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# **Command & Control Concepts for Maritime** Area Air Defence (C3-MAAD)

Literature Survey

Tamara Keating, Tamara McLaughlin NRC Canada Institute for Scientific and Technical Information

The scientific or technical validity of this Contract Report is entirely the responsibility of the Contractor and the contents do not necessarily have the approval or endorsement of the Department of National Defence of Canada.

### **Defence Research and Development Canada – Valcartier**

**Contract Report** DRDC Valcartier CR 2011-219 May 2011



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Contract Report DRDC Valcartier CR 2011-219 May 2011

### IMPORTANT INFORMATIVE STATEMENTS

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### Abstract

The following project was completed in two phases. The first phase sought to identify collaborative decision making tools currently on the market or being developed specifically for naval tactical C2 purposes. This was accomplished through a combination of database and Internet searches.

Only a few collaborative decision making tools specifically for naval tactical operations were identified, particularly the Cooperative Engagement Capability (CEC), the Multiplatform Engagement Capability (MPEC) and Thales' Combat Management Systems. Only limited technical information on Thales' and Raytheon's systems was available but links to their product literature have been provided. Other projects and programmes not specifically for the Navy may be important to watch for new developments, namely: NATO's Network Enabled Capability (NNEC) program, the US Air Force Network-Centric Collaborative Targeting (NCCT) and the US Joint Integrated Air and Missile Defense )JIAMD) initiative. Major players in this field include Raytheon, Thales. And Israel Aerospace Industries (IAI). Many of the development contracts in recent years have been awarded to consortia, often led by Raytheon, Thales or Lockheed Martin.

The second phase of this project was centered on identifying major organizations (academic, government and companies) currently conducting research in the areas of human-computer interaction and collaborative decision support tools, with a focus on Canadian organizations and laboratories. Information was sought from scientific and technical databases and some highly relevant conference proceedings. Bibliographic information was compiled into two master databases and then names of organizations were normalized and lists of the most prolific institutions in terms of numbers of publications were compiled.

### Résumé

Le projet suivant a été mené en deux phases. Dans le cadre de la première, l'objectif consistait à trouver les outils collaboratifs de prise de décision conçus spécialement pour le C2 tactique naval qui sont actuellement disponibles sur le marché ou en cours de développement. Dans ce but, des recherches ont été effectuées dans des bases de données et dans Internet.

Seulement quelques outils collaboratifs de prise de décision pour les opérations tactiques navales ont été trouvés, soit la Cooperative Engagement Capability (capacité d'engagement en coopération ou CEC), la Multiplatform Engagement Capability (capacité d'engagement multiplateforme ou MPEC) et les systèmes de gestion de combat de Thales. Seuls des renseignements techniques limités sur les systèmes de Thales et de Raytheon étaient disponibles, mais les liens menant à la documentation sur leur produit ont été fournis. Il pourrait être important de surveiller tout nouveau développement lié à d'autres projets et programmes non spécialement conçus pour la Marine, soit le programme de capacité en réseau de l'OTAN (NNEC), la Network-Centric Collaborative Targeting (capacité de ciblage collaboratif réseaucentrique ou NCCT) de la force aérienne américaine et l'initiative Joint Integrated Air and Missile Defense (défense antiaérienne et antimissile intégrée conjointe ou JIAMD) des États-Unis. Des acteurs majeurs dans ce domaine sont Raytheon, Thales et Israel Aerospace Industries (IAI), entre autres. Bon nombre des contrats de développement des dernières années ont été confiés à des consortiums, souvent dirigés par Raytheon, Thales ou Lockheed Martin.

La deuxième phase de ce projet visait essentiellement à identifier les grandes organisations (universitaires, gouvernementales et privées) menant actuellement des recherches dans les domaines de l'interaction personne-machine et des outils collaboratifs d'aide à la décision, particulièrement les organisations et les laboratoires canadiens. L'information a été tirée de bases de données scientifiques et techniques et des travaux de certaines conférences hautement pertinentes. Les renseignements bibliographiques ont été regroupés dans deux bases de données principales, puis les noms des organisations ont été standardisés et des listes des organisations les plus prolifiques quant au nombre de publications ont été compilées.



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# **STI Assessment**

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# **1 SUMMARY**

The following project was completed in two phases. The first phase sought to identify collaborative decision making tools currently on the market or being developed specifically for naval tactical C2 purposes. This was accomplished through a combination of database and Internet searches.

Only a few collaborative decision making tools specifically for naval tactical operations were identified, particularly the Cooperative Engagement Capability (CEC), the Multiplatform Engagement Capability (MPEC) and Thales' Combat Management Systems. Only limited technical information on Thales' and Raytheon's systems was available but links to their product literature have been provided. Other projects and programmes not specifically for the Navy may be important to watch for new developments, namely: NATO's Network Enabled Capability (NNEC) program, the US Air Force Network-Centric Collaborative Targeting (NCCT) and the US Joint Integrated Air and Missile Defense (JIAMD) initiative.

Major players in this field include Raytheon, Thales, and Israel Aerospace Industries (IAI). Many of the development contracts in recent years have been awarded to consortia, often led by Raytheon, Thales or Lockheed Martin.

The second phase of this project was centered on identifying major organizations (academic, government and companies) currently conducting research in the areas of human-computer interaction and collaborative decision support tools, with a focus on Canadian organizations and laboratories. Information was sought from scientific and technical databases and some highly relevant conference proceedings. Bibliographic information was compiled into two master databases and then names of organizations were normalized and lists of the most prolific institutions in terms of numbers of publications were compiled.

### Human Computer Interaction (HCI)

Few Canadian players were identified in a narrow search on HCI for collaborative decision making, but a broader search showed that the following Canadian institutions are the top five actively engaged in HCI research:

- University of British Columbia, Vancouver, BC
- Simon Fraser University, Burnaby, BC
- University of Toronto, ON
- University of Calgary, AB
- Concordia University, Montreal, QC

Internationally, the top five players, based on numbers of publications are:

- Carnegie Mellon University, Pittsburgh, PA, USA
- Purdue University, West Lafayette, IN, United States
- Massachusetts Institute of Technology (MIT), Cambridge, MA, USA
- Pennsylvania State University, University Park, PA, USA
- Delft University of Technology, Netherlands



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#### **Decision Support Tools**

This search was also very narrow in scope, looking only for decision support tools for groups of operators. While the results were significant, there were few Canadian players in the final dataset and so a broader supplemental search to retrieve more Canadian author affiliations was conducted.

From this set, the top five Canadian players in collaborative decision making tools are:

- University of Waterloo, ON
- University of British Columbia, Vancouver, BC
- University of Toronto, ON
- Université Laval, Québec, QC
- University of Calgary, AB

Internationally, the top five players, based on numbers of publications are:

- Naval Postgraduate School, Monterey, CA, USA
- BP Global, UK
- Nanyang Technological University, Singapore
- Massachusetts Institute of Technology (MIT), Cambridge, MA, USA
- US Air Force Research Laboratory (AFRL), Wright-Patterson AFB, OH, USA

# 2 BACKGROUND

### 2.1 Context

The Canadian Forces are considering littoral regions as an operational maneuver space from which a Task Force can influence situations, decisions and events, as part of a joint (national) and/or combined (coalition) mission. A Task Force (joint or combined) is a group of platforms/units formed to accomplish common mission objectives. The conduct of operations as a Task Force, as opposed to a single platform, introduces additional challenges to the Command and Control (C2) processes. Task Force operations are network-centric, as opposed to single platform operations, which are platform-centric (only concerned with self-defense). The Task Force is embedded within a network that links sensors, shooters, and C2 nodes. Achieving C2 tasks efficiently, in a network-centric context, introduces new requirements with regard to interoperability, communication, coordination, and information sharing among the participating units and the decision-makers.

The overwhelming environment, the spectrum of potential threats, and the diversity of the adversarial tactics and manoeuvres compounded with the inherent complexity associated with the joint/combined force render the effective execution of naval C2 functions a complex task.

### **Objectives of the project**

The aim of the C3-MAAD technology development project (TDP) is to develop and demonstrate technologies and concepts to support the future Canadian Naval Task Group command teams in the conduct of Area Air Defence (AAD) and Force Anti-Ship Missile Defence (FASMD). The project will demonstrate a prototype capability that will enable:

(i) collaborative exploitation of information and situation analysis;



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- (ii) collaboration in threat recognition and evaluation; and
- (iii) coordination and optimization of force-wide response planning and execution.

The project has several objectives:

- (i) Develop knowledge to guide and support the development of AAD C2 requirements specifications for the Canadian Surface Combatant project
- (ii) Develop an advanced naval force C2 M&S capability that will be compatible with and of interest to the Navy (CFMWC and DMRS) and help evaluate industry responses to CSC RFP and support tactical developments for future systems and threats by CFMWC. The distributed architecture of the M&S facility will use open standards and offer the ability to connect to the ship and/or run simulations based on live/replayed data.
- (iii) Develop AAD C2 automation algorithms and solutions that provide FASMD coordination measures and procedures in order to address the battle space geometry of the dispersed operating environment. The focus will be on force R&I, threat evaluation, engageability assessment, and combat power management processes.
- (iv) Design command decision aids to provide the force command team with cognitive support for decision making during AAD operations
- (v) Develop comprehensive evaluation methods and metrics that permit the validation and assessment of new technologies and concepts of operations
- (vi) Demonstrate and validate the performance and potential operational effectiveness of key automation algorithms, decision aids and architecture

The work requested under this mandate covers two main topics:

- 1. The application domain: Area Air Defence and Force Anti-Ship Missile Defence
- 2. Decision support concepts and tools.

## 2.2 Key Issues

DRDC researchers would like to complement their current knowledge of existing systems in the Naval C2 tactical domain and identify experts and potential research partners or industrial collaborators, with a preference for Canadian organizations and individuals.

## 2.3 Key Questions

- 1. What collaborative decision making tools are currently on the market or are being developed specifically for naval tactical C2 purposes? Who are the major players?
- 2. Who is working on what in human-computer interaction for decision making, including companies, academic institutions, government organizations and individual researchers? Who are the key Canadian players?
- 3. Who is working on what for tools being developed that enable a *group* of operators to engage in collaborative decision making and planning, including companies, academic institutions, government organizations and individual researchers? Who are the key Canadian players?





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# **3 FINDINGS**

# **3.1 Naval Tactical Decision Support Tools**

Very few systems specifically designed for Navy applications were identified by our search. The U.S. Cooperative Engagement Capability (CEC) system and the European Multi-Platform Engagement Capability (MPEC) system were already known to be systems that come closest to incorporating all processes of picture compilation, threat evaluation, engageability assessment and combat power management. In a 2006 NATO Parliamentary Committee report, only the CEC and MPEC are mentioned as important ISTAR (Intelligence, Surveillance, Target Acquisition and Reconnaissance) programmes related to targeting and shooting, along with the US Air Force Advanced Tactical Targeting Technology (AT3) system (Nolin 2006).

The following table provides a summary of the naval tactical systems we identified – these classifications are based on a review of product information available on the company's websites and not on detailed technical specifications. Further details and discussion on these systems follow below.

System Name	Picture Compilation	Threat Evaluation	Engageability Assessment	Combat Power Management
DCN (France) - Multi- Platform Engagement Capability (MPEC)	Х	Х	Х	Х
Elta Maritime Centric Operation Network EL/I- 4001NC EMCO–NET	Х	Х		
Raytheon – Cooperative Engagement Capability (CEC)	Х	Х	Х	Х
Thales Naval Electronic Warfare Systems	Х	Х		
Thales Naval Combat Management System - TACTICOS	Х	Х		

### Table 1. Naval Tactical Systems

### **Cooperative Engagement Capability (CEC)**

The concept of a Cooperative Engagement Capability (CEC) was first introduced by Johns Hopkins University's Applied Physics Laboratory (APL) in the mid 1980's (Walsh, 2005) but the development was handed over to Raytheon in the 1990's and has been improved over the last 15 years (Acevedo 2006). Raytheon faced some competition from Lockheed Martin and a small company called Solipsys for the development of CEC Block II in 2002, however Raytheon responded by buying out Solipsys and partnering with Lockheed for the competition for the Block II contract (O'Rourke 2005). Raytheon has so far installed 53 CEC systems on US Navy Ships and in April 2010 they were awarded a \$25.5 million production contract and a \$13.7 million design agent and engineering services contract from the U.S. Navy (Raytheon 2010). US Congressional budget documents (<u>http://www.dtic.mil/descriptivesum/Y2012/Navy/0603658N\_4\_PB\_2012.pdf</u>) show an ongoing interest in CEC, as they indicate between \$44 million and \$80 million dollar annual budgets from 2010 to 2016, for a total of





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\$419.749 million USD on the CEC for the Navy over nine years (U.S. Navy 2011). This budgt document is also useful for its descriptions of the system and the list of contractors and test facilities.

CEC is described as "a sensor netting system that allows many ships to pool their radar and sensor information together ... more consistent than any one ship could generate on its own. The data is then shared among all ships and participating systems in the air and on the ground, using secure frequencies" (Defense Industry Daily, <u>http://www.defenseindustrydaily.com/cec-cooperative-engagement-for-fleet-defense-updated-03120</u>). Acedevo further describes the system as one where raw radar data of multiple ships and/or aircraft is combined into a single network, creating a composite target track with the added advantage that a ship can join or leave the CEC network without compromising link integrity or bringing down the network (Acevedo 2006).

It follows that the concept and the technologies of CEC could extend to all joint battlespaces, including air forces and ground forces as well as the Navy, but O'Neil pointed out in 2007 that this is "neither technically feasible nor affordable at present" (O'Neil 2007). Nevertheless, the Department of Defense has followed through with efforts to develop a joint system, originally under the Single Integrated Air Picture (SIAP) Program Executive Office, which has been replaced by the Joint Integrated Air and Missile Defense (JIAMD) initiative. Efforts under this project include Multi-Service Systems Engineering (MSSE), Joint Track Manager Capability (JTMC) demonstrations and Joint Operational Requirements definition (U.S. Air Force 2010) – this programme was awarded an \$18.9 million budget for 2011.

### Multi-Platform Engagement Capability (MPEC) – DCN and Thales (France)

MPEC (also know as Tenue de Situation Multi Plates-Formes Capacite d'Engagement Multi Plates-Formes or TSMPF/CEMP) is the equivalent to CEC for France. The French DGA (Defense Procurement Agency) awarded a €21 million (25 million USD) developmental contract to DCN in 2004 (<u>http://www.deagel.com/Ship-Protection-Systems/CEMP\_a001406001.aspx</u>). Detailed information on this system is much more difficult to find but a 2006 article states that the programme is "intended to demonstrate the technologies required for co-operative situational awareness in which participating platforms share tactical situation data and optimize the use of their respective sensors. This enables force-wide threat evaluation and resource allocation (using the weapons and countermeasures of all participating platforms)." Testing was scheduled to take place in 2006 and at that time it was predicted that the capability could be ready to enter service around 2015 (Scott 2006).

### Israel Aerospace Industries (IAL) ELTA Systems - EL/I-4001NC EMCO-NET

### http://www.iai.co.il/34467-36672-en/Groups\_ELTA\_SystemsApp\_GroundBased.aspx?btl=1

EMCO-NET is a C4ISR network that enables communication among airborne, surface, sub-surface and onshore systems and forces. According to their product literature, it "utilizes advanced multi-platform multi-sensor data fusion and multi-dimensional situation awareness processes to build a common, unique, accurate and real-time Maritime Domain Awareness Picture (MDAP)."This system appears to meet the criteria for classification, identification and threat assessment.

### **Thales - Combat Management Systems**

### http://www.thalesgroup.com/Markets/Defence/What we\_do/Naval\_forces/Above\_water\_warfare/Combat\_m anagement\_system/

Thales' TACTICOS system (<u>http://www.thalesgroup.com/tacticos/?pid=1203</u>) incorporates features for picture compilation, threat evaluation, manual and automatic sensor and weapon assignment, and kill assessment. According to their product literature, it achieves improved situation awareness using multi-sensor data fusion, automatic recognition and identification capabilities and all tactical data-links. Information from the Recognised



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Maritime Picture can be fused with the local area picture. Decision support and coordination is enabled at the force level and for own ship threat assessment. TACTICOS is operational in more than 15 Navies world-wide, on more than 150 naval vessels.

### **Thales - Naval Electronic Warfare Systems**

http://www.thalesgroup.com/Markets/Defence/What\_we\_do/Naval\_forces/Above\_water\_warfare/Electronic\_ Warfare\_Systems/

This system is primarily a solution for the detection and identification of threats in a littoral environment followed by jamming and decoying as countermeasures.

# 3.2 Other Tactical Decision Support Tools

Since so few naval systems were identified by our search, other tactical systems were considered. Even among these however, none of them seem to incorporate all four features. A summary is provided in Table 2 and further details, with Internet links, are provided below.

### **Table 2. Other Tactical Decision Support Tools**

System Name	Picture	Threat	Engageability	Combat Power
	Compilation	Evaluation	Assessment	Management
Bulle Opérationnelle Aéroterrestre (BOA)	Х	?		
NATO Network Enabled Capability (NNEC) - in proposal stage only	Х	Х	Х	Х
ThalesRaytheon - Battle Control System (BCS)	Х			
ThalesRaytheon - Hizam Al Taawun (HAT II)	Х	?		
ThalesRaytheon - SCCOA Air Operations Command and Control System	Х			Х
US Air Force - Advanced Tactical Targeting Technology (AT3)	?			
US Air Force - Network- Centric Collaborative Targeting (NCCT)	Х	Х		

### Bulle Opérationnelle Aéroterrestre (BOA) (France)

http://www.thalesgroup.com/Case\_Studies/LandJoint\_CaseStudy\_BOA/

This is France's central network-centric programme. A €129 million contract has been awarded to a Thales-led consortium for TACTIC3 network enabled architecture for close combat in the air-land theatre. The most interesting objective of this future system is to "realize the LTO (Laboratoire Technico-Opérationnel or Battle-



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lab) containing collaborative tools dedicated to the air-ground combat". LTO will be used to analyze land forces missions, to capitalize on feedback and to support design and modelling.

#### NATO Network Enabled Capability (NNEC)

NATO Network-Enabled Capability (NNEC) is being developed by NATO agencies both in Norfolk, VA (ACT Information Superiority & NATO Network-Enabled Capabilities Integrated Capability Team - IS&NNEC) and Brussels (NATO Command Control and Communications Agency - NC3A). The ACT team is preparing a strategic framework and a road map that will modernize joint Alliance capabilities and enable NATO to create a truly networked force, while NC3A is striving to create technical standards and templates for new architectures. http://www.nato-pa.int/default.asp?SHORTCUT=1004

The programme is still under development, but according to a 2010 FAQ document, a significant milestone (#3) aims to complete the development of decision support tools (Domingo and Angel Rico 2010). Exact dates for these milestones have not been set. Focus areas of the system include picture compilation, threat evaluation, engageability assessment and combat power management.

Updates on the programme can be obtained by subscribing to the NNEC Information Portal: <u>https://transnet.act.nato.int/WISE/Informatio/index\_html</u>

#### Network-Centric Collaborative Targeting (NCCT) Program (US Air Force)

Descriptions of NCCT sound remarkably similar to CEC, but for an aerial environment. A 2008 article in C4ISR Journal describes it as follows:

NCCT directs and combines the "take" from a variety of sensors on separate airborne platforms ("the constellation") that are collecting in a specific area. The different languages used by each platform to relay sensor data are converted into a common Internet Protocol (IP) message set, so that they can be communicated within the constellation to all the network controllers. Using common algorithms and building a common database, data from one platform is sorted and cued to others, so that they can focus on the same, time-sensitive target. In this fashion, the chances of detecting, identifying, fixing, tracking and eliminating fleeting emitters such as mobile Surface-to-Air (SAM) missile batteries or terrorist convoys increase exponentially (Pocock 2008).

L-3 ComCept is the primary contractor for NCCT. The following description is copied directly from the L-3 NCCT website <a href="http://www.comceptinc.com/L3comcept/NCCT.htm">http://www.comceptinc.com/L3comcept/NCCT.htm</a>:

NCCT involves automated cross-cueing between platforms to find, fix, track, engage, and assess short-up time emitters and other time-sensitive targets. It allows correlation to quickly fuse sensor data from individual command, control, intelligence, surveillance, and reconnaissance platforms to build target folders in a common, shared database. Single collaborative NCCT tracks report to targeting decision-makers in minutes with greater accuracy than single platform operations. These networks are scalable and can be tailored to geography, warfare domains, or other criteria. Network participants can receive a correlated picture with pedigree data on existing displays and workstations. The goal is to provide a single target/threat picture for all participants that are interoperable via direct machine-to-machine networking and/or service-oriented architecture. NCCT provides collaborative multi-intelligence identification and geo-location on high-interest events to all participants and command and control elements in near real-time.



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#### Advance Tactical Targeting Technology (AT3) (US Air Force)

Information on this programme more recent than 2006 could not be found, but the programme is included here because it was mentioned in the same paragraph as CEC and MPEC in a NATO Assembly Brief in 2006 (Nolin 2006), even though the system does not appear to employ the types of decision making tools of interest to this project. According to Jane's, AT3 is a US Air Force Materiel Command Laboratory and US Defense Advanced Research Projects Agency (DARPA) project "intended to produce the US Air Force's next-generation lethal Suppression of Enemy Air Defenses (SEAD) system. Its aim is to produce an RF targeting system capable of reducing the missile battery targeting time from minutes to seconds. Under this concept, multiple aircraft fitted with ESM receivers will be networked to provide real-time targeting of hostile emitters within a CEP (circular error probable) of 15 to 50 m, thus enabling use of standoff GPS-guided weapons, such as the Joint StandOff Weapon" (Advanced Tactical Targeting Technology (AT3) (US Air Force Materiel Command, Wright Laboratory), Military CNs, FMs, data and threat management 2005). Jane's also mentions that Boeing, teamed with Litton Advanced Systems Division, Raytheon Electronic Systems and Lockheed Martin Federal Systems responded to the DARPA solicitation, but does not mention who was awarded the R&D contract. According to a 2005 budget document, the technology demonstration project ended in 2005 and the technology was transitioned to the Air Force and Navy in that same year. A technical overview can be obtained from this 1999 DARPA presentation: http://archive.darpa.mil/darpatech99/Presentations/spopdf/spoat3final.pdf (Kaspar 1999).

#### ThalesRaytheonSystems

This joint venture has several ongoing programmes related to C2, though the nature of the decision support tools is not clear. Most of them appear to use at the very least picture compilation technologies and threat assessment. The following descriptions are copied directly from the cited websites, unless otherwise mentioned.

The **Battle Control System (BCS)** <u>http://www.thalesraytheon.com/programs/battle-control-system-bcs.html</u> is the primary air defense/battle management system for North American Air Defense (NORAD) and the U.S. Pacific Command (PACOM). The interoperable, open architecture air defense and command and control platform supports the U.S. and Canadian Homeland Defense and drug interdiction missions..... BCS processes, integrates, displays and distributes data from multiple sensors, data links and other C2 agencies to maintain situational awareness, decision support and combat identification for the United States and Canada.</u>

ThalesRaytheonSystems is providing the French Air Force with key components of the **SCCOA** programme (<u>http://www.thalesraytheon.com/programs/sccoa-air-operations-command-and-control-system.html</u>). This programme provides highly automated global management capability for air operations, both within mainland France and in overseas operational theatres, based on a unified air operations command centre with high speed data links and a high degree of interoperability with French and foreign armed forces.

The Gulf Cooperation Council (GCC) contracted with ThalesRaytheonSystems in 2001 to build **Hizam Al Taawun** (HAT II) a system that provides automated interfaces between Member States for the coordination of multilevel and multinational air defense (<u>http://www.thalesraytheon.com/programs/gcc-hat-ii.html</u>). The system has "real time" requirements to track hundreds of aircraft simultaneously as well as complex tools, maps, and databases in Arabic and English to facilitate military cooperation. HAT is linked with the national air defense systems of each participating nation and exchanges information via high speed encrypted data links (<u>http://www.saudia-online.com/press/press3.shtml</u>).





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#### **Other Battle Management Systems**

Here follows a quick list of other systems for consideration. As with the above, none of them seem to meet all four criteria. These systems tend to meet the picture compilation criteria and often include elements of threat evaluation and engageability assessment as well.

- Australia LAND 75 system <u>http://www.defenseindustrydaily.com/Australia-Turns-to-Elbit-for-its-Battle-Management-System-06247/</u>
- Canadian Forces'- Land Command Support System (LCSS) <u>http://www.defenseindustrydaily.com/Canada-Signs-Contracts-to-Support-its-LCSS-Battlefield-Command-System-05331/</u>
- Germany FulnfoSys Heer (FulnfoSys H) <u>http://www.defenseindustrydaily.com/germanys-fuinfosys-c4i-system-02899/</u>
- Germany Faust <u>http://defense-update.com/products/f/Faust.htm</u>
- Italy Forza NEC <u>http://www.defenseindustrydaily.com/Italys-Forza-NEC-Battlefield-Command-System-06432/</u>
- US Army's Blue Force Tracker <u>http://www.defenseindustrydaily.com/1341m-for-blue-force-tracker-global-services-0427/</u>
- UK ASTOR Airborne Stand-Off Reconnaissance <u>http://www.raytheon.com/capabilities/products/astor/</u>







### 3.3 Human-Computer Interaction for Decision Making

One important technology stream of the C3-MAAD project is Human-Computer Interaction (HCI) mechanisms that enable an operator and the system to engage in problem solving and decision making in a collaborative, adaptive and mixed-initiative manner.

In the context of C3-MAAD, collaborative means that the operator and the system are engaged in a joint activity and endeavour to find a solution together. Adaptive means that the system is aware of the context in which the operator is performing his task and can adapt its interaction accordingly (this context includes the humansystem communication context, the user and the task characteristics, the parameters of the operational context, etc.). Finally, mixed-initiative refers to a flexible interaction strategy where each agent (operator or system) can contribute to the task that it does best. The roles are opportunistically negotiated between the agents as the problem is being solved. It is also important that user preferences be taken into account since all the operators will not require the same type of information for performing their task.

Based on these definitions and context, a search strategy was derived to identify current scientific and technical research. Further details on the search terms used are provided in Appendix 5.1.1. The literature on HCI and on computer-supported collaborative work is quite extensive and so limits had to be applied - the concept of decision-making was added to the strategy in order to make the results very precise to our clients' line of inquiry and to identify experts applying HCI technologies for decision-making.

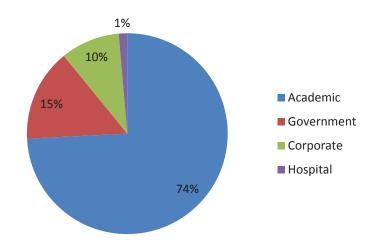
### 3.3.1 Major Players

When considering the affiliations of the authors in our dataset, we can see that the majority of players are from academic institutions (74%), government organizations (15%), corporations (10%) and some hospitals (1%). Figure 1 illustrates the distribution of numbers of publications by the types of organizations. The appearance of hospitals in our dataset is likely related to the application of HCI research in the field of clinical decision making. In the list of corporations, we see many high-tech software and hardware companies, such as IBM, Google, Mitsubishi, Siemens and Samsung; telecommunications companies such as France Telecom, NTT Corp., and Nokia; and companies that are active in defense markets, such as Lockheed-Martin, Boeing, General Dynamics, MITRE Corporation and Northrop Grumman.





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### Figure 1. Human-Computer Interaction – Percentage of publications by type of organization

Figure 2 shows those organizations with six or more publications. Further details on these organizations, and the next top 10, including co-authoring institutions, top authors and areas of expertise are provided in appendix 5.2.1. Not showing in this short list are a number of military organizations, such as: US Air Force Research Laboratory (AFRL), Wright-Patterson AFB, OH; Defence Science Technology Organisation (DSTO), Australia; DRDC Valcartier; the Royal Netherlands Navy, and others.

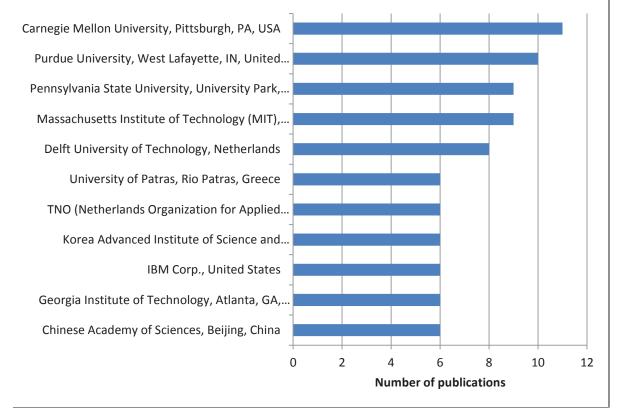


Figure 2. HCI – Organizations with 6 or more publications





Canadian organizations were of particular importance for this project; however there were very few Canadian organizations in the dataset. This does not mean that Canadians are not active in the field, but that in the narrow field of our inquiry, Canadians do not publish in as significant numbers as other countries. Our initial search identified only 14 institutions, or 2.4% of all the institutions listed (14/589), that are Canadian. These Canadian organizations contributed to 20 papers, or 2.9% of the total papers (20/670). Because of these limited numbers, supplemental searches were conducted that were somewhat broader, but only in the Scopus database, due to time limitations. Details on this second strategy are found in appendix 5.1.1.

More significant results for Canadian organizations were retrieved with the second search and we were able to see that Canadians are indeed active in this field. We can also see that these Canadian institutions tend to collaborate internationally to a high degree. While our search specified that the author affiliation should be Canadian, institutions from other countries are also seen because the co-authors of these Canadian papers were also retrieved. So while there are 103 Canadian institutions listed, there are an additional 194 institutions listed that are *not* Canadian. In this field, authors from Canada are most likely to collaborate with authors from the United States, United Kingdom, China, France and Germany.

Canadian institutions with six or more publications are shown in Figure 3. All of the top 20 organizations are academic, but there are some government labs on the list with fewer than six publications (DRDC, NRC) as well as a few corporations, such as Lockheed Martin, Autodesk Research, InfoBright Inc., and Oculus Info Inc. A complete list of all the companies found in our dataset is provided in the attachment to this report (filename: STI 7193 C3-MAAD Canadian players.xls). In the same file, a detailed list with areas of expertise and links to websites (where available) are provided for the most prolific institutions, or others that were selected because of their relevance. In addition, links to Canadian laboratories are found in appendix 5.2.3. This list is selective rather than exhaustive - only those organizations that appear to have the most relevance to this project have been retained since it was not possible within the timeframe given to gather details on all 103 institutions identified by the search.





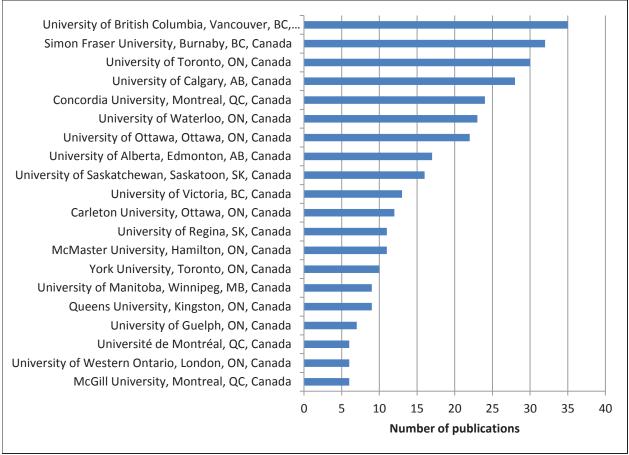


Figure 3. HCI – Canadian organizations with 6 or more publications

### 3.3.2 Top Authors

Over 1,900 individual authors were found in our dataset, however only a small percentage (0.8% or 16/1942) of these authored three or more publications. Interestingly, the most prolific authors were not necessarily from the most prolific institutions, the top two being from The University of Texas at Houston and CSIRO, Australia, respectively. Table 3 lists all authors with three or more publications and the author affiliations listed on their papers. It should be noted that as all author affiliations are listed in the database records, some of the affiliations in the list may be those of co-authors. The first organization listed is most likely to be the one where the named author works.

The top authors from the Canadian-only dataset are listed in Table 4. For this dataset, authors with five or more publications are shown.



NRC-CISTI

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Author Name	Mputer Interaction – Authors with 3 or more publications Affiliation(s) of Author
Zhang, J.[5]	University of Texas at Houston, TX, USA [2]; Boeing Company, USA [1]; Chinese Academy of Sciences, Beijing, China [1]; Columbia University, New York, NY, United States [1]; Ecole Polytechnique Fédérale de Lausanne (EPFL), Switzerland [1]; Inha Univ., Incheon, South Korea [1]
Jianxin Li[4]	Commonwealth Scientific and Industrial Research Organization (CSIRO), NSW, Australia [2]; Columbia University, New York, NY, United States [1]; IBM Corp., United States [1]; North Dakota State University, Fargo, ND, United States [1]
Pu, P.[4]	Ecole Polytechnique Fédérale de Lausanne (EPFL), Switzerland [3]; Hong Kong Baptist University, Hong Kong, China [1]
Stasko, J.[4]	Georgia Institute of Technology, Atlanta, GA, United States [4]
Baloian, N.[3]	University of Chile, Santiago, Chile [3]
Breazeal, C.[3]	Massachusetts Institute of Technology (MIT), Cambridge, MA, USA [3]
Chen, L.[3]	Ecole Polytechnique Fédérale de Lausanne (EPFL), Switzerland [2]; Hong Kong Baptist University, Hong Kong, China [1]
Chi EH[3]	Palo Alto Research Center, Palo Alto, CA, USA [3]
Jinquan Wang[3]	Centrum voor Wiskunde en Informatica (CWI), Amsterdam, Netherlands [1]; Delft University of Technology, Netherlands [1]; Microsoft Research Asia, Beijing, China [1]; Nanjing University, China [1]; Tsinghua University, Beijing, China [1]
Jung Hyun Kim[3]	Korea Advanced Institute of Science and Technology (KAIST), Daejeon, South Korea [1]; Sungkyunkwan University, Suwon, South Korea [1]; Universitat zu Koln. Albertus-Magnus-Platz, Koln, Germany [1]
Pirolli P.[3]	Palo Alto Research Center, Palo Alto, CA, USA [2]; University of Miami, FL, USA [1]
Ricci, F.[3]	Free University of Bozen-Bolzano, Italy [2]; Bell ID, Rotterdam, Netherlands [1]; University of Haifa, Israel [1]
Sanchez J.[3]	University of Chile, Santiago, Chile [2]; John Deere Technol. Center, Moline, IL USA [1]
Xiaocong Fan[3]	Pennsylvania State University, University Park, PA, USA [2]; US Army Research Laboratory (ARL), Aberdeen Proving Ground, MD, USA [1]
Yang, J.[3]	Carnegie Mellon University, Pittsburgh, PA, USA [1]; East China Normal University (ICA-ECNU), Shanghai, China [1]; Zhejiang University, Hangzhou, China [1]
Yen, J.[3]	Pennsylvania State University, University Park, PA, USA [2]; US Army Research Laboratory (ARL), Aberdeen Proving Ground, MD, USA [1]

#### Table 3. Human-computer interaction – Authors with 3 or more publications





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May 2011
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Author Name	Affiliation(s) of Author
Seffah, A.[8]	Concordia University, Montreal, QC, Canada [8]; University of Rostock, Germany [1]; Université du Québec à Montréal (UQAM), Montreal, QC, Canada [1]; VeriSign Inc., Mountain View, CA, United States [1]
Carpendale, S.[7]	University of Calgary, AB, Canada [7]; INRIA, Orsay, France [1]; Massachussetts Institute of Technology (MIT), Cambridge, MA, USA [1]; Microsoft Research Ltd., Redmond, WA, USA [1]; University of Magdeburg, Magdeburg, Germany [1]; École Centrale Paris, Paris, France [1]
Shirmohammadi, S.[7]	University of Ottawa, Ottawa, ON, Canada [7]; National Laboratory for Scientific Computing, Petrópolis, Brazil [1]; Sharif University of Technology, Tehran, Iran [1]
Dill, J.[6]	Simon Fraser University, Burnaby, BC, Canada [6]; City University, London, United Kingdom [1]; Trinity College, Hartford, CT, United States [1]; Yale University, New Haven, CT, United States [1]
Kushniruk, A.[6]	University of Victoria, BC, Canada [5]; Aalborg University, Copenhagen, Denmark [1]; Centre for Addiction and Mental Health, Toronto, ON, Canada [1]; HealthLink BC, Ministry of Health Services, BC, Canada [1]; Northern Ontario School of Medicine, ON, Canada [1]; Registered Nurses' Association of Ontario, ON, Canada [1]
Subramanian, S.[6]	University of Saskatchewan, Saskatoon, SK, Canada [4]; Osaka University, Japan [3]; University of Bristol, United Kingdom [2]; Baycrest (Health Centre), Toronto, ON, Canada [1]; Fallon Clinic Foundation, Worcester, MA, United States [1]; Philips Research, Eindhoven, Netherlands [1]
Conati, C.[5]	University of British Columbia, Vancouver, BC, Canada [5]; University of Trento, Italy [1]; University of Washington, Seattle, WA, United States [1]
Fisher, B.[5]	Simon Fraser University, Burnaby, BC, Canada [5]; University of British Columbia, Vancouver, BC, Canada [2]; Purdue University, West Lafayette, IN, United States [1]; University of Chicago, United States [1]
Но, К.[5]	University of British Columbia, Vancouver, BC, Canada [3]; Simon Fraser University, Burnaby, BC, Canada [2]; Arthritis Research Centre of Canada, Vancouver, Canada [1]; Centre for Digital Media, Vancouver, Canada [1]; University of Alberta, Edmonton, AB, Canada [1]; University of Ottawa, Ottawa, ON, Canada [1]

### Table 4. HCI – Canadian Authors with 5 or more publications



NRC-CISTI

# **C3 MAAD Literature Survey**

Author Name	Affiliation(s) of Author
Lindgaard, G.[5]	Carleton University, Ottawa, ON, Canada [5]; Berlin University of Technology, Germany [1]; Communications Research Centre (CRC), Ottawa, ON, Canada [1]; DDD SYSTEMS, Dorset, United Kingdom [1]; Kingston University, Surrey, United Kingdom [1]
Stuerzlinger, W.[5]	York University, Toronto, ON, Canada [5]; Bauhaus-University, Weimar, Germany [1]; INRIA, Orsay, France [1]; Osaka University, Japan [1]; University of Arizona, Tucson, AZ, United States [1]; University of Tokyo, Japan [1]

# 3.4 Decision Support Tools

For this project, the clients were interested in collaborative tools and concepts that can enable a group of operators to engage in collaborative sensemaking, decision making and planning, whether it is in the context of a national or multinational (coalition) Task Group/Force. For the search, we did not specify a military context but did attempt to search for articles related only to collaborative decision making, groups of operators and distributed teams. The search strategy is described in appendix 5.1.1.

### 3.4.1 Major Players

The literature retrieved for this section covered a variety of applications and industries, most notably medical clinical decision making tools, the oil drilling industry, military decision support, logistics and online gaming. The majority of players are from academic institutions, government organizations (especially military), corporations and some hospitals. Figure 4 illustrates the distribution of numbers of publications by the types of organizations. There are significantly fewer publications attributed to academic institutions in this dataset than in the HCI dataset. This suggests that decision support tools are much more mature commercially and that the research in this area tends to be more applied than theoretical in comparison to the HCI dataset.





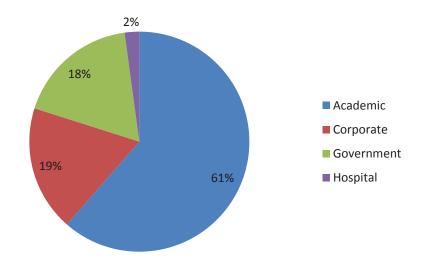


Figure 4. Decision Support Tools – Percentage of publications by type of organization

The hospitals represented in this dataset, and many of the corporations, are publishing papers on clinical decision making tools, while many of the other companies are from the petroleum industry (for example, BP Global, Saudi Aramco, Schlumberger), or they are typically companies that serve the military and aerospace markets, such as Boeing, Lockheed Martin, BAE Systems, and MITRE Corporation. A large portion of the government players are military departments, primarily from the United States (for example, US Air Force Research Laboratory, NASA and the Defense Information Systems Agency), but we also see many of the military colleges, such as the US Naval Postgraduate School, and many large government labs, such as Lawrence Berkeley and Sandia National Laboratories, as well as Defence R&D Canada. Figure 5 shows those organizations with five or more publications. Further details on these organizations, and the next top 10, including co-authoring institutions, top authors and areas of expertise are provided in appendix 5.2.2.





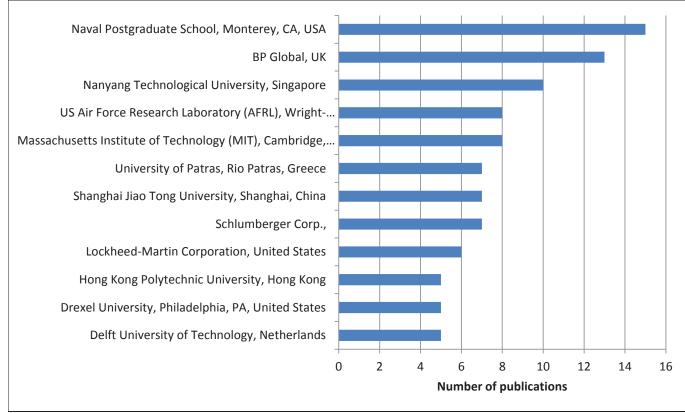


Figure 5. Decision Support Tools – Top Organizations

Canadian organizations were of particular importance to this project; however there were very few Canadian organizations in the dataset. Our initial search identified 34 institutions, or 4.5% of all the institutions listed (34/746), that are Canadian. These Canadian organizations contributed to 35 papers, or 5% of the total papers (35/700). Because of these limited numbers, supplemental searches were conducted that were somewhat broader, but only in the Scopus database, due to time limitations. Details on this second strategy are found in appendix 5.1.1.

Results of the second search were much more telling, though the results had to be manually weeded to remove papers that were not relevant, particularly those that referred to decision making processes or techniques (especially clinical decision making) rather than software tools or particular types of displays. Canadian institutions with five or more publications are shown in Figure 6. With the exception of DRDC Valcartier and the National Research Council, they are all academic institutions. There are some corporations in the list as well, but they had fewer publications, for example: Oculus Info Inc., Rolls-Royce Canada, Gallium Visual Services Inc., Lansdowne Technologies and others. A detailed list with areas of expertise and links to websites (where available) are provided in an attachment to this report (filename: STI 7193 C3-MAAD Canadian players.xls). In addition, appendix 5.2.3 provides links to Canadian laboratories. This list is selective rather than exhaustive - only those organizations that appear to have the most relevance to this project have been retained since it was not possible within the timeframe of this project to gather details on all 110 institutions identified by the search. All the companies in the dataset are listed in the Excel file but details and web links are not provided.





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# **C3 MAAD Literature Survey**

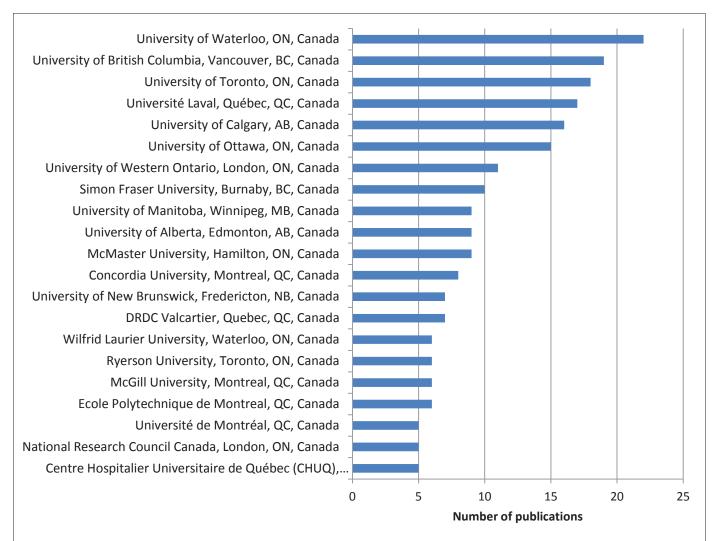


Figure 6. Decision Support Tools – Canadian Players

### 3.4.2 Top Authors

Table 5 below lists all those authors in our dataset with four or more publications. Probably of most interest in this list is Robert S. Bolia of the US Air Force Research Laboratory. His publications can primarily be found in the presentations of the *International Command and Control Research and Technology Symposium (ICCRTS)* (available from: <a href="http://www.dodccrp.org/html4/events\_past.html">http://www.dodccrp.org/html4/events\_past.html</a> ).

Table 6 lists all the Canadian authors with four or more publications.





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Avouris, N.[5]University of Patras, Rio Patras, Greece [5]; University of Freiburg, Germany [1]Lauche, K.[5]Delft University of Technology, Netherlands [5]; BP Global, UK [4]; CISC EVary, Nefhegaz [1]; Peopele Factor Consultant Ltd. [1]; University of Aberdeen, Scotland, UK [3]Sawaryn, S. J.[5]BP Global, UK [5]; Delft University of Technology, Netherlands [4]; CISC EVary, Nefhegaz [1]; Peopele Factor Consultant Ltd. [1]; University of Aberdeen, Scotland, UK [3]Bolia, Robert S.[4]US Air Force Research Laboratory (AFRL), Wright-Patterson AFB, OH, USA [3]; Boeing Company, USA [1]; University of Aberdeen, Scotland, UK [3]Bolia, Robert S.[4]US Air Force Research Laboratory (AFRL), Wright-Patterson AFB, OH, USA [3]; Boeing Company, USA [1]; University of Aberdeen, Scotland, UK [3]Bolia, Robert S.[4]Beihang University, Beijing, China [1]; Defence Science and Technology (MIT), Cambridge, MA, USA [1]Jlanxin LI[4]Beihang University, Beijing, China [1]; University of Science and Technology, China [1]; Edith Cowan Univ, Perth, WA, Australia [1]; Shanghai Jiao Tong University, Shanghai, China [1]; University of Technology, Sydney (UTS), NSW, Australia [4]; Beigian Nuclear Research Centre (SCK.CCN), Beertang, Beigium [3]; Brossels EU Chapter, Club of Rome (CoR-EU), Beigium [3]; Br	Author Name	Affiliation(s) of Author
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#### Table 5. Decision Support tools – Authors with 4 or more publications



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Author Name	Affiliation(s) of Author
Hipel, K. W.[7]	University of Waterloo, ON, Canada [7]; Nanjing University of Aeronautics and Astronautics (NUAA), China [3]; Wilfrid Laurier University, Waterloo, ON, Canada [3]; Tokyo Institute of Technology, Tokyo, Japan [2]; Ryerson University, Toronto, ON, Canada [1]; Sheffield Hallam University, United Kingdom [1]
Légaré, F.[6]	Centre Hospitalier Universitaire de Québec (CHUQ), Quebec, QC, Canada [5]; Université Laval, Québec, QC, Canada [5]; University of Ottawa, ON, Canada [4]; Cardiff University, Cardiff, Wales, United Kingdom [3]; Centre de Santé et de Services Sociaux de la Vieille-Capitale, Québec, QC, Canada [2]; Maastricht University, Maastricht, Netherlands [2]
Martel, JM.[6]	Université Laval, Québec, QC, Canada [6]; DRDC Valcartier, Quebec, QC, Canada [2]; Institut Supérieur de Commerce et de Comptabilité de Bizerte (ISCCB), Tunisia [2]; GIAD, Faculté des Sciences Economiques et de Gestion de Sfax, Tunisia [1]; LOGIQ, Institut Supérieur de Gestion Industrielle de Sfax, Tunisia [1]; University of Economic Sciences and Management, Sfax, Tunisia [1]
Cowan, D. D.[5]	University of Waterloo, ON, Canada [5]; Pontifícia Universidade do Rio Grande do Sul (PUCRS), Brazil [1]; University of Texas at Dallas, Richardson, TX, United States [1]
Stacey, D.[5]	University of Ottawa, ON, Canada [5]; Centre Hospitalier Universitaire de Québec (CHUQ), Quebec, QC, Canada [3]; Cardiff University, Cardiff, Wales, United Kingdom [2]; Maastricht University, Maastricht, Netherlands [2]; Maine Medical Center, Portland, ME, United States [2]; Michigan State University, East Lansing, MI, United States [2]; Oregon Health Sciences University, Portland, OR, United States [2]; University of Lyon, Lyon, France [2]; Université Laval, Québec, QC, Canada [2]
Wang, D.[5]	University of Manitoba, Winnipeg, MB, Canada [5]; University of Ontario Institute of Technology, Oshawa, ON, Canada [4]
AbouRizk, S. M.[4]	University of Alberta, Edmonton, AB, Canada [4]; City of Edmonton Asset Management and Public Works, Drainage Services, Design and Construction, AB, Canada [2]; Columbia University, New York, NY, United States [1]; SMA Consulting Ltd.,Edmonton, AB, Canada [1]; Univ. of Illinois at Urbana-Champaign, Urbana, IL, United States [1]
Elwyn, G.[4]	Cardiff University, Cardiff, Wales, United Kingdom [4]; Maastricht University, Maastricht, Netherlands [3]; University of Ottawa, ON, Canada [3]; Centre Hospitalier Universitaire de Québec (CHUQ), Quebec, QC, Canada [2]; University of Newcastle, Framlington Place, Newcastle upon Tyne, UK [2]; Université Laval, Québec, QC, Canada [2]

#### Table 6. Decision Support Tools – Canadian Authors with 4 or more publications



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# **C3 MAAD Literature Survey**

Author Name	Affiliation(s) of Author
Jabeur, K.[4]	Université Laval, Québec, QC, Canada [4]; DRDC Valcartier, Quebec, QC, Canada [2]; Institut Supérieur de Commerce et de Comptabilité de Bizerte (ISCCB), Tunisia [2]
Mendonca, M.[4]	University of Waterloo, ON, Canada [4]; Pontifícia Universidade do Rio Grande do Sul (PUCRS), Brazil [1]
Naterer, G. F.[4]	University of Manitoba, Winnipeg, MB, Canada [4]; University of Ontario Institute of Technology, Oshawa, ON, Canada [4]
Shen, W.[4]	National Research Council Canada, London, ON, Canada [4]; University of Western Ontario, London, ON, Canada [2]; Concordia University, Montreal, QC, Canada [1]; Zhejiang Normal University, China [1]
Sheppard, S. R. J.[4]	University of British Columbia, Vancouver, BC, Canada [4]; Arizona State University, United States [2]; Metro Vancouver, Burnaby, BC, Canada [2]; Environment Canada, Vancouver, BC, Canada [1]; University of Oxford, Oxford, United Kingdom [1]
Wang, G. G.[4]	University of Manitoba, Winnipeg, MB, Canada [4]; University of Ontario Institute of Technology, Oshawa, ON, Canada [4]
Wang, L.[4]	China University of Geosciences, China [1]; National Research Council Canada, London, ON, Canada [1]; Ryerson University, Toronto, ON, Canada [1]; University of British Columbia, Vancouver, BC, Canada [1]; University of Skövde, Sweden [1]; University of Waterloo, ON, Canada [1]



### 3.5 Conclusions

### **Naval Tactical Systems**

Only a few collaborative decision making tools specifically for naval tactical operations were identified, particularly the Cooperative Engagement Capability (CEC), the Multiplatform Engagement Capability (MPEC) and Thales' Combat Management Systems. Only limited technical information on Thales' and Raytheon's systems was available but links to product information have been provided. Other projects and programmes not specifically for the Navy are worth watching for new developments, namely: NATO's Network Enabled Capability (NNEC) program, the US Air Force Network-Centric Collaborative Targeting (NCCT) and the US Joint Integrated Air and Missile Defense (JIAMD) initiative, which is a joint system purported to be similar to the CEC.

Major players in this field include Raytheon, Thales, and Israel Aerospace Industries (IAI). Many of the development contracts in recent years have been awarded to consortia, often led by Raytheon, Thales or Lockheed Martin.

#### Human Computer Interaction (HCI)

This portion of our research was concentrated on identifying major players. Few Canadian players were identified in a narrow search on HCI for collaborative decision making, but a broader search showed that the following Canadian institutions are the top five actively engaged in HCI research:

- University of British Columbia, Vancouver, BC
- Simon Fraser University, Burnaby, BC
- University of Toronto, ON
- University of Calgary, AB
- Concordia University, Montreal, QC

Internationally, the top five players, based on numbers of publications are:

- Carnegie Mellon University, Pittsburgh, PA, USA
- Purdue University, West Lafayette, IN, United States
- Massachusetts Institute of Technology (MIT), Cambridge, MA, USA
- Pennsylvania State University, University Park, PA, USA
- Delft University of Technology, Netherlands

### **Decision Support Tools**

This search was also very narrow in scope, looking only for decision support tools for groups of operators. While the results were significant, there were few Canadian players in the final dataset and so a broader supplemental search to retrieve more Canadian author affiliations was conducted.

From this set, the top five Canadian players in collaborative decision making tools research are:

- University of Waterloo, ON
- University of British Columbia, Vancouver, BC
- University of Toronto, ON
- Université Laval, Québec, QC
- University of Calgary, AB



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# **C3 MAAD Literature Survey**

Internationally, the top five players, based on numbers of publications are:

- Naval Postgraduate School, Monterey, CA, USA
- BP Global, UK
- Nanyang Technological University, Singapore
- Massachusetts Institute of Technology (MIT), Cambridge, MA, USA
- US Air Force Research Laboratory (AFRL), Wright-Patterson AFB, OH, USA

#### Areas for further study

The data gathered for this study will remain useful for studies on technology trends in the domain. Subjectbased analysis and emerging trends could easily be extracted from the data collected and crossed with the major players to see more clearly who is working on what. A second mandate could be drafted to address these issues.

The significant amounts of money that are being invested in CEC and the JIAMD may also mean that many new technological developments can be expected in the coming years. It would be worthwhile for DRDC researchers to continue to monitor developments related to CEC and NATO'S NNEC program to stay on top of new technologies in the area. Using the search strategies developed for this mandate, literature alerts could be established by DRDC's Information Centre to assist with this activity.



## **4 REFERENCES**

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- Pocock, Chris 2008. Locating trouble: Network-Centric Collaborative Targeting system goes operational *C4ISR* Journal, <u>http://www.c4isrjournal.com/story.php?F=3312805</u>.
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- U.S. Navy. 2011. Exhibit R-2, RDT&E Budget Item Justification: PB 2012 Navy. PE 0603658N: Cooperative Engagement. In *Research, Development, Test & Evaluation, Navy. BA 4: Advanced Component Development & Prototypes (ACD&P)*. Washington, DC: US Department of Defense. <u>http://www.dtic.mil/descriptivesum/Y2012/Navy/0603658N\_4\_PB\_2012.pdf</u> (accessed 10 May 2011).



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# **5 APPENDICES**

### 5.1 Methodology

### 5.1.1 Searches

Search concepts:

Several searches were conducted in various databases, particularly *INSPEC, Ei-Compendex, Scopus, NTIS* and *NATO Scientific Publications*. Results were limited to the last 5 years (2006-2011). Additional manual searches were performed in the sources listed in section 5.1.3 below.

Conference proceedings of the annual International Command and Control Research and Technology Symposium (ICCRTS) 2006-2010 were scanned for relevant articles and manually added to the database (<u>http://www.dodccrp.org/html4/events\_past.html</u>).

The table below shows groups of concepts, which were combined in multiple variations using database-specific syntax to obtain relevant references.

1: HCl and Interfaces	2: Decision support	3: Adaptive/collaborative
Human computer interaction	Decision support	Adaptive system
HCI	Decision making	Strategic interaction
User interfaces	Decision theory	Interactive system
GUI	Decision aids	Cognitive system
Man machine systems	Problem solving	Cognitive support
User-computer interfaces	Solve problems	Augmented cognition
Human-automation	Sensemaking	Context sensitive
interaction	Sense making	Dynamic information
Operator-machine interface	_	User preferences
Multimodal interfaces		User-defined
Visual analysis		
Visual analytics		Collaborative
Visual displays		collaboration
Multimedia interfaces		

### Part 1 - Human-Computer Interaction for decision making

The original search combined these sets as follows: 1 and 2 and 3.

For the supplemental searches for Canadian players, these sets were combined in two different ways ((1 and 2) OR (1 and 3)) and limited to author affiliations in Canada only.

All results were limited by date for publication years 2006-2011.

Total results for HCI international set: 670 records Total results for the HCI Canada-only set: 356 records.



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#### Part 2- Collaborative Decision-Making Tools

#### Search concepts:

1: Decision support	2:Collaborative/groups	3: Real-time	4: Software/systems	5: Military (optional)
Decision support	Collaborative	Realtime	Software	Tactical
Decision making	Collaboration	Real-time	ТооІ	Military
Decision aids	Cooperative work	Live	Systems	Defense
Decision tools	Co-operative work	Synchronous	Environments	Defence
Problem solving	Joint cognition	Simultaneous		Combat
Solve problems	Joint cognitive	Concurrent		Warfighter
Sensemaking	Task force			Battle*
Sense making	Multinational			Air Force
	Coalition			Navy
	Groupware			Naval
	Group decision			Army
	Distributed teams			Warfare
	Distributed work teams			Soldier
	Remote team			Armed Forces
	Group of operators			Command and control
				C2
				C4ISR

For this search, two combinations of sets were executed: 1 and 2 and 3 and 4 1 and 2 and 3 and 5

For the supplemental searches for Canadian players, results were limited to author affiliations in Canada and these sets were combined in two different ways: (1 and 2 and 3) OR (1 and 2 and 4).

All results were limited by date for publication years 2006-2011. In addition, the results were manually scanned by reading abstracts and titles to eliminate non-relevant publications.

Total records for the Decision Support tools, international dataset: 726 records. Total records for the Decision Support Tools, Canada-only set: 234 records.

### 5.1.2 Analysis

All references were downloaded into VantagePoint software for analysis. VantagePoint allows us to create various groupings, matrices, graphs, cross-correlations and statistical analyses to analyze the data and draw conclusions about topics and subtopics and to profile the activities of the major players.

Author names and author affiliations were cleaned to harmonize variant forms and spellings and group together departments from the same institutions.

Keywords, identifiers (akin to author-supplied keywords), descriptors, subject headings and phrases and words from titles were merged together to facilitate subject analysis, resulting in over 5,800 and 7,800 terms for HCI



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and Decision Support, respectively. These terms were cleaned and edited to harmonize variant spellings, acronyms and similar meanings.

### 5.1.3 Sources Consulted

### Scientific & Technical Literature:

- Scopus (accessed via CISTI license)
- INSPEC (accessed via CISTI license)
- *EiCompendex* (accessed via CISTI license)
- *NTIS* (accessed via DRDC license)
- NATO Research & Technology Organisation *Scientific Publications* <u>http://www.rta.nato.int/abstracts.aspx</u>

### Market and Trade Literature:

- Frost & Sullivan (accessed via CISTI license)
- Marketresearch.com
- Frost and Sullivan
- Strategic Business Insights
- IDC
- Defense Industry Daily <a href="http://www.defenseindustrydaily.com/">http://www.defenseindustrydaily.com/</a>
- Defense Update.com <u>http://defense-update.com/</u>
- Global Security.org <a href="http://www.globalsecurity.org/">http://www.globalsecurity.org/</a>
- NNEC Information Portal <a href="https://transnet.act.nato.int/WISE/Informatio/index\_html">https://transnet.act.nato.int/WISE/Informatio/index\_html</a>

### Additional sources:

- NATO <u>http://www.nato-pa.int/</u>
- Command & Control Centre of Excellence <a href="http://www.c2coe.org/">http://www.c2coe.org/</a>
- Command and Control Research Program <a href="http://www.dodccrp.org/">http://www.dodccrp.org/</a>
- Johns Hopkins University Applied Physics Laboratory <a href="http://www.jhuapl.edu/">http://www.jhuapl.edu/</a>
- C2Pedia <u>http://www.c2coe.org/c2pedia/index.php?title=Main\_Page</u>
- NACMA <u>http://www.nacma.nato.int/</u>
- Federation of American Scientists http://www.fas.org/
- Tactical Report <a href="http://www.tacticalreport.com/">http://www.tacticalreport.com/</a>
- US Army Program Executive Office for Command, Control and Communications-Tactical <u>http://peoc3t.monmouth.army.mil/c3t/</u>
- Joint Air Power Competence Centre <a href="http://www.japcc.de/c4istar.html">http://www.japcc.de/c4istar.html</a>
- Australian Department of Defence http://www.defence.gov.au/
- Jane's Guide http://articles.janes.com/articles/Janes-Military-Communications/
- AL Defaiya <u>http://www.defaiya.com/defaiyaonline/</u>
- DARPA <u>http://archive.darpa.mil</u>
- Rafael Advanced Defence Systems <a href="http://www.rafael.co.il/">http://www.rafael.co.il/</a>



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- Northrop Grumman <u>http://www.as.northropgrumman.com/</u>
- BAE Systems <a href="http://www.baesystems.com/">http://www.baesystems.com/</a>
- Comcept Inc <u>http://www.comceptinc.com/</u>
- Israel Aerospace Industries <u>http://www.iai.co.il/</u>
- Thales Group <a href="http://www.thalesgroup.com/">http://www.thalesgroup.com/</a>
- Thales Raytheon <a href="http://www.thalesraytheon.com/">http://www.thalesraytheon.com/</a>
- Cassidian <u>http://www.cassidian.com/cassidian/int/en/</u>
- Raytheon <a href="http://www.raytheon.com/">http://www.raytheon.com/</a>
- General Dynamics Canada <u>http://www.gdcanada.com/</u>
- Finmeccanica <u>http://www.finmeccanica.it/EN/</u>

The following review article may also be particularly useful:

Seymour, George E., and Michael Cowen. 2006. A Review of Team Collaboration tools for Crisis Response in the Military and Government. In 2006 Command and Control Research and Technology Symposium. San Diego, CA. Available: <u>http://www.dodccrp.org/events/2006\_CCRTS/html/papers/037.pdf</u>





### 5.2 Major Players data

### 5.2.1 HCI - Major Players

### Table 6. Human Computer Interaction – Organizations with 5 or more publications

Organization Name	Top Authors	Co-authoring institutions	Top subject terms
Carnegie Mellon University, Pittsburgh, PA, USA[11]	Nourbakhsh I. [2]; Chai, J. [1]; Chang CY. [1]; Chen, D. [1]; DiSalvo, C. [1]; Garlan, D. [1]	DeepLocal, Pittsburgh, PA, United States [1]; George Mason University, Fairfax, VA, USA [1]; Michigan State University, East Lansing, MI, United States [1]; National Chengchi University, Taipei, Taiwan [1]; National Taiwan Normal University, Taipei, Taiwan [1]	Decision Making [5]; User interfaces [5]; Problem Solving [4]; computer-supported cooperative work [2]; Constraint theory [2]; Mobile phones [2]; Robotics [2]; Adaptive Systems [1]; affective behavior modeling [1]; Animation [1]
Purdue University, West Lafayette, IN, United States[10]	Collins TF [2]; Ebert DS [2]; Yun Jang [2]; Ault A. [1]; Babbar Sebens M [1]; Bue B. [1]	California Polytechnic State University, San Luis Obispo, CA, United States [1]; Colorado School of Mines, Colorado, CO, USA [1]; George Mason University, Fairfax, VA, USA [1]; Illinois Institute of Technology, Chicago, IL, United States [1]; Indiana University. Bloomington, IN, United States [1]	Decision Making [4]; Problem Solving [4]; data visualization [3]; Human-computer interaction (HCI) [3]; Visual analytics [3]; Command And Control Systems [2]; emergency services [2]; Interactive systems [2]; Mathematical Models [2]; Mobile devices [2]; Situational awareness (SA) [2]; Teaching [2]; User interfaces [2]
Massachusetts Institute of Technology (MIT), Cambridge, MA, USA[9]	Breazeal, C. [3]; Picard, R. [2]; Wang, A. [2]; A. S. Clare [1]; Abu-Hanna, A. [1]; Ahn HI. [1]	Arizona State University, Phoenix, AZ, United States [1]; Univ. of Pavia, via Ferrata 1, 27100 Pavia, Italy [1]; University of Amsterdam, Netherlands [1]; University of Arizona, Tucson, AZ, United States [1]; University of Konstanz. Germany [1]	Cognitive systems [4]; Human-computer interaction (HCI) [4]; human-robot interaction [4]; Learning systems [3]; Artificial Intelligence [2]; Computational agents [2]; Graphical user interfaces (GUI) [2]; Indirect collaboration [2]; Indirect human computer interaction [2]; Learning [2]; man-machine systems [2]; Robotics [2]; User interfaces [2]; User studies [2]



Organization Name	Top Authors	Co-authoring institutions	Top subject terms
Pennsylvania State University, University Park, PA, USA[9]	Xiaocong Fan [2]; Yen, J. [2]; Cai, S. [1]; Carroll, J. M. [1]; Farooq, U. [1]; Ganoe, C. H. [1]	Huazhong University of Science and Technology, Wuhan, China [1]; Rutgers University, New Brunswick, NJ, United States [1]; University of Illinois at Urbana- Champaign, Urbana, IL, United States [1]; University of Missouri, Columbia, MO, United States [1]	Human-computer interaction (HCI) [4]; Problem Solving [4]; Cognitive systems [3]; Decision Support Systems (DSS) [2]; Geographic information systems (GIS) [2]; Human-centered teamwork [2]; Software [2]; User interfaces [2]; Visual analytics [2]; 3D collaborative filtering [1]
Delft University of Technology, Netherlands[8]	Ali, W. [1]; Badke-Schaub, P. [1]; De Vries, A. P. [1]; Grootjen, M. [1]; Hindriks, K. V. [1]; Jalote-Parmar, A. [1]	TNO (Netherlands Organization for Applied Scientific Research), Soesterberg, Netherlands [2]; Centrum voor Wiskunde en Informatica (CWI), Amsterdam, Netherlands [1]; ErgoS Eng. & Ergonomics, Enschede Netherlands [1]; Imperial College London, United Kingdom [1]; Royal Netherlands Navy, The Hague, Netherlands [1]	Decision Making [4]; Human-computer interaction (HCI) [4]; User interfaces [4]; Artificial Intelligence [3]; Cognitive Engineering (CE) [3]; Cognitive systems [3]; Human engineering [3]; Task Performance and Analysis [3]; Automation [2]; Cognition [2]; computer interfaces [2]; Control systems [2]; Decision Support [2]; Decision Support Systems (DSS) [2]; Equipment Design [2]; Information Systems [2]; Netherlands [2]; Problem Solving [2]; Task performance [2]
Chinese Academy of Sciences, Beijing, China[6]	Dai, G. [1]; Du, Y. [1]; Gong, J. [1]; Han, Y. [1]; Joobong Song [1]; Liu, W. [1]	Inha Univ., Incheon, South Korea [1]; New Jersey Institute of Technology, Newark, NJ, United States [1]; Southwest Jiaotong University, Chengdu, China [1]; University of Technology, Sydney, Australia [1]; University of Toledo, Toledo, OH, United States [1]	Problem Solving [4]; User interfaces [3]; Human-computer interaction (HCI) [2]; Adaptive Systems [1]; adaptive user interests modeling [1]; Artificial Intelligence [1]; cognition interactions [1]; Cognitive model [1]; Cognitive science [1]; Cognitive systems [1]
Georgia Institute of Technology, Atlanta, GA, United States[6]	Stasko, J. [4]; Catrambone R. [2]; Cohen S [1]; Gorg C [1]; Hunter L [1]; M. L. Bink [1]		data visualization [2]; Decision Making [2]; Domain Experts [2]; Interactive systems [2]; interactive visualization [2]; investigative analysis [2]; Army Training [1]; Battle Management [1]; collaborative process [1]



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Organization Name	Top Authors	Co-authoring institutions	Top subject terms
IBM Corp., United States[6]	Aggarwal V. [1]; Behal A [1]; Borlawsky, T. [1]; Chandra, S. [1]; Christensen, J. E. [1]; Gotz D. [1]	Columbia University, New York, NY, United States [1]; IBM India Research Laboratory. New Delhi India [1]	User interfaces [3]; Decision Support Systems (DSS) [2]; Problem Solving [2]; advanced notification [1]; Analysis process [1]; analytic knowledge [1]; clinical decision support systems [1]; Clinical event monitor [1]; collaborative reasoning [1];
Korea Advanced Institute of Science and Technology (KAIST), Daejeon, South Korea[6]	Yoon, W. C. [2]; Yoon, Y. S. [2]; Cho, A. [1]; Han BK. [1]; Jung Hyun Kim [1]; Jung, J. [1]	National University of Singapore, Singapore [1]	User interfaces [4]; Cognitive systems [3]; Mathematical Models [3]; Decision Making [2]; Human-computer interaction (HCI) [2]; Usability engineering [2]; 3D [1]; Abstract design principles [1]; feature extraction [1]; Adaptive control systems [1]
TNO (Netherlands Organization for Applied Scientific Research), Soesterberg, Netherlands[6]	Neerincx, M. [2]; van Maanen P P [2]; Grootjen, M. [1]; Janssen, W. [1]; Klos T [1]; Lenior, D. [1]	Delft University of Technology, Netherlands [2]; ErgoS Eng. & Ergonomics, Enschede Netherlands [1]; Royal Netherlands Navy, The Hague, Netherlands [1]	Decision Support Systems (DSS) [4]; Adaptive Systems [3]; Cognition [3]; Decision Making [3]; Augmented Cognition [2]; Automation [2]; Cognitive Engineering (CE) [2]; Cognitive Systems [2]; Control systems [2]; Human factors [2]; Human-computer interaction (HCI) [2]; Task Performance and Analysis [2]; User interfaces [2]
University of Patras, Rio Patras, Greece[6]	Avouris, N. [2]; Adamides, E. [1]; Bouras, C. [1]; Evangelou, C. [1]; Gortzis LG [1]; Kahrimanis, G. [1]	Aristotle University of Thessaloniki, Greece [1]; Research Academic Computer Technology Institute (CTI), Greece [1]; TEI of Messolonghi, Nea Ktiria, Messolonghi, Greece [1]	User interfaces [5]; Collaborative problem solving [3]; Groupware [3]; Algorithms [2]; computer-supported cooperative work [2]; Human-computer interaction (HCI) [2]; Learning systems [2]; Problem Solving [2]; argumentation-enabling mechanism [1]; associated structured dialogue scheme [1]



Organization Name	Top Authors	Co-authoring institutions	Top subject terms
Aristotle University of Thessaloniki, Greece[5]	Manolopoulos, Y. [2]; Nanopoulos, A. [2]; Symeonidis, P. [2]; Bamidis, P. D. [1]; Bouras, C. [1]; Bratsas, C. [1]	Research Academic Computer Technology Institute (CTI), Greece [1]; University of Patras, Rio Patras, Greece [1]	Problem Solving [4]; User interfaces [4]; Collaborative Filtering (CF) [2]; computer-supported cooperative work [2]; Human-computer interaction (HCI) [2]; Recommender systems [2]; Semantics [2]; Academic parameters [1]; access to information [1]; Algorithms [1]
Ecole Polytechnique Fédérale de Lausanne (EPFL), Switzerland[5]	Pu, P. [3]; Chen, L. [2]; Dillenbourg, P. [2]; Jermann, P. [2]; Cuendet, S. [1]; Do-Lenh, S. [1]		User interfaces [4]; Cognitive systems [2]; collaborative learning [2]; Computer aided instruction [2]; Decision Support [2]; Decision Support Systems (DSS) [2]; Electronic commerce [2]; Groupware [2]; Human-computer interaction (HCI) [2]; Problem Solving [2]; Recommender systems [2]
Palo Alto Research Center, Palo Alto, CA, USA[5]	Chi EH [3]; Convertino G [2]; Lichan Hong [2]; Nelson L [2]; Pirolli P. [2]; Back, M. [1]	Science Applications International Corporation (SAIC), McLean, VA, United States [1]	design [2]; activity awareness [1]; advanced Web tools [1]; analytics environments [1]; application [1]; Architectural design [1]; Argumentation marshalling [1]; chemistry [1]; Collaboration [1]; collective intelligence [1]
University of California, Irvine, CA, USA[5]	Alpine, P. M. [1]; Baumer, E. [1]; Canales, L. [1]; Correa, A. [1]; DiGioia P. [1]; Ding X. [1]	University of Minnesota, Minneapolis, MN, United States [1]	Distributed computer systems [2]; Problem Solving [2]; User interfaces [2]; Visualization [2]; Adaptive interface agents [1]; Adaptive interfaces [1]; Adaptive user interface design [1]; Agents [1]; Autonomous agents [1];
University of Chile, Santiago, Chile[5]	Baloian, N. [3]; Sanchez J. [2]; Baytelman, F. [1]; Bravo, C. [1]; Collazos, C. A. [1]; Guerrero, L. A. [1]	Universidad del Cauca, Colombia [1]; Universidad Castilla-La Mancha, Spain [1]	Computer aided instruction [2]; Computer technology [2]; Human-computer interaction (HCI) [2]; Knowledge Management [2]; Problem Solving [2]; Ad hoc networks [1]; Awareness [1]; blind people [1]; building designers [1]



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### 5.2.2 Decision Support Tools – Major Players

### Table 7. Decision Support tools – Organizations with 4 or more publications

Organization Name	Top Authors	Co-Authoring Institutions	Top subjects
Naval Postgraduate School, Monterey, CA, USA[15]	Nissen, Mark E. [3]; Gallup, Shelley P. [2]; MacKinnon, Douglas J. [2]; Zhao, Ying [2]; Zhou, Charles [2]; A. Bordetsky [1]	Evidence Based Research Inc. [1]; Loyola College in Maryland, Baltimore, MD, United States [1]; Parity Communications Inc. [1]; Quantum Intelligence Inc. [1]; US Army Engineer Research and Development Center (ERDC), Vicksburg, MS, USA [1]	Decision Making [6]; Collaboration [5]; Situational awareness (SA) [5]; Information Exchange [4]; Collaborative Techniques [3]; Command and Control Systems [3]; Distributed [3]; Experimental Laboratory for Investigating Information-sharing Collaboration and Trust (ELICIT) [3]; Knowledge Management [3]; Networks [3]
BP Global, UK[13]	Sawaryn, S. J. [5]; Lauche, K. [4]; Bayerl, P. S. [2]; Thorogood, J. L. [2]; Badke-Schaub, P. [1]; Branch, D. [1]	Delft University of Technology, Netherlands [4]; Accenture National Security Services, Camden, NJ, USA [2]; Schlumberger Corp., [2]; CJSC Elvary Neftegaz [1]; ExxonMobil Corp., [1]	Decision Making [9]; Drilling operations [5]; real-time systems [5]; Well drilling [5]; Collaborative environments [4]; Problem Solving [4]; real-time data [4]; Administrative data processing [3]; computer-supported cooperative work [3]; Data Acquisition [3]; data visualization [3]; Engines [3]; Fossil fuels [3]; information management [3]; Intelligent Energy [3]; Petroleum prospecting [3]; Work process [3]
Nanyang Technological University, Singapore[10]	Kapur, M. [4]; Kinzer, C. K. [3]; Binh Ta, D. N. [2]; Zhou, S. [2]; Du H [1]; Jiao, R. J. [1]	Columbia University, New York, NY, United States [3]; Hong Kong Baptist University, Kowloon, Hong Kong [1]	Problem Solving [5]; Algorithms [4]; Client assignment [2]; computer-supported cooperative work [2]; Decision Making [2]; Decision Support Systems (DSS) [2]; Distributed virtual environments [2]; Ill-structured problem solving [2]; Participation inequity [2]; Servers [2]; synchronous computer-supported collaborative learning CSCL [2]; Virtual Reality [2]; Well-structured problem solving [2]



Organization Name	Top Authors	Co-Authoring Institutions	Top subjects
Massachusetts Institute of Technology (MIT), Cambridge, MA, USA[8]	Cummings, M. L. [2]; Pentland, A. [2]; A. S. Clare [1]; Bolia, Robert S. [1]; Bran, C. A. [1]; Burton, J. [1]	Boeing Company, USA [1]; Cisco Systems Inc [1]; Glaivestone Software [1]; NASA Ames Research Center, Moffett Field, CA USA [1]	Decision Making [3]; Collaboration [2]; Human engineering [2]; Automated Planner [1]; Autonomous Navigation [1]; Break down [1]; business competition [1]; Change management [1]; Command and control (C2) [1]
US Air Force Research Laboratory (AFRL), Wright- Patterson AFB, OH, USA[8]	Bolia, Robert S. [3]; Havig, Paul [2]; Leedom, D.K. [2]; Nelson, W. Todd [2]; Aleva, Denise [1]; Arnold, R. D. [1]	Evidence Based Research Inc. [2]; Boeing Company, USA [1]; Defence Science and Technology Laboratory (DSTL), Farnborough, UK [1]; DSO National Laboratories, Singapore [1]; Human Performance Architects, Orlando, FL [1]	Command and control (C2) [2]; Knowledge Management [2]; Network centric operations (NCO) [2];adversary intent [1]; Air Battle Management [1]; anticipatory understanding [1]; battlefield visualization [1]; battlespace [1]; cognitive issues [1]; Collaboration [1]
Schlumberger Corp.,[7]	Gomez, J. [2]; Gorgone, I. [2]; Uddenberg, G. [2]; Brown, N. M. [1]; Chatterjee, D. [1]; Fleury, S. G. [1]	BP Global, UK [2]; Shell [1]; Statoil ASA, Norway [1]	Decision Making [5]; Drilling optimization [3]; real-time systems [3]; Communication systems [2]; Drilling operations [2]; Emerging technologies [2]; Intelligent Energy [2]; Measurement-while-drilling [2]; Monitoring and control [2]; Operation support [2]; Optimization [2]; Problem Solving [2]; project management [2]; real-time data [2]; Rig operations [2]; Work process [2]
Shanghai Jiao Tong University, Shanghai, China[7]	Jinwei Cao [2]; Zhang, P. [2]; Chu, Xuening [1]; Deng Yong [1]; Fan FY. [1]; Fan Xiu min [1]	Shanghai Key Lab. of Advanced Manufacturing Environment, China [1]; University of Calgary, AB, Canada [1]; University of Delaware, Newark, DE, USA [1]	Decision Making [3]; group decision making [3]; concept space [2]; Customer satisfaction [2]; Decision Support Systems (DSS) [2]; Fuzzy Logic [2]; fuzzy set theory [2]; group productivity [2]; Group Support Systems (GSS) [2]; Product development [2]



Organization Name	Top Authors	Co-Authoring Institutions	Top subjects
University of Patras, Rio Patras, Greece[7]	Avouris, N. [5]; Chounta, IA. [3]; Kahrimanis, G. [3]; Baltogiannis C [1]; Dimopoulos KG [1]; Economou G PK [1]	University of Freiburg, Germany [1]	Groupware [5]; Problem Solving [4]; computer-supported collaborative learning (CSCL) [3]; collaboration quality [2]; Collaborative problem solving [2]; Computer Aided Instruction [2]; interaction analysis [2]; User interfaces [2]; Adaptation [1]; Analysis method [1]
Lockheed-Martin Corporation, United States[6]	Banasiak, M. [1]; Czajkowski, M. [1]; Dilenno, T. [1]; Hofmann, M. O. [1]; Iyer, N. [1]; J. Roberts [1]	General Electric (GE) Corp., USA [1]; University of Colorado, Boulder, CO, USA [1]	Decision Support Systems (DSS) [3]; air traffic control [2]; Automation [2]; Multi-agent systems (MAS) [2]; Service oriented architecture (SOA) [2]; Accident prevention [1]; Ad-hoc dynamic service composition [1]; Adaptive Systems [1]; Air navigation [1]
Delft University of Technology, Netherlands[5]	Lauche, K. [5]; Sawaryn, S. J. [4]; Bayerl, P. S. [2]; Thorogood, J. L. [2]; Badke-Schaub, P. [1]; Crichton, M. [1]	BP Global, UK [4];CJSC Elvary Neftegaz [1];People Factor Consultant Ltd. [1];University of Aberdeen, Scotland, UK [1]	Drilling operations [4]; Data transfer [3]; Decision Making [3]; real-time data [3]; Collaborative environments [2]; Human factors [2]; Offshore drilling [2]; Onshore Operation Centres (OOC) [2]; real-time systems [2]; Remote operations [2]; Sensory information [2]; Work process [2]
Drexel University, Philadelphia, PA, United States[5]	Stahl, G. [3]; Dugan, C. [1]; Modi, P. J. [1]; Palisano, R. J. [1]; Perit Çaklr, M. [1]; Peysakhov, M. [1]		Decision Making [2]; group cognition [2]; Small groups [2]; text chat [2]; Ad hoc networks [1]; Algorithms [1]; Bandwidth [1];
Hong Kong Polytechnic University, Hong Kong[5]	Choy, K. L. [2]; Chan, S. C. F. [1]; Kwong CK [1]; Kwong, C. K. [1]; Lee BLP [1]; Leung YK [1]		Decision Making [3]; computer-supported cooperative work [2]; Decision Support Systems (DSS) [2]; Internet [2]; mould manufacturing [2]; Process planning [2]; production scheduling [2]; Radio frequency identification (RFID) [2]; real-time systems [2]; Virtual Reality [2]



Organization Name	Top Authors	Co-Authoring Institutions	Top subjects
Accenture National Security Services, Camden, NJ, USA[4]	Adkins, Mark [2]; Kruse, John [2]; Branch, D. [1]; Castro, A. [1]; Fanty, S. [1]; G. Grosse [1]	BP Global, UK [2]; MITRE Corporation, Hampton, VA, USA [1]	Decision Making [3]; Administrative data processing [2]; Situational awareness (SA) [2]; ad-hoc collaboration [1]; Advanced collaborative environments [1]; Agile Development Methodology [1]; Air Force Operations [1]; Asset management [1]
Boeing Company, USA[4]	Bolia, Robert S. [1]; Comitz, P. [1]; Cummings, M. L. [1]; Graeber, David A. [1]; Greenlaw, C. [1]; Lee, M. E. M. J. [1]	Massachusetts Institute of Technology (MIT), Cambridge, MA, USA [1]; Raytheon Company, Marlborough, MA, USA [1]; US Air Force Research Laboratory (AFRL), Wright-Patterson AFB, OH, USA [1]	Air Traffic [2]; NEXTGEN [2]; Abstract model [1]; Advanced weather interactive processing systems [1]; air traffic control [1]; Air Traffic Systems [1]; Air transportation systems [1];
George Mason University, Fairfax, VA, USA[4]	Blackmond Laskey, Kathryn [2]; Hieb, Michael R. [2]; Adelman, Leonard [1]; Altenau, Michael [1]; Braswell, Kenneth [1]; Chang, KC [1]	Saab Corp. [1]; US Army Engineer Research and Development Center (ERDC), Alexandria, VA, USA [1]; Viecore FSD, Eatontown, NJ, USA [1]	Web services [2]; Bayesian networks [1]; C2 Grammar [1]; Coalition Collaboration [1]; Coalition Operations [1]; Collaboration [1]; collaboration support [1]; Collective Endeavors [1]; Command and control (C2) [1]
Georgia Institute of Technology, Atlanta, GA, United States[4]	Allen, J. K. [2]; Mistree, F. [2]; Abowd, G. D. [1]; Fernandez MG [1]; Grinter, R. E. [1]; Hayes, G. R. [1]		Decision Making [4]; concurrent engineering [2]; game theory [2]; Information Exchange [2]; Army Training [1]; Battle Management [1]; Capture and access [1]; Collaboration [1]; collaborative CAD [1]
Johns Hopkins University, Baltimore, MD, United States[4]	Bressler, N. B. [1]; Cantu, Osbaldo [1]; Casparis, H. [1]; Cost, R. Scott [1]; Firestone, M. [1]; Holder, Robert [1]	Ryerson University, Toronto, ON, Canada [1]; St. Michael's Hospital, Toronto, Canada [1]; Jules Gonin Eye Hospital, Lausanne, Switzerland [1]; University of Toronto, ON, Canada [1]	bibliographic database [1]; cataracts [1]; clinical decision making [1]; Collaboration [1]; Command and control (C2) [1]
MITRE Corporation, Bedford, MA, USA[4]	Beaton E [1]; Boiney L [1]; Bonaceto, Craig [1]; Burns, Kevin [1]; Drury JL [1]; Duncan MO [1]		Decision Making [3]; Visualization [2]; Architectures and design of collaborative systems [1]; collaborative systems [1]; Computer Networks [1]; Cost effectiveness [1]; crisis management teams [1]



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Organization Name	Top Authors	Co-Authoring Institutions	Top subjects
NASA Ames Research Center, Moffett Field, CA USA[4]	Ambrosia VG [1]; Bell, D. [1]; Brummett, R. [1]; Cummings, M. L. [1]; Gawdiak, Y. [1]; Gurram, M. [1]	Massachusetts Institute of Technology (MIT), Cambridge, MA, USA [1];	Decision Support Systems (DSS) [3]; real-time systems [2]; ad hoc support [1]; aerospace robotics [1]; Asset management [1]; Automation systems [1]; autonomous rovers [1]; climatic impact [1]; collaborative decision systems project [1]; Commercial Off The Shelf (COTS) tools [1]
National Chiao Tung University, Hsin-Chu, Taiwan[4]	Chen Sheng Wang [2]; Gwo Hshiung Tzeng [2]; Min Jen Tsai [2]; Shih Chang Wang [2]; Jih Jeng Huang [1]; Ming Shin Kuo [1]	Kainan University, Taiwan [1]; National Taiwan Ocean University, Keelung, Taiwan [1]; National Taiwan University,Taipei, Taiwan [1]	Decision Making [4]; business process execution language [2]; digital watermark [2]; Enterprise computing [2]; Filter banks [2]; fuzzy group decision making [2]; fuzzy set theory [2]; Fuzzy sets [2]; group decision making [2]; Problem Solving [2]; Web services [2]
Northwestern Polytechnical University (NWPU), Xi'an, China[4]	Chang Z. Y. [1]; Fan Q. M. [1]; H. Xue [1]; Li WJ. [1]; Liu H. G. [1]; Mingjun Xin [1]	Shanghai Univ., China [1]	Problem Solving [2]; (e, 3e) process [1]; active control tactics [1]; Aircraft [1]; Aircraft conceptual design [1]; Analytic Hierarchy Process (AHP) [1]; Collaborative allocation [1]; collaborative management [1]
Ohio State University, Columbus, OH, United States[4]	Bakshi B [1]; Billings, C. E. [1]; Fiksel J [1]; Glassman, M. [1]; Grossman, J. B. [1]; Kang, M. J. [1]	Yonsei University, Seoul, South Korea [1]	Distributed work [2]; air traffic management [1]; Analysis and synthesis [1]; asset employment [1]; Cognitive task analysis [1]; Computer Networks [1]; computer-supported cooperative work [1]; cooperative learning [1]
Saudi Aramco, Saudi Arabia[4]	Al Meshabi, O. O. [1]; Al-Harbi, W. [1]; Al-Mushirfi, O. [1]; Guzman, R. P. [1]; Husain, K. [1]; Irgens, M. [1]	Actenum Corporation [1]; SAS Institute, United States [1]	Decision Making [3]; Optimization [3]; Asset management [2]; business process [2]; decision making process [2]; Engines [2]; Management [2]; Petroleum reservoir evaluation [2]; Reservoir management [2]





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Organization Name	Top Authors	Co-Authoring Institutions	Top subjects
Stanford University, Stanford, CA, USA[4]	Fruchter, R. [2]; Bastea-Forte M. [1]; Bhutani, V. K. [1]; Boraiah, M. [1]; Ioannidou, D. [1]; Swaminathan, S. [1]		Decision Making [2]; A/E/C global teamwork [1]; Artificial Intelligence [1]; artificial ventilation [1]; Brainstorming [1]; business losses [1]; Civil engineering [1]
Tongji University, Shanghai, China[4]	Zhang Ming [2]; Guofeng Qin [1]; Qiyan Li [1]; Sheng Yao [1]; Wang Zhiqiang [1]; Wang, Z. Q. [1]		Decision Making [3]; real-time systems [3]; Communication mechanism [2]; emergency management system [2]; Geographic information systems (GIS) [2]; information management [2]; safety [2]; urban rail transit system [2]; Workflow [2]; accident disposal [1]
Tsinghua University, Beijing, China[4]	Chen, B. [1]; Chen, G. [1]; Feng Xiang [1]; Junfei Huang [1]; Ma, B. [1]; Mao Ye [1]	Beijing University of Posts and Telecommunications, China [1]; East China University of Science and Technology, Shanghai, China [1]	Concurrency control [2]; Abnormal detection [1]; Action plan [1]; adaptation rules [1]; adaptive performance testing [1]; Adaptive testing [1]; Administrative data processing [1]; autonomous agents [1]; autonomous decision making [1]
University of Calgary, AB, Canada[4]	Carpendale, S. [1]; Chu, Xuening [1]; De Alwis, B. [1]; Geng, X. [1]; Greenberg, S. [1]; Gutwin, C. [1]	Shanghai Jiao Tong University, Shanghai, China [1]; Sichuan University, Chengdu, China [1]; University of Saskatchewan, Saskatoon, SK, Canada [1]	Analytic network process (ANP) [1]; Buffer framework [1]; business competition [1]; Collaborative design environments [1]; Competitive strategy [1]; Computer systems [1]; conceptual design [1]; concurrent engineering [1]; Critical parameter [1]
University of California, Berkeley, CA, United States[4]	Booher, D. E. [1];Goldstein, N. C. [1];Innes, J. E. [1];Kearns, F. R. [1];L. El Ghaoui [1];M. I. Jordan [1]	California State University, Sacramento, USA [1];Lawrence Livermore National Laboratory, Livermore, CA, USA [1]	stakeholders [2]; Adaptive management [1]; Algorithms [1]; apparel industry [1]; Collaborative governance [1]; Collaborative Techniques [1]; complex adaptive network [1]





Organization Name	Top Authors	Co-Authoring Institutions	Top subjects
University of Maryland Baltimore County, Baltimore, MD, USA[4]	Adler, R. F. [1]; Cooper, D. [1]; Dutton, R. P. [1]; Faraj, S. [1]; Hemphill III, J. C. [1]; Holcomb, J. B. [1]	Emory University, Atlanta, GA, United States [2]; Center for Integration of Medicine and Innovative Technology, Boston, MA, USA [1]; Englewood Hospital, Englewood, NJ, United States [1]; Yale-New Haven Hospital, New Haven, CT, United States [1]; Duke University, Durham, NC, United States [1]	Cooperative Behavior [2]; nomenclature [2]; Alarm systems [1]; anticoagulant therapy [1]; antithrombocytic agent [1]; aprotinin [1]; Artifacts [1]; Audiovisual Aids [1];
University of Maryland, College Park, MD, United States[4]	Croninger, R. G. [1]; Day, R. W. [1]; Faraj, S. [1]; Mackenzie, C. F. [1]; Moss, J. [1]; Raghavan, S. [1]	University of Alabama, Birmingham, AL, United States [1]; University of Connecticut, Storrs, CT, United States [1]; University of Maryland Baltimore County, Baltimore, MD, USA [1]	Acquisition [1]; Artifacts [1]; Artificial Intelligence [1]; Assignment problems [1]; Audiovisual Aids [1]; Bidding languages [1]; Briefing Charts [1]; Cautionary notes [1]
University of Massachusetts, Amherst, MA, United States[4]	Allessio, D. A. [1]; Boit, R. J. [1]; Brotzge, J. A. [1]; D. Corkill [1]; Deschamps, A. D. [1]; Droegemeier, K. [1]	University of Oklahoma, Boyd, OK, USA [1]; University of Akron, OH, United States [1]	Decision Making [2]; Adaptive Systems [1]; adaptive time adjusting algorithm [1]; Analysts [1]; Army Personnel [1]; atmosphere [1]; Brigade Combat Teams (BCTS) [1]; Chat [1]; Collaboration [1]
University of Pittsburgh, PA, United States[4]	Chang, H. [1]; Chang, K. CM. [1]; Chiu, CH. [1]; Chou Jr., H. [1]; Chu, YT. [1]; Claypool, E. [1]	Centers for Disease Control in Taiwan, Department of Health, Taiwan [1]; NTU Hospital, Taipei City, Taiwan [1]; Google [1]; National Health Institute of Research, Taiwan [1]	Problem Solving [2]; Analytic Hierarchy Process (AHP) [1]; Benefits and costs [1]; biological warfare [1]; classification [1]; Collaboration [1]; Collaborative approach [1]; Collaborative Information Behavior [1]; Collaborative Techniques [1]
University of Stavanger, Norway[4]	Bratvold, R. B. [2]; Fjellheim, R. A. [2]; Herbert, M. C. [2]; Arild, Ø. [1]; Bislo, R. [1]; Giese, M. [1]	Computas AS, Norway [2]; ConocoPhillips Norge AS, Norway [2]; University of Oslo, Norway [2]; Institute of Energy Technology, Norway [1]; Norwegian University of Science and Technology (NTNU), Norway [1]	Decision Support Systems (DSS) [4]; Decision Theory [4]; Integrated Operations [4]; Decision Making [3]; decision support [3]; Collaboration [2]; Collaborative decision making (CDM) [2]; Decision modeling [2]; Drilling operations [2]; Influence diagram [2]; Offshore oil wells [2];





Organization Name	Top Authors	Co-Authoring Institutions	Top subjects
University of Technology, Sydney (UTS), NSW, Australia[4]	Jie Lu [4]; Zhang, G. [4]; Laes, E. [3]; Ruan, D. [3]; Jun Ma [2]; Meskens, G. [2]; Wu, F. [2]	Belgian Nuclear Research Centre (SCK.CEN), Boeretang, Belgium [3]; Brussels EU Chapter, Club of Rome (CoR-EU), Belgium [1]; Ecole Nationale Supérieure des Arts et Industries Textiles, Roubaix, France [1]; Flemish Institute for Technological Research (VITO), Boeretang , Belgium [1]; University of Leuven, Heverlee, Belgium [1]	Decision Making [2]; Evaluation model [2]; fuzzy numbers [2]; Group decision support systems (GDSS) [2]; Multi-criteria decision making (MCDM) [2]; Administrative data processing [1]; Artificial Intelligence [1]; Bionics [1]





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# 5.2.3 Canadian Laboratories

Table 8 provides links to relevant Canadian HCI labs and academic groups researching decision support tools. This is list is selective rather than exhaustive and inclusion is based on numbers of publications or preceived importance. More complete details with top authors, co-authoring institutions, and top subject terms are provided in the attachment to this report: STI 7193 C3-MAAD Canadian Players.xls.

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Notes			See also Dr. Michel Demarais: http://www.professeurs.polymtl.ca/michel.desmarais/desm arais michel c-fr.html	Dr. Raja Sengupta, research interests include Spatial Decision Support Systems and Agent-Based Modelling http://www.geog.mcgill.ca/faculty/sengupta/
Departments and Laboratories	Human Oriented Technology Lab (HOT Lab) <u>http://www2.carleton.ca/hot/</u>	Human Centered Software Engineering Group http://www.cs.concordia.ca/research/researchgroups/hcse/ Concordia Institute for Information Systems Engineering http://www.ciise.concordia.ca/	Dept. Génie informatique et génie logiciel <u>http://www.polymtl.ca/gigl/recherche/</u> Groupe d'études et de recherche en analyse des décisions (GERAD) <u>http://www.gerad.ca/fr/index.php</u>	Multimodal Interaction Laboratory http://mil.mcgill.ca/ Centre for Intelligent Machines http://www.cim.mcgill.ca/ Shared Reality Lab http://www.cim.mcgill.ca/sre/
Organization Name Del	Carleton University, Ottawa, ON	Concordia University, Montreal, QC	Ecole Polytechnique de Montreal, Montreal, QC	McGill University, Montreal, QC



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Organization Name	Departments and Laboratories	Notes
McMaster University, Hamilton, ON		No particular department or lab for clinical decision support tools could be identified, but professor Mark Morreale lists it as one of his interests: http://fhs.mcmaster.ca/ceb/faculty_member_morreale.htm.
		See also Dr. Milena Head: http://www.business.mcmaster.ca/IS/head/
National Research Council Canada, Institute for Information Technology, Fredricton, NB	Human-Computer Interaction Program <u>http://www.nrc-</u> <u>cnrc.gc.ca/eng/programs/iit/human-computer.html</u> Learning and Collaborative Technologies <u>http://www.nrc-</u> <u>cnrc.gc.ca/eng/programs/iit/collaborative-technologies.html</u>	
National Research Council Canada, London, ON	Centre for Computer-assisted Construction Technologies http://www.nrc-cnrc.gc.ca/eng/ibp/irc/ccct/index.html	
Nipissing University, North Bay, ON	Computer Science and Mathematics http://www.nipissingu.ca/compsciandmath/	Nipissing is a mostly undergraduate university, however, Dr. H. Zhu of the computer science department has published extensively on adaptive collaboration and collaborative tools: <u>http://www.nipissingu.ca/faculty/haibinz/</u>
Queens University, Kingston, ON	Human Media Lab http://www.hml.queensu.ca/	
	Multimedia Coding and Communications Laboratory (Mc2L) http://www.ece.queensu.ca/Research/Labs/Mc2L/index.html	
Ryerson University, Toronto, ON	Centre for Interdisciplinary Human Factors Research http://www.ryerson.ca/cihfr/	Ryerson offers degrees and certificates in GIS Spatial Analysis, which is often related to decision support.
	Department of Geography http://www.ryerson.ca/geography/	

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Simon Fraser University, Burnaby, BC	Social Cognition and Interactive Expertise in Natural and Computational Environments (SCIENCE) Lab http://interaction-science.iat.sfu.ca/	SFU Surrey houses several labs that may be of interest: http://tirpitz.iat.sfu.ca/labs/index.php
	Vision and Media Lab http://www.cs.sfu.ca/research/groups/VML/	
	School of Interactive Arts and Technology http://www.siat.sfu.ca/	
Université de Montréal, QC	Laboratoire de Recherche en Communication Multimédia (LRCM) <u>http://www.com.umontreal.ca/recherche/lrcm.htm</u>	
	Laboratoire des Usages et du Design des Technologies d'Information et de Communication (LUDTIC) no website found, contact: Carole Groleau et Lorna Heaton 514- 343-6111 poste 5446	
Université Laval, Québec, QC	Département des opérations et systèmes de décision Faculté des sciences de l'administration <u>http://www4.fsa.ulaval.ca/cms/accueil/faculte/departementsec</u> <u>ole/osd</u>	
University of Alberta, Edmonton, AB	Advanced Man-Machine Interfaces (AMMI) Laboratory (Department of Computer Science) <u>https://www.cs.ualberta.ca/research/research-areas/advanced-</u> <u>man-machine-interfaces</u>	



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Notes	Most of the UBC articles are related to clinical decision making tools or processes. Papers are often cross- departmental.	MAGIC includes sub-specialities in HCI and visual analytics			Several laboratories under the Electrical and Computer Engineering department may also be of interest: Intelligent Software Systems Research Laboratory Visualization Research Laboratory	Human-Computer Interaction Research Laboratory	The CIS department lists several labs of interest: Intelligent Decision Support Systems Laboratory (IDSS Lab) Interactive Cognitive Computing Laboratory (ICC Lab)	This lab may also be of interest, as some of the authors cited here come from it, though it is not entirely clear from their website if it is relevant: Autonomous Agents Laboratory http://aalab.cs.umanitoba.ca/
Departments and Laboratories	Department of Electrical and Computer Engineering https://137.82.61.1/	Department of Anesthesiology, Pharmacology and Therapeutics http://www.apt.ubc.ca/UBC Anesthesiology Pharmacology a nd Therapeutics.htm	Sauder School of Business http://www.sauder.ubc.ca/	MAGIC - Multimedia and Graphics Interdisciplinary Centre http://www.magic.ubc.ca/pmwiki.php?n=Main.HomePage	Innovations in Visualization Laboratory <u>http://innovis.cpsc.ucalgary.ca/GroupLabhttp://grouplab.cpsc.u</u> <u>calgary.ca/</u>	Software Engineering Decision Support Laboratory http://www.seng-decisionsupport.ucalgary.ca/	Department of Computing and Information Science http://www.cis.uoguelph.ca/research.php	Human-Computer Interaction Lab http://hci.cs.umanitoba.ca/Main/HomePage
Organization Name	University of British Columbia, Vancouver, BC				University of Calgary, AB		University of Guelph, ON	University of Manitoba, Winnipeg, MB

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Organization Name	Departments and Laboratories	Notes
University of New Brunswick, Fredericton, NB	Faculty of Computer Science http://www.unb.ca/fredericton/cs/about/research/index.html	URLs for individual labs could not be found, however the Faculty of Computer Science lists several that are probably of interest, including the HCI Lab and the Intelligent and Adaptive Systems Research Group. UNB is a frequent partner with the NRC-Institute for Information Technology in Fredricton. See separate entries for NRC.
University of Ottawa, ON	Multimedia Communications Research Laboratory http://www.mcrlab.uottawa.ca/ Distributed & Collaborative Virtual Environments Research Laboratory (DISCOVER) http://www.discover.uottawa.ca/	
University of Regina, SK	Department of Computer Science http://www.cs.uregina.ca/	For details on which faculty specialize in HCI, see this list: http://www.cs.uregina.ca/Research/majorareas.html
University of Saskatchewan, Saskatoon, SK	Human-Computer Interaction Lab <u>http://hci.usask.ca/</u> Advanced Engineering Design Laboratory <u>http://www.engr.usask.ca/departments/mee/facilities/aedl.php</u>	See also the page of Dr. Carl Gutwin, Canada Research Chair in Next Generation Groupware <u>http://www.usask.ca/research/research_services/crc/profile</u> <u>s/gutwin.php</u>



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<b>C3 MAAD Literature Survey</b>	ire Survey	May 2011
Organization Name	Departments and Laboratories	Notes
University of Toronto, ON	Department of Computer Science, Dynamic Graphics Project http://www.dgp.toronto.edu/ Department of Health Policy, Management and Evaluation http://www.hpme.utoronto.ca/about/research.htm Department of Geography and Program in Planning http://www.geog.utoronto.ca/ Cognitive Engineering Laboratory http://cel.mie.utoronto.ca/	Dynamic Graphics Project (dgp) is an interdisciplinary research laboratory whose mission is advanced research and graduate instruction in human-computer interaction and computer graphics. The Remote Sensing, Modelling and GIS laboratory has done some work previously on augmented GIS mapping for decision making, it is not clear if this work is still ongoing. http://www.geog.utoronto.ca/resources/labs The Autonomous Systems and Biomechatronics Lab does research in human-robot interaction for search and rescue and emergency services. http://asblab.mie.utoronto.ca/
University of Victoria, BC	Visual Interaction Design (VisID) research group http://visid.cs.uvic.ca/index.html Computer Human Interaction Software Engineering Lab (CHISEL) http://www.thechiselgroup.org/	
University of Waterloo, ON	Department of Systems Design Engineering (SYDE) http://www.systems.uwaterloo.ca/research/index.html Collaborative Systems Laboratory (under SYDE) http://collaborativesystemslab.igloocommunities.com/ David R. Cheriton School of Computer Science http://www.cs.uwaterloo.ca/ Human Computer Interaction Laboratory http://hci.uwaterloo.ca/	SYDE is the most relevant department at Waterloo, particularly the Advanced Interface Design Lab: <u>http://www.aidl.uwaterloo.ca/</u> . Other areas of interest include the Intelligent Human Machine Systems Lab, and research programs in optimization and decision making. Dr. Stacey Scott is the director of the Collaborative Systems Laboratory and has already worked with DRDC Atlantic and Gallium Visual Systems (Ottawa): <u>http://www.eng.uwaterloo.ca/~s9scott/wiki/pmwiki.php</u>
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13. ABSTRACT

The following project was completed in two phases. The first phase sought to identify collaborative decision making tools currently on the market or being developed specifically for naval tactical C2 purposes. This was accomplished through a combination of database and Internet searches.

Only a few collaborative decision making tools specifically for naval tactical operations were identified, particularly the Cooperative Engagement Capability (CEC), the Multiplatform Engagement Capability (MPEC) and Thales' Combat Management Systems. Only limited technical information on Thales' and Raytheon's systems was available but links to their product literature have been provided. Other projects and programmes not specifically for the Navy may be important to watch for new developments, namely: NATO's Network Enabled Capability (NNEC) program, the US Air Force Network-Centric Collaborative Targeting (NCCT) and the US Joint Integrated Air and Missile Defense )JIAMD) initiative. Major players in this field include Raytheon, Thales. And Israel Aerospace Industries (IAI). Many of the development contracts in recent years have been awarded to consortia, often led by Raytheon, Thales or Lockheed Martin.

The second phase of this project was centered on identifying major organizations (academic, government and companies) currently conducting research in the areas of human-computer interaction and collaborative decision support tools, with a focus on Canadian organizations and laboratories. Information was sought from scientific and technical databases and some highly relevant conference proceedings. Bibliographic information was compiled into two master databases and then names of organizations were normalized and lists of the most prolific institutions in terms of numbers of publications were compiled.

Le projet suivant a été mené en deux phases. Dans le cadre de la première, l'objectif consistait à trouver les outils collaboratifs de prise de décision conçus spécialement pour le C2 tactique naval qui sont actuellement disponibles sur le marché ou en cours de développement. Dans ce but, des recherches ont été effectuées dans des bases de données et dans Internet.

Seulement quelques outils collaboratifs de prise de décision pour les opérations tactiques navales ont été trouvés, soit la Cooperative Engagement Capability (capacité d'engagement en coopération ou CEC), la Multiplatform Engagement Capability (capacité d'engagement multiplateforme ou MPEC) et les systèmes de gestion de combat de Thales. Seuls des renseignements techniques limités sur les systèmes de Thales et de Raytheon étaient disponibles, mais les liens menant à la documentation sur leur produit ont été fournis. Il pourrait être important de surveiller tout nouveau développement lié à d'autres projets et programmes non spécialement conçus pour la Marine, soit le programme de capacité en réseau de l'OTAN (NNEC), la Network-Centric Collaborative Targeting (capacité de ciblage collaboratif réseaucentrique ou NCCT) de la force aérienne américaine et l'initiative Joint Integrated Air and Missile Defense (défense antiaérienne et antimissile intégrée conjointe ou JIAMD) des États-Unis. Des acteurs majeurs dans ce domaine sont Raytheon, Thales et Israel Aerospace Industries (IAI), entre autres. Bon nombre des contrats de développement des dernières années ont été confiés à des consortiums, souvent dirigés par Raytheon, Thales ou Lockheed Martin.

La deuxième phase de ce projet visait essentiellement à identifier les grandes organisations (universitaires, gouvernementales et privées) menant actuellement des recherches dans les domaines de l'interaction personne-machine et des outils collaboratifs d'aide à la décision, particulièrement les organisations et les laboratoires canadiens. L'information a été tirée de bases de données scientifiques et techniques et des travaux de certaines conférences hautement pertinentes. Les renseignements bibliographiques ont été regroupés dans deux bases de données principales, puis les noms des organisations ont été standardisés et des listes des organisations les plus prolifiques quant au nombre de publications ont été compilées.

14. KEYWORDS, DESCRIPTORS or IDENTIFIERS

naval tactic decision support tools; human-computer interaction; decision support tools; decision making

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