Therapeutic Products Directorate

Drug Submission Performance Quarterly Report

July – September 2019





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OVERVIEW

The Therapeutic Products Directorate's (TPD) Quarterly Drug Submission Performance Report reflects pharmaceutical drug submission review activity over five consecutive quarters: from July – September 2018 to July – September 2019. Statistics are provided by Submission Type and show the number received, the number in workload, the number of decisions and the number of approvals.

General Information

There are several steps involved in the drug submission review.¹ and approval process:

- administrative processing,
- regulatory and scientific screening and
- in-depth scientific review.

When deficiencies or non-compliance issues are found, a company may submit responses before a final decision can be reached and thus multiple review cycles may be required. A submission's approval time can vary depending on the number and type of review cycles needed.

Submissions Received are counts of submissions received during the year using the filing date (CR date) which is the date the submission is considered administratively complete by Health Canada.

Workload is the number of submissions "under active review" on the last day of the quarter. "**Backlog**" is the proportion of the workload that is over target. Often the term workload is used to mean the amount of work received over a period of time and is a common source of confusion.

Approvals² are Notice of Compliances (NOC) Issued or Issuable. An NOC issuable is when a submission's NOC is placed "on hold" awaiting authorization to market, due to Patented Medicines (NOC) Regulations or due to changes from Prescription to Non-Prescription.

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¹ For further clarification refer to the <u>Guidance for Industry: Management of Drug Submissions</u>.

² Final results from confirmatory trials submitted in the form of an SNDS-C are now included in the SNDS Received, Workload and Performance figures. SNDS-Cs are not included in the SNDS Approval figures. For further Clarification refer to the <u>Guidance Document:</u> Notice of Compliance with Conditions (NOC/c).

A **review cycle completion**³ is counted upon the conclusion of an in-depth scientific review that then results in a decision of approval or non-approval. The time taken is compared to a set <u>performance standard</u>⁴ which is based on the type of submission, class and cycle (status). For example, in the case of a Priority NDS, the performance standard is 180 days for Review 1 and 90 days for Review 2. Health Canada has set a goal of 90% of review cycle completions to be rendered within performance standards.

"First Cycle Review" Approvals are those submissions approved without having to go through several review cycles to resolve submission deficiencies or non-compliance issues, and exclude "refiled" submissions.

Any questions or comments on this report should be forwarded to:

Office of Submissions and Intellectual Property, Resource Management and Operations Directorate Finance Building, A.L. # 0202A1 101 Tunney's Pasture Driveway, Tunney's Pasture Ottawa, Ontario, K1A 0K9

Tel: (613) 941-7281 Fax: (613) 941-0825

Email: hc.osip-bppi.sc@canada.ca

³ Review cycles include all types e.g. Review 1, Review 2, Review QN. The total number of "review decisions" may surpass the total number of review cycle completions as they include cancellations/withdrawals that occur while the submission is 'inactive'. For example, a withdrawal can be issued when a company fails to respond to a notice of non-compliance within the allotted time frame. A 'Cancelled by Company' is counted as a review decision when a company sends a cancellation letter after the submission's original materials have been accepted for review.

⁵ For further clarification refer to the <u>Guidance for Industry: Management of Drug Submissions</u>

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⁴ Performance continues to be measured against performance standards for Submission Type/Submission Class/ Status combinations as set out in Appendix 3 of the <u>Guidance for Industry: Management of Drug Submissions</u>. This is not to be confused with the 'UF Review 1 (iteration 1)' performance standards that are employed to measure performance to meet the *User Fees Act* reporting Requirements in the 'Health Canada Departmental Performance Report (DPR).

ACRONYMS

Submission Types

ANDS - Abbreviated New Drug Submission

CTA - Clinical Trial Application

CTA-A - Clinical Trial Application - Amendment

DINA - Application for a Drug Identification Number for a pharmaceutical product,

including non-prescription products attesting to a Labelling Standard

DINB - Application for a Drug Identification Number for a biological product

DIND - Application for a Drug Identification Number for a disinfectant product

DINF - Application for a Drug Identification Number for a Category IV Monograph

Product

EUANDS - Abbreviated Extraordinary Use New Drug Submission

EUNDS - Extraordinary Use New Drug Submission

EUSANDS - Supplement to an Abbreviated Extraordinary Use New Drug Submission

EUSNDS - Supplement to an Extraordinary Use New Drug Submission

MPNDS - Pre-Submission Meeting New Drug Submission

MPSNDS - Pre-Submission Meeting Supplement to a New Drug Submission

NC - Notifiable Change

NDS - New Drug Submission

NDS-D - New Drug Submission for Disinfectant products

PDC - Post-authorization Division 1 Change for a pharmaceutical product

PDC-B - Post-authorization Division 1 Change for a biologic drug product

PRNDS - Request for Priority Review Status: New Drug Submission

PRSNDS - Request for Priority Review Status: Supplemental New Drug Submission

SANDS - Supplement to an Abbreviated New Drug Submission

SANDS-c - Supplement to an Abbreviated New Drug Submission - Confirmatory

SNDS - Supplement to a New Drug Submission

SNDS-c - Supplement to a New Drug Submission - Confirmatory

SNDS-D - Supplement to a New Drug Submission for Disinfectant products

Documents

NOC - Notice of Compliance

NOC-c - Notice of Compliance with Conditions

Issuable NOC (Patent) - NOC on Hold due to Patented Medicines (NOC) Regulations

Issuable NOC (Rx to OTC) - NOC on Hold due to changes (Prescription to Non-Prescription)

NON - Notice of Non-Compliance

NOD - Notice of Deficiency

NON Withdrawal - Notice of Non-Compliance Withdrawal Letter

NOD Withdrawal - Notice of Deficiency Withdrawal Letter

Fee Categories

Fee Category	Fee Category Description
New Active Substance (NAS)	Submission in support of a drug, excluding a disinfectant, that contains a medicinal ingredient not previously approved in a drug for sale in Canada, and that is not a variation of a previously approved medicinal ingredient such as a salt, ester, enantiomer, solvate or polymorph. For biologics, this submission class does not include an NDS in support of a subsequent entry biologic or an SNDS in support of changes to the manufacturing process of biologics.
Clinical or Non-Clinical Data and Chemistry and Manufacturing data	Submissions based on clinical or non-clinical data and chemistry and manufacturing data for a drug that does not include a NAS.
Clinical or Non-Clinical Data Only	Submissions based only on clinical or non-clinical data for a drug that does not include a NAS.
Comparative Studies	Submissions based on comparative studies with or without chemistry and manufacturing data for a drug that does not include a NAS. It excludes superiority and non-inferiority studies since they are clinical studies. It also excludes pharmaceutical equivalence studies since they are captured by the chemistry and manufacturing fee.
Chemistry and Manufacturing Data Only	Submissions based only on chemistry and manufacturing data for a drug that does not include a NAS.
Published Data Only	Submissions based only on published clinical or non-clinical data for a drug that does not include a NAS.
Switch from Prescription to Nonprescription Status	Submissions based only on data that support the modification or removal of a medicinal ingredient on the <u>Prescription Drug List</u> . This fee is limited to switches from prescription to nonprescription status when an identical claim is made for an existing drug.
Labelling Only. ⁶	Submissions of labelling material that do not include supporting clinical or non-clinical data or chemistry and manufacturing data.
Administrative Submission	Submissions in support of a manufacturer or product name change.
Disinfectants ⁷	Submissions and applications that include data in support of a disinfectant.
Drug Identification Number (DIN) - Labelling Standards	Applications attesting to compliance with a labelling standard or Category IV Monograph (DINF) for a drug that does not include clinical or non-clinical data or chemistry and manufacturing data.

For further information, please refer to the Guidance Document - Fees for the Review of Drug **Submissions and Applications**

⁶ For more information, please consult the <u>Guidance Document: Question and Answers about Plain Language Labelling.</u>
⁷ The non-prescription (or over-the-counter) and disinfectant drug review functions were moved from the Therapeutic Products Directorate (TPD) to the Natural and Non-prescription Health Products Directorate (NNHPD) on July 1, 2013. These products are reported on in a separate NNHPD Drug Submission Performance Report.

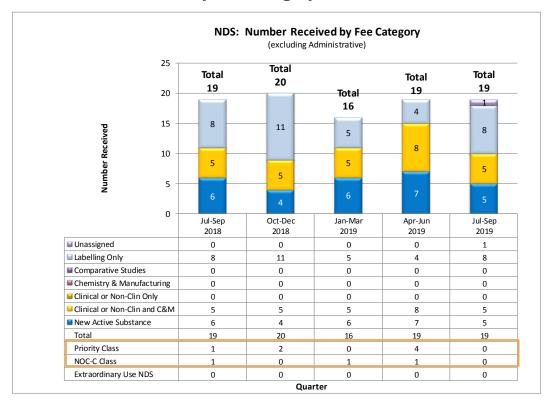
NEW DRUG SUBMISSION (NDS)

&

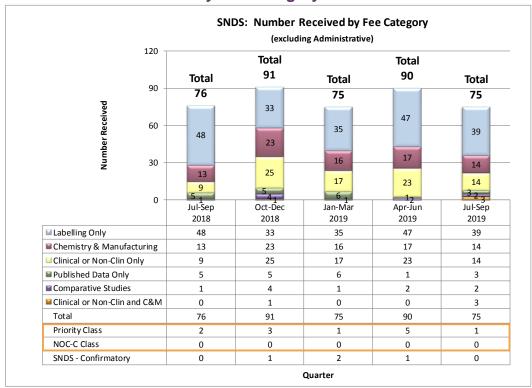
SUPPLEMENT TO A NEW DRUG SUBMISSION (SNDS)

SUBMISSIONS RECEIVED 8

NDS: Number Received by Fee Category



SNDS: Number Received by Fee Category

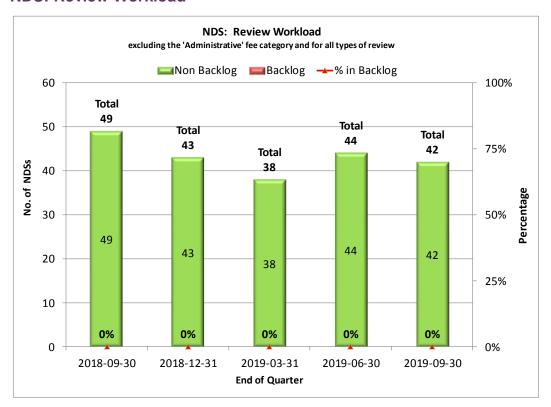


⁸ Submissions granted Priority Review Status or found eligible for advance NOC/c consideration are assigned a shortened review target to account for the Priority nature of the submission. For further clarification, please see the Priority Review of Drug Submissions Policy, the NOC/c) Guidance and the Management of Drug Submissions Guidance.

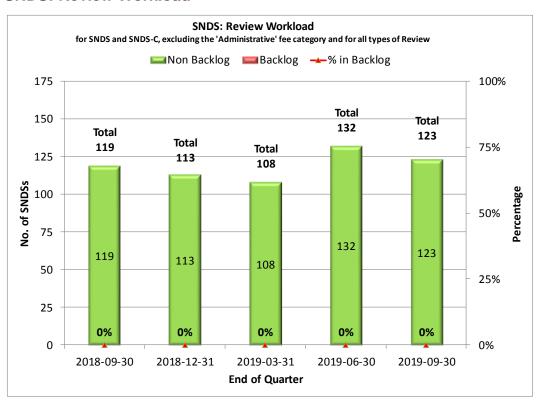
TPD Quarterly Drug Submission Performance Report **NDS and SNDS**

WORKLOAD

NDS: Review Workload



SNDS: Review Workload



WORKLOAD

NDS: Review Workload by Fee Category

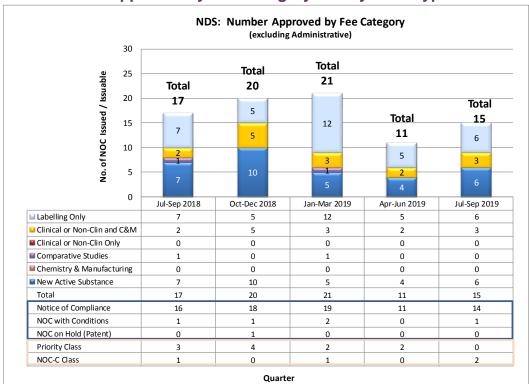
NDS: REVIEW WORKLOAD BY FEE CATEGORY (excluding Administrative) and End of Quarter								
FEE Category 2018-09-30 2018-12-31 2019-03-31 2019-06-30 2019-09-3								
Labelling Only	5	8	4	3	3			
Backlog	0	0	0	0	0			
Comparative Studies	1	1	0	0	0			
Backlog	0	0	0	0	0			
Chemistry & Manufacturing	0	0	0	0	0			
Backlog	0	0	0	0	0			
Clinical or Non-Clin Only	0	0	0	0	0			
Backlog	0	0	0	0	0			
Clinical or Non-Clin and C&M	16	15	15	19	19			
Backlog	0	0	0	0	0			
New Active Substance	27	19	19	22	20			
Backlog	0	0	0	0	0			
Total	49	43	38	44	42			
Non Backlog	49	43	38	44	42			
Backlog	0	0	0	0	0			
% in Backlog	0%	0%	0%	0%	0%			
Priority (subset)	6	4	3	3	4			
Backlog	0	0	0	0	0			

SNDS: Review Workload by Fee Category

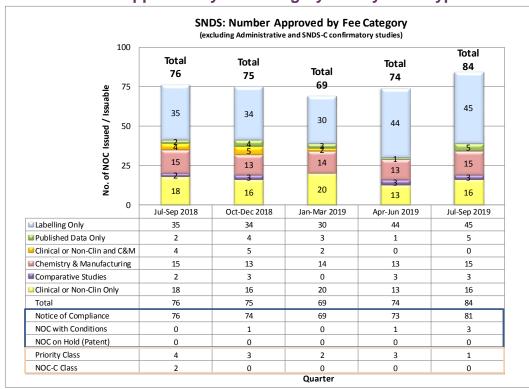
SNDS: REVIEW WORKLOAD BY FEE CATEGORY (excluding Administrative) and End of Quarter						
FEE Category	2018-09-30	2018-12-31	2019-03-31	2019-06-30	2019-09-30	
Labelling Only	23	21	10	21	16	
Backlog	0	0	0	0	0	
Comparative Studies	6	3	7	9	7	
Backlog	0	0	0	0	0	
Chemistry & Manufacturing	25	26	29	32	28	
Backlog	0	0	0	0	0	
Clinical or Non-Clin Only	49	49	53	55	57	
Backlog	0	0	0	0	0	
Clinical or Non-Clin and C&M	7	2	1	1	5	
Backlog	0	0	0	0	0	
Published Data	9	12	8	14	10	
Backlog	0	0	0	0	0	
Total	119	113	108	132	123	
Non Backlog	119	113	108	132	123	
Backlog	0	0	0	0	0	
% in Backlog	0%	0%	0%	0%	0%	
Priority (subset)	5	4	4	5	6	
Backlog	0	0	0	0	0	
SNDS-C (Confirmatory)	1	0	2	3	4	
Backlog	0	0	0	0	0	

APPROVALS89

NDS: Number Approved by Fee Category and by NOC Type



SNDS: Number Approved by Fee Category and by NOC Type



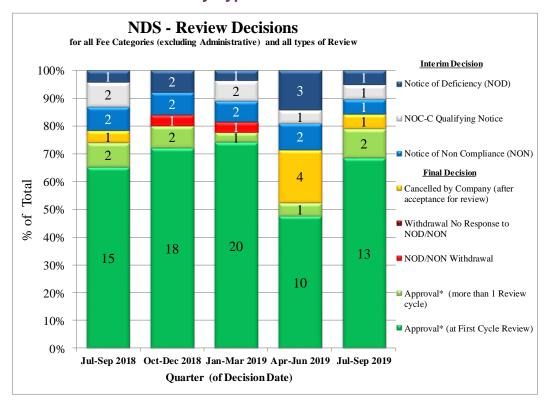
⁹ Submissions granted Priority Review Status or found eligible for advance NOC/c consideration are assigned a shortened review target to account for the Priority nature of the submission. For further clarification, please see the <u>Priority Review of Drug Submissions Policy</u>, the <u>Notice of Compliance with conditions (NOC/c) Guidance</u> and the <u>Management of Drug Submissions Guidance</u>.

TPD Quarterly Drug Submission Performance Report NDS and SNDS

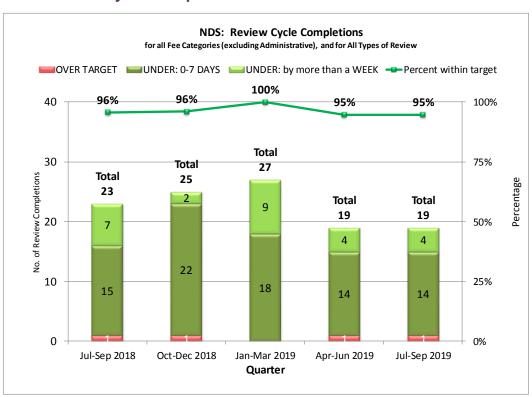
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REVIEW PERFORMANCE

NDS: Review Decisions by Type

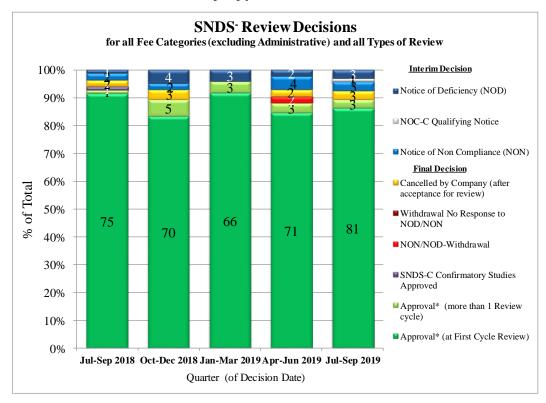


NDS: Review Cycle Completions

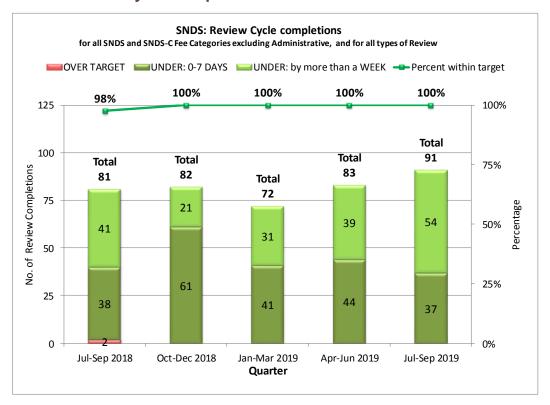


REVIEW CYCLE DECISIONS

SNDS: Review Decisions by Type

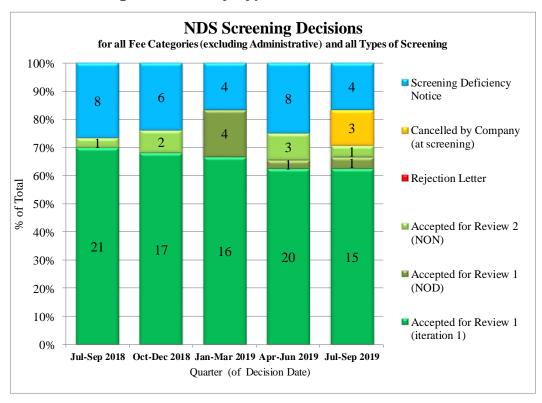


SNDS: Review Cycle Completions

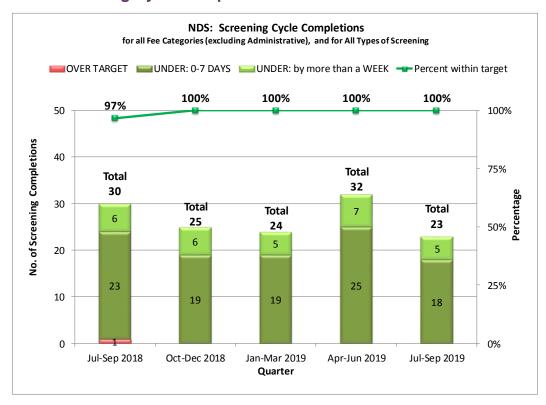


SCREENING PERFORMANCE

NDS: Screening Decisions by Type

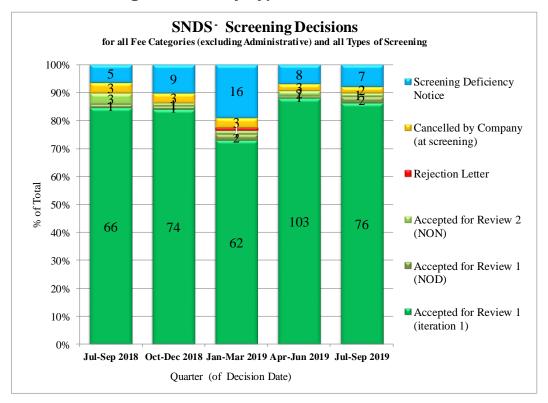


NDS: Screening Cycle Completions

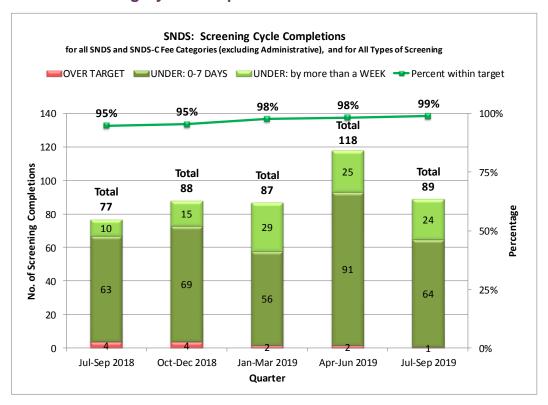


SCREENING CYCLE DECISIONS

SNDS: Screening Decisions by Type



SNDS: Screening Cycle Completions



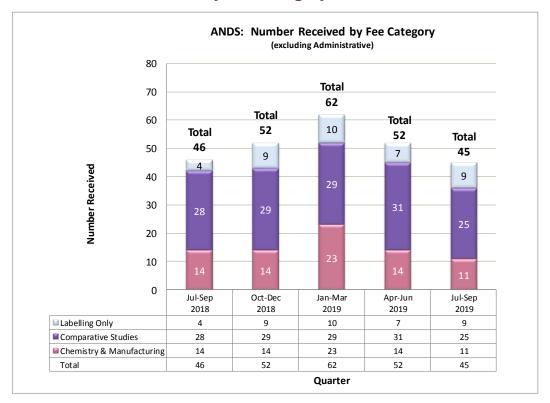
Abbreviated New Drug Submissions (ANDS)

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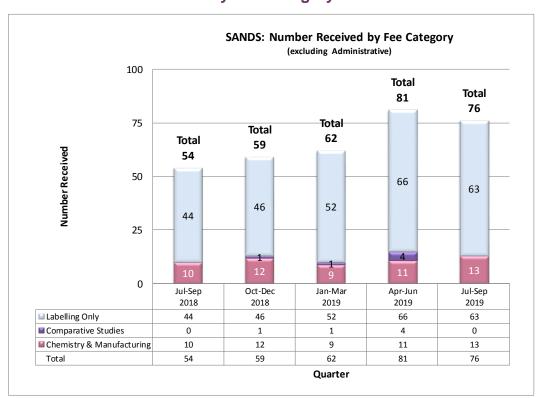
Supplement to an Abbreviated New Drug Submissions (SANDS)

SUBMISSIONS RECEIVED

ANDS: Number Received by Fee Category

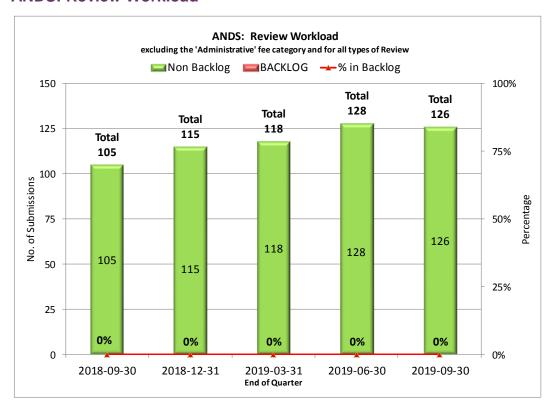


SANDS: Number Received by Fee Category

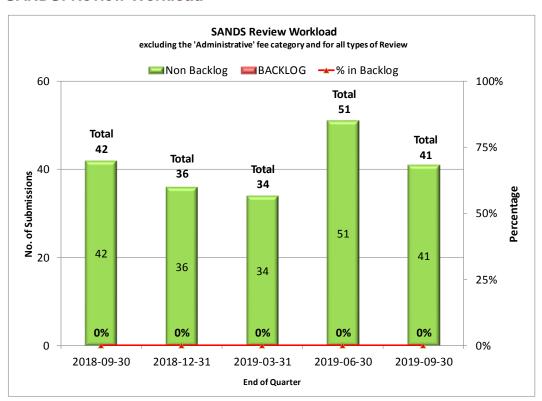


WORKLOAD

ANDS: Review Workload



SANDS: Review Workload



WORKLOAD

ANDS: Review Workload by Fee Category

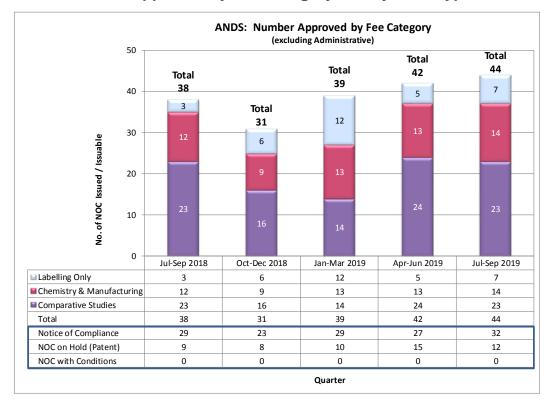
ANDS: REVIEW WORKLOAD BY FEE CATEGORY (excluding Administrative) and End of Quarter							
FEE Category	2018-09-30	2018-12-31	2019-03-31	2019-06-30	2019-09-30		
Chemistry & Manufacturing	51	40	38	52	46		
Backlog	0	0	0	0	0		
Comparative Studies	52	70	77	71	77		
Backlog	Backlog 0 0 0 0 0						
Labelling Only	2	5	3	5	3		
Backlog	0	0	0	0	0		
Total	105	115	118	128	126		
Non Backlog	105	115	118	128	126		
BACKLOG	0	0	0	0	0		
% in Backlog	0%	0%	0%	0%	0%		

SANDS: Review Workload by Fee Category

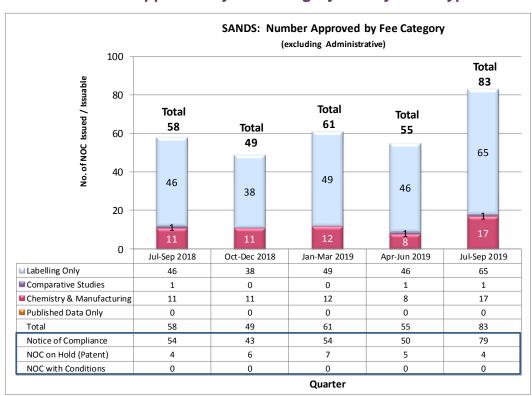
SANDS: REVIEW WORKLOAD BY FEE CATEGORY (excluding Administrative) and End of Quarter								
FEE Category	FEE Category 2018-09-30 2018-12-31 2019-03-31 2019-06-30 2019-09-3							
Chemistry & Manufacturing	25	21	22	22	19			
Backlog	0	0	0	0	0			
Comparative Studies	1	0	2	3	4			
Backlog	0	0	0	0	0			
Published Data	0	0	0	0	0			
Backlog	0	0	0	0	0			
Labelling Only	16	15	10	26	18			
Backlog	0	0	0	0	0			
Total	42	36	34	51	41			
Non Backlog	42	36	34	51	41			
BACKLOG	0	0	0	0	0			
% in Backlog	0%	0%	0%	0%	0%			

APPROVALS

ANDS: Number Approved by Fee Category and by NOC Type



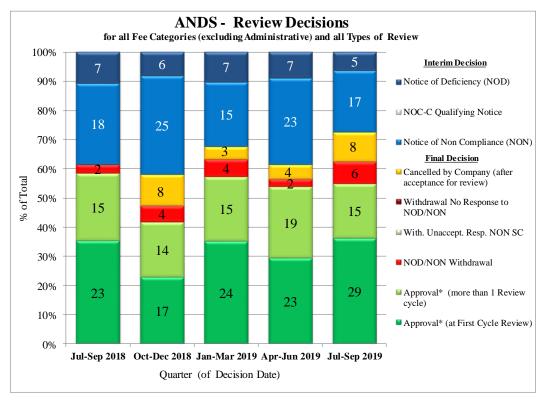
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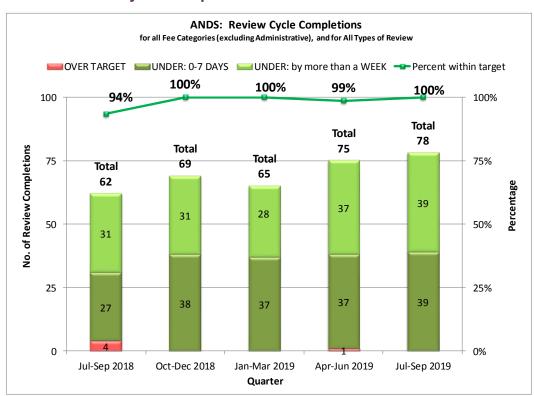
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REVIEW PERFORMANCE

ANDS: Review Decisions by Type

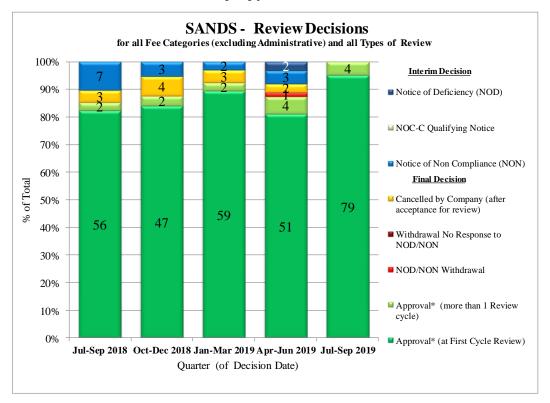


ANDS: Review Cycle Completions

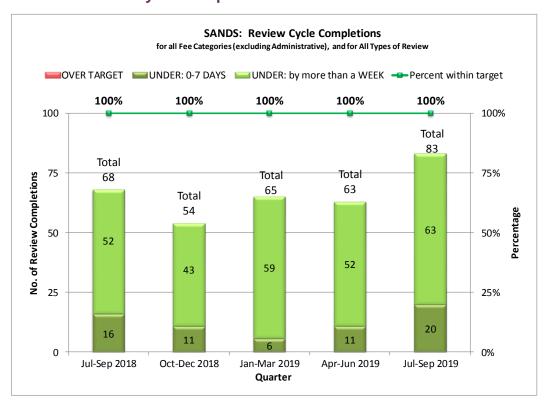


REVIEW PERFORMANCE

SANDS: Review Decisions by Type

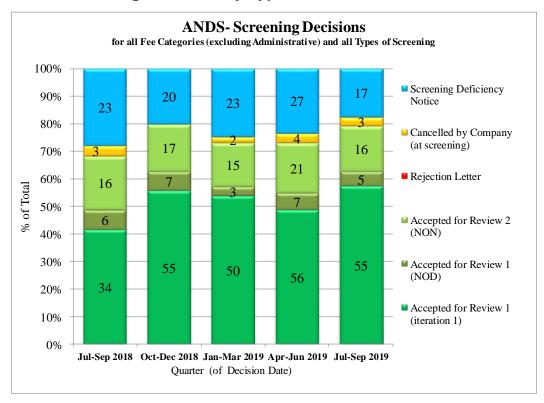


SANDS: Review Cycle Completions

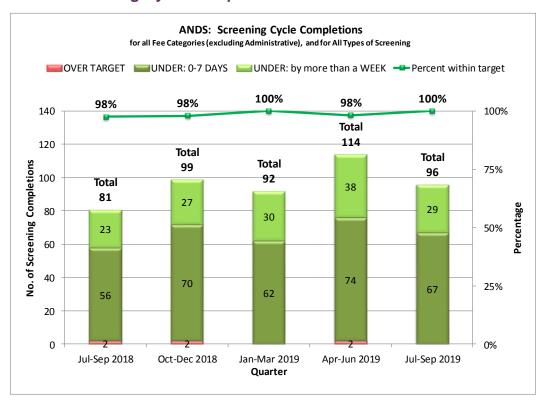


SCREENING PERFORMANCE

ANDS: Screening Decisions by Type

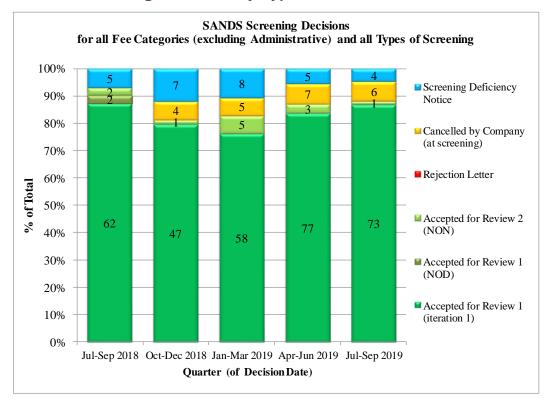


ANDS: Screening Cycle Completions

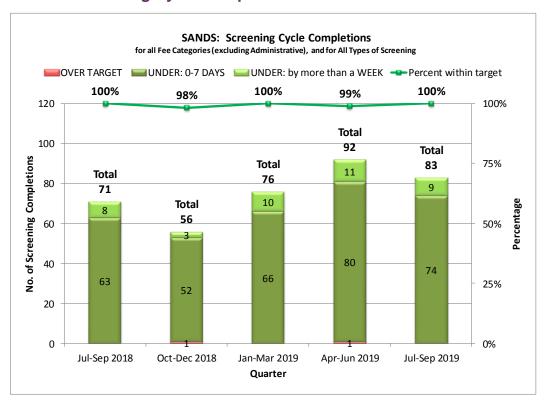


SCREENING PERFORMANCE

SANDS: Screening Decisions by Type

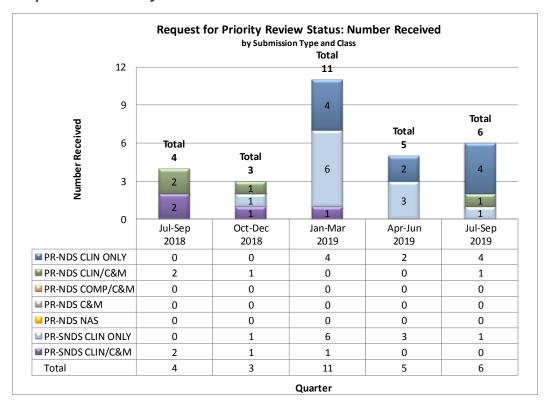


SANDS: Screening Cycle Completions

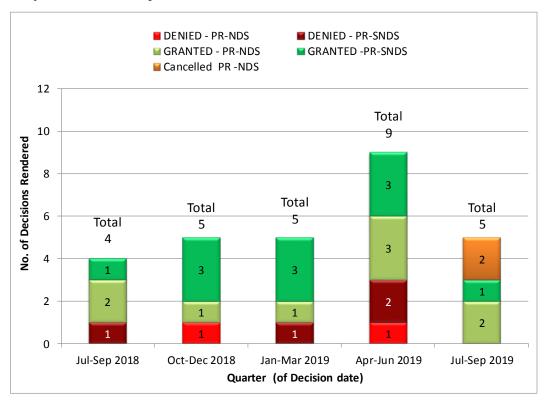


REQUEST FOR PRIORITY REVIEW STATUS (for NDS & SNDS)

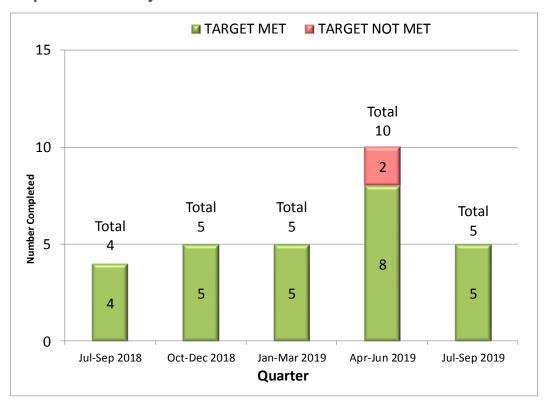
Request for Priority Review Status: Number Received



Request for Priority Review Status: Decisions Rendered



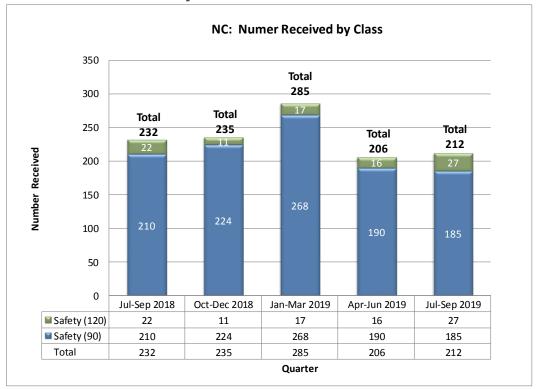
Request for Priority Review Status: Performance



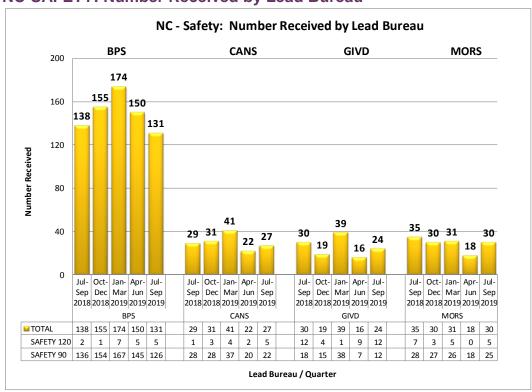
NC: NOTIFIABLE CHANGE

NOTIFIABLE CHANGE: ** RECEIVED

NC: Number Received by Class



NC-SAFETY: Number Received by Lead Bureau

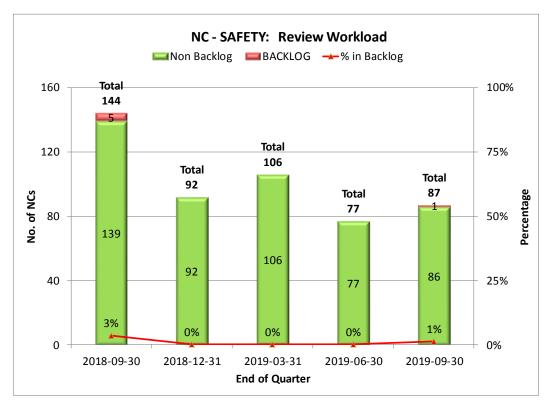


¹⁰ In February 2013 the <u>Safety Labelling Changes to the Product Monographs of Brand Name Pharmaceutical Drug Products</u> process was introduced to inform generic drug manufacturers about new safety information for pharmaceutical drug products so that they can update their PMs for health care professionals and Canadians.

TPD Quarterly Drug Submission Performance Report **NC**

WORKLOAD

NC-SAFETY: Review Workload

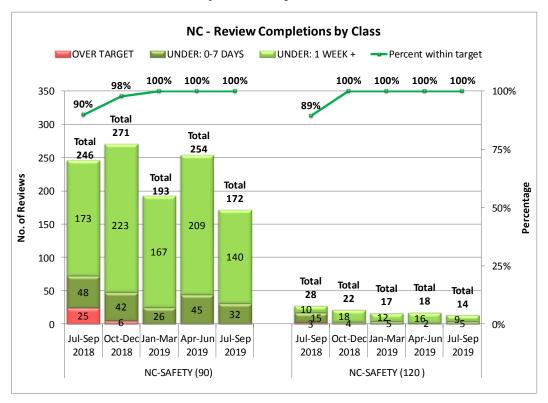


NC-SAFETY: Review Workload by Class

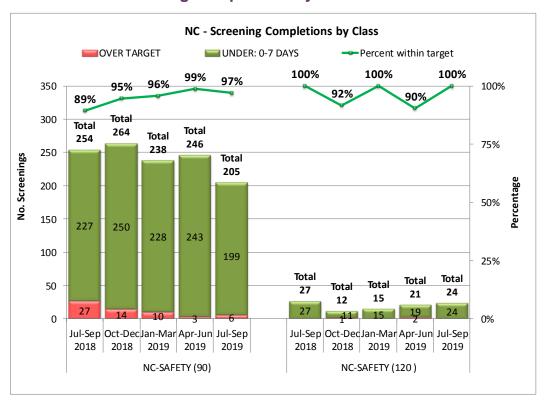
TPD NC - SAFETY: REVIEW WORKLOAD AT END OF QUARTER								
Class	Class 2018-09-30 2018-12-31 2019-03-31 2019-06-30 2019-09-							
SAFETY - 90 day	119	78	95	64	64			
Backlog	5	0	0	0	1			
SAFETY - 120 day	25	14	11	13	23			
Backlog	0	0	0	0	0			
Total	144	92	106	77	87			
Non Backlog	139	92	106	77	86			
BACKLOG	5	0	0	0	1			
% in Backlog	3%	0%	0%	0%	1%			

PERFORMANCE

NC-SAFETY: Review Completions by Class



NC-SAFETY: Screening Completions by Class



NC-SAFETY: Number of Decisions by Class

NC - SAFETY (90)									
DOCUMENT TYPE	Jul-Sep 2018	Oct-Dec 2018	Jan-Mar 2019	Apr-Jun 2019	Jul-Sep 2019				
NO OBJECTION LETTER	234	270	195	245	176				
NOT SATISFACTORY NOTICE	0	1	0	0	0				
REJECTION LETTER (SCR)	2	0	0	0	0				
SCREENING DEFICIENCY NOTICE	25	32	20	20	26				
CANCELLED BY COMPANY	10	10	18	12	17				
NC - HOLD (PATENT)	14	9	6	9	2				
SPONSOR SUB CHANGE ACCEPT	0	0	0	0	0				

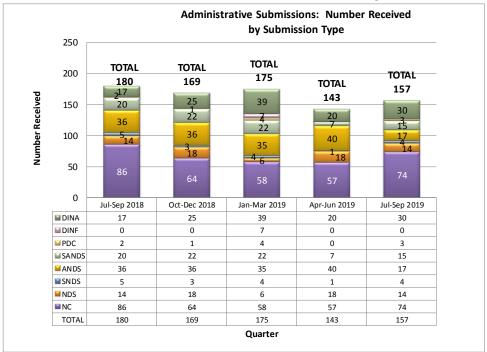
NC - SAFETY (120)								
DOCUMENT TYPE	Jul-Sep 2018	Oct-Dec 2018	Jan-Mar 2019	Apr-Jun 2019	Jul-Sep 2019			
NO OBJECTION LETTER	28	22	16	15	15			
NOT SATISFACTORY NOTICE	0	0	0	0	0			
SCREENING DEFICIENCY NOTICE	1	1	1	1	0			
CANCELLED BY COMPANY	0	0	0	1	0			
REJECTION LETTER (SCR)	0	0	0	0	0			
NC - HOLD (PATENT)	0	0	1	2	0			

ADMINISTRATIVE SUBMISSIONS

(Manufacturer and/or Product Name Changes) .11

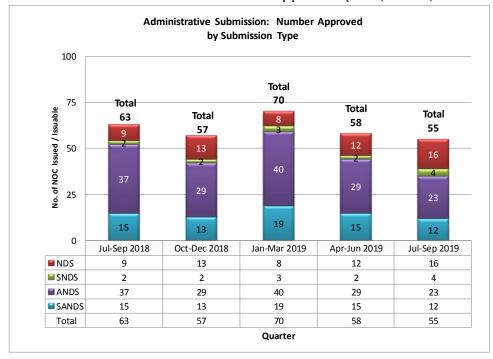
RECEIVED

Administrative Submissions: Number Received by Submission Type



APPROVALS

Administrative Submissions: Number Approved (NDS, SNDS, ANDS and SANDS)



¹¹ The screening functions for Administrative submissions and the review functions for Labelling Only submissions with an Administrative component were moved from the Office of Submissions and Intellectual Property (OSIP) to the labelling area of the Bureau of Gastroenterology, Infection and Viral Diseases (BGIVD) at TPD in December 2018.

ADMINISTRATIVE SUBMISSIONS

(Manufacturer and/or Product Name Changes) 12

DECISIONS

Administrative Submissions/Applications: Number of Decisions by Submission Type

NDS	Jul-Sep 2018	Oct-Dec 2018	Jan-Mar 2019	Apr-Jun 2019*	Jul-Sep 2019
NOTICE OF COMPLIANCE	9	16	8	12	16
SCREENING DEFICIENCY NOTICE	0	0	0	0	0
CANCELLATION LETTER	1	1	0	3	0
PROCESSING HOLD LETTER	3	7	2	3	6
SNDS	Jul-Sep 2018	Oct-Dec 2018	Jan-Mar 2019	Apr-Jun 2019*	Jul-Sep 2019
NOTICE OF COMPLIANCE	2	2	3	2	4
SCREENING DEFICIENCY NOTICE	0	0	0	0	0
CANCELLATION LETTER	2	3	0	0	0
PROCESSING HOLD LETTER	3	1	0	0	0
ANDS	Jul-Sep 2018	Oct-Dec 2018	Jan-Mar 2019	Apr-Jun 2019*	Jul-Sep 2019
NOTICE OF COMPLIANCE	37	31	40	29	23
NOC ON IP HOLD	0	0	0	0	0
SCREENING DEFICIENCY NOTICE	0	2	0	0	0
CANCELLATION LETTER	0	0	2	7	5
PROCESSING HOLD LETTER	12	6	3	10	8
SANDS	Jul-Sep 2018	Oct-Dec 2018	Jan-Mar 2019	Apr-Jun 2019*	Jul-Sep 2019
NOTICE OF COMPLIANCE	15	15	19	15	12
NOC ON IP HOLD	0	0	0	0	0
SCREENING DEFICIENCY NOTICE	1	0	0	0	0
CANCELLATION LETTER	6	1	1	3	2
PROCESSING HOLD LETTER	5	5	3	3	7
NC	Jul-Sep 2018	Oct-Dec 2018	Jan-Mar 2019	Apr-Jun 2019*	Jul-Sep 2019
NO OBJECTION LETTER	100	64	48	40	81
NC - HOLD (PATENT)	1	0	0	0	0
CANCELLATION LETTER	10	3	3	5	8
PROCESSING HOLD LETTER	4	4	4	4	6
DINA	Jul-Sep 2018	Oct-Dec 2018	Jan-Mar 2019	Apr-Jun 2019*	Jul-Sep 2019
NOTIFICATION FORM / DIN ISSUED	10	13	41	11	21
NO OBJECTION LETTER	1	0	1	0	0
SCREENING DEFICIENCY NOTICE	1	7	0	0	0
CANCELLATION LETTER	2	2	5	9	11
PROCESSING HOLD LETTER	13	6	4	17	12
PDC	Jul-Sep 2018	Oct-Dec 2018	Jan-Mar 2019	Apr-Jun 2019*	Jul-Sep 2019
NO OBJECTION LETTER	1	2	2	0	3
CANCELLATION LETTER	1	0	1	1	0
PROCESSING HOLD LETTER	0	0	0	0	0

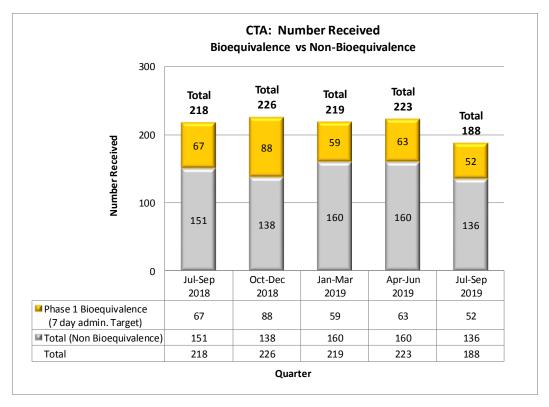
^{*}figures revised in Oct 2019

¹² The screening functions for Administrative submissions and the review functions for Labelling Only submissions with an Administrative component were moved from the Office of Submissions and Intellectual Property (OSIP) to the labelling area of the Bureau of Gastroenterology, Infection and Viral Diseases (BGIVD) at TPD in December 2018.

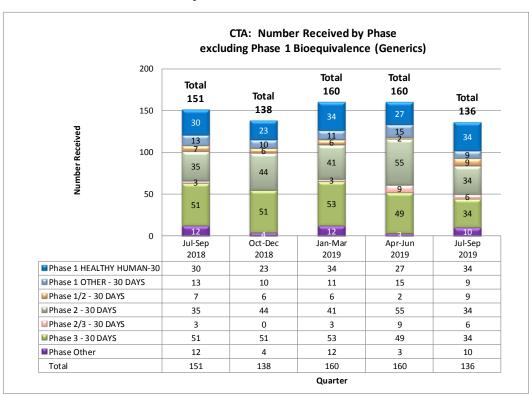
Clinical Trial Applications and Amendments (CTA & CTA-A)

CTA: CLINICAL TRIAL APPLICATIONS

CTA: Number Received



CTA: Number Received by Phase



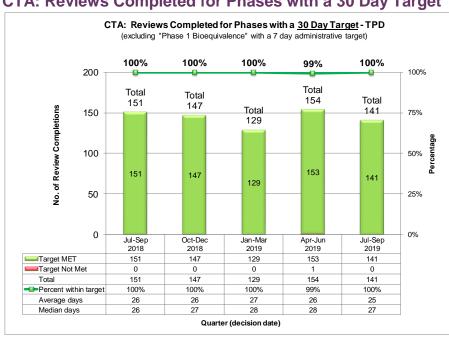
DECISION DOCUMENTS

CTA: Number of Decisions by Type

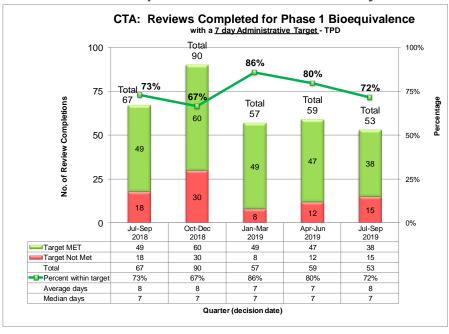
CTA								
DOCUMENT TYPE	Jul-Sep 2018	Oct-Dec 2018	Jan-Mar 2019	Apr-Jun 2019	Jul-Sep 2019			
NO OBJECTION LETTER	211	226	176	202	183			
CANCELLED BY COMPANY DURING REVIEW	6	12	11	12	12			
CANCELLED BY COMPANY AT PROCESSING	3	2	1	3	2			
NOT SATISFACTORY NOTICE	0	0	1	0	0			

PERFORMANCE

CTA: Reviews Completed for Phases with a 30 Day Target

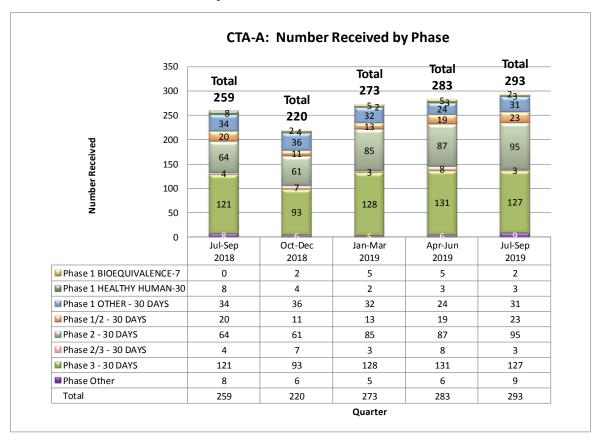


CTA: Reviews Completed for Phases with a 7 Day Administrative Target



CTA-A: CLINICAL TRIAL APPLICATION-AMENDMENTS

CTA-A: Number Received by Phase



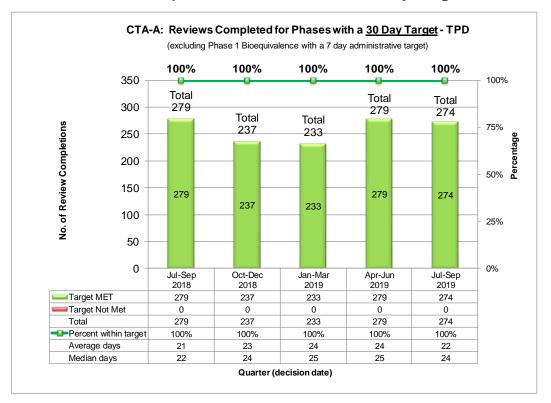
DECISIONS

CTA-A: Number of Decisions by Type

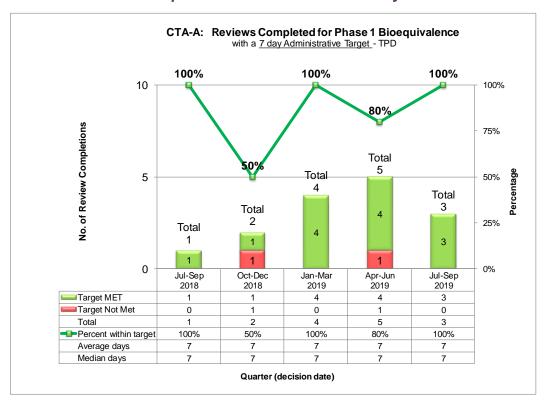
CTA-A (excluding administrative)								
DOCUMENT TYPE	Jul-Sep 2018	Oct-Dec 2018	Jan-Mar 2019	Apr-Jun 2019	Jul-Sep 2019			
NO OBJECTION LETTER	276	236	236	279	270			
CANCELLED BY COMPANY DURING REVIEW	4	3	1	5	8			
CANCELLED BY COMPANY AT PROCESSING	0	1	1	0	12			
REJECTION LETTER (SCR)	0	0	0	1	0			

PERFORMANCE

CTA-A: Reviews Completed for Phases with a 30 Day Target



CTA-A: Reviews Completed for Phases with a 7 Day Administrative Target

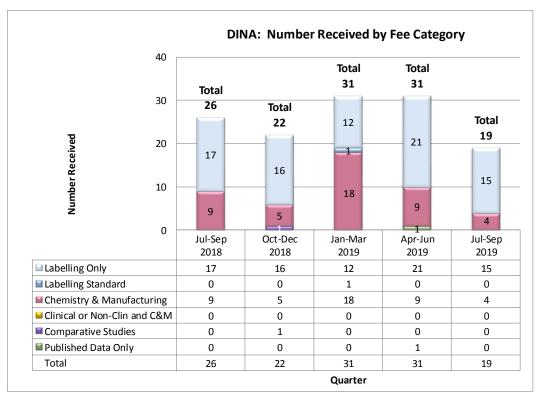


DINA

Application for a Drug Identification Number

DINA: APPLICATION FOR A DRUG IDENTIFICATION NUMBER 13

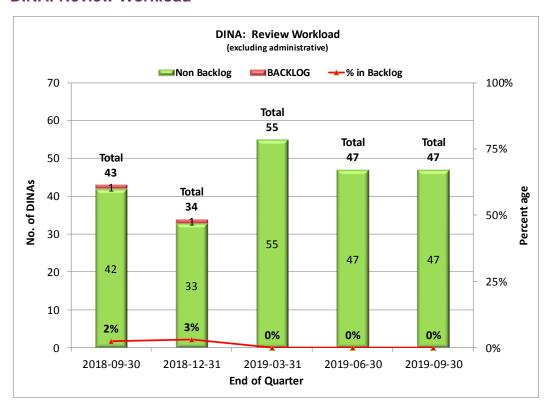




¹³ The non-prescription (or over-the-counter) and disinfectant drug review functions were moved from TPD to the Natural and Non-prescription Health Products Directorate (NNHPD) on July 1, 2013. These products are reported in a separate NNHPD Drug Submission Performance Report as of October 1, 2015.

REVIEW WORKLOAD

DINA: Review Workload

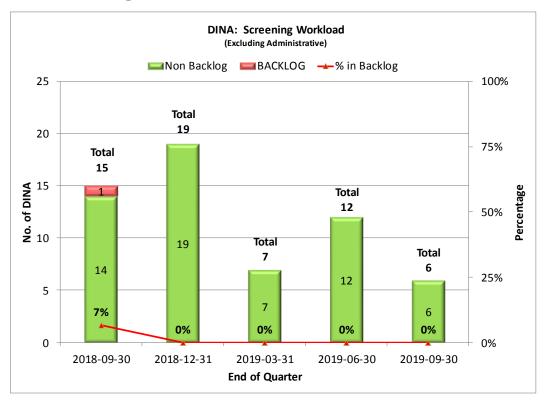


DINA: Review Workload by Fee Category

DINA: REVIEW WORKLOAD BY FEE CATEGORY (excluding Administrative) and End of Quarter										
FEE Category 2018-09-30 2018-12-31 2019-03-31 2019-06-30 2019										
Labelling Only	17	19	27	22	30					
Backlog	1	1	0	0	0					
Chemistry & Manufacturing	20	13	26	24	17					
Backlog	0	0	0	0	0					
Published Data	0	0	0	0	0					
Backlog	0	0	0	0	0					
Clinical or Non-Clin and C&M	4	1	1	1	0					
Backlog	0	0	0	0	0					
Comparative Studies	2	1	1	0	0					
Backlog	0	0	0	0	0					
Total	43	34	55	47	47					
Non Backlog	42	33	55	47	47					
BACKLOG	1	1	0	0	0					
% in Backlog	2%	3%	0%	0%	0%					

SCREENING WORKLOAD

DINA: Screening Workload



DINA: Screening Workload by Fee Category

DINA: SCREENING WORKLOAD BY FEE CATEGORY (excluding Administrative) and End of Quarter										
FEE Category	2018-09-30	2018-12-31	2019-03-31	2019-06-30	2019-09-30					
Labelling Only	8	8	3	7	4					
Backlog	1	0	0	0	0					
Labelling Standard	0	0	1	0	0					
Backlog	0	0	0	0	0					
Clinical or Non-Clin and C&M	0	0	0	0	0					
Backlog	0	0	0	0	0					
Chemistry & Manufacturing	7	9	3	5	2					
Backlog	0	0	0	0	0					
Published Data	0	0	0	0	0					
Backlog	0	0	0	0	0					
Comparative Studies	0	2	0	0	0					
Backlog	0	0	0	0	0					
Total	15	19	7	12	6					
Non Backlog	14	19	7	12	6					
BACKLOG	1	0	0	0	0					
% in Backlog	7 %	0%	0%	0%	0%					

DECISIONS

DINA: Number of Decisions by Fee Category

DINA - LABELLING ONLY									
DOCUMENT TYPE	Jul-Sep 2018	Oct-Dec 2018	Jan-Mar 2019	Apr-Jun 2019	Jul-Sep 2019				
NOTIFICATION FORM/DIN ISSUED	3	4	1	1	0				
NO OBJECTION LETTER	7	8	9	18	10				
CANCELLED BY COMPANY	1	3	0	0	1				
DIN INCORR SUBTYPE-CLASS	0	0	0	0	0				
NEW DRUG LETTER SCREEN	0	0	0	0	0				
NON WITHDRAWAL LETTER	0	0	0	0	0				
NOTICE OF DEFICIENCY	0	0	0	0	0				
NOTICE OF NON-COMPLIANCE	0	0	0	0	0				
REJECTION LETTER (SCR)	0	0	0	0	0				
SCREENING DEFICIENCY NOTICE	2	2	0	1	1				
SPONSOR SUB CHANGE ACCEPT	0	0	0	0	0				

DINA - CHEMISTRY AND MANUFACTURING					
DOCUMENT TYPE	Jul-Sep 2018	Oct-Dec 2018	Jan-Mar 2019	Apr-Jun 2019	Jul-Sep 2019
NOTIFICATION FORM/DIN ISSUED	1	2	5	4	2
NO OBJECTION LETTER	2	3	3	5	8
NOD WITHDRAWAL LETTER	0	0	0	0	0
NON WITHDRAWAL LETTER	0	2	0	0	0
NOTICE OF DEFICIENCY	1	2	0	0	0
NOTICE OF NON-COMPLIANCE	3	2	1	0	4
NEW DRUG LETTER REVIEW	0	0	0	0	0
NEW DRUG LETTER SCREEN	0	0	0	0	0
REJECTION LETTER (SCR)	0	0	0	0	0
SCREENING DEFICIENCY NOTICE	1	2	1	2	4
CANCELLED BY COMPANY	1	2	3	1	1

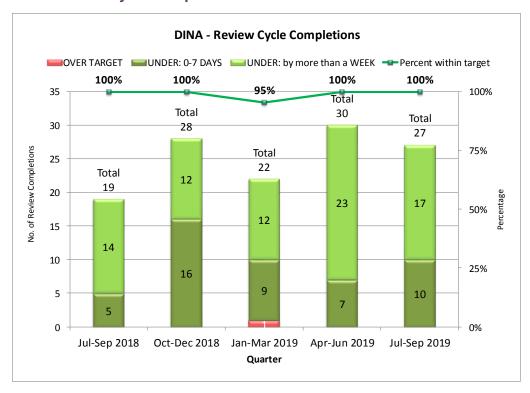
DINA - PUBLISHED DATA ONLY								
DOCUMENT TYPE	Jul-Sep 2018	Oct-Dec 2018	Jan-Mar 2019	Apr-Jun 2019	Jul-Sep 2019			
SCREENING DEFICIENCY NOTICE	0	0	0	0	0			
NO OBJECTION LETTER	0	0	0	0	0			
REJECTION LETTER (SCR)	0	0	0	0	0			
NOTICE OF DEFICIENCY	0	0	0	0	0			
NOTICE OF NON-COMPLIANCE	0	0	0	0	0			
CANCELLED BY COMPANY	0	0	0	1	0			
NON WITHDRAWAL LETTER	0	0	0	0	0			
NOT SATISFACTORY NOTICE	0	0	0	0	0			

DINA - COMPARATIVE STUDIES									
DOCUMENT TYPE	Jul-Sep 2018	Oct-Dec 2018	Jan-Mar 2019	Apr-Jun 2019	Jul-Sep 2019				
NOTIFICATION FORM/DIN ISSUED	1	0	1	1	0				
NOTICE OF DEFICIENCY	0	0	0	0	0				
NOTICE OF NON-COMPLIANCE	0	1	0	0	0				
NO OBJECTION LETTER	0	0	0	0	0				
NON WITHDRAWAL LETTER	1	0	0	0	0				
SCREENING DEFICIENCY NOTICE	0	0	1	0	0				
CANCELLED BY COMPANY	0	0	1	0	0				

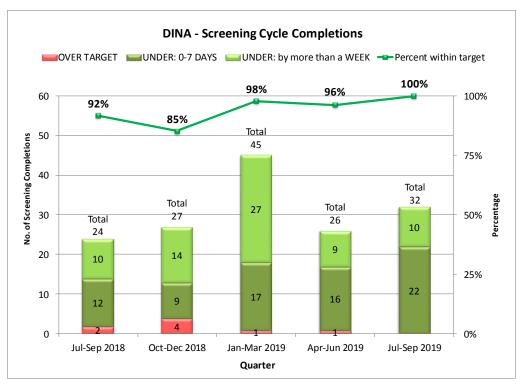
DINA - CLINICAL OR NON CLINICAL DATA AND C&M								
DOCUMENT TYPE	Jul-Sep 2018	Oct-Dec 2018	Jan-Mar 2019	Apr-Jun 2019	Jul-Sep 2019			
CANCELLED BY COMPANY	0	1	0	0	0			
NOTICE OF NON-COMPLIANCE	0	1	1	0	0			
NOTIFICATION FORM/DIN ISSUED	0	1	0	0	1			
SCREENING DEFICIENCY NOTICE	0	0	0	0	0			

PERFORMANCE

DINA: Review Cycle Completions

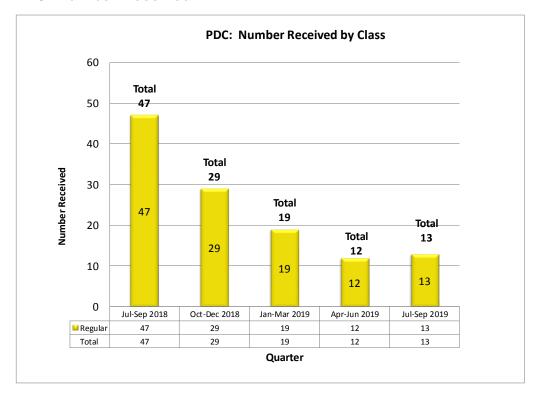


DINA: Screening Cycle Completions



PDC: POST-AUTHORIZATION DIVISION 1 CHANGE 14

PDC: Number Received



PDC: Number of Decisions by Type

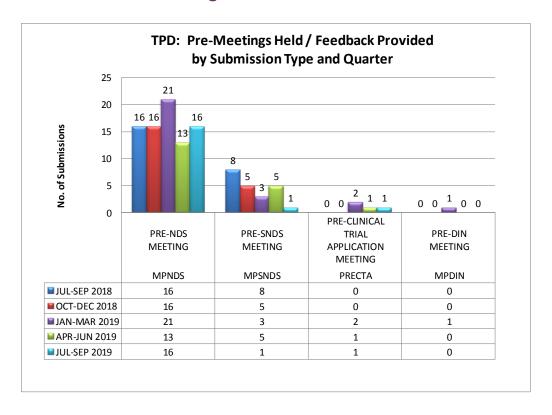
PDC					
DOCUMENT TYPE	Jul-Sep 2018	Oct-Dec 2018	Jan-Mar 2019	Apr-Jun 2019	Jul-Sep 2019
REGULAR					
CANCELLED BY COMPANY	7	4	6	6	4
NO OBJECTION LETTER	38	35	28	18	9
NOT SATISFACTORY NOTICE	0	0	0	0	0
REJECTION LETTER (SCR)	0	0	0	0	0

TPD Quarterly Drug Submission Performance Report **PDC**

¹⁴ The non-prescription (or over-the-counter) and disinfectant drug review functions were moved from TPD to the Natural and Non-prescription Health Products Directorate (NNHPD) on July 1, 2013. These products are reported in a separate NNHPD Drug Submission Performance Report as of October 1, 2015.

APPENDIX A: PRE-SUBMISSION MEETINGS¹⁵

Pre-submission Meetings Held / Feedback Provided



¹⁵ Prior to filing a submission, the sponsor may request a pre-submission meeting to discuss the presentation of data in support of the submission. For further information, refer to the <u>Management of Drug Submissions Guidance</u>