Dear Minister,

In accordance with section 192 of the *Corrections and Conditional Release*Act, it is my privilege and duty to submit to you the 42nd Annual Report of the Correctional Investigator.

ours respectfully,

[∕]Howard Şapers

Correctional Investigator





Table of Contents

Cc	orrectional Investigator's Message
Ex	ecutive Director's Message
1.	Health Care in Federal Corrections
	Physical Health
	Mental Health
2.	Prevention of Deaths in Custody
3.	Conditions of Confinement
4.	Aboriginal Corrections
5.	Safe and Timely Reintegration42
6.	Federally Sentenced Women49
Οι	utlook for 2015-16
Ec	McIsaac Human Rights in Corrections Award
Ar	nnex A: Summary of Recommendations
Ar	nnex B: Annual Statistics
Ar	nnex C: Other Statistics

Correctional Investigator's Message



It is a privilege to present my 11th Annual Report as Correctional Investigator of Canada. Since my first appointment in April 2004, I have been witness to significant changes to the conditions of incarceration and composition of the federal inmate population in Canada. Prison has always shone a spotlight on the problems and inequalities of the larger society in which it functions. This remains true today as substance abuse and addiction, poverty and deprivation, discrimination and social exclusion, mental illness and stigma continue to define and shape modern Canadian correctional policy, practice and populations.

In the ten year period between 2005 and 2015 the federal inmate population grew by 10%. Most of this growth is attributed to steady year-on-year increases in admissions

of Aboriginal people, visible minorities and women. During this period, the Aboriginal inmate population has grown by more than 50%. The population of women behind bars increased by over 50% while the number of Aboriginal women inmates almost doubled. Though representing 4.3% of Canadian society, 24.6% of the current total inmate population is Aboriginal; Aboriginal women now comprise 35.5% of the women in-custody population. Over the same period, the Black inmate population grew by 69%. The federal incarceration rate for Blacks is three times their representation rate in general society. These increases continue despite public inquiries and commissions calling for change and Supreme Court of Canada decisions urging restraint.

A look behind the walls today reveals that:

- One in four federal inmates is 50 years of age or older. The population of aging or older people behind bars has risen dramatically, increasing by nearly one-third in the last five years alone.
- Approximately 60% of offenders have employment needs identified at intake to federal custody. Before prison, most are chronically under or unemployed.
- The average level of educational attainment upon admission to a federal penitentiary remains low. More than 60% of offenders at intake have an identified education need, meaning they have not graduated from high school. Over 60% of the overall inmate population has a formal education of grade 8 or less.

During the reporting period, the Correctional Service of Canada (CSC) changed how it defines and publicly reports on its incustody and community population counts. Readers may notice that the Office has reconciled its reporting of statistical data to be consistent with CSC's revised definitions. Statistical comparisons to previous Annual Reports and other OCI publications should be done with caution.

- Nearly 4 in 10 male offenders require further assessment at admission to determine if they have mental health needs. 30% of women offenders had previously been hospitalized for psychiatric reasons while fully six in ten incarcerated women are currently prescribed some form of psychotropic medication to manage their mental health.
- Close to 70% of federally sentenced women report histories of sexual abuse and 86% have been physically abused at some point in their life. Their life histories of trauma cannot easily be separated from their conflict with the law.
- 80% of male offenders struggle with addiction or substance abuse. Two-thirds of federal offenders were under the influence of an intoxicant when they committed their index offence.

In correctional language, this profile translates into a high-risk, high-needs population that requires a variety of services and supports, some of which stretch our conventional understanding of what prisons are or what they are supposed to do. Though never intended to serve as psychiatric, palliative or long term care residences, federal correctional facilities are under increasing pressure to perform these functions on a routine basis.

Over the past decade, safe custody indicators have progressively deteriorated. The number of use of force incidents have almost doubled, admissions to administrative segregation increased by 15.5%, incidents of prison self-injury have tripled, prison crowding hit all-time highs and parole grant rates bottomed out. We now have a system that releases the majority of offenders from a penitentiary at statutory release, once they reach the two-thirds point of the sentence. The highest risk and needs offenders, most of whom today are released from multi-security level institutions, are supervised for the least amount of time in the community.

Driven by a changing profile and pushed to address more complex needs, total criminal justice costs (police, courts, corrections, parole) have risen by almost 25% in the last decade, coincidently about the same amount that the national crime rate has fallen. In the ten year period between 2003 and 2013, expenditures on federal corrections grew by just over 70%. At peak spending in FY 2013-2014, CSC's annual budget exceeded \$2.75B. This period also coincided with the single largest expansion of federal correctional system capacity in history which saw the completion of 2,700 new or retrofitted cells at more than 30 different penitentiaries for a total cost of over \$700M.

Though spending is starting to come down as a result of various cost containment measures, including CSC's \$300M contribution to the Government of Canada's deficit reduction action plan (DRAP) announced in Budget 2012, planned spending for federal corrections in 2015-16 is still \$2.35B. It now costs each and every Canadian about \$71 annually to operate the federal correctional system. The average cost of keeping a federal male inmate behind bars is \$108,376 per year and nearly twice that amount to keep a woman inmate locked up. By contrast, safely maintaining an offender in the community is 70% less.

As my report this year makes clear, inmates are increasingly bearing more of the costs to keep themselves clothed, fed, housed and cared for behind bars. Though inmate pay has not increased since its introduction in 1981 (topping out at a maximum daily wage of \$6.90), broader application of room and board deductions have eroded the possibility of having any meaningful savings to support reintegration or maintain familial obligations on the outside. New administrative fees have been added to offset use of the inmate telephone system. "Non-essential" dental care has been eliminated, as has "incentive pay" for those employed in the prison industries run by Corcan. Though modernization of the prison food preparation, delivery and distribution system (known as "cook-chill") has resulted in some cost efficiencies, its introduction has led to a perceptible decline in the overall quality, selection and quantity of food being provided. It has also

significantly reduced the number of available jobs to inmates and resulted in reduced training opportunities.

Other cost-saving measures, such as the closure of prison farms, cutting funding for reintegration and release programs such as Lifeline and Circles of Support and Accountability or reduced funding for access to psychological services in some communities serve to effectively undermine reintegration efforts. At best, the savings achieved as a result of these measures are modest, but the implications can be profound in terms of negative impact on correctional progress and access to safe and timely reintegration and support.

Meantime, a whole other series of sweeping "business transformation" decisions amalgamation/clustering of institutional services, realignment of case management activities, realignment of resources within treatment centres, streamlining of national and regional headquarters, and renewal of funding formulas effectively translate into doing more with less. Few of these administrative measures are supported by evidence and most have no demonstrated link to increased public safety. It is not difficult to envision the larger ramifications of these service reductions. Inmates who become hardened by their prison experience and whose needs are left unaddressed are less likely to benefit from their incarceration and be much less adequately prepared for release. Put simply, cuts to inmate services may actually serve to increase risks to public safety rather than decrease them.

The past five years have seen an unprecedented number of sentencing and policy reforms. Taken together, their cumulative effect has profoundly changed the discourse and practice of criminal justice in Canada, and has contributed to the erosion of some long-standing evidence-based correctional principles and practices. I remain particularly concerned that concepts such as the least restrictive measure and retained rights have been eroded or replaced with more ambiguous language, such as "proportionate and necessary"

measures." Amendments to the Corrections and Conditional Release Act now make it clear that the sentence is to be managed according to the "nature and gravity of the offence" and the "degree of responsibility of the offender." Public safety, instead of being an outcome of a fair and balanced system, has become the dominant principle, overshadowing all other equally valid purposes such as rehabilitation and safe reintegration.

We are beginning to see the impact of these changes on operations. Static risk factors (nature of the offence, gravity of the offence, sentence length) are more prominent in liberty decisions affecting security classification, penitentiary placement and access to the community. Even so, managing a sentence of imprisonment based on the severity (or notoriety) of the crime rather than respecting the principles of individuality or proportionality defies much of what we know about modern risk management. Corrections is in the business of promoting personal change and reform; it is a forward, not backward looking enterprise. Its focus properly belongs on assessing criminological risks and need as they evolve over time.

With the renewed emphasis on detention, the correctional and parole systems have devolved more or less accordingly, to the point where there is little tolerance for even well managed risk. As I suggest in this report, the system has become so risk averse that even elderly, chronically ill and geriatric persons who no longer pose any ongoing or dynamic risk to public safety are commonly held to their statutory or warrant expiry dates. Ironically, and defying evidence, longer and harsher penalties that result in less time served in the community are actually predictive of reoffending. We seem to be looking back in time, to the "nothing works" era, when the most we expected from our prisons was secure custody and prisoners were considered to be less than citizens or bearers of rights.

The corrections policy agenda has spawned robust public debate, not all of it supportive of

the government's intent or direction. A number of measures have been successfully contested in the courts, challenged on procedural, fairness and Charter grounds. For example, the courts ruled against the government's attempt to retroactively eliminate the possibility of accelerated parole review for offenders who had already been sentenced. Court rulings also struck down as unconstitutional changes limiting inmates' credit for time spent in pre-trial custody. The Supreme Court has ruled that mandatory minimum penalties for some gun crimes violate the Charter. Meantime, the mandatory victim surcharge resulting from the *Increasing Offenders'* Accountability for Victims Act is still an unsettled matter. I have every expectation that the number of legal challenges will grow as offenders seek judicial relief from conditions of detention and policy reforms that are felt to be unlawful or unjust.

It may be my own bias and experience, but I believe that in this environment robust independent oversight, openness and transparency are more critical than ever. It is important that acts and decisions involving the care and custody of those deprived of liberty are viewed through a human rights and fairness lens. We know from experience that sentenced individuals have the best chance of success upon release when they have been treated fairly, when they have access to programs and interventions that are matched to need and risk and when these supports are delivered by the right people at the right time in the sentence. We can best manage risk when we apply these lessons, not ignore them. This does not mean that offenders deserve special or enhanced rights or that their offences should be consequence free. It does mean that when someone loses their liberty as a result of incarceration, evidence supported policy and the rule of law must follow them through the prison gate and be applied throughout their sentence.

In my 11 years as Correctional Investigator, I served under two Prime Ministers and dealt with five different Ministers of Public Safety and three Commissioners of Corrections. I

provided testimony to numerous Parliamentary Committees responding to an unprecedented volume of criminal justice reforms. I estimate that approximately 200,000 calls and complaints were handled during my tenure. In this challenging environment, I was always well supported by professional and dedicated staff. During my term, approximately 90 men and women have worked in the Office. To a person, they proved what a small team of dedicated public servants can accomplish. Intake officers, analysts, investigators, human resources and administrative personnel, policy advisors, managers and directors functioned cohesively and maintained a very high tempo. Their work was at times emotional and always demanding. Clients and all Canadians are better off for their efforts. I give them my heartfelt thanks.

As I complete my term, I want to take this opportunity to say what an honour it has been to have served Canada as Correctional Investigator. It has been a rewarding and life-enriching experience on so many levels. As I make the transition from this part of my public service career, I would remind Canadians and parliamentarians alike that due process, fairness, proportionality, rationality and compassion are hallmarks of an excellent criminal justice system. Human decency and dignity are principles to be nurtured and protected even, and perhaps especially, for those among us deprived of their liberty. To do otherwise, is to diminish our own humanity.

Howard Sapers

Correctional Investigator

June 2015

Executive Director's Message

2014-15 was another productive year for the Office. The investigative team handled one of the highest caseloads in recent years responding to more than 6,200 offender complaints. Investigators conducted 2,110 interviews with offenders and staff and spent a cumulative total of 381 days visiting federal penitentiaries across the country. The intake staff fielded more than 22,000 phone contacts. The Office's use of force and serious incident review teams conducted 1,510 uses of force compliance reviews and 167 mandated reviews involving assaults, deaths, attempted suicides and self harm incidents. On the policy side, the Office completed two national systemic investigations in the reporting period – A Three Year Review of Federal Inmate Suicides (2011 - 2014) as well as an investigation of CSC's National Drug Formulary.

Along with supporting the Correctional Investigator's public engagements, this collective output represents a remarkable workload accomplishment for a small oversight body of 36 full-time employees and an annual budget of \$4.0M.

Corporately, for the first time in its history, the Office participated in the Public Service Employee Survey and is in the process of developing an action plan to address workplace issues identified in the survey. The Office's *Destination 2020* activities were led by an internal working group which developed both near and longer term recommendations to embed new technologies and innovations into the OCI work environment. In line with the core public service, the corporate stream led the development of policy directives for the Office's performance management framework, including individual evaluation criteria for the investigative, policy, intake and corporate streams.

In the year ahead, the Office will implement a number of process improvements to support a variety of work activities: a system to better manage Access to Information and Privacy requests; a correspondence tracking tool, and; a new platform to replace the Office's shared case management records system.

2015-16 will also be a time of transition for the Office as it engages in a strategic planning exercise to renew its direction, set corporate priorities and identify investigative plans over a five-year horizon.

Ivan Zinger, J.D., Ph.D. Executive Director and General Counsel

HEALTH CARE IN FEDERAL CORRECTIONS

Issues in Focus

Estimates of Chronic Disease Prevalence among Federal Inmates

Respiratory illness	15.4%
Hypertension	16.0%
Diabetes	8.0%
Hepatitis C	16.5%
Living with chronic pain	27.0%
Addiction history (drug or alcohol)	52.5% (shows signs of substance dependence)
Overweight or obese	68% (increasing to 90% for those aged 65 or more)

Sources: Stewart, L.A., Sapers, J., Nolan, A., & Power, J. (2014). Self-Reported Physical Health Status of Newly Admitted Federally-Sentenced Men Offenders. Research Report R-314. Ottawa, ON: Correctional Service of Canada.

> Beaudette, J.N., Power, J., & Stewart, L.A. (2015). National Prevalence of Mental Disorders among Incoming Federally-Sentenced Men Offenders. Research Report, R-357. Ottawa, ON: Correctional Service of Canada.



Physical Health

It is universally established that correctional facilities house a number of health-compromised and vulnerable individuals who have often lived on the margins of society. Deficits in literacy, education, housing, employment, social support networks, income and social status are all associated with increased health morbidity and premature mortality. A criminal lifestyle often puts offenders at greater risk of developing chronic health problems. Mental illness, drug dependence and infectious diseases are among the most prevalent health problems of offenders.

As a difficult to serve population, many offenders have little or no regular contact with health services before incarceration. They often come into prison with unmet and untreated chronic health conditions. This situation presents both challenge and opportunity for the Correctional Service. Since health care invariably involves decisions about personal autonomy, consent and control, offender health care concerns – access to health care services, quality of health care provided and, increasingly, decisions regarding prescription medication use – often rub up against other competing operational priorities, such as security, population movement, institutional routines, and staff availability to provide escort to access community health care specialists and providers.

On the other side of the equation, "prison is sometimes the only opportunity for an ordered approach to assessing and addressing the health needs of prisoners who have led chaotic lifestyles prior to imprisonment." It is therefore important to work towards a healthy prison model, an approach that embodies primary health promotion, screening and assessment, disease prevention, treatment and control, and harm reduction.

In response to a number of health-related offender complaints, the Office conducted a series of health-focused reviews in 2014-15. The results of these reviews and investigations are reported below.

Investigation of CSC's National Drug Formulary³

Similar to provincial publicly funded drug plans, CSC's National Drug Formulary lists the medications that CSC will fund for federal inmates. The Formulary provides CSC physicians and pharmacists access to cost- effective drug therapies that are safe and appropriate to use in a prison context. Wherever possible, CSC regional pharmacies provide interchangeable generic drug products. According to CSC, introduction of the National Formulary in 2009 has created consistency in medication access across the country.

The Office contracted with two outside medical doctors to assist in the review of the Formulary. These two physicians were specifically requested to focus on access to drug therapies involving chronic pain management and psychotropic medications. The Office also reviewed CSC healthcare policy and conducted qualitative interviews with sixteen institutional physicians as well as Health Services management at national headquarters.

Although the National Formulary was found to be generally comprehensive and comparable to provincially funded drug plans, the Office identified a number of specific process improvement issues:

1. Newly admitted offenders and those transferring to institutions are often subject to interruptions in pharmaceutical care (i.e. prescription medications suddenly stopped, withdrawn or altered).

World Health Organization (Europe), "Promoting Health in Prisons: A Settings Approach," in Prisons and Health, 2014.

The full report, *National Drug Formulary Investigation: Summary of Findings and Recommendations* (January 27, 2015), is available at www.oci-bec.gc.ca

- 2. Decisions on non-formulary requests were not consistent nationally or even within a region.
- Treatment options listed on the Formulary and physician autonomy were found to be restricted often as a result of ill-defined security, administrative or operational concerns.
- For certain medical conditions (chronic pain and Attention Deficit and Hyperactivity Disorder), the Formulary does not provide sufficient treatment options.

The review contained ten recommendations. Key among them were:

- New admissions to federal custody with a valid prescription or who require medical treatment should be seen by an attending institutional physician within 72 hours of being admitted.
- 2. CSC should immediately amend policy to ensure medications are not abruptly stopped or altered for offenders being transferred before an in-patient assessment is completed.
- 3. CSC should implement a national electronic pharmaceutical database to provide reliable data on drug utilization trends.
- 4. CSC should conduct an administrative review of the non-formulary request process responsive to issues identified in this review, including an assessment of the appropriateness of Regional Pharmacists making the final decision on non-formulary requests.
- In consultation with institutional physicians, CSC should amend areas of its Formulary where sufficient treatment options appear to be lacking (e.g. Psychotherapy, chronic pain management, Attention Deficit and Hyperactivity Disorder).

CSC's response to these recommendations was mixed. It accepted that medication reconciliation can be a challenge, but it rejected the claim that changes or alterations to prescription medications is a common practice especially for new admissions entering federal custody and in cases where an inmate is transferred from one CSC facility to another. Nonetheless, the practice of abruptly withdrawing or altering prescription drug therapies at the receiving facility was well documented and is particularly concerning in cases where an inmate is discharged from a treatment centre and returning to his/her parent institution with a new or different drug treatment regime. As the investigation finds, an interruption or alteration in pharmaceutical care may be particularly inappropriate or unsafe for first time federal offenders with a mental health condition.

Another problematic area identified in this investigation is the Regional Pharmacist's ability to refuse medications not covered by the Formulary without consulting with the prescribing physician. While Physicians are required to provide justification for their non-formulary request, some questioned the appropriateness of a process that allows the Regional Pharmacist to refuse a prescribed drug therapy even though s/he may have no firsthand knowledge of the case or clinical contact with the patient. Though CSC committed to bring this issue and a few others forward to its National Pharmaceutical and Therapeutics Committee, it is not clear that these procedural deficiencies will be quickly resolved. Enhanced or facilitated communication between Regional Pharmacists and institutional physicians is an easy fix that must be pursued.

Overall, the investigation affirms that there is room and opportunity to make process improvements to CSC's National Drug Formulary. The Health Services Branch at national headquarters is encouraged to make them happen.

Access to Emerging Hepatitis C Therapies

In response to a number of offender complaints involving access to new and potentially gamechanging Hepatitis C (HCV) therapies not currently listed on CSC's drug formulary, the Office undertook a review of the issues at stake for federal corrections including the status, availability and costs of current and emerging hepatitis C infection treatments.⁴ Based on testing and screening surveillance data, CSC reports that the prevalence of HCV infection among inmates was 17.2% in 2013. Based on a combination of self-reported and epidemiological data, the estimated prevalence rates of HCV infection are thirty to forty times higher in prison than in the Canadian population.⁵

The treatment of HCV infection is a rapidly evolving field. Health Canada has approved a number of new drug therapies since 2013 which have higher cure rates, fewer side effects and are of shorter duration. While these therapies are costly, emerging HCV treatment options might best be considered a short term investment that has long term public safety and health benefits. Prevention, control and treatment of infectious diseases within federal correctional facilities needs to be seen as a public health issue. Access to treatment therapies, combined with harm reduction measures inside prisons, helps decrease the risk of transmission once an offender is returned to the community.

 I recommend that CSC prepare a business case to seek additional funding this fiscal year to expand inmate access to evolving Hepatitis C therapies. This initiative should be framed as an investment in public health and public safety.

Drug Utilization Evaluation

In response to information and criticisms suggesting that psychotropic drugs are overutilized in CSC, particularly among federally sentenced women, CSC agreed to conduct a Drug Utilization Evaluation using a representative random sample. Because CSC does not currently have a national electronic pharmaceutical database, this review requires manually pulling and coding health care files and information. The initial phases of this project will prioritize women offenders. This is important baseline data that assists in estimating the prevalence of certain mental health conditions among the offender population. Together with the ongoing review of estimates of chronic disease prevalence among federal inmates these information sources should be used to develop appropriate, evidence-based health care management responses and strategies. Based on the initial return and review of chronic disease prevalence estimates, it is encouraging that the Service is focusing near-term efforts on diabetes, cardiovascular and chronic respiratory illnesses.

2. I recommend that CSC's efforts to establish prevalence estimates for chronic physical and mental health conditions be complemented by a comprehensive analysis of annually tracked and reported trends and causes of natural mortality among the federal inmate population.

Care and Custody of Elderly/ Geriatric Offenders

My 2010-11 Annual Report contained a special focus on the issues and challenges facing aging/older offenders in federal prisons. At that time, the older offender population (age 50 and older) represented fewer than 20% of the total inmate

- ⁴ HCV infection is a blood-borne communicable disease primarily acquired through intravenous drug use, sharing of needles and tattooing. At least half of all HCV infections are caused by injection drug use. Left untreated, HCV infection can lead to liver failure.
- For example, the Public Health Agency of Canada estimates that 18% to 37% of inmates in Canadian federal prisons are infected with Hepatitis C. See, Public Health Agency of Canada, "Estimated Prevalence of Hepatitis C Virus Infection in Canada, 2011," Canada Communicable Disease Report, December 18, 2014, volume 40-19.

population.⁶ Today, the proportion of the inmate population over the age of 50 is just under 25%, an overall increase of nearly one-third in the last five years alone.⁷ The growing number of older people behind bars is the result of the combined demographic effects of a general population that is aging, more offenders entering prison later in life, offenders staying longer in prison before release and the accumulation of long-serving, indeterminate or life-sentenced offenders. Today, one in four federal inmates is a 'lifer.' Despite rhetoric to the contrary, a life sentence in Canada does in fact mean life; all 'lifers' will die while still under sentence.

As these trends accelerate and intensify, the Service is struggling to keep pace with their implications. In general, older offenders pose less institutional and public safety risk, but they have greater health needs. From a fiscal perspective, the aging offender population is a principal driver of rising costs of prison health care. Some older offenders are, or will become chronically or terminally ill during the course of their incarceration; some will require palliation and die from naturally attributed causes in prison. Older offenders experience greater hardships in prison, have worse health outcomes, and are one of the most expensive age cohorts to incarcerate while posing the least risk to public safety.

In light of the growing number of older people behind bars, prison-based health care service delivery models need to be re-considered, including the possibility of designating particular institutions or ranges within a penitentiary as geriatric wards staffed with specialized, teambased health care workers – gerontologists, palliative care specialists, occupational therapists, audiologists. Currently, some institutions have trained and employed other inmates to provide basic palliative care services – activities such as changing bedding and clothing, aiding in hygiene



and feeding, as well as keeping palliative inmates company throughout the day are performed by peers. These initiatives should be further encouraged and developed.

3. I recommend that CSC engage its Health Care Advisory Committee to develop a chronic/long-term care model that is responsive to the needs of the growing number of older/geriatric people behind bars. The model should be presented in time to influence CSC's 2016-17 operational budget.

Accreditation of CSC Health Care Services

CSC Health Services participates in Accreditation Canada's program of accreditation, which independently sets standards for quality and safety in health care settings in Canada and around the world. As part of the program of accreditation,

- ⁶ In Canada, and many other jurisdictions, the 50-year old benchmark is used to refer to aging or older offenders. The literature suggests that the natural aging process is accelerated by as much as ten years or more in an institutional (custodial) setting.
- ⁷ The proportion of the inmate population 50 years of age and older has grown considerably in the last 15 years. In 2000, this age cohort represented 12% of the inmate population, rising to 19% of the inmate population in 2010.

CSC facilities are periodically subject to on-site visits. The latest visits took place between April – June 2014 and a report was issued in September 2014. While CSC maintained its accreditation status, overall there were a number of areas requiring improvement at the institutional, regional or national level including:

- 1. Physical infrastructure and space limitations affecting the ability of health care staff to provide safe and optimal care.
- 2. Meeting complex health care needs of an aging inmate population.
- Resolution of role and ethical conflicts (health care needs of offenders viewed as secondary to security or operational demands).
- 4. Unmet standards of infection prevention and control.
- 5. Lack of an electronic medical records system in federal corrections.

- 6. National resource allocation standards and funding formulas, including nurse-to-patient ratios.
- 7. Unmet clinical leadership criteria in mental health services.

Most of these issues are not new to CSC. I have every expectation that the unmet standards identified in the latest accreditation of CSC health services will be addressed and that the program will be used to drive continuous quality improvement in the delivery of patient programs, policies and practices.

4. I recommend that CSC immediately produce an Action Plan detailing the steps to be taken to address the issues of concern identified in the September 2014 Accreditation Canada report. This plan should be vetted at the next meeting of the Health Care Advisory Committee.

Mental Health

Issues in Focus

Prevalence of Mental Health Disorders among Incoming Male Federal Offenders

Sample of Incoming Federal Offenders (N = 1,110 men)

Mental Health Disorder	Prevalence Rate %
Mood Disorders	16.9%
Primary Psychotic	3.3 %
Alcohol or Substance Use Disorders	49.6%
Anxiety Disorders	29.5%
Pathological Gambling	5.9%
Borderline Personality Disorder	15.9%
Antisocial Personality Disorder	44.1%

Source: Beaudette, J.N., Power, J., & Stewart, L.A. (2015). National Prevalence of Mental Disorders among Incoming Federally-Sentenced Men Offenders (Research Report, R-357). Ottawa, ON: Correctional Service Canada.

According to the Corrections and Conditional Release Act, the term mental health care "means the care of a disorder of thought, mood, perception, orientation or memory that significantly impairs judgement, behaviour, the capacity to recognize reality or the ability to meet the ordinary demands of life." These disorders are increasingly common among the offender population reflecting broader developments in the criminal justice, mental health, legal and social systems. Federal prisons now house some of the largest concentrations of people with mental health conditions in the country.

Comprehensive and reliable prevalence data for existing mental health disorders among the total inmate population is not available. A 2015 sampling of incoming male offenders to federal custody suggests very high prevalence estimates for certain disorders. It is estimated that mental health issues are two to three times more common in prison than in the general community. Close to half of incoming male offenders have alcohol dependence or substance use disorders while more than one-third of offenders meet the criteria for concurrent disorders, indicating high rates of co-morbidity. Though known prevalence is high for many mental health disorders, the actual rates could be even higher.⁸

Fetal Alcohol Spectrum Disorder

Estimates of FASD prevalence among correctional populations vary significantly, with numbers ranging from 9.8% to 23.3%. In 2011, the CSC conducted a research study of FASD prevalence in

a federal correctional population.¹⁰ It found that, among a sample of newly admitted adult male offenders (age 30 and under), 10% of participants met the criteria for FASD. Another 15% of the sample met some of the diagnostic criteria, but were missing information critical to making or ruling out a positive diagnosis. The rate of FASD among this sample is 10 times higher than current general Canadian incidence estimates (9 in 1,000 according to Health Canada).

Interestingly, none of the offenders diagnosed in this research study had been previously identified as being FASD-affected. As the research concludes: "there is a population within CSC who are affected by FASD who are currently not being recognized upon intake, and are not being offered the types of services or programs that meet their unique needs. Screening to identify those at risk for FASD is necessary and has been demonstrated as feasible in a correctional context."

Four years later, CSC still does not have a reliable and validated system to screen, assess and diagnose FAS Disorders among newly admitted federally sentenced offenders. This is a vulnerable population with significant mental health and behavioural needs. A more recent sample of inmates living with FASD in a federal penitentiary suggests that these offenders exhibit neuropsychological deficits in attention, executive functioning and adaptive behaviour that impact their ability to adjust to an institutional setting. They were much more likely to have had multiple convictions and previous periods of incarceration as both youth and adults. While incarcerated,

- ⁸ CSC uses several assessment tools to screen offenders for potential mental health issues at various points in their incarceration, including its Computerized Mental Health Intake Screening System (CoMHISS), Version 2. These tools do not establish prevalence rates, but rather identify (or 'flag') individuals for further follow-up and/or intervention. As each tool is different in terms of its measures and sensitivity, the CSC requires a more effective, validated and reliable screening and assessment process which can assist in diagnosis and treatment interventions. For additional context see, Correctional Service of Canada, *Agreement among Three Mental Health Screening Assessments Conducted at Intake* (March 2014).
- Institute of Health Economics (Alberta), *Systematic Review on the Prevalence of Fetal Alcohol Spectrum Disorders*, (April 2013). It is difficult to reliably establish FASD prevalence rates in correctional settings as there is considerable variation in methods of diagnosis, testing and case identification. It is complicated by the need for some diagnoses to confirm a history of maternal drinking in a population that was often the victim of abuse, neglect or subject to intervention by child protection authorities. The impact and interplay of socio-economic factors and maternal use of alcohol in disadvantaged settings suggests that FASD is a substantial problem among youth and adult correctional populations.
- 10 Correctional Service Canada, Fetal Alcohol Spectrum Disorder (FASD) in a Correctional Population: Prevalence, Screening and Characteristics (June 2011).

they are more likely to be involved in institutional incidents, both as instigators and as victims, and to incur institutional charges. They complete their correctional programs at much lower rates, and they typically spend more of their sentence incarcerated before first release. Offenders with FASD are more likely to be returned to the community on statutory release.¹¹

The range of cognitive deficits that characterize FASD – difficulty understanding consequences of behaviour, inability to make connections between cause and effect, impulsivity, drug or alcohol problems, failure to learn from mistakes have important legal and practical implications for the criminal justice system writ large.¹² The unfortunate reality is that a significant proportion of FASD-affected offenders still enter prison today undiagnosed and they remain untreated throughout their incarceration. Though CSC can and does adapt programs to accommodate learning styles and needs, there are no interventions specifically for offenders with FASD. There is evidence to suggest that individuals with FASD benefit from programs that are structured, provide repetition and use multiple modalities. Without specialized programs, supports and services, the outcomes for offenders with FASD are considerably compromised. Though such strategies exist, there is a prerequisite to identify those offenders with cognitive deficits who could benefit from adapted interventions.13

5. I recommend that CSC establish a standing expert advisory committee on FASD to establish prevalence, provide advice on screening, assessment, treatment and program models for FASD-affected offenders. The Committee should recommend a FASD strategy for CSC's Executive Committee in the next fiscal year.

'Optimal' Model of Mental Health Care

To manage the rising number of offenders with mental health issues, to contain costs and better match service level with predicted need, the Service is implementing what it calls an 'optimal' (or 'refined') model for mental health service delivery. Under this model, some existing treatment bed spaces at its regional treatment facilities will be 'de-listed.' With the savings generated, CSC will repurpose treatment capacity to add intermediate care both at the treatment centres and at some of its penitentiaries. At the end of the reporting period (March 31, 2015), the CSC had plans to increase the total number of "mental health beds" in federal corrections to 778, which includes 150 psychiatric beds and 628 intermediate-level care bed spaces. While the designated intermediate care capacity is new, it seems to come at the expense of approximately 500 psychiatric treatment beds.

The initial estimates of required mental health bed capacity (or the 'optimal' mix between acute and intermediate care) are based on mental

- 11 Correctional Service Canada, Institutional Adjustment of Offenders Living with Fetal Alcohol Spectrum Disorder (FASD) in a Canadian Federal Penitentiary (May 2014).
- Fitness to stand trial, diminished responsibility, testimonial capacity and reliability, effective representation are just some of the challenges that FASD defendants pose to the criminal law. Moreover, the response of the criminal justice system may exacerbate individual difficulties associated with FASD. For example, sending an FASD affected person to jail to "learn a lesson" may be an exercise in futility; similarly, a sentence founded on specific or general deterrence is not likely to carry the same meaning for an FASD person. See Timothy Moore and Melvyn Green. "Fetal Alcohol Spectrum Disorder (FASD): A Need for Closer Examination by the Criminal Justice System." Unpublished Paper.
- For additional context, see Correctional Service Canada, Prevalence Rates, *Profile and Outcomes for Federally-Sentenced Offenders with Cognitive Deficits*, Research Report R-298 (April 2014).

health prevalence data contained in an internal report commissioned by the Service. This September 2013 report, based on a model of mental health services promoted by the World Health Organization,¹⁴ estimates that about 3.5% of the inmate population requires acute mental health intervention and that another 6.4% require a degree of intermediate level care. Though CSC's estimated bed requirements use more elaborate models and methods (including length of stay), based on a total in-custody population of approximately 15,000 the Office estimates that CSC actually requires more than 500 acute psychiatric care beds and nearly 1,000 intermediate beds just to keep pace with current needs and demands. In other words, the refined model could be short by about half the number of required bed spaces to match current, let alone, future needs.15

Under the plan, hundreds of formerly designated acute psychiatric hospital beds will be eliminated and replaced by intermediate bed spaces. The impact of these changes at the local and regional level is considerable. For the Atlantic Region, "repurposing" of the Shepody Healing Centre, which is co-located within the Dorchester Penitentiary complex, has meant transferring some patients with severe mental illness to other regions, including Quebec, where language, culture and separation from family may pose significant barriers. As a national federal entity, the Service has a legal responsibility to ensure equality of access to essential health care services even in under-serviced regions. The "optimal" model of care being implemented nationally must respect variation in levels of access to care or service delivery capacity across Canada's five regions.

It is troubling that intermediate care capacity is being made possible through the elimination or reduction of psychiatric care beds across the country. De-listing or conversion of psychiatric hospital beds to create and pay for intermediate care capacity needs has regulatory, oversight and accreditation implications that do not seem to have been taken into account. Through all of this, it is not clear how a reduction in psychiatric beds can possibly lead to an "optimal" or efficient model of mental health care service delivery. Indeed, from the Office's perspective, the assumptions and estimates of prevalence informing this model have not been subject to sufficient independent analysis, testing or corroboration.

6. I recommend that the Department of Public Safety commission, in partnership with Health Canada, an independent validation of CSC's 'optimal' model of mental health care and report findings to the Minister of Public Safety.

CSC's Response to the Ashley Smith Inquest

CSC's long-awaited response to the inquest into the death of Ashley Smith was finally released on December 11, 2014, nearly one year after the verdict and 104 recommendations were delivered by the Ontario Coroner,¹⁶ and fully seven years after Ashley died in a segregation cell at Grand Valley Institution for Women in October 2007.¹⁷

The response itself, both in form and content, is frustrating and disappointing. Organized thematically around five 'pillars' previously announced by the Minister of Public Safety in an interim response (Mental Health Action Plan for Federal Offenders) in May 2014, the response fails to specifically address individual jury recommendations. This approach makes it difficult to know which recommendations are endorsed and supported versus those that have been rejected, ignored or supported only in part.

See, World Health Organization (WHO), The Optimal Mix of Services for Mental Health, 2007.

CSC has since clarified that, though the total number of mental health beds will remain stable at 778, the 'optimal' mix of acute vs. intermediate beds will be reviewed annually and adapted to match services with needs.

The jury's verdict and recommendations (December 19, 2013) can be accessed at http://www.canlii.org/en/on/onocco/doc/2013/2013canlii92762/2013canlii92762.html

¹⁷ CSC's response can be accessed at http://www.csc-scc.gc.ca/publications/005007-9011-eng.shtml

CSC claims that a thematic response was called for given that the jury's 104 recommendations covered a wide spectrum of issues. Though it refers to its response as meaningful, comprehensive and encompassing, this is not a widely held view. Public and stakeholder commentary both on the day of release and since has not been favourable.

On many fronts, the response simply misses the mark. It is largely retrospective and backward-looking covering familiar territory rather than committing to a more reform-minded correctional agenda. It fails to support core preventive, oversight and accountability recommendations issued by the jury.

I have raised these and other concerns in my exchanges with the Minister of Public Safety. I have suggested to the Minister that there still remains an opportunity – and expectation – that unsupported recommendations will be acted upon:

- 1. Prohibit long-term segregation (in excess of 15 days) of mentally disordered inmates.
- 2. Commit to move toward a restraint-free environment in federal corrections for mentally ill offenders.
- 3. Appoint independent patient advocates and rights advisors at each of the Regional Treatment Centres.
- 4. Provide for 24/7 <u>on-site</u> nursing services at all maximum, medium and multi-level penitentiaries.
- 5. Give clear and direct line authority to the Deputy Commissioner for Women for all matters relating to the care and custody of federally sentenced women.
- 6. Promulgate policy and practices that are more responsive to the unique needs of younger offenders (age 25 and under).

 Establish a 5-year internal audit plan on key concerns identified in the Jury's inquest recommendations regarding legal and policy compliance

One of the most frustrating aspects of this file has been CSC's decision to delay response to some outstanding reports and recommendations of my Office as it considered its response to the Inquest. In practical terms, this has meant that, until recently, I did not have a response to Risky Business (An Investigation of the Treatment and Management of Chronic Self-Injury among Federally Sentenced Women), a report that was originally released in September 2013. Responses to a handful of mental health care and use of force recommendations made in my 2012-13 and 2013-14 Annual Reports were also delayed, as was the response to my Office's Three Year Review of Inmate Suicides, released on World Suicide Prevention Day (September 10, 2014).18 CSC claimed it needed time to complete a thorough and integrated review of the implications of these reports and their recommendations before responding.

I have since requested and been provided additional information about some of the new or ongoing initiatives that CSC is pursuing following its response to the inquest. These initiatives include:

- 1. CSC's initiative to identify newly admitted offenders who may be at risk of becoming segregated early in their sentence.
- 2. Research project on the effectiveness of CSC's Segregation Intervention Strategy.
- 3. Details of CSC's Segregation Renewal Strategy, including proposed regulatory amendments to administrative segregation.
- 4. Review of the Situational Management Model to medical emergencies, incidents of self-injurious behaviour and offenders with mental health disorders.

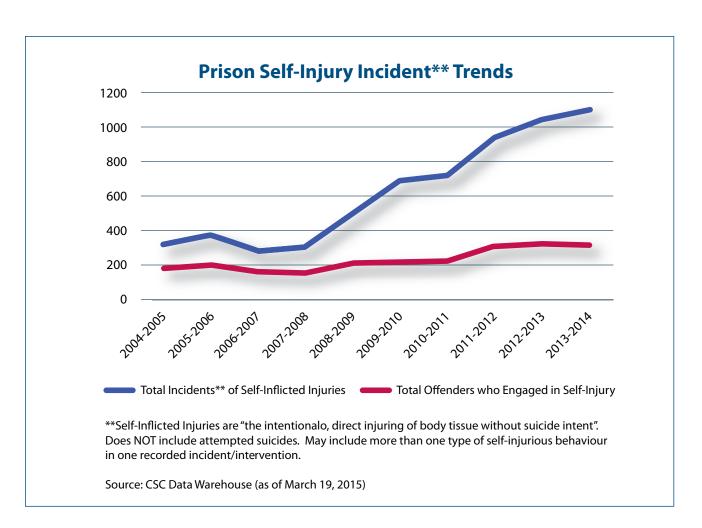
Reports can be accessed at www.oci-bec.gc.ca

- 5. Status of partnerships with provincial forensic hospitals for inpatient psychiatric care.
- 6. Implementation of the optimal mix of mental health care services and repurposing of existing hospital beds to intermediate mental health care beds.
- 7. Case Study on Ashley Smith's experience.

It is clear from these ongoing commitments that the Ashley Smith file is far from closed. This Office will continue to hold the Service answerable and accountable for commitments that have been made, as well as those that still remain unfulfilled.

Prison Self-Injury

Self-inflicted injuries in federal prisons are increasing, more than doubling over the past 5 years. In 2013-14, there were 578 self-injurious incidents involving 60 different federally sentenced women inmates. The five most chronic self-injurious female offenders accounted for 58.3% of all self-injurious incidents involving women. Together, these women accounted for almost one-third of the total self-injurious incidents among the entire inmate population. Two of these women were Aboriginal. Nearly three-quarters of all incidents involving women occurred at one facility – the Regional Psychiatric Centre (RPC), Saskatoon.



The five most chronic self-injurious male offenders accounted for 14.8% of all incidents involving men. Three of the five most chronic self-injurious male offenders were Aboriginal. For men, 55.3% of all self-injury incidents took place at the Regional Treatment Centres. This is perhaps not surprising, given that men and women offenders who reside in treatment centres are more likely to meet the diagnostic criteria for a mental disorder as well as to have experienced childhood sexual, emotional and physical abuse, and emotional neglect.¹⁹

In 2014-15, a use of force intervention was reported in 16.3% of all self-injurious incidents, repeating a pattern in which behaviours associated with mental illness are often met by a security versus therapeutic response. As detailed in *Risky Business*, I continue to be concerned about CSC's management of chronic self-injury, particularly the use of segregation and restraint equipment

to control or manage serial self-harm. More and more research has established links between self-injurious behaviour and traumatic experiences. This relationship appears predictive in both men and women offenders engaged in chronic self-injurious behaviour in prison settings. This knowledge should help inform individualized treatment and intervention plans for these offenders.

7. I recommend that CSC examine international research and best practices to identify appropriate and effective trauma-informed treatment and services for offenders engaged in chronic self-injurious behaviour, and that a comprehensive intervention strategy be developed based on this review.

PREVENTION OF DEATHS IN CUSTODY

Prison Suicide

Suicide is the leading cause of un-natural death in federal prisons, accounting for about one-in-five deaths in custody in any given year.²⁰ The rate of prison suicide has been declining, but it is still several times higher than in the general population.²¹

To mark World Suicide Prevention Day, on September 10, 2014 the Office released an investigative report that examined 30 inmate suicides that occurred over a three year period (2011 to 2014).²² As the review makes clear, most of those who commit suicide in prison have a documented mental health issue or a history of attempted suicide, suicidal ideation or self-harming behaviour. Just under half of those who ended their life in prison were prescribed psychotropic medications at time of death, a potential precipitating factor also raised in the report by the 2nd Independent Review Committee into federal deaths in custody.²³

The most disturbing finding of this review was that 14 of the 30 suicides took place in segregation cells. Segregation placement was found to be an independent factor that elevated suicidal risk. Nearly all of the segregated inmates had known mental health issues; most were or had been referred and/or seen by mental health staff while on segregation status. Significantly, ten of the 14 inmates who committed suicide in segregation were beyond the 15 day mark; five in fact had been held in segregation for more than 120 days prior to taking their life. The fact that segregated inmates had both the means and opportunity to end their lives in an area of the prison that is supposed to be safe and subject to continuous monitoring represents a serious organizational vulnerability.

- The number of prison suicides fluctuates annually. In the 20-year period from 1994 to 2014, a total of 211 federal inmates took their own life, averaging about 10 suicides per year.
- ²¹ See, Corrections and Conditional Release Statistical Overview: 2014 Annual Report (Figure C19 and Table C19).
- ²² The full report, *A Three Year Review of Federal Inmate Suicides (2011 2014)*, can be accessed at www.oci-bec.gc.ca .
- See, The Final Report of the Independent Review Committee into Federal Deaths in Custody 2010-2011 (November 2012), accessed at http://www.csc-scc.gc.ca/publications/005007-2301-eng.shtml.



Issues in Focus

A Three Year Review of Federal Inmate Suicides (2011-2014)



Office of the Correctional Investigator

A Three Year Review of Federal Inmate Suicides (2011 – 2014)

September 10, 2014

The Correctional Investigator L. Enquilleus correctionnel Canada

Correcte

Canada

Major Findings

- Most inmates who commit suicide are unmarried, Caucasian males, 31-40 years of age.
- 14 of the 30 suicides occurred in segregation cells. Almost half were incarcerated in medium security; 9 in maximum security.
- Most had previously attempted suicide; seven more than twice. Nearly 25% expressed suicidal ideation in the days leading up to their death.

The report raises the possibility that some of these suicide deaths could have been averted through more rigorous screening procedures, better information sharing or more timely access to mental health services. The investigation highlighted some recurring risks and gaps in CSC's overall deaths in custody prevention strategy:

- 1. Management of mentally disordered offenders in segregation
- 2. Quality of post-incident investigative reviews
- 3. Segregation placement as an independent factor in deaths in custody
- 4. Screening, identification and monitoring of suicide risk (precipitating factors)
- 5. Failure to learn from repeated mistakes

I concluded my review of prison suicide with pointed criticism of the Service's internal investigative process:

A major impediment to progress appears to be the lack of immediate and substantive follow-up, especially dissemination of lessons learned from boards of investigation across a very decentralized Service. The fact that corrective measures are brought forward to senior management normally several months (or even years) after the incident invariably raises the likelihood that the same organizational shortcomings are permitted to be perpetuated over and over again. Focused almost exclusively on operational compliance, audits and post-incident investigations pay surprisingly little attention to organizational risks and environmental hazards (e.g. access to mental health treatment and supports, segregation as an independent variable, access to in-cell suspension points) that should have been reasonably expected to have been mitigated Lessons learned from even a single suicide should have a lasting impact on the organization and its efforts to prevent and publicly account for deaths in custody. Postincident investigations should drive needed transparency and accountability reforms ..."24

CSC is taking some steps to address this criticism. A series of internal documents – Lessons Learned Bulletins, Discussion Guides and Thematic Analysis – are being produced by the Incident Investigations Branch to facilitate and encourage broader exchange and sharing with front-line staff of recommendations, best practices, and corrective measures drawn and derived from national investigations. The collective focus of this effort is on learning and fostering improvement. This work is to be encouraged, expanded and embedded across the Service.

There are several other ways for CSC to enhance its prevention efforts. Four years have now passed since the Service published its last Annual Inmate Suicide Report (an initiative that dates back to 1992). Three years after committing to do so, the Service finally has issued its first annual public report on deaths in custody.²⁵ There appears to be no Government of Canada interest in creating an independent national advisory forum to share information and lessons learned to reduce the overall number and rates of in-custody deaths in Canada. CSC continues to place mentally disordered, self-injurious and suicidal inmates in long-term administrative segregation in cells with known suspension points. The Service also continues to reject calls for the routine and timely sharing of investigative reports into deaths in custody with designated family members, as well as provincial and territorial Coroner and Medical **Examiner Offices.**

These are all missed opportunities that could help foster a more accountable, open and transparent correctional system. I suggest that these deficiencies would not be tolerated in any other institutional care setting. To do so in our prison system is contrary to the duty of care owed to those under state control.

Natural Cause Deaths in Custody

As more offenders age behind bars a greater percentage of the population is succumbing to chronic disease and mortality. In 2014-15, there were 43 deaths in CSC facilities preliminarily attributed to "natural causes." Reflecting a growing number of older/elderly people behind bars, the yearly number of natural cause deaths now far exceed all other non-natural causes of death behind bars combined (suicide, murder, overdose, accident). Natural cause mortality (and the costs associated with end of life care in prison) can be expected to increase even further as the inmate population, like the rest of Canadian society, ages.

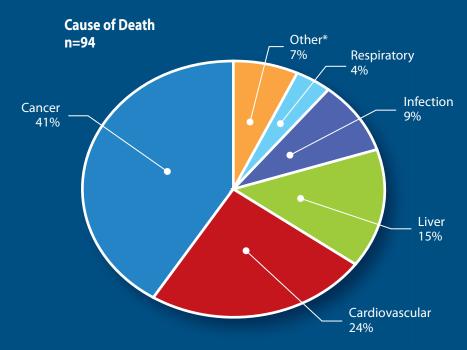
During the reporting period, CSC assembled a team to address the backlog of mortality reviews that had yet to be convened; some of these deaths dated back to 2011. The findings from the backlog of 94 cases have some important policy and practice implications for prevention of deaths in custody. As indicated, similar to national mortality rates cancer is the leading cause of natural death among the inmate population. Cardiovascular issues accounted for 24% of deaths behind bars. Liver (cirrhosis or liver failure) was fatal in 15% of cases followed by infection (9%) and respiratory failure (4%). 36% of all natural cause deaths were deemed "unexpected" - the result of sudden cardiac arrest, complications arising from medical procedures or rapid disease progression.

Significantly, nearly 60 of the natural cause deaths involved individuals who were receiving palliative care (including end of life) services. Of those palliation cases, 60% died in a CSC regional hospital, 31% died in a community hospital and 9% succumbed in a CSC institution. Though prisons were never meant to serve as hospitals, nursing homes or hospice facilities, they are increasingly under strain to perform these functions.

²⁵ The report is dated February 27, 2015 and reviews in-custody deaths for 2013-14. It is posted on the Service's website at http://www.csc-scc.gc.ca/publications/005007-9002-eng.shtml

Issues in Focus

Natural Mortality in Federal Prisons



Average age at time of death: 60 years.

Source: Correctional Service of Canada. Health Services Mortality Review: Review of Revised Process. Presentation Deck (March 5, 2015).

Parole by Exception (compassionate release) provisions of the *Corrections and Conditional Release Act* were explored in 36 of 55 of the palliative care cases. Of those, 14 applications were made to the Parole Board of Canada for review; only 4 were granted. In 19 of 55 palliative cases, the rapid course of illness did not allow sufficient time to explore alternatives to incarceration. Five inmates refused to submit an exception request; for some their wish was to remain at a CSC facility

to receive end of life care. Managing palliation in a prison setting is challenging to say nothing about the erosion of human dignity that dying behind bars implies.

In 2014-15, the CSC implemented a number of changes to its mortality review process, many of which were responsive to issues raised and recommendations made by this Office.²⁶ Significant among them is the strengthened role

^{*} Other includes: Alzheimer's disease, post-operative complications, gastrointestinal bleeding, renal failure and necrotizing pancreatitis.

Office of the Correctional Investigator, An Investigation of the Correctional Service of Canada's Mortality Review Process (December 18, 2013). The report can be accessed at www.oci-bec.gc.ca

of the Senior Medical Advisor who now has more direct involvement in the decision to convene and proceed with a natural mortality review. The Medical Advisor also now chairs and signs off on mortality reviews bringing more rigour and focus on the cause of death and the relevant medical events preceding death. Together with a more timely, effective and focused review of the cause of death, CSC is expecting to produce results in real time yielding quicker attention to meaningful corrective measures and quality improvement initiatives in health care delivery.

A key criticism of the mortality review process is that it rarely yielded any findings or recommendations of national significance. As the backlog of mortality reviews finally makes its way to my Office, I expect to see that the revamped process addresses this major organizational weakness. Mortality reviews should also more directly link with health strategies to prevent, manage and treat the onset of chronic disease and illness behind bars.

Directions for Reform

Quite apart from these internal procedural reforms, I remain concerned that the average age of federal offenders who die either in custody or under sentence in the community of natural causes is far below national life expectancies. The average age at death for a federal inmate is low (averaging around 60 years), much younger than the Canadian life expectancy of 78.3 years for males and 83 years for females. This trend of premature death holds consistent for offenders still under sentence in the community where the average age of death is 62.5 years. Though offenders tend to come to prison in much poorer physical, mental and social health than the population at large, it is my belief that a federal sentence should not, in and of itself, be predictive of a shortened life expectancy.

The rising number of natural cause deaths behind bars points to the need for some clear public policy direction. Today, the oldest inmate serving a sentence in a federal prison is 88 years old. 630 or so inmates are age 65 or older. Another 265 inmates are age 70 years or more. Few, if any, of these offenders would likely to be deemed to pose an active or ongoing risk to public safety. Yet many of these aging offenders are, or will become, chronically ill during the course of their incarceration; some will require palliation and die from naturally attributed causes. As many of these older inmates are also 'lifers,' they will all live out their natural lives still under sentence regardless of whether they are incarcerated or paroled to the community.

As prison health care costs rise under strain to manage complex and chronic illness, it may be time to more seriously consider measures being adopted in other jurisdictions, which are also struggling to keep pace with the rising numbers and costs of keeping an aging population locked up. In the United States, for example, some jurisdictions have introduced "medical parole" provisions, which allows an inmate with a short life expectancy or who is deemed to no longer pose a threat to society to be paroled to the community. The US Bureau of Prisons now permits offenders over the age of 65 with chronic or serious health conditions and who have served at least half of their sentence to apply for early release. Individuals who meet the age requirement, but who are not afflicted with a life-ending condition can also apply, provided they have served at least 10 years or 75% of their sentence.

The movement to expand release options for older inmates who pose little or no substantive risk to public safety not only makes economic sense; it is also validated by research which shows criminal risk declines significantly as people age. We should use this knowledge to inform better public policy responses to aging and crime. For example, I would suggest that escape risk is not an entirely valid, proportionate or necessary reason for keeping a 60 or 70-year old locked up in a medium security facility.

CSC needs to enhance partnerships with outside service providers, including arrangements that

would allow a critically ill inmate to serve out his or her sentence in a long-term care or hospice setting. Better utilization of 'parole by exception' provisions is also required. It is unacceptable that a terminally ill offender would die behind bars simply because case workers were unwilling or unable to go through the administrative steps necessary for bringing the case to a hearing before the Parole Board. At an annual average incarceration cost of than \$108,000, surely it is time to explore alternative community options that are safe, appropriate and cost-effective.

The concepts of dignity and decency should inform efforts going forward. For both justice and cost reasons, federal corrections requires viable, responsive and effective alternatives to incarceration for elderly and geriatric offenders. Other jurisdictions are leading the way – Canada has some catch up to do.

8. I recommend that the Minister of Public Safety request that the Public Safety and National Security Committee (SECU) of parliament conduct a study and public hearings into policy options for managing the care, custody and safe release of inmates aged 65 and over who no longer pose an ongoing substantiated risk to public safety.

CONDITIONS OF CONFINEMENT

Special Focus on Administrative Segregation

Issues in Focus

What is Administrative Segregation?

- Section 31 of the Corrections and Conditional Release Act (CCRA) states that "the purpose of administrative segregation is to maintain the security of the penitentiary or the safety of any person by not allowing an inmate to associate with other inmates."
- Effectively a "prison within the prison," Canadian law and policy allows for the use of administrative segregation for the shortest period of time necessary, in limited circumstances and only when there are no other reasonable or safe alternatives.
- Administrative segregation is not intended to be used as a form of punishment.
- There are no legal limits on how long an inmate can be held in administrative segregation, though there are mandated procedural reviews that take place at the 5, 30, and 60 day marks. A handful of inmates have been held in perpetual, long-term or indefinite segregation, in some cases lasting years.
- Many terms, such as administrative segregation, dissociation, isolation, seclusion, protective custody and solitary confinement are used, often interchangeably, to describe the segregation experience. These terms encompass a range of conditions of detention, but they share some common elements e.g. restrictions on freedoms of association, assembly and movement and they imply some degree of perceptual and sensory deprivation as well as social isolation. The generally accepted term that captures these common elements, including administrative segregation, is "solitary confinement."



For more than 20 years, the Office has extensively documented the fact that administrative segregation is overused. With an average daily inmate population of just over 14,500 the CSC made 8,300 placements in administrative segregation in 2014-15. On April 1, 2014, there were 749 offenders in administrative segregation. There is no escaping the fact that administrative segregation has become the most commonly used

population management tool to address tensions and conflicts in federal correctional facilities. During the reporting period, 27% of the inmate population experienced at least one placement in administrative segregation. It is so overused that nearly half (48%) of the current inmate population has experienced segregation at least once during their present sentence.

Issues in Focus

What is Administrative Segregation (continued...)

- In A *Sourcebook on Solitary Confinement*, Dr. Sharon Shalev (2008), a leading international authority on solitary confinement, states:
 - Solitary confinement is defined as a form of confinement where prisoners spend 22 to 24 hours a day alone in their cell in separation from each other. Notwithstanding the different meanings attached to each of these terms in different jurisdictions, the term 'solitary confinement' is used interchangeably with the terms 'isolation' and 'segregation' when describing regimes where prisoners do not have contact with one another, other than, as is the case in some jurisdictions, during an outdoor exercise period.
- In the Canadian federal context, the term "administrative segregation" falls well within the spectrum of restrictive environments captured by the definition of solitary confinement. Administrative segregation involves social separation, seclusion and isolation of an inmate in a sensory depriving environment.
- In practice, segregated inmates spend 23 hours a day alone in their cells (furnished with a bed and a toilet no table or chair). The segregated inmate eats all meals alone in the cell, is permitted to take an hour of outdoor exercise per day (weather permitting and with other compatible inmates if possible), is given the opportunity to shower every second day and has limited access to the phone.
- Offenders who are segregated for more than a week are normally permitted to have some of their personal effects, including TV sets.
- The majority of interactions with correctional staff, nurses and psychologists are conducted through the food slot of the segregation cell door. The Canadian experience is such that segregated inmates have very few meaningful human or social contacts.
- According to Dr. Shalev, between one-third and 90% of prisoners experience some negative impacts
 of long-term solitary confinement. The symptoms may include insomnia, confusion, feelings of
 hopelessness and despair, distorted perceptions and hallucinations.

Administrative segregation is also commonly used to manage mentally ill offenders, self-injurious offenders and those at risk of suicide. Inmates in administrative segregation are twice more likely to have a history of self-injury and attempted suicide, and 31% more likely to have a mental health issue. 68% of inmates at the Regional Treatment Centres (designated psychiatric hospitals) have a history of administrative segregation, further evidence that the CSC uses segregation to manage behaviours associated with mental illness.

The over-reliance on segregation is not uniform; certain incarcerated groups are more affected than others, including federally sentenced women with mental health issues, Aboriginal and Black inmates. Aboriginal inmates continue to have the longest average stay in segregation compared to any other group.

In 1992, the *Corrections and Conditional Release Act* (*CCRA*) incorporated key procedural safeguards to govern the use of administrative segregation in federal corrections. These legal provisions include:

- Release from administrative segregation at the earliest appropriate time.
- Reasonable alternatives to administrative segregation must first be explored and exhausted.



- Inmates in administrative segregation have the same rights as those in the general inmate population, except those that cannot be exercised due to limitations specific to administrative segregation or security requirements.
- The CSC shall take into consideration an offender's state of health and health care needs in all administrative segregation decisions.

Issues in Focus

Administrative Segregation and Canada's International Obligations

- The International Convention on Political Rights (ratified by Canada in 1976) states that "no one shall be subjected to torture or to cruel, inhuman or degrading treatment or punishment." The UN Human Rights Committee stated in 1994 that prolonged solitary confinement may amount to prohibited acts of torture.
- The Convention on the Rights of Persons with Disabilities (ratified by Canada in 2010) stipulates that "on the issue of solitary confinement it should never be used on a person with disability, in particular with a psychosocial disability or if there is danger for the person's health in general."
- The Standard Minimum Rules for the Treatment of Prisoners (ratified by Canada 1977) states that "punishment by close confinement or reduction of diet shall never be inflicted unless the medical officer has examined the prisoner and certified in writing that he is fit to sustain it."
- The Basic Principles for the Treatment of Prisoners (1990) requires that "efforts addressed to the abolition of solitary confinement as a punishment, or to the restriction of its use, should be encouraged."
- The UN Special Rapporteur of the Human Rights Council on Torture and other Cruel, Inhuman or Degrading Treatment or Punishment (2011) concluded that:
 - Solitary confinement is contrary to the rehabilitation and reintegration aims of the penitentiary system.
 - Solitary confinement in excess of 15 days should be prohibited.
 - Solitary confinement of persons with known mental disabilities of any duration is cruel, inhuman or degrading treatment.
- The World Health Organization (WHO Europe) published in 2014 a report entitled *Prison and Health*. It finds:
 - Solitary confinement has a negative impact on the health and well-being of those subjected to it, especially for a prolonged time.
 - Those with pre-existing mental illness are particularly vulnerable to the effects of solitary confinement.
 - Solitary confinement can affect rehabilitation efforts and former prisoners' chances of successful reintegration into society following their release.
- International human rights law requires that the use of solitary confinement must be kept to a minimum, reserved for the few cases where it is absolutely necessary, and that it should be used for as short a time as possible.

Unfortunately, unlike the legal provisions that guide disciplinary segregation, the CSC is solely responsible for placing and maintaining offenders in administrative segregation and for complying with the above standards. Maintaining that it needs administrative segregation to safely manage its institutions, the CSC has resisted nearly every call to reform or limit its use or introduce some form of external oversight. In the last ten years, the Office has made 31 separate recommendations to strengthen the administrative segregation governance and accountability framework including:

- Independent adjudication of administrative segregation placements
- Enhanced due process
- Prohibit segregation for those who are seriously mentally ill, self-injurious or suicidal
- Disallow indefinite segregation
- Create alternatives (intermediate mental health care units) to segregation to meet least restrictive criteria
- Prohibit double-bunking (placing of two inmates in a cell designed for one) in administrative segregation
- Develop alternatives to reduce use of segregation for younger offenders.
- Eliminate points of suspension in segregation cells.

Over the years, CSC has accepted a few recommendations regarding staff training and it has made minor administrative policy changes to the segregation framework. It is now moving forward with the creation of intermediate mental health care capacity, which may provide some much-need alternatives to administrative segregation for inmates with mental health issues. However, CSC has consistently and repeatedly rejected any call to strengthen oversight and accountability deficiencies.

Most recently, in its December 2014 response to the Ashley Smith inquest, the Service stated that it could not fully support several aspects of the jury's ten recommendations that would place restraints on its use of segregation and seclusion "without causing undue risk to the safe management of the federal correctional system." Although it accepted that administrative segregation is "generally not conducive to healthy living," CSC specifically rejected core jury recommendations calling for:

- 1. Abolishment of indefinite solitary confinement.
- 2. Prohibition on placements in conditions of long-term segregation, clinical seclusion, isolation or observation.
- Restriction on the use of segregation and seclusion to 15 consecutive days, in accordance with international standards.
- 4. Prohibition on segregation for more than 60 days per year.

In its response, CSC noted that it is currently engaged in a "Segregation Renewal Strategy" that will ostensibly reduce the length and number of segregation placements, prevent unwarranted admissions and motivate offenders for release from segregation when risk can no longer be substantiated. According to the Service, "this strategy is intended to reframe the thinking about how segregation is used in CSC and strengthen oversight and decision-making. The goal of the strategy is to reduce the reliance on segregation by creating better options and finding more innovative alternatives for safe reintegration." To this end, as the Service indicated in its response to the Ashley Smith inquest, the Minister intends to propose a number of regulatory amendments dealing with administrative segregation that relate to offenders with mental health disorders. CSC has committed to amend its policy framework to reflect the intent of these regulatory changes during the first quarter of 2015. I encourage the Service and Minister to make this work a priority.

Issues in Focus

Key Facts and Trends in Administrative Segregation Today

As of March 2015

- 48% of the current incarcerated population has a history of segregation.
- 26% of all male inmates were admitted to segregation at least once in fiscal year 2014-15, compared to 25% of federally sentenced women inmates.
- The average length of stay in administrative segregation today is 27 days (down from 40 days ten years ago).
- Aboriginal and black inmates are over-represented in segregation. One-third of Aboriginal inmates were segregated at least once during 2014-15. Aboriginal inmates also have the longest average stays in segregation.
- Of the 659 inmates in segregation today, 13.7% have a history of self-injurious behaviour. Of all federal inmates with a history of self-injury, more than 85% also have a history of segregation placement.
- Inmates with a history of segregation are more likely to be assessed as high risk, high needs, low motivation, low reintegration potential and low accountability.
- Inmates with a segregation history are more likely to have behavioural, mental health and/or cognitive issues requiring interventions.
- Over 20% of those inmates who have a history of segregation have also been in a Regional Treatment Centre (psychiatric hospital).
- More than two-thirds of current inmates who have been in a treatment centre have also been in segregation. For women inmates, the ratio is 78.9% and 72.9% for Aboriginal inmates.

One of the most disturbing elements in the evolving administrative segregation framework is that it is used as a punitive measure to circumvent the more onerous due process requirements of the disciplinary segregation system. For the reporting period, there were only 209 placements in disciplinary segregation (or 2.5% of the total segregation placements) compared to 8,309 placements in administrative segregation. The disparity in procedural safeguards between administrative and disciplinary segregation helps explain the discrepancy. Disciplinary segregation has significant procedural safeguards, including

sharing information with offenders, holding hearings before an external Independent Chair Person (ICP) and meeting a higher burden of proof ("beyond reasonable doubt"). Although there are procedural safeguards for administrative segregation, these are internally administered by the CSC. Disciplinary segregation also has an upper maximum limit of 30 days whereas administrative segregation does not. In fact, the average length of stay in administrative segregation is more than twice that of disciplinary segregation.

The CCRA stipulates that CSC must rely upon the disciplinary process to address minor and serious disciplinary infractions. However, it appears that circumventing the disciplinary process to isolate, contain, separate, control, manage or even punish has become common. It is easier to deal with tensions and conflicts by placing an offender in administrative segregation than to lay formal disciplinary charges and face the prospect of a hearing before an external ICP.

There is also little doubt that administrative segregation is viewed by those who suffer from mental illness as punitive. In September 2013, the Office released an investigative report that looked at federally-sentenced women who chronically self-injured in prison (Risky Business). The women reported to the Office that they saw no difference between administrative segregation, disciplinary segregation, suicide watch or clinical isolation or seclusion. They perceived these placements, regardless of their name or purpose, as punishment for their self-injurious behaviour. Further, as the Office's prison suicide investigation noted, segregation was found to be an independent factor that elevated the risk of suicide.

The legal distinction between voluntary and involuntary administrative segregation is largely illusory and increasingly unhelpful. There is nothing "voluntary" about voluntary segregation – many inmates who seek refuge in administrative segregation do so because they fear for their personal safety. Most inmates who voluntarily request administrative segregation would return to the general inmate population if the risk to their physical integrity was removed and their safety assured by the CSC.

Segregation is the most onerous and depriving experience that the state can legally administer in Canada; it is only fitting that safeguards should match the degree of deprivation. The system desperately requires reform not "renewal." As Canada's prison Ombudsman, I will continue to advocate for significant, meaningful and

lasting reforms to the administrative segregation operational and legal framework.

9. I recommend that the Government of Canada amend the Corrections and Conditional Release Act to significantly limit the use of administrative segregation, prohibit its use for inmates who are mentally ill and for younger offenders (up to 21 years of age), impose a ceiling of no more than 30 continuous days, and introduce judicial oversight or independent adjudication for any subsequent stay in segregation beyond the initial 30 day placement.

Offender Grievances

The number of internal grievances filed by inmates against the CSC is rising. There were 32,340 grievances filed in FY 2014-2015. The top five categories of inmate complaint in break down as follows:

- 1. Conditions/Routine (27.6%)
- 2. Visits/Leisure (23.9%)
- 3. Interaction (17.8%)
- 4. Health (8.8%)
- 5. Programs/Pay (6.9%)

Key features driving the internal complaints and grievance system during the reporting period are largely reflective of significant policy and operational changes, particularly those associated with offender accountability measures. For example, 75% of the complaints in the Visits/ Leisure category involved correspondence/ telephone communications. More than one quarter (27%) of the complaints in the Conditions/ Routine category were about "amenities – food and diet." Offenders also grieved increased room and board deductions from inmate pay, as well as shared accommodation. Significantly, more than three-quarters of complaints in the Interaction category were issues dealing with staff performance.

Not surprisingly, there is a growing backlog and prolonged delays in responding to offender grievances across the Service, but it is particularly systemic at the national level. As of January 2015, there were more than 3,500 active complaints and grievances that had made their way to the national level. The overall national compliance rate for the third quarter of FY 2014-2015 was just over 30%, whereas that rate was even lower (13%) for high priority national grievances. Extensions are commonly used even for routine matters. It is not uncommon to take more than a year for CSC to reply to a non-priority grievance. The current situation is becoming increasingly untenable and in no way meets the legislative requirement to "expeditiously" resolve offender grievances. Timely and fair resolution of inmate grievances is a key part of an effective correctional system. It is also the law.

10. I recommend that the Department of Public Safety conduct a compliance audit of the CSC's legal obligation to provide accessible, fair and expeditious resolution of offender complaints and grievances.

This situation continues to have a significant impact on the operations of this Office as more offenders contact the OCI regarding delays and lack of responsiveness in the internal grievance system. For 2014-15, inmate complaints and contacts were up significantly over the previous year.

While a plan has been put in place to deal with the backlog and meet legal and policy requirements, it is concerning that at the same time that grievances are increasing and tensions mounting, CSC is scaling back its Alternative Dispute Resolution (ADR) initiative which had been piloted at 10 institutions. By all indications, the pilot was a success, resolving close to 50% of complaints and grievances at the institutional level. Significantly, ADR will no longer be offered at three maximum security facilities and the pilot is set to end at the end of March 2016.

11. I recommend that CSC re-allocate resources to Alternative Dispute Resolution to ensure the program is funded and made available in all federal penitentiaries.

Food Services Modernization

The Office investigated offender complaints associated with the initial roll out of CSC's food services modernization initiative, which involves an industrial food production model known as "cookchill" as well as introduction of a National Menu. With respect to issues regarding food quality, selection and portion size raised by this Office, the Service responded in the following manner:

The National Menu was developed in order to standardize recipes and portion sizes of the meals provided to all federal male inmates across the country. It adheres to the standards and recommendations of Canada's Food Guide. It provides for the consumption of 2600 calories daily which is the recommended energy level according to Health Canada for a male between the age of 31 and 50; the profile of the average male federal inmate. With the implementation of the National Menu and standard portion sizes, we have strengthened our management practices in order to realize efficiencies and enhance consistency and compliance to nutritional guidelines. Further, CSC continues to provide a ration per diem of approximately \$4.98 varying slightly depending on the method of food delivery.

One wonders how such a low per diem can provide adequate nutritional food. Moreover, the move toward industrial food production methods, especially at the high end of the security spectrum, appears to be focused on containing costs and finding a food service delivery mechanism that relies on in-cell feeding. Cook-chill seems to be an adaptation to an ever tightening security regime of limited and controlled inmate movements. Traditional models of scratch cooking and holding food hot for short periods of time until service relies on having sufficient inmate labour and



staff supervision to operate efficiently. In other words, operational security requirements have had an adverse effect on food production and service delivery, which in turn drives the need for more "efficient" models like cook-chill. Nutritional quality, as well as vocational employment opportunities, appears to be secondary concerns to an inmate feeding system that relies instead on the metrics of cost control, portion size and workforce management.

12. I recommend that in 2015-16 CSC undertake an external audit of its meal production services, with particular emphasis on safe food handling practices, equitable distribution of meals and concordance between the standards outlined in the National Menu and the nutritional value of meals provided to inmates.

Drugs in Prison

Increasing resources are being expended on the ambitious, and some would say unrealistic, goal of creating "drug-free" prisons.²⁷ As part of this effort, CSC doubled its rate of random urinalysis testing, and now has a minimum target of testing 10% of the institutional population each month. In 2012-13, CSC requested nearly 14,000 samples from federal inmates, representing 63% of the incarcerated population.²⁸ Approximately 81% of these requests were based on random selection, 10% on reasonable grounds and 9% were requests based on community contact. In terms of results, 6% of randomly generated samples tested positive for illicit drugs, with the most common drug detected being tetrahydrocannabinol or THC (the main psychoactive ingredient in marijuana).²⁹

²⁷ Bill C-12, the *Drug-Free Prisons Act*, is currently (as of March 31, 2015) before the Senate of Canada. If enacted, the proposed legislation would cancel parole granted to an offender if, prior to release, the offender tests positive for illicit drug use or fails to provide a urine sample and the Parole Board of Canada considers that the criteria for granting release are no longer met.

²⁸ Correctional Service of Canada, *Urinalysis Results from the 2012/13 Fiscal Year* (February 2014).

²⁹ Alcohol remains the most used and seized contraband intoxicant, though urinalysis testing does not detect or deter alcohol use.

Despite increased testing and more resources devoted to zero-tolerance, the rate of positive urinalysis results has remained remarkably stable. It bears reminding that 50% of male offenders arrive at a federal institution with a past history of substance abuse or dependency. Concerns about the efficacy, frequency and legality ("randomness") of drug testing in federal facilities invite legitimate criticism centering on the program's public safety benefit and value for money. A better and more cost-effective way to prevent future crime and reduce substance misuse is to put more of our limited resources into treatment, prevention and harm reduction measures aligned to the needs of offenders whose criminal risk is linked to their addiction issue.

Use of Force

The Office reviewed **1,501** use of force incidents in 2014-15.

Issues of Concern

- Aboriginal offenders accounted for 30.8% of all use of force incidents reviewed, an increase of 2.3% over last fiscal year.
- Federally sentenced Aboriginal women were involved in 24% of incidents in women's facilities.
- Black offenders accounted for 14.9% of all reviewed use of force incidents, an increase of 2.3% compared to last year.
- 16.3% of use of force interventions were in response to self-injury incidents.
- Mental health issues were identified by CSC in 30.8% of all incidents.
- Pepper spray was used in 55.4% of all incidents.
- 41.6% of all use of force incidents reviewed occurred in the offender's cell.
- Offenders sustained/reported injuries in 10.65% of all incidents.

With respect to compliance,

- The Situation Management Model (SMM) was not followed in 15.3% of all interventions reviewed.
- 29% of all use of forces incidents reviewed identified compliance issues with decontamination procedures.
- 53.1% of all the reviews indicated deficiencies with the post-use of force health care assessments.
- Strip search procedures were not followed in 30.8% of all interventions.
- 83.5% of all the reviews indicated compliance issues with video recording procedures.
- Offenders alleged inappropriate levels of force used in 6.2% of all incidents reviewed.

The Office continues to be concerned with the rising number of use of force interventions involving offenders with mental health issues. In line with recommendations made by this Office, CSC has agreed to conduct mandatory institutional and regional reviews of use of force incidents involving self-injurious offenders, as well as national reviews involving the use of the Institutional Emergency Response Teams.

13. I recommend that the Office of the Auditor General of Canada consider a compliance audit of the CSC's use of force review process.

"Shield Walk" Patrol

As per policy, CSC has an obligation to perform counts and regular range patrols to visually confirm that an offender is living and breathing. At maximum, medium and multi-level institutions, and the Secure Units at women's institutions, the security patrols are conducted as frequent as possible, at least once every hour from the beginning of the last patrol. During the patrol, staff members sometimes confront non-compliant offenders who have placed a blocker over their cell window. To ensure the well-being of these offenders, CSC has established a "Non Compliant (Blocking Inmates) Protocol" which authorizes the deployment of four officers equipped with a shield, baton, inflammatory agents and a radio to conduct an interim patrol.

These patrols, which are not immediate interventions, are performed by staff members with no prior consultation with the Correctional Manager and Health Officers. There is no use of a camera to capture the intervention on video. The Office reviewed 12 use of force incidents involving this protocol in which inflammatory agents were utilized on inmates who made a move in the direction of the cell door as it was opened. The Office found:

■ The Situation Management Model (SMM) was not followed in the majority of the reviews conducted.

- Mental health issues were identified in 14% of all use of force incidents.
- More than half of Shield Walk incidents occurred in segregation.
- Three-quarters of the offenders exposed to inflammatory agents were left unattended in their contaminated cell.
- The amount of inflammatory agents used during these interventions ranges from 27 grams to 462 grams.
- Offenders alleged inappropriate level of force used in 30% of all the incidents that occurred during the Shield Walk Protocol.
- 78% of the reviews indicated deficiencies with the post-use of force health care assessments.
- Post use of force reviews indicate that in 20% of cases officers failed to report the pointing of the inflammatory agent device contrary to use of force policy.
- 14. I recommend that Shield Walk Patrols be discontinued or, if not, be considered a use of force intervention and, as such, comply with all use of force policy and reporting requirements.



ABORIGINAL CORRECTIONS

As of March 2015, Aboriginal inmates represented 24.4% of the total federal custody population while comprising just 4.3% of the Canadian population. In the ten year period between March 2005 and March 2015, the Aboriginal inmate population increased by more than 50% compared to a 10% overall population growth during the same period. As a group, Aboriginal people accounted for half of the total growth in the federal inmate population over this time period. The situation is even more distressing for federally sentenced Aboriginal women. Over the last ten years, the number of Aboriginal women inmates doubled. At the end of the reporting period, 35.5% of incarcerated women were of Aboriginal ancestry.³⁰

According to Statistics Canada, in 2011-12 Aboriginal women accounted for 43% of all female admissions to adult custody in Canada. Aboriginal female youth between the ages of 12 and 17 are incarcerated at a rate that is six times greater than their proportion in the general population.

Issues in Focus

Aboriginal Offender Profile

Compared to non-Aboriginal Offenders, Aboriginal inmates are:

- Younger (median age is 27);
- Less formally educated;
- More likely to present a history of substance abuse, addictions and mental health concerns;
- More likely to be incarcerated for a violent offence;
- More likely to have served previous youth and/ or adult sentences;
- Disproportionately from backgrounds of domestic or physical abuse; and,
- More likely to be gang affiliated.

Regional Distribution

- Aboriginal inmates account for 47.21% of all inmates in the Prairie Region. The Prairies region has led population growth and is now the largest region, both in geography and population.
- Several institutions in the Prairie Region have Aboriginal inmate populations exceeding 50% of their total population:
 - Edmonton Institution for Women 60.26%
 - Saskatchewan Penitentiary 60.19%
 - Stony Mountain Institution 57.32%
 - Regional Psychiatric Centre (RPC) 56.36%

Correctional Outcomes

- Aboriginal inmates are:
 - classified as higher risk and higher need in categories such as employment, community reintegration, substance abuse and family supports
 - over-represented in segregation and maximum security populations
 - disproportionately involved in use of force interventions and prison self-injury
 - released later in their sentence
 - more likely to return to custody (either for a new offence or revocation of parole).



The intergenerational effects of Aboriginal social histories (i.e. residential schools experience; involvement in the child welfare, adoption and protection systems;³¹ dislocation and dispossession of Aboriginal people; poverty and poor living conditions on many native reserves; family or community history of suicide, substance abuse and/or victimization) continue to drive the disproportionate number of Aboriginal peoples caught up in Canada's criminal justice system. Unfortunately, and tragically, the

number of Aboriginal people under federal sentence is expected to continue to rise due to the more youthful demographics of the Aboriginal population in Canada.³² The unique circumstances and social histories which give rise to disproportionate rates of offending and victimization among Aboriginal peoples need to be better integrated into interventions across the broader criminal justice spectrum (police, courts, corrections and parole).

Aboriginal children represent less than 6% of the child population in Canada, but they comprise an estimated 26% of children placed in out of home care. The percentage of Aboriginal children in child welfare systems reaches 60% to 78% in some provinces and territories.

In 2011, the median age of the total Aboriginal population was 27.7 years, which is 13 years lower than the median age of non Aboriginal Canadians at 40.6 years.

Most Aboriginal inmates self-identify as First Nations (68%), followed by Métis (26.5%) and Inuit (5.5%). While the majority of Aboriginal programs and interventions are developed from a First Nations perspective, the circumstances and trajectories of Aboriginal peoples are distinct and different. For example, recent CSC research reports that First Nations offenders are more likely to have a history of youth criminal activity compared to Métis and Inuit and also more likely to be incarcerated for a violent offence. Other differences point to specific needs and interventions. First Nations offenders had the most difficulty adjusting to penitentiary life, had a higher incidence of disciplinary charges, spend more time in segregation and had higher rates of prison self-injury and suicide. By contrast, Métis offenders were more likely to be serving a longterm sentence (6 years or more) and to have served a previous federal term in custody. Inuit offenders were the most likely to be serving a sentence for a sex offence, but were also more likely to be discretionally released by the Parole Board of Canada, 33

Research has shown that Aboriginal offenders have better treatment and post-release outcomes when they are reconnected with their spiritual and cultural traditions and when programs and interventions are culturally-specific and holistic in nature. Recent CSC research found that culturally-specific programs were reported as instrumental in dealing with past trauma and ceasing self-injury for Aboriginal offenders.³⁴ There is some progress to report in this regard. In 2014, for example, two correctional treatment programs delivered by Inuit program facilitators and supported by an Inuit Elder were expanded to Beaver Creek Institution to meet the specific needs of the Inuit inmate

population. A total of 17 inmates successfully completed these two programs. I encourage CSC to continue to adapt its correctional programming model to address the specific needs of each Aboriginal group.

Integrating Gladue Principles in Correctional Decision Making

In its groundbreaking Gladue decision (1999), the Supreme Court of Canada recognized that there are mitigating social factors and historical circumstances that should be considered when sentencing Aboriginal offenders. Though the Service has integrated *Gladue* principles into policy as well as provided some training to staff members, there remains insufficient and uneven application of *Gladue* social history considerations in correctional decision-making. For example, it is not uncommon to find in an Aboriginal offender's file a brief reference that Aboriginal social history was considered in a correctional decision that impacts retained security and liberty interests (e.g. security classification, penitentiary placement, transfer, segregation, internal discipline). However, there is often very little meaningful analysis with respect to how these considerations impacted, influenced, altered or mitigated the decision. Simply stating that Aboriginal social history was considered does not make it so nor does it ensure the due diligence expected by the policy requirement.

³³ Correctional Service of Canada, "Profile of Aboriginal Men Offenders: Custody and Supervision Snapshots" (2014).

³⁴ Correctional Service Canada, "A Culturally-Informed and Culturally-Safe Exploration of Self-Injury Desistance in Aboriginal Offenders", 2014

Issues in Focus

Excerpt from Case Management Record

Based on the information presented and considering the recommendations from all members of the Case Management Team and the Manager of Assessment and Intervention, the following ratings are accepted:

Institutional Adjustment - HIGH

Escape Risk - MODERATE

Public Safety - HIGH

MAXIMUM Security is APPROVED

Aboriginal Social History was considered during the decision making process. Should you be dissatisfied with the Institutional Head's decision, you have recourse through the grievance process. You may submit a grievance to the Second Level (Deputy Commissioner, Prairies), through the Institutional Grievance Coordinator.

In response to a recommendation made in my last Annual Report, CSC is conducting research examining Aboriginal social history ("Gladue Factors") in case management and their influence on decision outcomes for Aboriginal offenders. The research was compiled into a report and published March 2015.³⁵ While the research may prove useful, this situation calls for more than just issuing more reports. There needs to be applied and sustained focus to effect meaningful improvement in this area of correctional practice.

15. I recommend that CSC publicly release its study of the impact of Aboriginal social history (Gladue factors) on case management and its influence on correctional decision outcomes for Aboriginal offenders. This study should be accompanied by a Management Action Plan.

The Office reviewed the minutes of CSC Senior Executive Committee meetings for the reporting period and found few specific references to Aboriginal offenders or Aboriginal corrections. Although CSC has a National Aboriginal Advisory Committee, it is not clear how impactful it is in influencing CSC's overall strategic direction. The last publicly released Strategic Plan for Aboriginal Corrections is dated 2006-07 to 2010-11. CSC's latest 2014-15 mid-year report of its Aboriginal Corrections Accountability Plan acknowledges that despite nominal gains made in accessing and completing correctional programs "Aboriginal offenders are still being released far less than their non Aboriginal counterparts and their return to custody based on technical violation of their conditions of release far supersede the non Aboriginal offender's rate of return to custody."

As the gap in correctional outcomes continues to widen for Aboriginal offenders and despite the fact that fully one-quarter of the inmate population is of Aboriginal heritage, the Service still insists there is no need to appoint a Deputy Commissioner for Aboriginal Corrections, though such a senior position exists for federally sentenced women. According to CSC, some indicators are trending in the right direction. For example, Aboriginal offenders are accessing their first program more quickly, the percentage of Aboriginal offenders receiving vocational training prior to their first release is increasing, there are fewer over-rides to higher security levels and more Aboriginal offenders are participating in temporary absence programs to access cultural activities and maintain community contacts.

The Service is also reporting some progress among those who participate in its *Pathways* units:³⁶ less likely to incur institutional charges; less likely to be involuntarily segregated and; less likely to be involved in security incidents; more likely to obtain discretionary release.³⁷ This is positive news that tells much about the nature of the inmates participating in *Pathways*. It bears reminding that this initiative (which now operates at more than 25 institutions) has been funded and expanded while mandated community initiatives, including placements in Aboriginal Healing Lodges and Aboriginal community involvement in release planning (Section 81 and 84 provisions of the CCRA) have not seen similar growth. I have little doubt that those who succeed in Pathways would benefit from earlier supervised community release.

Pathways is an Elder-driven initiative that provides a traditional healing environment within CSC institutions for Aboriginal offenders dedicated to following a traditional healing path. Pathways reinforces a traditional Aboriginal way of life through more intensive one-on-one counselling, increased ceremonial access and an opportunity to follow a more traditional Aboriginal healing path.

³⁷ Correctional Service of Canada, "Aboriginal Corrections Accountability Framework 2014-15 Mid-Year Report."



SAFE AND TIMELY REINTEGRATION

Offenders are being released from federal facilities only to find they are inadequately prepared for life on the outside. Many lack affordable and safe housing and have little in the way of savings; their employability and vocational skills are often limited as is their earning potential. Many continue to live with unresolved mental illness and struggle with addiction. All are marked by the stigma of having served a prison sentence and possessing a criminal record, arguably amongst the most significant barriers to living a gainful, pro-social life upon release to the community.

The Auditor General of Canada's Spring 2015 report contains a chapter on federal corrections entitled *Preparing Male Offenders for Release.* It confirms many of the Office's recent findings in this area which indicate slippage in the CSC's mandate and capacity to prepare offenders for safe and timely reintegration. According to the Auditor General's findings:

- In 2013-14, only 20% of offenders (compared to 26% in 2011/12) had their cases prepared in time to meet their earliest parole eligibility date.
- 65% of offenders in 2013-14 did not complete their correctional program(s) before their first parole eligibility dates.
- Nearly two-thirds of offenders eligible for full parole waived or postponed their parole hearing in 2013-14 (one indicator of CSC's declining capacity to complete casework and bring it forward to the Parole Board of Canada in an efficient and timely manner).
- Low-risk offenders accounted for about half of those staying in custody beyond their first parole eligibility dates. 39% of low-risk offenders were first released from custody at statutory release rather than on day or full parole.
- 54% of offenders returned to the community in 2013-14 were released at statutory release (two-thirds of sentence) rather than parole. 64% of statutory releases occurred from medium security institutions and 11% from maximum security.
- In 2013-14, CSC spent \$17 million delivering employment programs and \$19 million delivering education programs, but it does not know how these contribute to offender's progress toward safe reintegration.
- CSC has not developed guidelines to prioritize the delivery of education programs among other interventions identified in offenders' correctional plans.



As the Auditor General concluded, the slowing rate of offender releases is ultimately leading to higher (and avoidable) custody costs.

Research and practice confirm that public safety is best served through timely, structured and supervised release of offenders. Incapacitation should be reserved for the most dangerous; effectively warehousing most of the rest to their statutory release or warrant expiry date is not effective or safe correctional practice. Over the last ten years, the day parole grant rate has decreased by 15% and full parole grant rate by 40%. These trends point to some troubling developments in a conditional release system where the Parole Board of Canada is not even involved in the majority of offender re-entries to the community today.

The time offenders spend behind bars should be about constructively addressing needs, risks and behaviours that led that person into conflict with the law in the first place. Most offenders come into federal custody with extensive education and employment needs. For example, more than 60% of offenders at intake have an identified education need, meaning they have not graduated from high school. Three in five in federal custody today have an education of grade 8 or less. In 2013-14, 74% of incarcerated offenders were assessed as needing

Issues in Focus

Access to Program Assignments at a Maximum Security Institution

The Office examined access to program assignments at a maximum security institution. The review drew upon interviews with CSC staff, inmate committees, statistical data as well as an inmate survey.

Core Correctional Programming

- In 2013/14, inmates at the institution participated in a combined total of eleven programs (e.g. violent offender program, sex offender program, substance abuse program, family violence program).
- The lack of core programming for offenders with mental health problems appears to be less linked to their motivation to engage in programs and more to do with the ability of program facilitators to accommodate their needs.
- CSC staff reported that a lack of program rooms and resources, lockdowns and the institutional routine
 of a maximum security institution were significant barriers to supporting the overall program needs of
 the inmate population.

Education

- Upon arrival in institutions, nearly two-thirds of offenders test at a completion level lower than Grade 8, and just over 80% lower than Grade 10.
- As of June 2014, 119 inmates (34% of inmate population) were engaged in education programs. Of these, 60 were learning in a classroom setting, 43 were studying in isolation via cell studies and 16 were engaged in post secondary distance learning by way of cell studies.
- In May 2014, 46 offenders were waitlisted for education. Approximately half were waitlisted for Adult Basic Education level 1, 2 or 3 (i.e. Grades 1 10).

Employment

As of February 2014:

- 20% (69) of offenders were working in institutional operations fulltime. Another 17% (60) were working part-time.
- Nearly two-thirds were not institutionally employed, however these offenders could have been enrolled in a core correctional program or education.
- Inmates self-reported waiting an average of twelve weeks to start working once they had applied for a job.
- While many CSC staff referred to a lack of jobs for inmates, the case study found that vacancy rates were also a problem. On some ranges, over 60% of the jobs were not filled.

to improve their employability skills.

Opportunities to upgrade education, provide meaningful prison employment and build vocational skills are essential aspects of preparing individuals for release. Unfortunately, those most in need of structured intervention based on criminogenic need and public safety risk are often the least likely to receive it as the Office's review of access to programs in a maximum security institution reveals.

Review of Prison Industries

Prison-based employment and vocational training programs offer many transferable lessons and life-skills, including the value of completing an honest day's work and the self respect that comes from punctuality, self-discipline, dependability and responsibility. Through its Corcan employment and employability program, CSC operates 106 prison shops at 28 federal institutions across the country.³⁸ Planned spending in 2015-16 for this activity, which is "designed to allow offenders to acquire skills and develop the pro-social attitudes and behaviours that are valued by employers," is \$41.5M.

Given the industrial nature of most Corcan shops, the majority of jobs are offered in the processing and primary industry sectors. The top Corcan industries are:

- 1. Manufacturing 42%
- 2. Services 25%
- 3. Textiles 23%
- 4. Construction 10%

At full employment, Corcan's shops have a total employment capacity of just over 1,700 inmates. On February 10, 2015, Corcan prison industries employed 1,307 offenders of a total

inmate count of 15,021. In other words, Corcan provided employment for just 8.7% of the total inmate population. On that particular day, Corcan industries were operating at about 75% capacity.

The difference between the two forms of prison employment - Corcan industries and institutional - cannot be overstated. Offenders participating in Corcan industries have overall lower rates of admission to segregation, fewer institutional charges, more likely to be granted day parole, and are significantly more likely to attain a job in the community.³⁹ CSC research confirms that Corcan jobs are more intrinsically and highly valued by the inmate population than institutional work. Like most of us, offenders tend to value and take pride in jobs that are interesting, meaningful and provide a sense of achievement. Benefits described by offenders participating in Corcan industries include higher wages, positive references, opportunities for certification and more appealing jobs. Offenders report that Corcan employment provides a pro-social means to structure their prison time. Most agree their Corcan employment will help them desist from further criminal activity.

A significant challenge is that the work experience offered inside federal institutions does not often match the needs of employers on the outside. Even in regard to more highly valued Corcan employment, there is often a lack of correlation between vocational skills training and jobreadiness. A CSC study of congruence between Corcan job training and types of employment obtained post-release confirms three important findings:

1. The highest degree of congruence (65%) between Corcan training and community job type is found in the Trades, Transport and Equipment sector.

It is important to distinguish between prison work performed in prison industries operated by Corcan and institutional employment. Most offenders who are employed and receiving a daily wage are working in the institutions not Corcan jobs. Institutional jobs are primarily of the low-skill, manual, and menial variety including range or floor cleaners, laundry and general maintenance work.

³⁹ Correctional Service of Canada, Outcomes for Offender Employment Programs: Assessment of Corcan Participation (January 2014).



- 2. Sales (25%) was the next largest sector of offender employment post-release.
- 3. Only 13% of offenders obtained employment in Processing and Primary Industry.⁴⁰

There appears to be room for Corcan employment to better reflect the current and emerging realities of the Canadian labour market. For example, the textile industry is no longer one of the leading sectors of the Canadian economy. All the major economic indicators for this industry are in decline – contribution to GDP, jobs, shipments and exports.

By contrast, demand in the trades sector remains relatively steady and strong. As noted, the vast majority of released offenders find community employment in this sector. Corcan shops offer a variety of vocational skills, learning and training opportunities in the trades, from basic to advanced levels, including carpentry, welding, plumbing,

auto body and repair, and professional cook. However, in 2012-13, only 154 federal offenders were logging Corcan apprenticeship hours against a defined Red Seal trade.

Corcan also operates at the five regional women's facilities. Federally sentenced women are almost exclusively employed in textiles. Output consists of sheets, blankets, bedspreads, aprons, uniforms and pillow cases, among other items. To its credit, Corcan has recently offered limited course instruction in Autocad and graphic design at some regional facilities. CSC staff have also indicated the need for more job training opportunities for women offenders, including more women-centred employment programs and training funds for women under community supervision.

A recent audit of CSC's employment and employability programs indicated that half of staff

⁴⁰ Correctional Service of Canada, Congruence between CORCAN Institutional On-the-Job Training and Types of Employment Obtained Post-Release (January 2014).

interviewed mentioned that they did not always have enough employment opportunities to keep offenders busy. Some institutions resorted to issuing job assignments that add minimal value to work experience. Lack of adequate infrastructure and resources were commonly cited as reasons for not having enough employment to keep inmates meaningfully engaged.

Maximum security institutions have the most difficult challenge employing inmates in Corcan industries or providing institutional work of intrinsic meaning and value. Security routines, frequent lockdowns and restrictions on inmate movement and association run counter to consistent work attendance and confound production schedules.

A January 2013 internal audit of Corcan's employment and employability program yielded several critical findings:

- Lack of a corporate vision and set of strategic objectives for the employment and employability program in federal corrections.
- 2. No overarching governance structure was in place.
- 3. Lack of a clear, single policy instrument specific to employment and employability in federal corrections.
- 4. Results on employment and employability initiatives were not always accessible.
- 5. Limited performance measures in place with respect to vocational training.

These issues are not new. The CSC Review Panel, which reported to the Minister of Public Safety eight years ago in April 2007, made similar observations pertaining to the prison employment program:

- The Panel questions whether CORCAN can continue to balance revenues and expenditures to provide future employment and training requirements under its current operating model.
- The Panel questions whether CORCAN's prime objective is sufficiently focused on its core responsibility to produce fully trained and jobready offenders ready for release to positions in the community.
- There is a need to move from employing large numbers of offenders in general maintenance jobs to providing more meaningful skills development to prepare the offender for employment upon release.

To conclude, there is room for improvement in how the prison employment and skills training program is run and delivered in federal facilities. The way forward involves a mix of several related elements:

- More direct partnerships between CSC and the leading sectors of Canadian industry.
- Increase opportunities for offenders to engage in community employment, including expanded use of work releases.
- Enhance and expand opportunities to learn and apprentice for a Red Seal trade inside federal institutions.
- Revaluate inmate pay, the rates for which were first set and remain frozen since 1981. Ensure financial incentives are part of the inmate pay structure to influence quality and value of prison work consistent with community expectations.⁴¹
- Reduce emphasis on prison textile industries;
 re-tool Corcan shops for the 21st century.
- Increase emphasis on computer literacy and practical applications of information technology in the Canadian economy.

⁴¹ As the Auditor General found, with elimination of incentive pay, CORCAN shops estimate they have operated at 57% capacity – offenders do not value the jobs as highly as before.

- Implement a clear corporate vision and commitment to provide relevant and meaningful jobs, skills training and work experience for federal offenders.
- 16. I recommend that CSC re-tool its Corcan employment and employability program to focus on building capacity in vocational skills training in demand areas, including significantly increasing access to Red Seal trades and apprenticeships, as well as sales, marketing and information technologies.

Electronic Monitoring

In May 2015, beginning with the Ontario region, the CSC will conduct a nationwide pilot of its electronic monitoring (EM) program. The Office has serious reservations about the proposed governance framework for this initiative which were brought forward to the Service:

- 1. The evaluation of the initial EM pilot could not draw definitive conclusions about its impact on recidivism or cost-effectiveness.
- Given the infringement on residual liberties that EM entails, procedural safeguards and due process considerations need to be strengthened, especially with respect to consequences for an offender who refuses to wear or removes the monitoring device.

- More clarity is required regarding how EM will be used, on whom, for what purpose and for how long. In other words, the scope of the pilot needs to be narrowly rather than expansively framed.
- 4. Lack of clear decision making roles and responsibilities between the releasing authority (Parole Board Canada) and the supervising authority (the CSC).

This last point is critical for the proper functioning of the EM framework given that the decision to impose a geographical condition on an offender's release properly belongs with the Parole Board of Canada. It is important that CSC, as the supervising authority, receives and takes direction from the Parole Board on how that release condition will be monitored. Though CSC has been given the decision-making authority to impose EM, this decision must still comply with legal and constitutional standards – a proportionate and necessary measure that does not result in arbitrary or unreasonable infringement on retained rights and freedoms.

Needless to say, this Office will be looking closely at how these operational, governance, efficacy and cost concerns are addressed as the national EM pilot rolls out.

FEDERALLY SENTENCED WOMEN

6

From 2005 to 2015, the number of federally incarcerated women has increased by more than 50%. This growth rate is far greater than that for the male in-custody population, which increased by less than 10% over the same period. Federally sentenced women now represent 4.6% of the total offender population under federal jurisdiction (up from 2.9% in 2004/05).

Issues in Focus

Federally Sentenced Women Offender Profile

- 16% of women inmates are age 50 or older.
- More than 70% are mothers to children under the age of 18.
- 68% of women self-report being sexually abused and 86% physically abused.
- Most federally sentenced women are classified as medium security (55%), followed by minimum security (33%) and maximum security (12%).
- More than half (52.3%) are serving a sentence of 2-4 years.
- Compared to male offenders, women offenders are:
 - Twice as likely to have a serious mental health diagnosis.
 - Twice as likely to be serving a sentence for drug-related offences.
 - More likely to be serving a shorter sentence.
 - More likely to be supporting dependents on the outside.
 - Have higher motivation for correctional intervention and potential for reintegration.

Regional Distribution

- Over the last ten years, the Prairie Region has led growth in the federally incarcerated women. 34% of all women are incarcerated in the Prairie region, followed by Ontario (27%), Quebec (15%), Pacific and Atlantic regions (12% each).
- 59% of all Aboriginal women inmates are incarcerated in the Prairie region.
- Almost 1 in 4 women are from a province or territory that does not have a regional facility or healing lodge; 66% of those women are Aboriginal.

As the number of women offenders in custody has increased, there has been corresponding erosion in some of the key principles of women's corrections articulated in *Creating Choices*. ⁴² Issues arising in the five regional women's facilities increasingly resemble the situation witnessed in male institutions. For example, over the past five years:

- The rate of double bunking (placing two inmates in a cell designed for one person) increased from 0 to 10.7%.
- Segregation admissions increased by 15.8%. (461 segregation admissions in 2014-15).
- Incidents involving use of force increased by 53.5%. (218 incidents last year).

⁴² Creating Choices: The Report of the Task Force on Federally Sentenced Women, published in April 1990, was created as a blueprint for the future of women's federal corrections in Canada. The report enshrined five principles integral to a women-centered approach to corrections: empowerment, meaningful and responsible choices; respect and dignity; supportive environment, and; shared responsibility.

- Assaults and fights increased by 10.9%. (183 incidents last year).
- Incidents of self-injury increased by 4.6%. (251 self-injurious incidents involving 71 different women inmates last year).⁴³

On a more positive note, during the past year CSC opened a minimum security unit at four of the five regional women's facilities. The minimum units are located within the multi-level security complex, but they are physically situated outside the perimeter fence. This initiative, long called for by this Office, provides significant opportunity to expand community release preparation for federally sentenced women and to re-introduce or strengthen women-centred programming, such as the mother-child program, that have been progressively eroded by operational, population and security concerns.

17. I recommend that CSC take full advantage of the expanded capacity in the minimum security units to maximize participation in the residential component of the mother-child program at the regional women's facilities.

Aboriginal Women

As a group, Aboriginal women have become the fastest growing offender category under federal jurisdiction. The female Aboriginal inmate population almost doubled over the past ten years. Aboriginal women now account for 35.5% of all women in federal custody.⁴³ Compared to non-Aboriginal women inmates, Aboriginal women are:

- Younger (average 33 years compared to 38 years for non-Aboriginal women).
- Less likely to be married or in a common law relationship.
- More likely to be classified as maximum security.



- More likely to be serving a sentence for a drug-related offence.
- More likely to be classified as low reintegration potential.
- More likely to be considered high risk and high need.

Aboriginal women offenders face disproportionate challenges throughout their incarceration which can impact their successful community reintegration. Over the last ten years, inmate assaults involving Aboriginal women have almost doubled while use of force incidents have more than tripled. Rates of self-injury involving Aboriginal women are 17 times higher than that for non-Aboriginal women. Almost half of all admissions to segregation in 2013-14 were accounted for by Aboriginal women inmates.

Source: CSC Data Warehouse, May 04, 2015

⁴⁴ CSC Corporate Reporting System: May 04, 2015.

These trends are alarming. An expanded focus on culturally appropriate programming and interventions is key to helping Aboriginal women successfully reintegrate back into the community.

Independent Review of Assaults in Secure Units

On April 28, 2015, I received the Service's final response and action plan to the recommendations contained in the Independent Review of Assaults in the Secure Units (2011-2012), an initiative that was undertaken in response to a recommendation made in my 2011-12 Annual Report. After a three year wait, the response is particularly disappointing, lacking depth and substance. While the Service commits to updating some policies and enhancing training in some areas, it stops short of accepting to evaluate some key areas that the Independent Review found lacking. For example, the Service agrees that there are gaps in dynamic security (regular and consistent interaction between a correctional officer and a woman offender) in women's facilities, but it only commits to consulting locally on the issue.

In visits to the women's facilities through the reporting period, the Office raised issues of dynamic security with wardens including:

- Language used by the officers when interacting with women.
- High ratios of male versus female officers that manage the maximum security Secure Units.
- Meaningful interaction with women who have mental health problems.
- Minimal exchange between staff and women during count times.

I am also concerned that the Service indicated in their response that there was a range of activities available for women in the Secure Units without committing to ensuring their actual implementation. Having a "menu" of services available is not the same as actually providing them. There are significant challenges within the Secure Units linked to the increasing population

and limited physical infrastructure. More women are double bunked and programming space is increasingly limited. It is not clear how the changes that CSC has outlined in its response will impact the rising number of assaults occurring in the Secure Units of the maximum security ranges at the regional facilities.

In its covering correspondence to the Office, the Service indicated that this file is now closed. I note that the recommendation made in my 2013/14 Annual Report – to conduct a review of double-bunking assessments and assignments in the Secure Units – is still outstanding. In its response to this recommendation, CSC agreed to complete a review as part of its update of the long-term accommodation plan update by March 2015. I have yet to see this commitment fulfilled.

Women-Centred Health Care

Over the past few years, like most other federal departments, CSC has made a number of resource reductions (both financial and human) called for in the Federal Government of Canada's Deficit Reduction Action Plan, as well as other budgetary and cost containment measures. This has resulted in funding pressures throughout the Service. While it is important to ensure that resources are expended in the most efficient and effective manner possible, I am increasingly concerned that these measures are having a direct and disproportionate impact on offender well-being.

Through the reporting period, two cases came to the Office's attention that have caused us to question the impact of funding pressures on health care budgets. The first case involves a woman inmate with mental health concerns including a documented history of self-injury and suicidal behaviour that has resulted in multiple admissions to hospital. The offender came to the attention of the Office during an institutional visit where it was noticed that she was experiencing hallucinations. The institution was managing this offender by continuously placing her in a maximum security or observation cell.

As her mental health deteriorated, numerous CSC staff members involved in her case requested a psychological assessment. These requests were denied due to a lack of funding. While staff in the institution tried to manage this case with the limited resources available to them, the response was not appropriate from a health care perspective. The Service eventually transferred this patient under the *Mental Health Act* to an outside mental health care facility where she was diagnosed with schizophrenia.

The second case involves a high-needs chronically self-injurious female offender. Over a period of eight months, there were ten documented incidents of self-injury including head-banging, cutting of arms and wrists and auto-asphyxiation, often resulting in the use of physical restraints. On a number of occasions, often after self-injuring with a ligature, she was transferred to an all male Regional Treatment Centre so she could be monitored given the lack of 24 hour healthcare coverage at her parent institution. Placing a woman with a history of sexual abuse in an allmale institution is unacceptable, contravenes international standards and is not compliant with CSC policy. Additional funds were requested from CSC Regional Headquarters so that 24 hour healthcare coverage could be provided, however this request was denied.

CSC has created a high level National Complex Mental Health Committee drawn from several sectors at National Headquarters. The Committee meets regularly and works with its regional counterparts to identify and oversee complex mental health cases with a focus on offenders engaged in chronic self-injurious behaviour. National funding is available to complete specialized external psychological assessments on an as-needed basis as approved by the National Complex Mental Health Committee. As both of these cases illustrate, accessing this central funding is difficult and problematic.

18. I recommend that the National Complex Mental Health Committee oversee the treatment and intervention plans of chronically self-injurious offenders and ensure external psychological assessments are conducted in these cases.

OUTLOOK FOR 2015-16

As the ombudsman for federally sentenced offenders, the Office of the Correctional Investigator serves Canadians and contributes to safe, lawful and humane corrections through independent oversight of the Correctional Service of Canada by providing accessible, impartial and timely investigation of individual and systemic concerns.⁴⁵

⁴⁵ Mission Statement of the Office of the Correctional Investigator.

The Office's public profile has perhaps never been higher, in part due to its focused reporting in six areas of continuing public concern in federal corrections:

- 1. Access to Mental Health Services
- 2. Prevention of Deaths in Custody
- 3. Conditions of Detention
- 4. Aboriginal People in Federal Corrections
- 5. Safe and Timely Reintegration
- 6. Federally Sentenced Women

2015-16 promises to be a year of transition for the Office of the Correctional Investigator. New leadership will be put in place and the Office will engage in a strategic planning exercise to renew its corporate priorities and set direction for investigative plans for the next five years. I look forward to updating the Minister on issues of ongoing concern as well as transition planning, confident that the Office's focus on compliance, legality and fairness will serve the incoming Correctional Investigator well.

I have every reason to expect that the renewal of the Office's corporate direction and leadership will be conducted within a framework of principles that have guided the Office in providing accessible, impartial and timely Ombudsman services over the past decade:

- Independence
- Impartiality
- Accessibility
- Fairness
- Confidentiality
- Respect
- Integrity
- Professionalism

Offenders and their families, and all Canadians, deserve nothing less.

ED MCISAAC HUMAN RIGHTS IN CORRECTIONS AWARD

The Ed McIsaac Human Rights in Corrections Award was established in December 2008, in honour of Mr. Ed McIsaac, long-time Executive Director of the Office of the Correctional Investigator and strong promoter and defender of human rights in federal corrections. It commemorates outstanding achievement and commitments to improving corrections in Canada and protecting the human rights of the incarcerated.

The 2014 recipient of the *Ed McIsaac Human Rights* in *Corrections Award* was Beth Parkinson, former legal advocate for the Prisoners' Legal Services of British Columbia.

Left to Right: Mr. Ed McIsaac, Ms. Beth Parkinson (centre) and Mr. Howard Sapers.



ANNEX A: Summary of Recommendations

- I recommend that CSC prepare a business case to seek additional funding this fiscal year to expand inmate access to evolving Hepatitis C therapies. This initiative should be framed as an investment in public health and public safety.
- 2. I recommend that CSC's efforts to establish prevalence estimates for chronic physical and mental health conditions be complemented by a comprehensive analysis of annually tracked and reported trends and causes of natural mortality among the federal inmate population.
- 3. I recommend that CSC engage its Health Care Advisory Committee to develop a chronic/long-term care model that is responsive to the needs of the growing number of older/geriatric people behind bars. The model should be presented in time to influence CSC's 2016-17 operational budget.
- 4. I recommend that CSC immediately produce an Action Plan detailing the steps to be taken to address the issues of concern identified in the September 2014 Accreditation Canada report. This plan should be vetted at the next meeting of the Health Care Advisory Committee.
- 5. I recommend that CSC establish a standing expert advisory committee on FASD to establish prevalence, provide advice on screening, assessment, treatment and program models for FASD-affected offenders. The Committee should recommend a FASD strategy for CSC's Executive Committee in the next fiscal year.
- 6. I recommend that the Department of Public Safety commission, in partnership with Health Canada, an independent validation of CSC's 'optimal' model of mental health care and report findings to the Minister of Public Safety.

- 7. I recommend that CSC examine international research and best practices to identify appropriate and effective trauma-informed treatment and services for offenders engaged in chronic self-injurious behaviour, and that a comprehensive intervention strategy be developed based on this review.
- 8. I recommend that the Minister of Public Safety request that the Public Safety and National Security Committee (SECU) of parliament conduct a study and public hearings into policy options for managing the care, custody and safe release of inmates aged 65 and over who no longer pose an ongoing substantiated risk to public safety.
- 9. I recommend that the Government of Canada amend the Corrections and Conditional Release Act to significantly limit the use of administrative segregation, prohibit its use for inmates who are mentally ill and for younger offenders (up to 21 years of age), impose a ceiling of no more than 30 continuous days, and introduce judicial oversight or independent adjudication for any subsequent stay in segregation beyond the initial 30 day placement.
- 10. I recommend that the Department of Public Safety conduct a compliance audit of the CSC's legal obligation to provide accessible, fair and expeditious resolution of offender complaints and grievances.
- **11.** I recommend that CSC re-allocate resources to Alternative Dispute Resolution to ensure the program is funded and made available in all federal penitentiaries.

- 12. I recommend that in 2015-16 CSC undertake an external audit of its meal production services, with particular emphasis on safe food handling practices, equitable distribution of meals and concordance between the standards outlined in the National Menu and the nutritional value of meals provided to inmates.
- **13.** I recommend that the Office of the Auditor General of Canada consider a compliance audit of the CSC's use of force review process.
- **14.** I recommend that Shield Walk Patrols be discontinued or, if not, be considered a use of force intervention and, as such, comply with all use of force policy and reporting requirements.
- 15. I recommend that CSC publicly release its study of the impact of Aboriginal social history (Gladue factors) on case management and its influence on correctional decision outcomes for Aboriginal offenders. This study should be accompanied by a Management Action Plan.

- 16. I recommend that CSC re-tool its Corcan employment and employability program to focus on building capacity in vocational skills training in demand areas, including significantly increasing access to Red Seal trades and apprenticeships, as well as sales, marketing and information technologies.
- 17. I recommend that CSC take full advantage of the expanded capacity in the minimum security units to maximize participation in the residential component of the mother-child program at the regional women's facilities.
- **18.** I recommend that the National Complex Mental Health Committee oversee the treatment and intervention plans of chronically self-injurious offenders and ensure external psychological assessments are conducted in these cases.

ANNEX B: Annual Statistics

Table A: Complaints By Category

Category	I/R(2)	Inv (3)	Total
Administrative Segregation			
Conditions	44	61	105
Placement/Review	86	184	270
Total	130	245	375
Case Preparation			
Conditional Release	37	36	73
Post Suspension	10	15	25
Temporary Absence	6	3	9
Transfer	6	21	27
Total	59	75	134
Cell Effects	185	171	356
Cell Placement	18	42	60
Claim			
Decisions	13	12	25
Processing	29	21	50
Total	42	33	75
Community Programs/Supervision	6	19	25
Conditional Release	6	14	20

ategory	I/R(2)	Inv (3)	Total
Conditions of Confinement			
Behavioural Contract	0	2	2
Food Services	3	4	7
Lockdown	27	21	48
Other	195	224	419
Recreation Time	10	13	23
Smoking	0	1	1
Special Units	2	14	16
Total	237	279	516
Conviction/Sentence-Current Offence	1	4	5
Correspondence	75	69	144
Death or Serious Injury	6	7	13
Decisions (General) - Implementation	48	54	102
Diets			
Medical	12	14	26
Religious	9	8	17
Total	21	22	43
Discipline			
ICP Decisions	2	4	6
Minor Court Decisions	1	13	14
Procedures	16	17	33
Total	19	34	53
Discrimination	14	6	20
Double Bunking	10	11	21
Employment	34	32	66
Financial Matters			
Access	41	41	82
Pay	37	53	90
Total	78	94	172

Category	I/R(2)	Inv (3)	Total
Food Services	26	40	66
Grievance			
3 rd Level Review	29	16	45
Decision	25	18	43
Procedure	47	54	101
Tot	tal 101	88	189
Harassment	33	41	74
Health and Safety - Inmate Worksites/Programs	2	2	4
Health Care			
Access	111	235	346
Decisions	47	101	148
Medication	85	124	209
Tot	tal 243	460	703
Health Care - Dental	16	44	60
Hunger Strike	2	13	15
Immigration / Deportation	1	0	1
Information			
Access/Disclosure	56	41	97
Correction	38	40	78
Tot	tal 94	81	175
Inmate Requests	21	8	29
IONSCAN	1	1	2
Legal Counsel - Quality	29	29	58

Category	I/R(2)	Inv (3)	Total
Mental Health			
Access/Programs	9	24	33
Quality	0	8	8
Self-Injury	3	30	33
Total	12	62	74
Methadone	11	15	26
OCI	2	1	3
Official Languages	2	2	4
Operation/Decisions of the OCI	11	3	14
Outside Court	8	6	14
Parole Decisions			
Conditions	25	37	62
Day Parole	10	21	31
Detention	7	11	18
Full Parole	9	10	19
Revocation	44	51	95
Total	95	130	225
Police Decisions or Misconduct	1	1	2
Private Family Visits	33	83	116
Program/Services			
Women	3	1	4
Aboriginals	3	13	16
Access	19	20	39
Decisions	17	20	37
Other	9	12	21
Total	51	66	117

Category	I/R(2)	Inv (3)	Total
Provincial Matter	3	1	4
Release Procedures	30	48	78
Religious/ Spiritual	21	21	42
Safety / Security			
Incompatibles	20	41	61
Worksite	0	4	4
Total	20	45	65
Safety/Security of Offender(s)	27	50	77
Search and Seizure	23	26	49
Security Classification	52	87	139
Sentence Administration	14	14	28
Staff	195	217	412
Telephone	138	130	268
Temporary Absence			
Escorted	9	31	40
Unescorted	5	9	14
Total	14	40	54
Temporary Absence Decision	13	29	42
Transfer			
Implementation	25	53	78
Involuntary	66	131	197
Pen Placement	14	25	39
Section 81 / 84	1	0	1
Voluntary	71	73	144
Total	177	282	459

Category	I/R(2)	Inv (3)	Total
Urinalysis	11	20	31
Use of Force	17	28	45
Visits	45	74	119
Uncategorized(*)			169
Grand Total			6252

^(*) Includes: complaint topics not currently represented by the complaint categories outlined above, or complaints that address multiple categories at the same time.

Table B: Complaints By Institution / Region (*)

Region / Institution	Number of Complaints	Number of Interviews	Number of Days Spent in Institutions
FSW			
Edmonton Women Facility	126	36	6
Fraser Valley	64	10	4
Grand Valley	218	57	8
Joliette	98	30	8
Nova	37	8	2
Okimaw Ohci Healing Lodge	13	4	1
Buffalo Sage Wellness House	0	2	0.5
Total	556	147	29.5
Atlantic			
Atlantic	255	55	9
Dorchester (Dorchester / Westmorland)	438	129	9
Shepody Healing Centre	17	4	1
Springhill	146	52	7
Total	856	240	26
Ontario			
Bath	89	22	13
Collins Bay (Collins Bay / Frontenac)	107	42	12
Fenbrook (Fenbrook / Beaver Creek)	204	77	10
Joyceville (Joyceville / Pittsburgh)	283	99	14
Millhaven	267	66	13
RTC - Ontario	18	9	3
Warkworth	207	79	14
Total	1175	394	79

Table B: Complaints By Institution / Region (*) (cont.)

Region / Institution	Number of Complaints	Number of Interviews	Number of Days Spent in Institutions
Pacific			
Kent	187	104	14
Kwikwèxwelhp	1	2	1
Matsqui	78	40	7
Mission (Mission / Ferndale)	152	55	8
Mountain	221	134	13
RTC - Pacific	96	35	9
William Head	22	13	1.5
Total	757	383	53.5
Prairies			
Bowden	192	45	7
Drumheller	111	43	9
Edmonton	138	31	10
Grande Cache	118	46	9
Grierson Centre	4	3	1
Pe Saskatew	25	3	1
RPC- Prairies	161	43	9
Saskatchewan Penitentiary (Saskatchewan / Riverbend)	260	67	11.5
Stan Daniels Centre	6	0	1
Stony Mountain (Stony Mountain / Rockwood)	172	49	12
Willow Cree	3	14	1
Total	1190	344	71.5

Table B: Complaints By Institution / Region (*) (cont.)

Region / Institution	Number of Complaints	Number of Interviews	Number of Days Spent in Institutions
Québec			
Archambault (Archambault / Ste-Anne-des-Plaines)	205	85	17.5
Cowansville	94	38	10
Donnacona	212	84	14
Drummond	90	29	9
Federal Training Centre (Federal Training Centre / Montée-St-François)	282	102	15
La Macaza	132	64	6
Port Cartier	203	114	20
RRC Québec	142	38	8
SHU - USD	54	23	8
Waseskun Healing Lodge	5	5	2
Total	1419	582	109.5
CCC/CRC/ Parolees in Community	281	20	12
Federal Inmates in Provincial Institutions	8	0	0
Uncategorized	10	0	0
Grand Total	6252	2110	381.0

^{*} Effective April 1, 2014, CSC merged 22 institutions on co-located sites into 11 "clustered institutions". As this is the first year that OCI data reflects these changes, the names of the institutions prior to merging are included in the brackets following the official name of the clustered site.

Table C: Complaints and Inmate Population - By Region

Region	Total Number of Complaints	Inmate Population (*)
Atlantic	856	1459
Quebec	1419	3581
Ontario	1175	3470
Prairie	1190	3787
Pacific	757	2102
Women's Facilities	556	692
CCC/CRC/Community/Provincial Facilities	289	N/A
Uncategorized	10	N/A

Grand Total	6252	15091
-------------	------	-------

^{*}Inmate Population broken down by Region: As of March 31,2015, according to the Correctional Service of Canada's Corporate Reporting System.

Table D: Disposition of Complaints by Action

Action	Disposition	Number of Complaints
Internal Response		
	Uncategorized	58
	Advise/Information Given	1632
	Assisted by Institution	168
	Pending	5
	Recommendation	3
	Refer to Grievance Process	252
	Refer to Institutional Staff	295
	Refer to Warden	25
	Rejected as unfounded	107
	Systemic/Multiple	25
	Withdrawn	71
	Total	2641
Inquiry		
	Uncategorized	58
	Advise/Information Given	825
	Assisted by Institution	1234
	Pending	10
	Recommendation	26
	Refer to Grievance Process	181
	Refer to Institutional Staff	432
	Refer to Warden	91
	Rejected as unfounded	151
	Systemic/Multiple	45
	Withdrawn	43
	Total	3096

Table D: Disposition of Complaints by Action (cont.)

Action	Disposition	Number of Complaints		
Investigation				
	Uncategorized	56		
	Advise/Information Given	150		
	Assisted by Institution	126		
	Pending	2		
	Recommendation	25		
	Refer to Grievance Process	26		
	Refer to Institutional Staff	22		
	Refer to Warden	15		
	Rejected as unfounded	47		
	Systemic/Multiple	29		
	Withdrawn	17		
	Total	515		

Grand Total 6252		Grand Total	6252
------------------	--	-------------	------

Table E: Areas of Concern Most Frequently Identified by Offenders

Total Offender Population

Category	#	%
Health Care	703	11.24%
Conditions of Confinement	516	8.25%
Transfer	459	7.34%
Staff	412	6.59%
Administrative Segregation	375	6.00%
Cell Effects	356	5.69%
Telephone	268	4.29%
Parole Decisions	225	3.60%
Grievance	189	3.02%
Information	175	2.80%

Aboriginal Offenders

Category	#	%
Conditions of Confinement	84	11.41%
Health Care	74	10.05%
Transfer	62	8.42%
Staff	56	7.61%
Administrative Segregation	48	6.52%
Cell Effects	45	6.11%
Telephone	30	4.08%
Parole Decisions	24	3.26%
Correspondence	23	3.13%
Information	23	3.13%

Cell Effects

Telephone

Visits

Mental Health

Cell Placement

Security Classification

Table E: Areas of Concern Most Frequently Identified by Offenders (cont.)

Women Offenders		
Category	#	%
Conditions of Confinement	88	14.26%
Health Care	70	11.35%
Staff	63	10.21%
Administrative Segregation	39	6.32%

35

33

22

18

18

17

5.67%

5.35%

3.57%

2.92%

2.92%

2.76%

ANNEX C: Other Statistics

A. Mandated Reviews Conducted in 2014-15

As per the *Corrections and Conditional Release Act (CCRA)*, the Office of the Correctional Investigator reviews all CSC investigations involving incidents of inmate serious bodily injury or death.

Mandated Reviews by Type of Incident	
Assault	54
Murder	0
Suicide	5
Attempted Suicide	18
Self-Harm	13
Injuries (Accident)	31
Overdose Interrupted	30
Death (Natural Cause)*	7
Death (Unnatural Cause)	5
Other**	4
Total	167

^{*} Deaths due to 'natural causes' are investigated under a separate Mortality Review process involving a file review conducted at National Headquarters.

^{**} Investigations convened under S. 97 & 98 of the CCRA, including disturbances, sexual assault, etc.

B. Use of Force Reviews Conducted by the OCI in 2014-15

Per policy, the Correctional Service is required to provide all pertinent and relevant use of force documentation to the Office. Use of force documentation typically includes:

- Use of Force Report,
- Copy of incident-related video recording,
- Checklist for Health Services Review of Use of Force,
- Post-incident Checklist
- Officer's Statement/Observation Report; and,
- Action plan to address deficiencies.

OCI Use of Force Statistics for 2014-2015

	Atlantic Region	Quebec Region	Ontario Region	Prairie Region	Pacific Region	Federally Sentenced Women	National
Reported incidents reviewed by the OCI	148	328	258	414	155	198	1501
Use of force measures ap	plied						
Emergency Response Team	34	24	31	29	10	9	137
Verbal intervention	143	256	211	384	153	185	1332
Physical Handling	122	199	189	315	127	166	1118
Restraint equipment	122	220	156	298	133	147	1076
Used of OC	74	224	128	213	110	83	832
Use of CS	1	14	5	1	1	0	22
Distraction Device	0	1	0	6	2	1	10
Shield	16	24	27	35	21	20	143
Baton	4	11	18	9	3	0	45
Display/Charging firearm	0	0	5	9	4	0	18
Use of firearm- warning shot	0	0	1	3	2	0	6
Use of firearm – aimed shot	0	0	0	0	0	0	0

OCI Use of Force Statistics for 2014-2015 (cont.)

	Atlantic Region	Quebec Region	Ontario Region	Prairie Region	Pacific Region	Federally Sentenced Women	National
Indicators of concerns							
Aboriginal	27	48	49	227	45	67	463
Women	0	1	0	66	1	183	251
Mental Health Issues identified (CSC)	91	69	44	202	52	135	593
Injuries							
Injuries to offender	18	47	25	40	16	14	160

C. Toll-Free Contacts in 2014-15

Offenders and members of the public can contact the OCI by calling our toll-free number (1-877-885-8848) anywhere in Canada. All communications between offenders and the OCI are confidential.

Number of toll-free contacts received in the reporting period: 22,065

Number of minutes recorded on toll-free line: 111,686

D. National Level Investigations in 2014-15

- 1. Deaths in Custody (Suicide) *A Three Year Review of Federal Inmate Suicides (2011-2014)*-Released September 10, 2014.
- 2. Investigation of CSC's National Drug Formulary, February 2015
- 3. Review of Food Services Modernization ("Cook-Chill")
- 4. Review of Electronic Monitoring
- 5. Review of Prison Industries (Corcan)
- 6. Access to Program Assignments at a Maximum Security Institution
- 7. Special Focus on Administrative Segregation
- 8. Review of Compliance with CD-843 (Management of Inmate Self-Injurious and Suicidal Behaviour)