



National  
Defence

Défense  
nationale

Chief Review Services Chef - Service d'examen

CRS  CS Ex

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## Follow-up on Audit of Security for Sensitive Inventories

August 2008

7053-55-2 (CRS)



Canada 

## Caveat

The results of this work do not constitute an audit of the security for sensitive inventories. Rather, this report provides an update on the progress made regarding the Management Action Plan provided in the May 2004 Audit of Security for Sensitive Inventories.



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## Acronyms and Abbreviations

CF	Canadian Forces
CFPM	Canadian Forces Provost Marshal
DPM Secur	Deputy Provost Marshal Security
DND	Department of National Defence
DSM	Defence Security Manual
GSP	Government Security Policy
MAP	Management Action Plan
NDSI	National Defence Security Instruction
NDSP	National Defence Security Policy
TRA	Threat Risk Assessment

## Introduction

As required by the Treasury Board Policy on Internal Audit, Chief Review Services undertook an audit follow-up to assess the implementation of the management action plan (MAP) provided by the Canadian Forces Provost Marshal (CFPM) in response to the Audit of Security for Sensitive Inventories (May 2004).

The original audit reviewed five key requirements of the Government Security Policy (GSP) related to physical security: establish and direct a security program that ensures co-ordination of all policy functions and implementation of policy requirements; identify and categorize assets based on degree of injury (low, medium, high) that could reasonably be expected to result from compromise to their availability or integrity; monitor and assess the overall state of security within the Department; continuously assess threats and risks; and have an ongoing education and awareness program.

## Methodology

This audit follow-up is not another audit of the same issues, but rather a review of documentation and evidence to assess progress made in implementing the MAP. The following methods were used to determine progress made regarding the MAP:

- Interviewed Deputy Provost Marshal Security (DPM Secur) and DPM Secur staff;
- Examined policy documents, plans and reports related to physical security, sensitive inventories and threat risk assessments (TRA).

## Overall Assessment

Since the receipt of the MAP, progress has been made in regards to the development of physical security, sensitive inventory and threat risk assessment policy .....  
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..... There is now a definition of sensitive inventories, .....  
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Consequently, no work has been done to .....  
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## MAP Implementation Progress

### Effectiveness of Physical Security Program

It was recommended that the CFPM develop and implement a physical security program that complied with the requirements of the GSP. This included updating and improving departmental physical security policy, implementing a process to .....  
..... within the DND/CF, and identifying and acquiring the resources required to ensure the program functioned as required.

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..... A new National Defence Security Instruction (NDSI) for physical security was written .....  
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..... the issuance of a new physical security policy, .....  
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### Sensitive Inventory Identification

To address GSP requirements and ensure the completeness, availability and integrity of assets deemed to be sensitive or critical to DND/CF operations, it was recommended that DPM Secur, the Departmental Security Officer, in cooperation with Level 1s .....  
..... them according to the ..... to the DND/CF should they be lost, damaged or misused.

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### **Threat Risk Assessment Determination and Training**

It was recommended that DPM Secur develop policy, guidance documents and a training and awareness plan that clearly articulated and facilitated an understanding of the TRA process and associated ..... related to the .....

As indicated in the audit report, managers with oversight responsibilities for sensitive inventories were not ..... and, .....

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