# ANNUAL REPORT TO PARLIAMENT PRIVACY ACT

# Federal Economic Development Agency for Southern Ontario

April 1, 2015 to March 31, 2016

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This publication is available upon request in accessible formats.

#### Contact:

Access to Information and Privacy Coordinator Federal Economic Development Agency for Southern Ontario 155 Queen Street, 14th Floor Ottawa, Ontario K1A 0H5 Telephone: 613-301-7142 Fax: 613-952-9026 Email: fdo.atip-aiprp.fdo@canada.ca

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# INTRODUCTION

The purpose of the *Privacy Act* (the *Act*) "is to extend the present laws of Canada that protect the privacy of individuals with respect to personal information about themselves held by a government institution and that provide individuals with a right of access to that information" (Section 2 of the *Act*). The *Act* also protects an individual's privacy by preventing others from having access to that personal information and allows an individual specific rights concerning the collection and use of his or her personal information.

Section 72 of the *Act* requires that the head of every government institution prepare, for submission to Parliament, an annual report on the administration of the *Act* within the institution during each financial year. For the Federal Economic Development Agency for Southern Ontario (FedDev Ontario), the President has been designated as the head of the institution by Order in Council.

This Annual Report describes how FedDev Ontario, in its seventh year of operation, administered its responsibilities under the *Act*.

# **ABOUT THE ORGANIZATION**

# Raison d'être

FedDev Ontario was established in 2009 to support economic growth in southern Ontario through the delivery of federal programs and services.

In 2013, FedDev Ontario's mandate was renewed for a second five-year term, from 2014-2016 to 2018-2019. Budget 2013 provided the Agency with \$920 million in core funding for this five-year period, with a renewed commitment to strengthen the region's capacity for innovation, entrepreneurship and collaboration and to promote the development of a strong and diversified southern Ontario economy.

## **Responsibilities**

Through its suite of programs and activities, FedDev Ontario works to support sustainable and long-term economic benefits for the region, contributing to a strong and prosperous Ontario, to ensure a strong and prosperous Canada.

## **Scope of Operations**

FedDev Ontario is headquartered in Waterloo and has regional offices in Ottawa, Peterborough and Toronto. Its mandate covers southern Ontario, which includes 37 Statistics Canada census divisions.

FedDev Ontario is part of the Innovation, Science and Economic Development portfolio. Its President oversees Agency operations and resources, and reports to the Clerk of the Privy Council.

# STRUCTURE OF THE ACCESS TO INFORMATION AND PRIVACY OFFICE

Responsibility for processing requests received under the *Privacy Act* rests with the Access to Information and Privacy (ATIP) Coordinator who reports to the Corporate Secretary of the Agency. The ATIP Coordinator oversees the implementation of the *Access to Information Act* and the *Privacy Act* (the *Acts*) within FedDev Ontario, and ensures compliance with the legislation. The ATIP Office structure also includes one officer, as per the organizational chart in Annex A.

The ATIP Coordinator is responsible for the development, coordination and implementation of effective policies, guidelines and procedures necessary to manage the Agency's compliance with the *Acts*. The ATIP Office, which is located in Ottawa's regional office, responds to all formal requests submitted under the applicable Act.

The administration of the above *Acts* at FedDev Ontario is also facilitated at the branch and regional office levels. Each organizational branch has a liaison officer (reporting to a Vice-President or Director General) who coordinates activities on the administrative processes and procedures of these *Acts*.

# **DELEGATION OF AUTHORITY**

The ATIP Delegation Order was approved by the President of the Agency on January 27, 2016. This provides full delegated authority to the Vice-President of the Policy, Partnerships and Performance Management Branch, to the Corporate Secretary and to the ATIP Coordinator. The designation of the Corporate Secretary position is for the purpose of providing strategic support and advice to the executive management of the Agency concerning Access to Information and Privacy issues, if and when required. For all daily ATIP activities and operations, the ATIP Coordinator exercises full responsibility. A copy of the ATIP Delegation Order is attached in Annex B.

## **STATISTICS**

FedDev Ontario received and completed four privacy requests from April 1, 2015 to March 31, 2016. This represents a decrease of 20 percent compared to the previous period. A detailed statistical report on privacy requests is attached in Annex C.

## **Disposition and Completion Time**

Of the four requests completed, all were completed within the legislated 30 days or less. In one instance, the requester was granted partial disclosure, two requests were abandoned by the requestor and in one instance there were no records that existed.

For the one request where FedDev Ontario held responsive records, a total of 1,109 pages were processed and 809 pages were disclosed.

## **Exemptions and Exclusions**

As noted in Annex C, exemptions under section 26 of the Act were invoked by FedDev Ontario. No exclusions were cited by the Agency.

No extensions beyond the 30 day statutory limit were taken.

#### **Operational Costs to Administer the Privacy Act**

Annex C reflects the total cost of \$4,203 associated with the administration of the *Privacy Act* in the Agency for this fiscal year. This amount includes a salary cost of \$1 301, which includes two full-time employees and one part-time employee in the ATIP office, and other FedDev employees who work to support this function on a part-time basis as required and a non-salary amount of \$2,902.

#### EDUCATION AND TRAINING

The Agency did not deliver privacy training sessions to employees during the reporting period. The ATIP Coordinator and the ATIP Officer provided advice and coaching to employees on privacy related matters throughout the reporting period.

Procedural information for processing privacy requests is posted on the Agency's wiki page.

## INSTITUTIONAL POLICIES AND PROCEDURES

An ATIP page is available on the external FedDev Ontario website. The page contains general information for the public, points of contact, and links to other key departments and agencies.

FedDev Ontario's internal procedures are reviewed periodically to maintain compliance with Treasury Board Secretariat's policies and guidelines.

## **COMPLAINTS, AUDITS AND INVESTIGATIONS**

There were no complaints received and no audits or investigations concluded concerning the administration of the *Act* during this reporting period.

## **PROCESSING TIMES**

The ATIP Office monitors the time to process privacy requests on an ongoing basis using the ATIP requests management software in order to ensure compliance with established deadlines. The Corporate Secretary, Vice-Presidents, Directors General or the Office of the President are notified as appropriate.

# MATERIAL PRIVACY BREACHES

No material privacy breach occurred during this reporting period.

# PRIVACY IMPACT ASSESSMENT

During this reporting period, FedDev Ontario did not initiate any Privacy Impact Assessments and, therefore, no assessments were forwarded to the Office of the Privacy Commissioner.

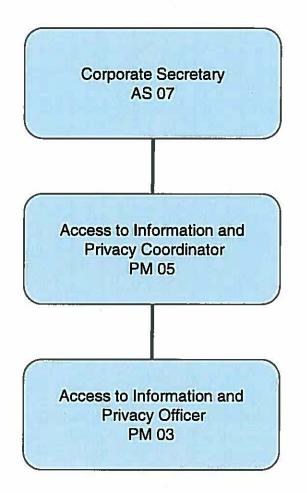
# DISCLOSURE PURSUANT TO PARAGRAPH 8(2)(m)

There were no disclosures pursuant to paragraph 8(2)(m) for the period April 1, 2015 to March 31, 2016.

ANNEX A - Structure of Access to Information and Privacy Office

# Federal Economic Development Agency for Southern Ontario

# **Access to Information and Privacy Office**



ANNEX B - Delegation Order

Federal Economic Development Agency for Southern Ontario / Agence fédérale de développement économique pour le Sud de l'Ontario

Access to Information Act and Privacy Act Delegation Order

Arrêté sur la délégation en vertu de la Loi sur l'accès à l'information et de la Loi sur protection des renseignements personnels

The President of the Federal Economic Development Agency for Southern Ontario, pursuant to section 73 of the Access to Information Act and the Privacy Act, hereby designates the persons holding the positions set out in the schedule hereto, or the persons occupying on an acting basis those positions, to exercise the powers and functions of the President as the head of a government institution, under the sections of the Acts set out in the schedule opposite each position. This Delegation Order supersedes all previous Delegation Orders. En vertu de l'article 73 de la Loi sur l'accès à l'information et la Loi sur la protection des renseignements personnels, la Présidente de l'Agence fédérale de développement économique pour le Sud de l'Ontario délègue aux titulaires des postes mentionnés à l'annexe ci-après, ainsi qu'aux personnes occupant à titre intérimaire lesdits postes, les attributions dont il est, en qualité de responsable d'une institution fédérale, investie par les articles des lois mentionnées en regard de chaque poste. Le présent décret de délégation remplace et annule tout décret antérieur.

#### Schedule / Annexe

Position / Poste

Access to Information Act and Regulations / Lai sur l'accès à l'information et règlements

Corporate Secretary / Secrétaire générale

Full authority / Autorité absolue Privacy Act and Regulations / Loi sur la protection des renseignements personnels et règlements

Full authority / Autorité absolue

and

Access to Information and Privacy Coordinator, Corporate Secretariat / Coordonnateur de l'accès à l'information et de la protection des renseignements personnels, Secrétariat ministériel

#### Full authority / Autorité absolue

#### Full authority / Autorité absolue

and

Vice-President, Policy, Partnershlps & Performance Management / Viceprésident, Politiques, partenariats et gestion de rendement Full authority / Autorité absolue Full authority / Autorité absolue

Dated, at the City of Ottawa this 27 day of Jan, 2016 Daté, en la ville d'Ottawa ce 22 jour de j 2016

Nancy Horsman, President Federal Economic Development Agency for Southern Ontario

Nancy Horsman, Présidente Agence fédérale de développement économique pour le Sud de l'Ontario

# ANNEX C - Statistical Report



# Statistical Report on the Privacy Act

Name of institution:	Federal Economic Develop	ment Agency fo	r Southern Ontario	
Reporting period:	2015-04-01	to	2016-03-31	

Part 1: Requests Under the Privacy Act

	Number of Requests
Received during reporting period	4
Outstanding from previous reporting period	0
Total	4
Closed during reporting period	4
Carried over to next reporting period	0

# Part 2: Requests Closed During the Reporting Period

#### 2.1 Disposition and completion time

	Completion Time							
Disposition of Requests	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	Total
All disclosed	0	0	0	0	0	0	0	0
Disclosed in part	0	1	0	0	0	0	0	1
All exempted	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0
No records exist	1	0	0	0	0	0	0	1
Request abandoned	1	1	0	0	0	0	0	2
Neither confirmed nor denied	0	0	0	0	0	0	0	0
Total	2	2	0	0	0	0	0	4

#### 2.2 Exemptions

Section	Number of Requests	Section	Number of Requests	Section	Number of Requests
18(2)	0	22(1)(a)(i)	0	23(a)	0
19(1)(a)	0	22(1)(a)(ii)	0	23(b)	0
19(1)(b)	0	22(1)(a)(iii)	0	24(a)	0
19(1)(c)	0	22(1)(b)	0	24(b)	0 -
19(1)(d)	0	22(1)(c)	0	25	0
19(1)(e)	0	22(2)	0	26	1
19(1)(f)	0	22.1	0	27	0
20	0	22.2	0	28	0
21	0	22.3	0		•

# Canadä

#### TBS/SCT 350-63 (Rev. 2014/03)

#### 2.3 Exclusions

Section	Requests	Section	Requests	Section	Requests	
69(1)(a)	0	70(1)	0	70(1)(d)	0	
69(1)(b)	0 70(1)(a) (		0	70(1)(e)	0	
69.1 0	0	70(1)(b)	0	70(1)(í)	0	
		70(1)(c)	0	70.1	0	

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#### 2.4 Format of information released

Disposition	Paper	Electronic	Other formats
All disclosed	0	0	0
Disclosed in part	0	1	0
Total	0	1	0

#### 2.5 Complexity

# 2.5.1 Relevant pages processed and disclosed

<b>Disposition of Requests</b>	Number of Pages Processed	Number of Pages Disclosed	Number of Requests	
All disclosed	0	0	0	
Disclosed in part	1,109	809	1	
All exempted	0	0	0	
All excluded	0	0	0	
Request abandoned	0	0	2	
Neither confirmed nor denied	0	0	0	
Total	1109	809	3	

# 2.5.2 Relevant pages processed and disclosed by size of requests

Disposition				101-500 501-1000 ges Processed Pages Processed		Pages		More Than 5000 Pages Processed		
	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed
All disclosed	0	0	0	0	0	0	0	0	0	0
Disclosed in part	0	0	0	0	1	809	0	0	0	0
All exempted	0	0	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0	0	0
Request abandoned	2	0	0	0	0	0	0	0	0	0
Neither confirmed nor denied	0	0	0	0	0	0	0	0	0	0
Total	2	0	0	0	1	809	0	0	0	0

# 2.5.3 Other complexities

Disposition	Required	Sought	Information	Other	Total
All disclosed	0	0	0	0	0

Disclosed in part	0	0	0	0	0
All exempted	0	0	0	0	0
All excluded	0	0	0	0	0
Request abandoned	0	0	0	0	0
Neither confirmed nor denied	0	0	0	0	0
Total	0	0	0	0	0

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#### 2.6 Deemed refusals

#### 2.6.1 Reasons for not meeting statutory deadline

Number of Requests Closed Past the	Reason				
Statutory Deadline	Workload	Consultation	Consultat	Other	
0	0	0	0	0	

#### 2.6.2 Number of days past deadline

Number of Days Past Deadline	Deadline Where No Extension	Deadline Where An Extension	Total
1 to 15 days	0	0	0
16 to 30 days	0	0	0
31 to 60 days	0	0	0
61 to 120 days	0	0	0
121 to 180 days	0	0	0
181 to 365 days	0	0	0
More than 365 days	0	0	0
Total	0	0	0

#### 2.7 Requests for translation

Translation Requests	Accepted	Refused	Total
English to French	0	0	0
French to English	0	0	0
Total	- 0	0	0

# Part 3: Disclosures Under Subsections 8(2) and 8(5)

Paragraph 8(2)(e)	Paragraph 8(2)(m)	Subsection 8(5)	Total		
0	0	0	0		

# Part 4: Requests for Correction of Personal Information and Notations

Disposition for Correction Requests Received	Number
Notations attached	0
Requests for correction accepted	0
Total	0

#### Part 5: Extensions

5.1 Reasons for extensions and disposition of requests

Disposition of Requests Where an	15(a)(i) Interference With	15( Const	15(b) Translation or		
Extension Was Taken	Operations	Section 70	Other	Conversion	
All disclosed	0	0	0	0	
Disclosed in part	0	0	0	0	
All exempted	0	0	0	0	
All excluded	0	0	0	0	
No records exist	0	0	0	0	
Request abandoned	0	0	0	0	
Total	0	0	0	0	

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#### 5.2 Length of extensions

	15(a)(i)		( <b>a)(ii</b> ) sultation	15(b)
Length of Extensions	Interference with operations	Section 70	Other	Translation purposes
1 to 15 days	0	0	0	0
16 to 30 days	0	0	0	0
Total	0	0	0	0

#### Part 6: Consultations Received From Other Institutions and Organizations

#### 6.1 Consultations received from other Government of Canada institutions and other organizations

Consultations	Other Government of Canada Institutions	Number of Pages to Review	Other Organizations	Number of Pages to Review
Received during the reporting period	0	0	0	0
Outstanding from the previous reporting period	0	0	0	0
Total	0	0	0	0
Closed during the reporting period	0	0	0	0
Pending at the end of the reporting period	0	0	0	0

#### 6.2 Recommendations and completion time for consultations received from other Government of Canada

		Number of Days Required to Complete Consultation Requests								
Recommendation	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	Total		
All disclosed	0	0	0	0	0	0	0	0		
Disclosed in part	0	0	0	0	0	0	0	0		
All exempted	0	0	0	0	0	0	0	0		
All excluded	0	0	0	0	0	0	0	0		
Consult other institution	0	0	0	0	0	0	0	0		
Other	0	0	0	0	0	0	0	0		
Total	0	0	0	0	0	0	0	0		

#### 6.3 Recommendations and completion time for consultations received from other organizations

Recommendation	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Ddays	More Than 365 Days	Total
All disclosed	0	0	0	0	0	0	0	0
Disclosed in part	0	0	0	0	0	0	0	0
All exempted	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0
Consult other institution	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0

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# Part 7: Completion Time of Consultations on Cabinet Confidences

# 7.1 Requests with Legal Services

Number of Days		Fewer Than 100 Pages Processed		101-500 Pages Processed		501-1000 Pages Processed		1001-5000 Pages Processed		More than 5000 Pages Processed	
Number of Days	Number of Flequests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	
1 to 15	0	0	0	0	0	0	0	0	0	0	
16 to 30	0	0	0	0	0	0	0	0	0	0	
31 to 60	0	0	0	0	0	0	0	0	0	0	
61 to 120	0	0	0	0	0	0	0	0	0	0	
121 to 180	0	0	0	0	0	0	0	0	0	0	
181 to 365	0	0	0	0	0	0	0	0	0	0	
More than 365	0	0	0	0	0	0	0	0	0	0	
Total	0	0	0	0	0	0	0	0	0	0	

# 7.2 Requests with Privy Council Office

Number of Doup	Fewer Than 100 Pages Processed		아파앉옷 성고 것	101–500 Pages Processed P		501-1000 Pages Processed		1001-5000 Pages Processed		More than 5000 Pages Processed	
Number of Days	Number of Flequests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	
1 to 15	0	0	0	0	0	0	0	0	0	0	
16 to 30	0	0	0	0	0	0	0	0	0	0	
31 to 60	0	0	0	0	0	0	0	0	0	0	
61 to 120	0	0	0	0	0	0	0	0	0	0	
121 to 180	0	0	0	0	0	0	0	0	0	0	
181 to 365	0	0	0	0	0	0	0	0	0	0	
More than 365	0	0	0	0	0	0	0	0	0	0	
Total	0	0	0	0	0	0	0	0	0	0	

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# Part 8: Complaints and Investigations Notices Received

Section 31	Section 33	Section 35	Court action	Total
0	0	0	0	0

Part 9: Privacy Impact Assessments (PIAs)

# Part 10: Resources Related to the Privacy Act

#### 10.1 Costs

Expenditures		Amount	
Salaries		\$1,301	
Overtime		\$0	
Goods and Services		\$2,902	
<ul> <li>Professional services contracts</li> </ul>	\$0		
• Other	\$2,902		
Total		\$4,203	

5

0

#### 10.2 Human Resources

Resources	Privacy Activities	
Full-time employees	2.00	
Part-time and casual employees	1.00	
Regional staff	0.00	
Consultants and agency personnel	0.00	
Students	0.00	
Total	3.00	

Note: Enter values to two decimal places.