

school buildings in British Columbia, by making use of existing materials and location specific seismic demands based on non-linear dynamic analysis. With minimal training Engineers experience with seismic retrofitting are able to understand and design using this methodology.

**Keywords:** performance-based design, seismic retrofit, probabilistic seismic hazard assessment, incremental dynamic analysis, school buildings.

### **Biography**

**Dr. Carlos Ventura** is a Civil Engineer with specializations in structural dynamics and earthquake engineering. He has been a faculty member of the Department of Civil Engineering at the University of British Columbia (UBC) in Canada since 1992. He is currently the Director of the Earthquake Engineering Research Facility (EERF) at UBC, and is the author of more than 480 papers and reports on earthquake engineering, structural dynamics and modal testing. Dr. Ventura has conducted research about earthquakes and structural dynamics for more than thirty years. Three of his most significant contributions in recent years are the development and implementation of performance-based design methods for seismic retrofit of low-rise school buildings, a unique seismic structural health monitoring program for bridges in BC, known as the BCSIMS project, and the first network-based earthquake early warning system for schools and public institutions in BC. These projects have contributed in a very significant manner to the seismic risk reduction efforts in BC. In addition to his academic activities, Dr. Ventura is a recognized international consultant on structural vibrations and safety of large civil engineering structures. The quality of his research work has been recognized by several national and international awards, as well as being appointed as member of the Canadian Academy of Engineering and of the Engineering Institute of Canada, and Fellow of Engineers Canada. He is also a member of several national and international professional societies, advisory committees and several building and bridge code committees.

# SEISMIC ASSESSMENT AND RETROFIT OF SCHOOL BUILDINGS IN BRITISH COLUMBIA, CANADA

Carlos E. Ventura Ph.D., P.Eng., P.E.



2

## What are the Seismic Retrofit Guidelines, SGR3?

- Guidelines for assessment and retrofit of **existing** low-rise school buildings in British Columbia
- Performance-based tool that is both **simple** and **rational**.
- Cost-effective
  - ☐ Existing materials
  - ☐ Local seismicity (including soil type)

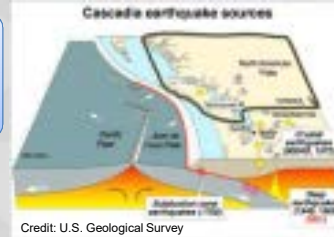


## Seismic Retrofit Guidelines

BC population: 4.4 millions

More than 800 schools in moderate to high seismic hazard

80% of schools in high seismic hazard (Victoria and Vancouver)



Dr. C.E. Ventura, UBC

| 3

## Seismic Retrofit Guidelines

BC population: 4.4 millions

More than 800 schools in moderate to high seismic hazard

80% of schools in high seismic hazard (Victoria and Vancouver)

BC Ministry of Education launched seismic mitigation program

Address the highest  
priority needs



Cost and time  
efficient guidelines

University of British Columbia

- Laboratory tests
- Analytical development

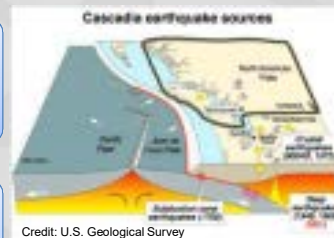


Professionals

- Local engineers
- External peer review



Guidelines



Dr. C.E. Ventura, UBC

| 4

## Guideline Development

- “Bridging Guidelines, 1st Edition” July 2005
  - “Bridging Guidelines, 2nd Edition” Nov 2006
  - “Seismic Retrofit Guidelines, 1<sup>st</sup> Edition” Sept 2011
  - “Seismic Retrofit Guidelines, 2<sup>nd</sup> Edition” Nov 2013
  - “Seismic Retrofit Guidelines, 3rd Edition” Sept 2016
  - “Seismic Retrofit Guidelines, 4th Edition” Fall 2020
- Every release complete with training of structural engineers
  - APEGBC retains list of engineers, companies attending such sessions
  - Intent that School Districts only retain trained engineers/firms



Dr. C.E. Ventura, UBC

| 5

## Assessment and Retrofit Steps

- **Seismic Project Identification Report (SPIR)**
  - Funded by Ministry of Education of BC (not individual School Districts)
  - Structural engineer led
  - Drawing review, site visits
  - Assessment of risk using SRG
  - Upgrade concept, demand per SRG, with sketches
  - Geotechnical, material testing as needed to support concept
  - Cost estimate, cost consultant to visit site, include all ancillary costs



Dr. Carlos E. Ventura, UBC

| 6



## Assessment and Retrofit Steps

- **Seismic Project Identification Report (SPIR)**
- **Project Definition Report (PDR)**
  - Cost Estimates to now include all indirect costs: phasing, staging, temp accommodation, moving costs
  - Cost comparison with school replacement



Dr. Carlos E. Ventura, UBC

| 7

## Assessment and Retrofit Steps

- **Seismic Project Identification Report (SPIR)**
- **Project Definition Report (PDR)**
- **Technical Review Board (TRB) Responsibilities**
  - 30+ structural engineers with retrofit experience and several geotechnical engineers with experience in liquefaction
  - Review every SPIR
  - Overview of PDR



Dr. Carlos E. Ventura, UBC

| 8

BC Seismic Retrofit Program


Quick Tip  
Click a number in the Risk Summary to search for those facilities on the map.

### District

#### 39 - Vancouver


##### Risk Summary

	R1	R2	R3	R4	R5	R6
Facilities	41	5	17	10	0	20
Blocks	100	10	10	10	10	10



##### Facilities

Code	Name	Highest Risk Rating	Status	# of Stories	Age
200007	Burnaby Community School	R5	Structure Upgrade Required	3	1950
200008	Burnaby Community Elementary	R4A	Complete	1	1950
200009	Burnaby Secondary School	R5	Fixed	4	1950
200010	Capitol James Cook Elementary	R4A	Complete	5	1950
200011	Clayton Secondary School	R5	Structure Upgrade Required	3	1950
200012	Clayton Heights School	R5	Not Structural Upgrade Required	2	1950
200013	Clayton Heights Secondary School	R4B	Fixed	4	1950

 Dr. Carlos E. Ventura, UBC

| 9


BC Seismic Retrofit Program

Quick Tip  
Click a number in the Block to search for those facilities on the map.

### Block

#### Junior Building

Facility: School - Lord Williams Secondary  
 Number: 1  
 Risk Rating: R5  
 Status: Upgraded  
 Details: See




##### Documents

2008 Seismic Assessment Report  
 Facility: School - Lord Williams Secondary  
 File: 20080817\_SAC\_LWSA.pdf  
 Completion Date: 2008-08-17  
 Consultant: Plaza Project, PEng - SAC LWSA

2014 Seismic Assessment Report  
 Facility: School - Lord Williams Secondary  
 File: 20140817\_SAC\_LWSA.pdf  
 Completion Date: 2014-08-17

##### Construction Information

Site Area: 1.2  
 Construction Year: Lord Williams City Building - 1950  
 Year Built: 1950  
 Floor Area: 1000 sqft  
 Number of Stories: 3.2

 Dr. Carlos E. Ventura, UBC

| 10

## SRG Manual

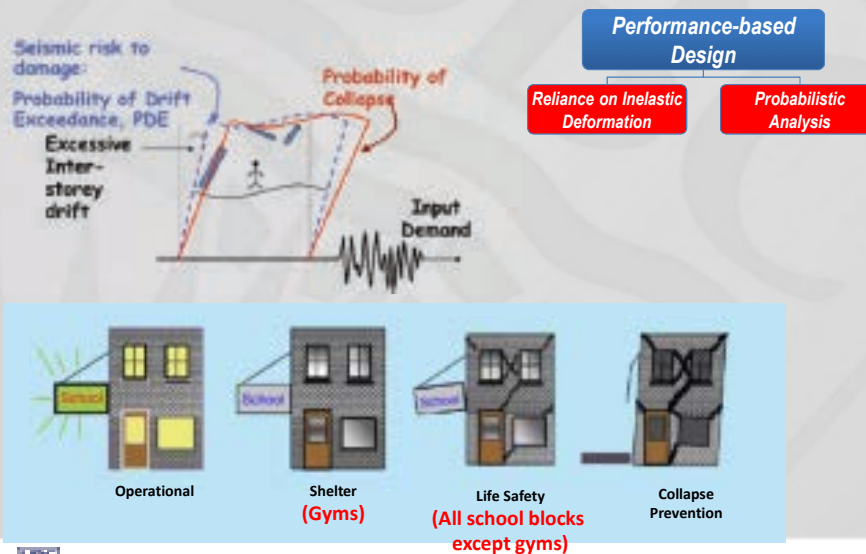
Vol.	Title
1	Overview
2	<i>The Guidelines and Commentary</i>
3	<i>Seismic Performance Analyzer I User Guide</i>
4	<i>Prototype Description Reports</i>
5	<i>Technical Background</i>
6	<i>Experimental Test Results</i>
7	<i>Library of Retrofit Details</i>
8	<i>Example Retrofit Strategies</i>
9	<i>Soil Hazard Maps</i>
10	<i>Post-Earthquake Evaluation Guidelines</i>
11	<i>Liquefaction Guidelines</i>
12	<i>Mid-rise Buildings (Analyzer II User Guide)</i>



Dr. Carlos E. Ventura, UBC

| 11

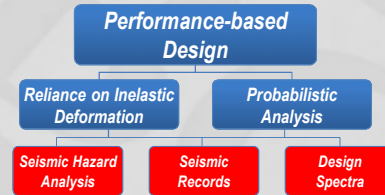
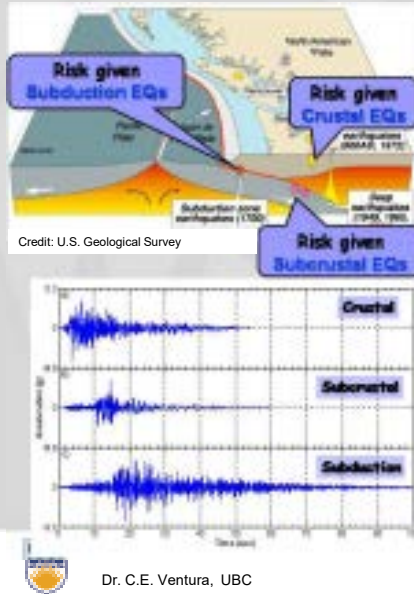
## Philosophy



Dr. C.E. Ventura, UBC

| 12

## Seismic Hazard



### 3 types of hazard

- Conditional Spectra (CS) used for selection and scaling of ground motions
- Record Database
  - Over 3309 records for subduction events (long duration)
  - Over 2562 records for subcrustal events
  - Over 6000 records for crustal events
- 120 EQ at different level of intensities were studied

Dr. C.E. Ventura, UBC

| 13

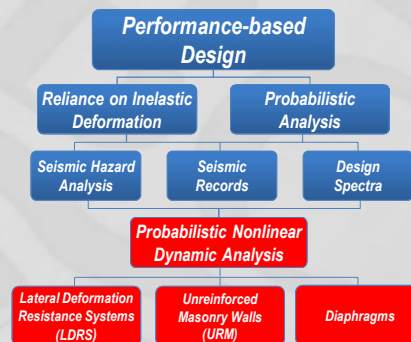
## Building Elements

□ 3 types of building elements are considered in the analysis:

- Lateral Deformation Resistance Systems, LDRS (such as wood and concrete shear walls, concrete and steel frames, reinforced and unreinforced masonry walls) : **33 prototypes**
- Unreinforced masonry walls (URM): **5 prototypes**
- Flexible diaphragms (wood and steel deck diaphragms): **6 prototypes**

□ Wide range of

- LDRS heights and resistances
- URM thicknesses and heights
- Diaphragm span lengths

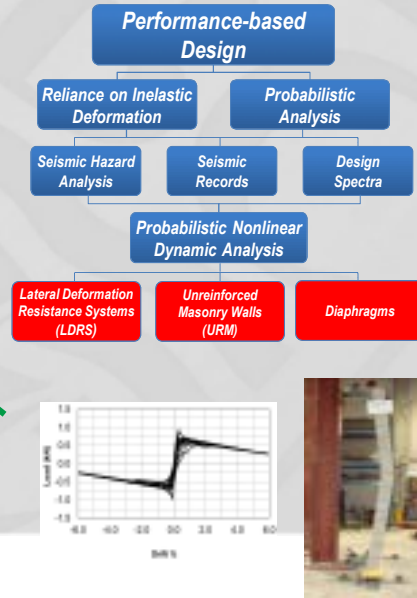
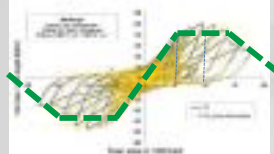
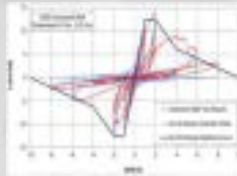


Dr. C.E. Ventura, UBC

| 14

## Building Elements Behavior

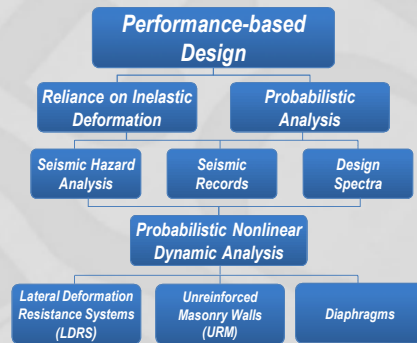
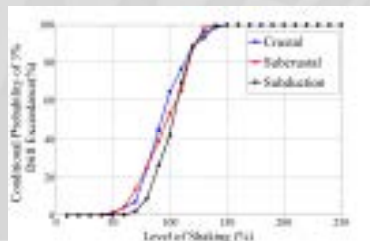
- ❑ The cyclic force-deformation of prototypes are based on **experimental results**



Dr. C.E. Ventura, UBC

## Structural Analysis

- ❑ A model is developed based on the **cyclic force-deformation** of obtained from experimental results



- ❑ **Incremental non-linear dynamic** analysis is performed for a wide range of ground motions and intensities



Dr. C.E. Ventura, UBC

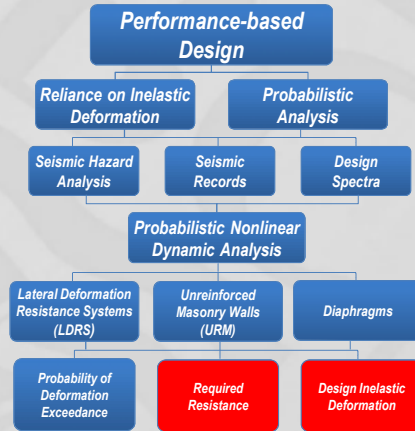
| 16

## Life Safety Performance Objective

- Analysis is performed for **wide range of resistances and drifts**.

### Life Safety Performance Objective for LDRS:

- Probability of CDL exceedance in 50 years PDE < 2%
- Conditional probability of near failure drift (CDL) exceedance (CPDE)  $\leq 25\%$  for 2% in 50 year of each hazard type



Dr. C.E. Ventura, UBC

| 17

## Seismic Analyzer

- User friendly access to pre-analyzed non-linear dynamic analysis results
- Ability to perform **risk analysis** and provide **retrofit resistance** for different performance objectives



| 18

## Example



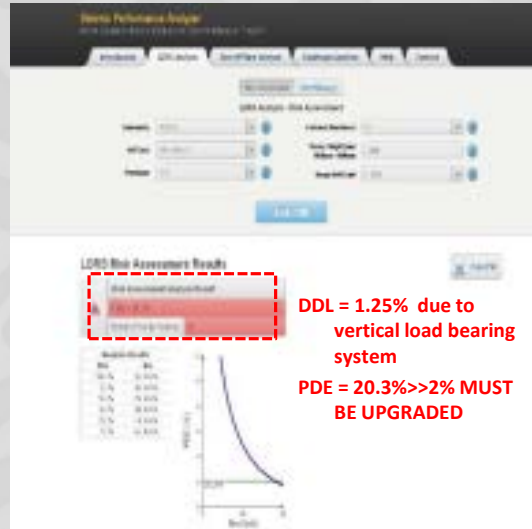
Photo courtesy of Mr. John Sherstobitoff (Ausenco)

### Assessment:

Existing Prototype: Non-ductile  
R/C moment frame  
Community: Victoria  
Soil type: Site Class C  
Factored resistance: 5%W  
Clear storey height: 3200 mm



Dr. C.E. Ventura, UBC



| 19

## Example

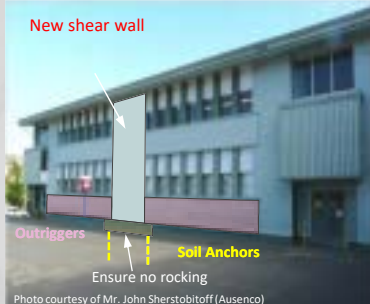


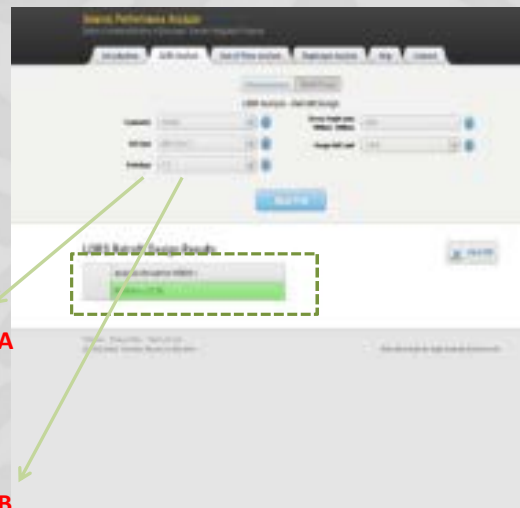
Photo courtesy of Mr. John Sherstobitoff (Ausenco)

### Retrofit:

**C-3: Upgrade the R/C moment frame**  
DDL = 1.25%  
PDE = 2%  
Rm = 44.5% W  
**C-5: Adding a new R/C shear wall**  
DDL = 1.00%  
PDE = 2%  
Rm = 23.3%W

Option A

Option B



| 20











Photo courtesy of Mr. John Sherstobitoff (Ausenco)



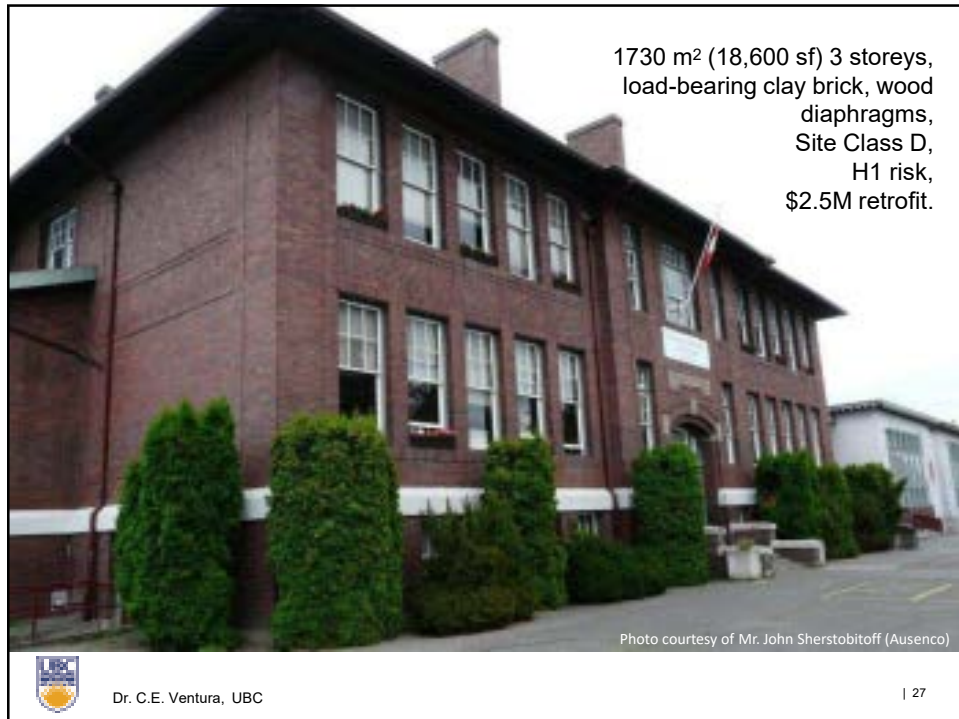
Dr. C.E. Ventura, UBC

| 25

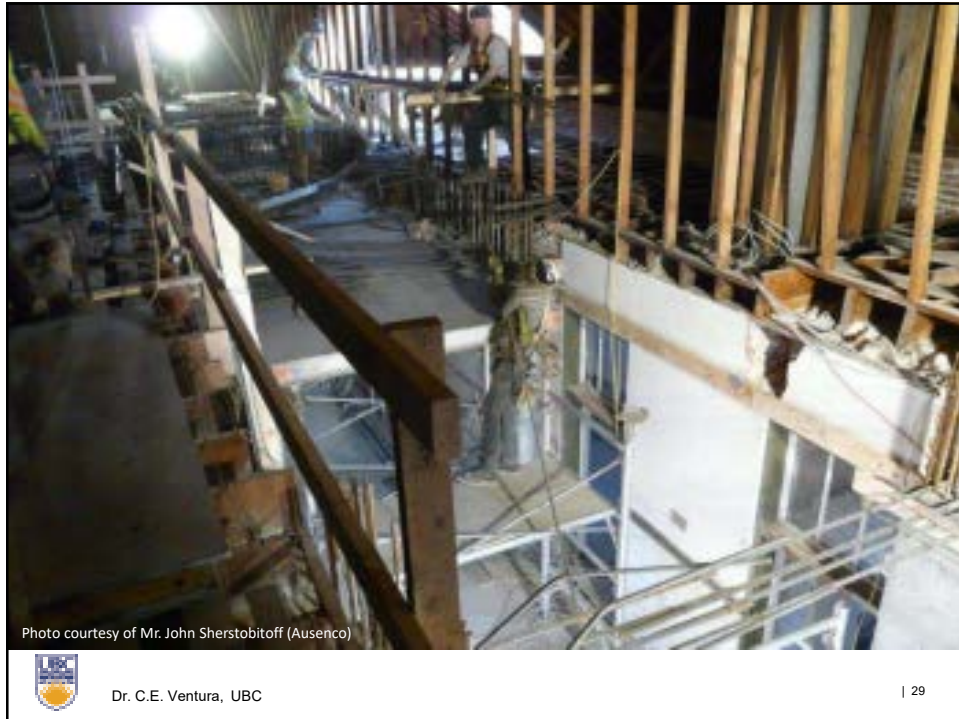
## Diaphragm Upgrade – FRP – in progress



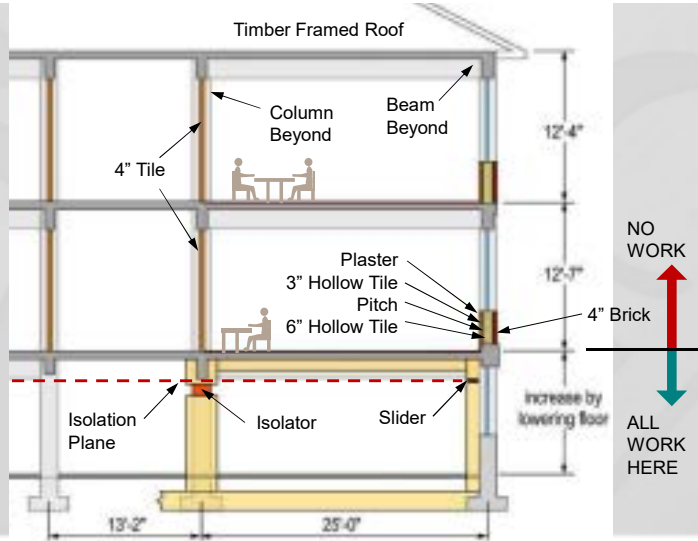
Photo courtesy of Mr. John Sherstobitoff (Ausenco)







## Base Isolation being implemented in heritage school



Dr. C.E. Ventura, UBC

| 31

## Testing at UBC – Bare 4” concrete block wall



Dr. C.E. Ventura, UBC

| 32

## Out-of-plane upgrade with simple Unistrut



Dr. C.E. Ventura, UBC

| 33

## In Summary

1. **New** rational tools for Earthquake Engineering
2. Technical advances and a **highly cooperative project**
3. **Better understanding of damage** associated to earthquakes
4. **Less conservative** seismic **hazard data**
5. **Quantifiable** seismic **risk** to damage
6. More information for **pre-earthquake preparedness** (Seismic retrofit)
7. More information for **post-earthquake** situations



Dr. C.E. Ventura, UBC

| 34

**QUESTIONS?**



Dr. C.E. Ventura, UBC

| 35

# SEISMIC ASSESSMENT METHODS AND EXPERIMENTAL VERIFICATIONS OF REINFORCED CONCRETE BUILDINGS

By Dr. F.-P. Hsiao, National Cheng Kung University/ National Center for Research on Earthquake Engineering

## Abstract

This study is prepared to demonstrate the relevant technology for detailed evaluation of school buildings. Procedures for detailed evaluation of school buildings are presented in this study. It is a reference to be consulted by the practicing engineers. The proposed method, called the Taiwan Earthquake Assessment for Structures by Pushover Analysis (TEASPA), is a modified capacity spectrum method developed in the NCREE handbook after the 1999 Chi-Chi earthquake. In this study, the evaluation of TEASPA is carried out using results from an experimental campaign comprised of pushover tests in low-rise reinforced concrete (RC) school buildings and capacity spectrum method. The base shear-roof displacement curve, peak ground acceleration, and failure mechanism are calculated from each analysis. The results show that TEASPA can provide accurate results for assessing a low-rise RC building's capacity and is more appropriate to pushover tests. Moreover, the solutions related to retrofitting problems are provided.

**Keywords:** reinforced concrete, seismic assessment, seismic retrofitting, nonlinear static analysis, nonlinear dynamic analysis, in-situ pushover test.

## Biography

**Dr. Fu-Pei Hsiao** received his Ph.D. degree (2004) in Civil Engineering at National Cheng Kung University. Currently, he is a Research Fellow at National Center for Research on Earthquake Engineering and an Associate Professor (joint appointment) at Department of Civil Engineering, National Cheng Kung University. His present research interests include seismic assessment, seismic retrofitting, reinforced concrete structure and large-scale structural experiments.



## Seismic Assessment Methods and Experimental Verifications of Reinforced Concrete Buildings

**Dr. Fu-Pei Hsiao**

Research Fellow, NCREC  
Deputy Division Head, NCREC Tainan Lab  
Associate Professor(Joint Appointment),  
NCKU

2019/10/7

[www.narilabs.org.tw](http://www.narilabs.org.tw)

1

## Tragedies in Earthquake

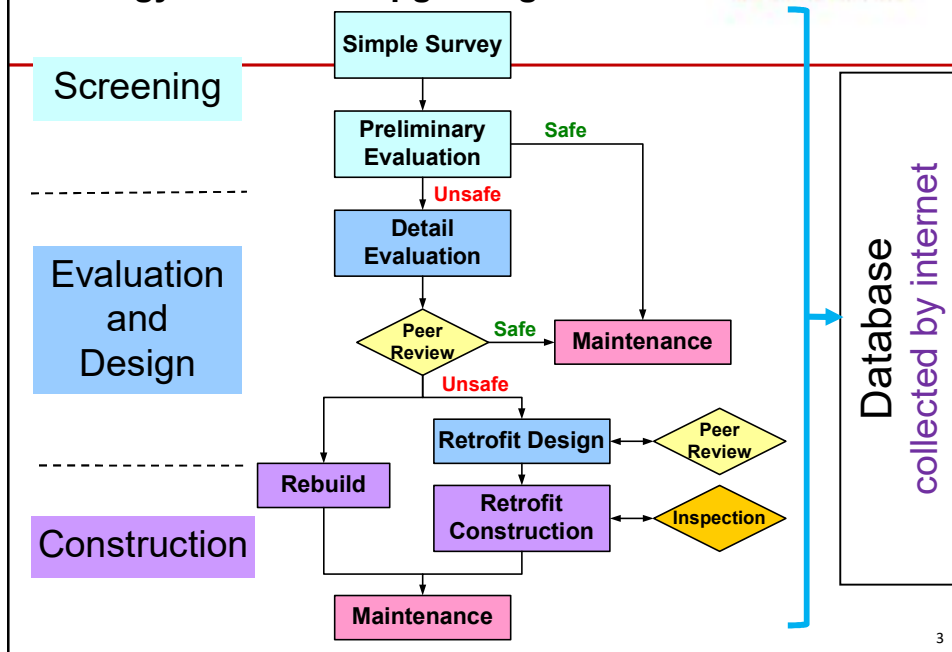
May 12, 2008, 14:28 pm  
Magnitude: 8.0  
Wenchuan Earthquake suffered  
heavy casualties of students

October 8, 2005, 08:50 am  
Magnitude: 7.6  
Pakistan Earthquake 19,000  
death of students

2

## Strategy for School Upgrading in Taiwan

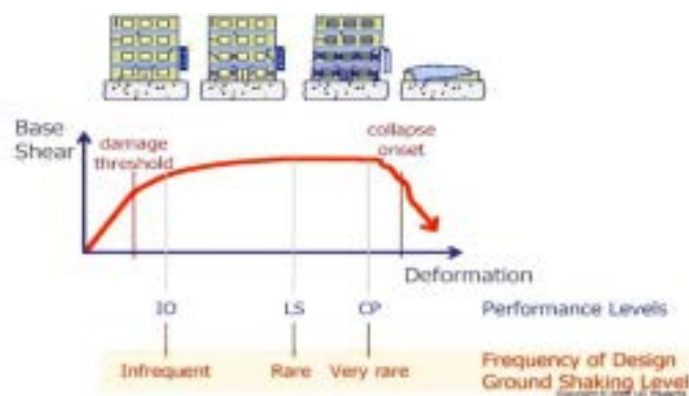
NAR Labs



3

## Performance Based Engineering

NAR Labs

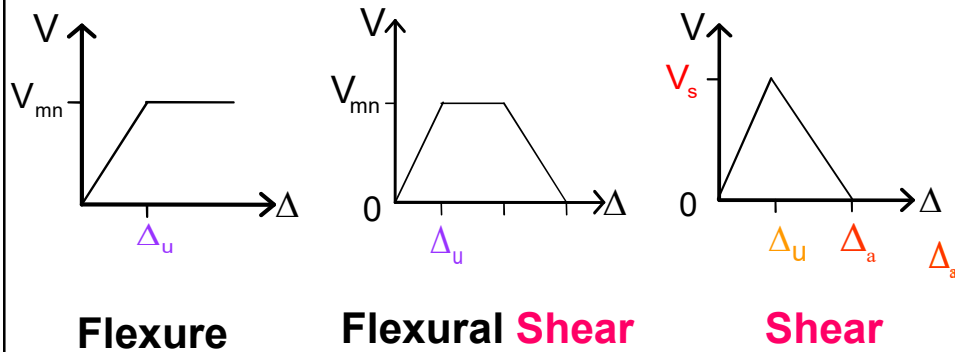


- Damage peak ground acceleration:  $a_c$
- 475-yr design ground acceleration:  $a_g$
- Acceptance criteria:  $a_c \geq a_g$

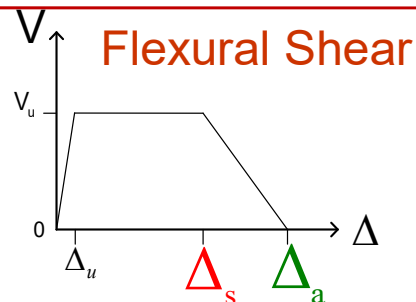
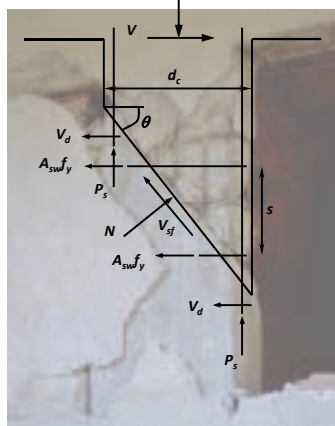
4

## Load-Deflection Curves of Column

# Taiwan Earthquake Assessment for Structures by Pushover Analysis (TEASPA)



## Idealized Shear-Drift Backbone



**Elwood & Moehle (Spectra 2005; ACI 2005)**

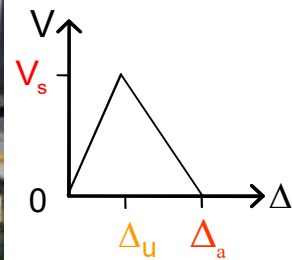
$$\frac{\Delta_s}{H_n} = \frac{3}{100} + 4\rho'' - \frac{1}{40} \frac{v}{\sqrt{f'_c}} - \frac{1}{40} \frac{N_u}{A_g f'_c} \geq \frac{1}{100} \quad \text{(MPa)}$$

$$\frac{\Delta_a}{H_n} = \frac{4}{100} \frac{1 + (\tan \theta)^2}{\tan \theta + N_u \left( \frac{s}{A_{st} f_{yt} d_c \tan \theta} \right)}$$

## Test of Column Failed in Shear



Shear  
Failure

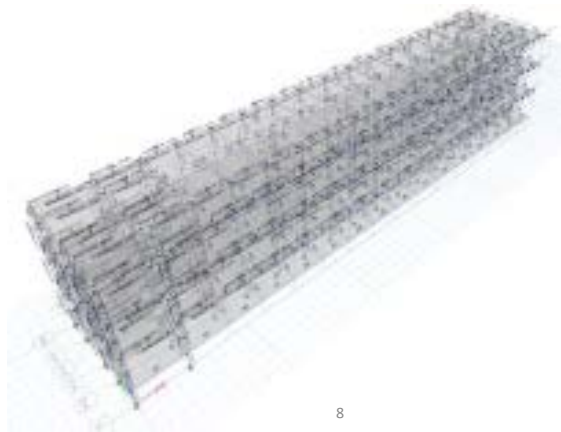


7

7

## Pushover Analysis Using ETABS

- Modeling for School Building
- Properties of Plastic Hinges



8

8

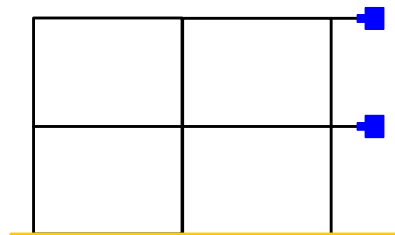
## In-situ Pushover Tests

- Understanding the seismic capacity of existing school buildings
- **Calibrating the detailed assessment method**
- Verifying the seismic retrofitting methods

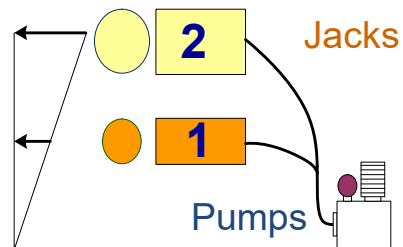
9

9

## In-situ Pushover Tests



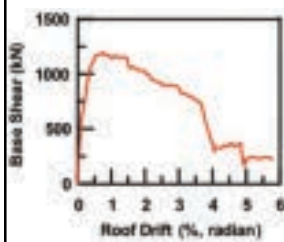
10



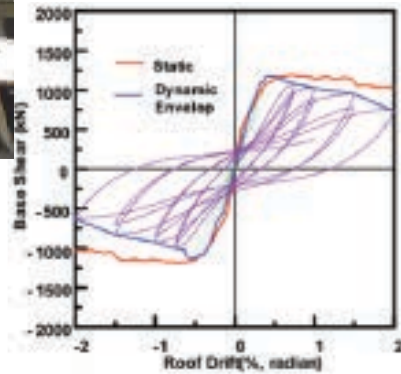
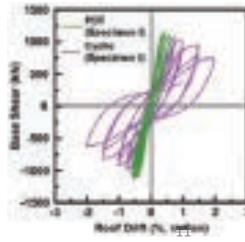
10

## Correction the pushover curve with dynamic effect

**Monotonic  
pushover test**



**Pseudo/cyclic  
dynamic test**



11

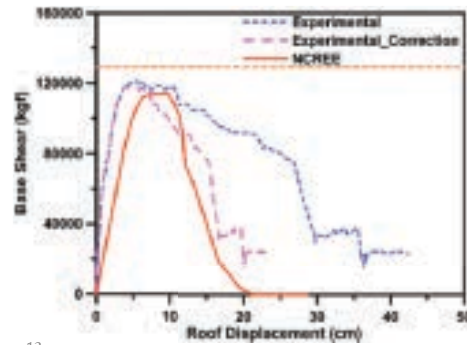
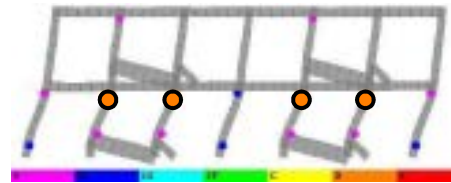
## Pushover analysis and test result



12

12

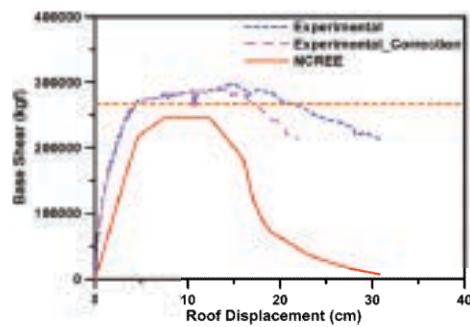
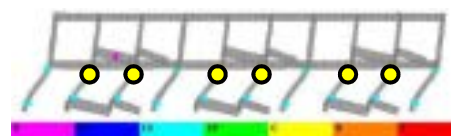
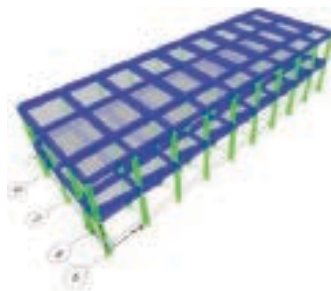
## Verifications of pushover curves with **NAR Labs** Reui-Pu elementary school



13

13

## Verifications of pushover curves with **NAR Labs** Sin-Chen junior high school



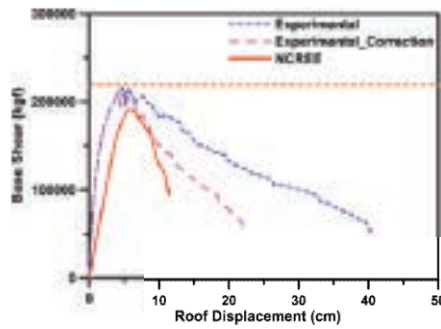
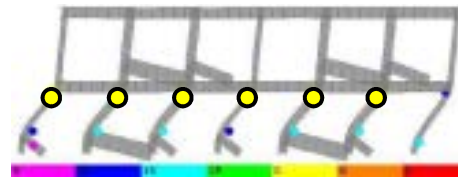
14

14



## Verifications of pushover curves with Kao-Hu elementary school

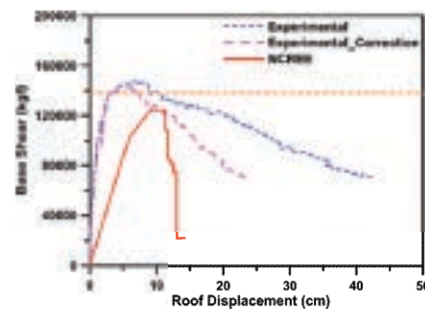
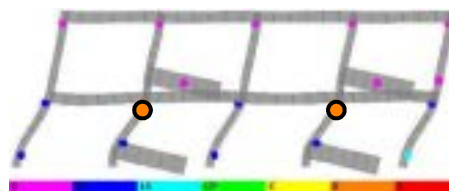
**NAR Labs**



15

## Verifications of pushover curves with Guan-Miao elementary school

**NAR Labs**



16

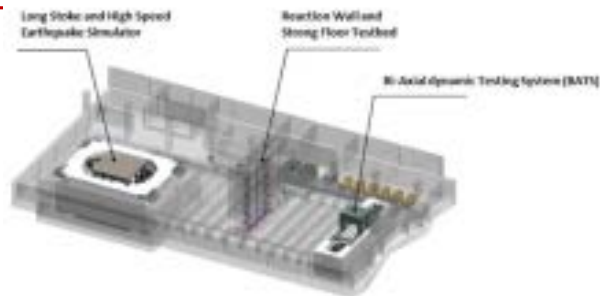


## Remarks

**For the next generation:**  
do something to  
upgrade school buildings  
before the next  
**disastrous earthquake.**  
**BUT, will it be good enough?**

17

## NCREE Tainan Laboratory



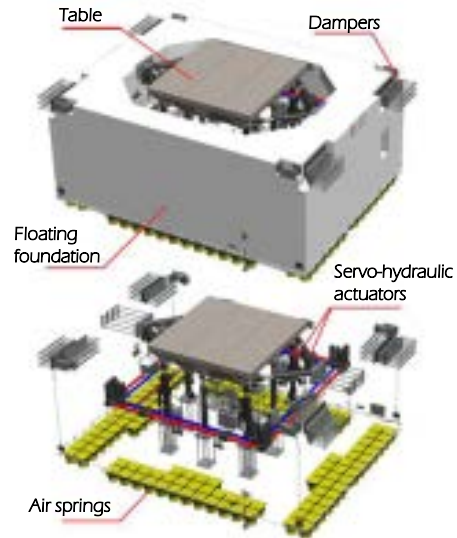
Grand Opening on Aug. 9, 2017



18

## Long-Stroke and High-Speed Earthquake Simulator

**NAR Labs**



Specifications of the earthquake simulator

Table Size (m <sup>2</sup> )	Max Stroke (m)	Max Velocity (m/s)	Max Acc. (g)	Max payload (ton)
8 x 8	H±1 V±0.4	H±2 V±1	H±2.5 V±3.0	250

19

## Study on Seismic Behavior with Mixed-use Residential and Commercial Building

**NAR Labs**

- 1/2 scale RC structure with non-ductile detailing.
- Modulus design : 9-story, 7-story, 5-story and 3-story structures...
- High ceiling at 1st floor and soft story behavior.



3-story



7-story



9-story

20

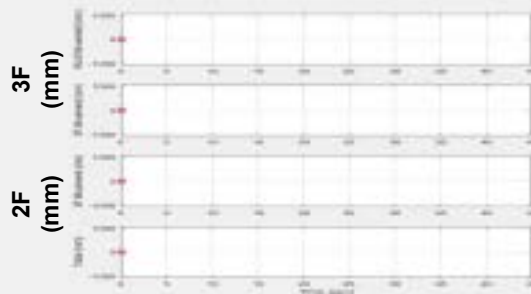
## Grand Opening on Aug. 9, 2017

**NAR Labs**

Left camera



Right camera



21

## Near-fault earthquake test with 7F RC building

**NAR Labs**



Mei-Nong EQ (CHY063)

22

## NF earthquake test with retrofitted 7F RC building



Mei-Nong EQ (CHY063)

23



## Numerical analysis with hinges model

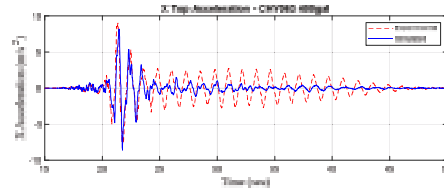


24

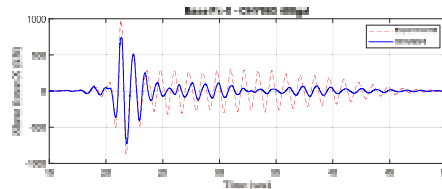


## Comparison of analytical and experimental results

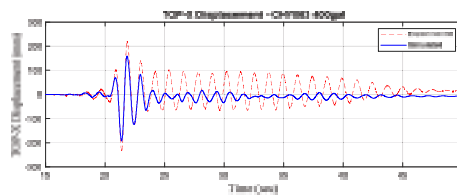
**NAR Labs**



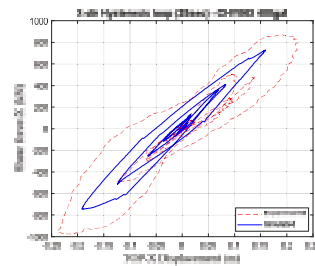
**Roof Acceleration**



**Base Shear**



**Roof Displacement**



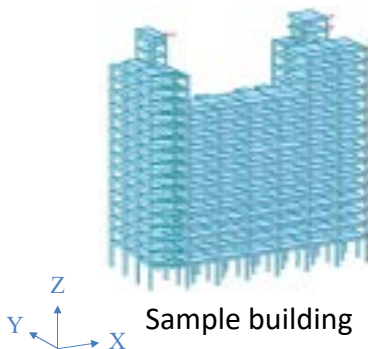
**Hysteresis Loop**

25

**NAR Labs**

## Nonlinear Response History Analysis

- A numerical model that are able to simulate dynamic nonlinear hysteretic behavior of a RC structure has to be established by using a structural analysis program. In this study, we used Midas.
- Plastic-hinges with hysteretic properties have to be considered for all major RC components.



**Sample building**



**Front view**



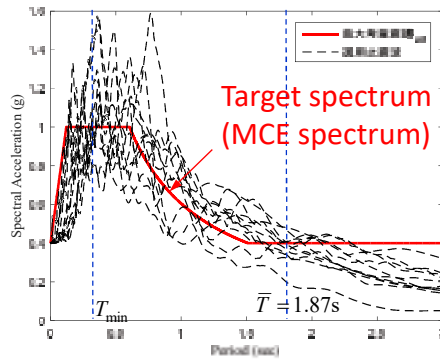
**Side view**

26

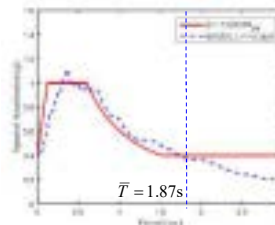


## Ground Motions Selection

- The geo-mean response spectrum of each selected GM must be compatible with a target spectrum (usually the MCE spectrum) within the range of  $T_{\min} \leq T \leq T_{\max}$ , where  $T_{\min} = (0.2) \min(T_x, T_y)$ ,  $T_{\max} = (2) \max(T_x, T_y)$



Geo-mean spectra of selected 11 sets of GMs

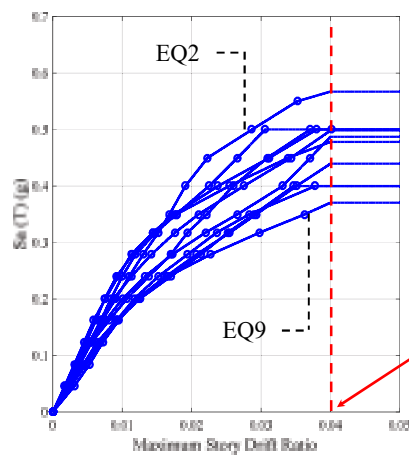


Average spectrum of selected GMs

27



## Perform incremental dynamic analysis (IDA)



- Perform IDA for each of pre-selected 11-set ground motions by gradually increasing the intensity of each GM.
- The intensity of a certain ground motion shall not be increased when either local or global failure criteria is reached.

Global failure criterion

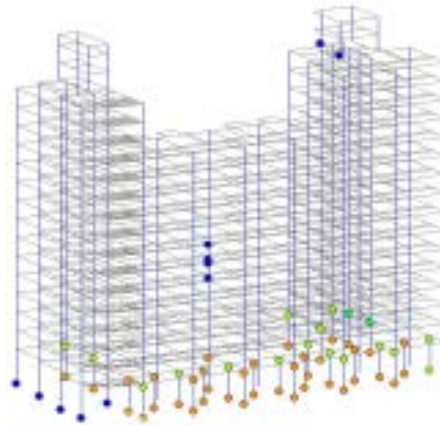
28





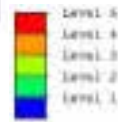
## Check local failure criterion in IDA by using computer program

**NAR Labs**



$$EQ\#9(S_a(\bar{T}) = 0.4g)$$

- In many commercial program, the different statuses of a plastic hinge can be shown by different colors.
- This will make the user more easily to check whether a plastic hinge has reached its local failure criteria.



Colors of plastic hinge

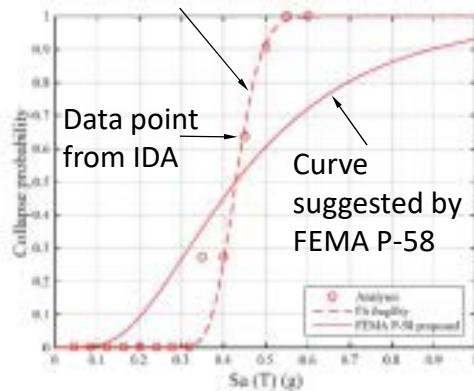
29



## Establish collapse fragility curve

**NAR Labs**

### Regression curve



- ✓ A collapse fragility curve (CFC) represents collapse probability at a given earthquake intensity.
- ✓ A CFC is usually defined by two parameters: the median  $\mu$  and logarithmic standard deviation  $\beta$ .
- ✓ The median  $\mu$  of the CFC can be obtained by using the data from IDA, while the standard deviation  $\beta$  suggested by FEMA P-58 will be adopted in this study.

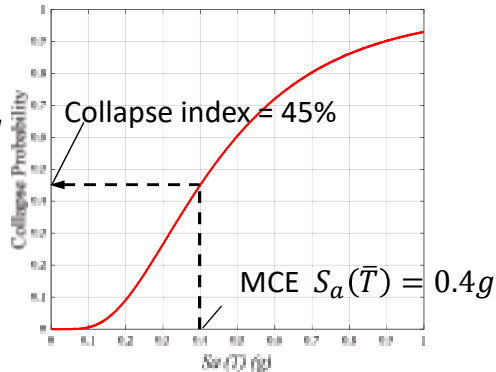
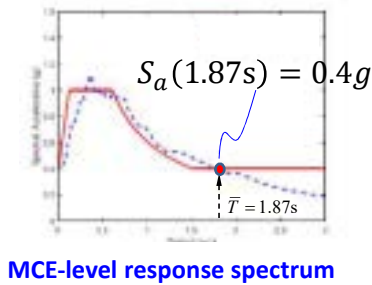
$$\begin{aligned}\beta &= \sqrt{\beta_{a\Delta}^2 + \beta_q^2 + \beta_c^2} \\ &= \sqrt{(0.45)^2 + (0.25)^2 + (0.25)^2} \\ &= 0.5723\end{aligned}$$

30



## Determine collapse index (CI)

- Collapse index  
= Collapse probability under MCE-level earthquake
- Determine MCE-level seismic intensity at Yong-Kang Dist., Tainan, in terms of  $S_a(\bar{T})$
- **Index = collapse probability = 45%**



31



## Check index acceptance level

- In this study, the acceptable collapse probability proposed by **FEMA P-695** (2009) is adopted, i.e.,

**Collapse probability must be less than 10%**

- For the example building, the collapse index is 45%, which is much higher than the above acceptable level of 10%, therefore, the building is not safe and needs seismic retrofiting.

Collapse index (CI)	Collapse probability under MCE
Index of example building	45%
Acceptable level	10%

32





## Conclusions

- A practical procedure and methodology for collapse assessment of a RC building is proposed. The proposed method is able to identify the RC building of high collapse risk and their possible failure components.
- The proposed method, which is developed based on FEMA P-58 framework, is composed of operational steps that can be easily followed by engineers.

33

# Thank You

**NCREE Tainan Lab**



# EVALUATION AND RETROFIT OF SEISMICALLY DEFICIENT STEEL BRACED FRAMES IN CANADA

By Dr. R. Tremblay, Polytechnique Montréal

## Abstract

Steel structures constructed in seismic active areas of Canada prior to the implementation of the seismic design provisions in the CSA S16 steel design standard may sustain non-ductile failures under a severe earthquake, which may affect the structure integrity and pose a hazard to life safety. Potential deficiencies that have been investigated in recent research projects will be briefly reviewed, including brace fracture due to local buckling and low-cycle fatigue, failure of brace connections in tension and compression, and failure of steel roof deck diaphragms. Studies on the seismic response of multi-storey braced frames will also be presented, including soft-storey response, global frame stability and flexural demands imposed on columns. Seismic evaluation techniques will be reviewed and commented, and possible retrofit schemes will be introduced.

**Keywords:** brace fracture, local buckling, connection instability, steel deck diaphragm, soft-storey mechanism.

## Biography

**Dr. Robert Tremblay** is Professor of Structural Engineering and former Canada Research Chair in Earthquake Engineering at Polytechnique Montreal, Canada. Before undertaking his doctoral studies, Dr. Tremblay worked for 10 years in the industry. His current research activities are mainly directed towards the seismic design and response of steel structures for buildings and bridges, with focus on innovative structural systems for enhanced seismic performance. He is a member of several code technical committees including the CSA-S16 Technical Committee on Structural Steel Design (Chair of the Work Group on Seismic Design) and the Standing Committee on Earthquake Design of the National Building Code of Canada.

# Evaluation and Retrofit of Seismically Deficient Steel Braced Frames in Canada

*R. Tremblay*  
*Polytechnique Montreal, Montreal, QC*

**Joint NRC-Taiwan Workshop  
on Earthquake Engineering  
Ottawa, Ontario**

**7-8 October 2019**



POLYTECHNIQUE  
MONTREAL



## Plan

---

- **Context**
- **Bracing members**
- **Brace Connections**
- **Multi-Storey Braced Frames**
- **Metal Roof Deck Diaphragms**
- **Conclusions**

# Plan

---

- **Context**
- Bracing members
- Brace Connections
- Multi-Storey Braced Frames
- Metal Roof Deck Diaphragms
- Conclusions

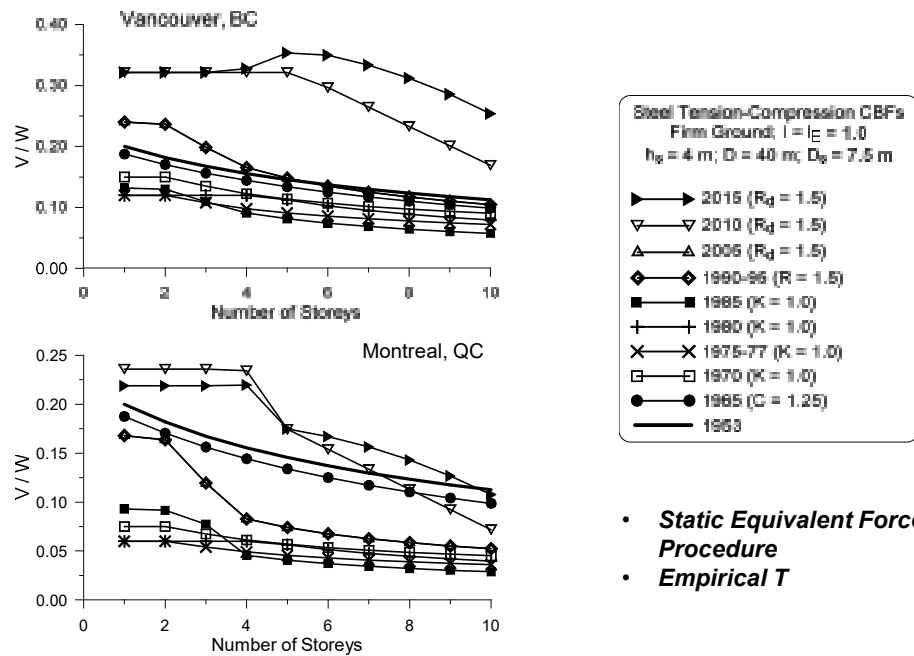
R. Tremblay, Polytechnique Montreal, Canada 3

## *History of Codes and Standards in Canada*

	<b><u>CSA-S16 :</u></b>	• 1924
		• 1930
		• 1940
<b><u>NBCC:</u></b>	• 1941 (E/Q in appendix)	• 1954
	• 1953 (E/Q in code)	• 1961
	• 1960	• 1965
	• 1965	• 1969
	• 1970 (PGA – 1%/an)	• 1974 (Limit States Design)
	• 1975	• 1978 (SI)
	• 1977	• 1984
	• 1980	• <b>1989 (Seismic Provisions)</b>
	• 1985 ( $Z_a$ & $Z_v$ - 10%/50 yrs)	• 1994
	• 1990	• 2001
	• 1995	• 2005
	• 2005 (UHS - 2%/50 yrs)	• 2009
	• 2010	• 2014
	• 2015	• 2019
	• 2020	

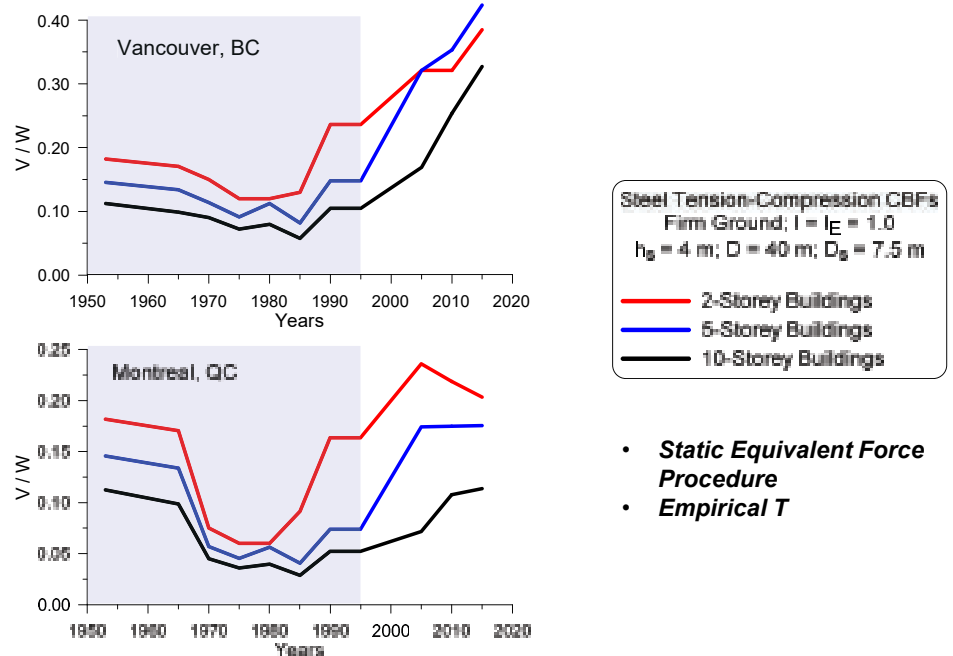
R. Tremblay, Polytechnique Montreal, Canada 4

## Evolution of seismic design loads



R. Tremblay, Polytechnique Montreal, Canada 5

## Evolution of seismic design loads

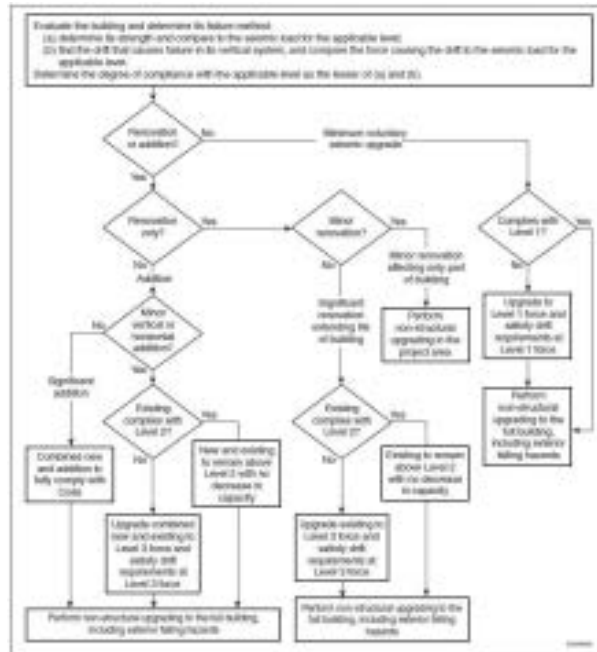


R. Tremblay, Polytechnique Montreal, Canada 6

Structural Commentaries  
(User's Guide – NBC 2015:  
Part 4 of Division B)

**Commentary I.**  
**Application of NBC Part 4 of Division B for  
The Structural Evaluation and Upgrading  
of Existing Buildings**

General Scope of the Commentary	1.1
Introduction	1.2
Main Considerations	1.3
Quality Assurance	1.4
Workload/Scope and Resource/Trade Matters	1.5
Inspection/Review/Verification/Validation	1.6
Performance and Load Determination/Assessment for the B	1.7
General Recommended Practice for Evaluation	1.8
General Recommended Practice for Upgrading	1.9
General Recommended Practice for Seismic Evaluation	1.10
General Recommended Practice for Seismic Upgrading	1.11
General Recommended Practice for Seismic Evaluation and Upgrading	1.12
General Recommended Practice for Seismic Evaluation and Upgrading	1.13
General Recommended Practice for Seismic Evaluation and Upgrading	1.14
General Recommended Practice for Seismic Evaluation and Upgrading	1.15
General Recommended Practice for Seismic Evaluation and Upgrading	1.16
General Recommended Practice for Seismic Evaluation and Upgrading	1.17
General Recommended Practice for Seismic Evaluation and Upgrading	1.18
General Recommended Practice for Seismic Evaluation and Upgrading	1.19
General Recommended Practice for Seismic Evaluation and Upgrading	1.20



## Force-based approach

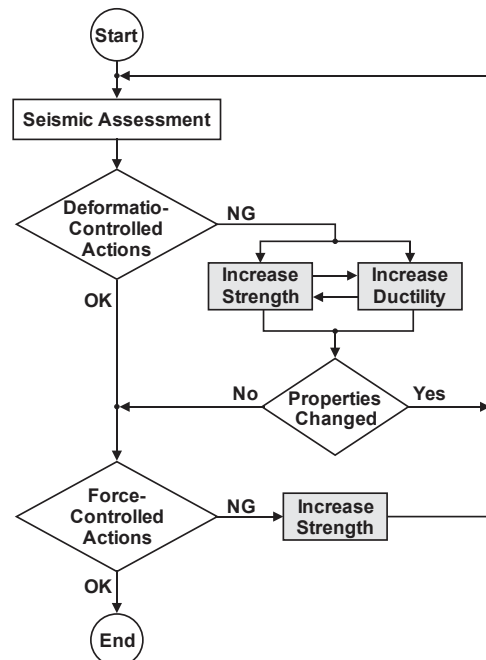
4 Levels :

- 1: 0.5 x 5%-50 yrs
- 2: 10%-50 yrs
- 3: 5%-50 yrs
- 4: 2%-50 yrs

R. Tremblay, Polytechnique Montreal, Canada 7



## Component Based Approach



R. Tremblay, Polytechnique Montreal, Canada 8



**Evaluation using linear procedure (force-based approach):**

$$m Q_{CE} \geq Q_{UD}$$

$m$  = Ductility factor

$Q_{CE}$  = Expected Strength

$Q_{UD}$  = Seismic Force Demand from Linear Analysis

Table 9-8 (Continued). Acceptance Criteria for Linear Procedures—Structural Steel Components

Component/Action	R-Factors for Linear Procedures <sup>a</sup>				
	IO	Primary		Secondary	
		LS	CP	LS	CP
Braces in Compression (except EBF braces)					
a. Slender <sup>c</sup> $\frac{KL}{r} \geq 4.2\sqrt{E/F_y}$					
1. W, I, 2L in-plane <sup>b</sup> , 2C in-plane <sup>b</sup>	1.25	6	8	7	9
2. 2L out-of-plane <sup>b</sup> , 2C out-of-plane <sup>b</sup>	1.25	5	7	6	8
3. HSS, pipes, tubes, L	1.25	5	7	6	8
b. Stocky <sup>d,f</sup> $\frac{KL}{r} \leq 2.1\sqrt{E/F_y}$					
1. W, I, 2L in-plane <sup>b</sup> , 2C in-plane <sup>b</sup>	1.25	5	7	6	8
2. 2L out-of-plane <sup>b</sup> , 2C out-of-plane <sup>b</sup>	1.25	4	6	5	7
3. HSS, pipes, tubes	1.25	4	6	5	7
Braces in Tension (except EBF braces) <sup>m</sup>	1.25	5 <sup>n,p</sup>	7 <sup>n,p</sup>	8 <sup>n,p</sup>	10 <sup>n,p</sup>

R. Tremblay, Polytechnique Montreal, Canada 9

**ASCE 41-17**

**Evaluation using nonlinear procedure**

- Plastic deformations for deformation-controlled actions

$$Capacity \geq Demand$$

- Force demand for force-controlled actions

Table 9-8. Modeling Parameters and Acceptance Criteria for Nonlinear Procedures—Structural Steel Components—Axial Actions						
Component/Action	Modeling Parameters			Acceptance Criteria		
	Plastic Deformation		Residual Strength Ratio	Plastic Deformation		
	$\mu$	$\beta$	$\gamma$	IO	LS	CP
<b>Braces in Compression (except EBF braces)<sup>a,b</sup></b>						
a. Slender $\frac{KL}{r} \geq 4.2\sqrt{E/F_y}$						
1. W, I, 2L in-plane <sup>b</sup> , 2C in-plane <sup>b</sup>	0.5 $\Delta_y$	10 $\Delta_y$	0.3	0.5 $\Delta_y$	8 $\Delta_y$	10 $\Delta_y$
2. 2L out-of-plane <sup>b</sup> , 2C out-of-plane <sup>b</sup>	0.5 $\Delta_y$	9 $\Delta_y$	0.3	0.5 $\Delta_y$	7 $\Delta_y$	9 $\Delta_y$
3. HSS, pipes, tubes	0.5 $\Delta_y$	9 $\Delta_y$	0.3	0.5 $\Delta_y$	7 $\Delta_y$	9 $\Delta_y$
4. Single angle	0.5 $\Delta_y$	12 $\Delta_y$	0.3	0.5 $\Delta_y$	9 $\Delta_y$	12 $\Delta_y$
b. Stocky $\frac{KL}{r} \leq 2.1\sqrt{E/F_y}$						
1. W, I, 2L in-plane <sup>b</sup> , 2C in-plane <sup>b</sup>	1 $\Delta_y$	8 $\Delta_y$	0.5	0.5 $\Delta_y$	7 $\Delta_y$	8 $\Delta_y$
2. 2L out-of-plane <sup>b</sup> , 2C out-of-plane <sup>b</sup>	1 $\Delta_y$	7 $\Delta_y$	0.5	0.5 $\Delta_y$	6 $\Delta_y$	7 $\Delta_y$
3. HSS, pipes, tubes	1 $\Delta_y$	7 $\Delta_y$	0.5	0.5 $\Delta_y$	6 $\Delta_y$	7 $\Delta_y$
c. Intermediate Linear interpolation between the values for slender and stocky braces (after application of all applicable modifiers) shall be used.						
<b>Braces in Tension (except EBF braces)<sup>d,e</sup></b>						
1. W	10 $\Delta_T$	13 $\Delta_T$	0.6	0.5 $\Delta_T$	10 $\Delta_T$	13 $\Delta_T$
2. 2L	9 $\Delta_T$	12 $\Delta_T$	0.6	0.5 $\Delta_T$	9 $\Delta_T$	12 $\Delta_T$
3. HSS	9 $\Delta_T$	11 $\Delta_T$	0.6	0.5 $\Delta_T$	8 $\Delta_T$	11 $\Delta_T$
4. Pipe	8 $\Delta_T$	9 $\Delta_T$	0.6	0.5 $\Delta_T$	7 $\Delta_T$	9 $\Delta_T$
5. Single angle	10 $\Delta_T$	11 $\Delta_T$	0.6	0.5 $\Delta_T$	8 $\Delta_T$	10 $\Delta_T$

R. Tremblay, Polytechnique Montreal, Canada 10

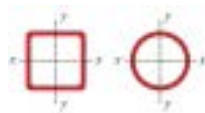
# Plan

---

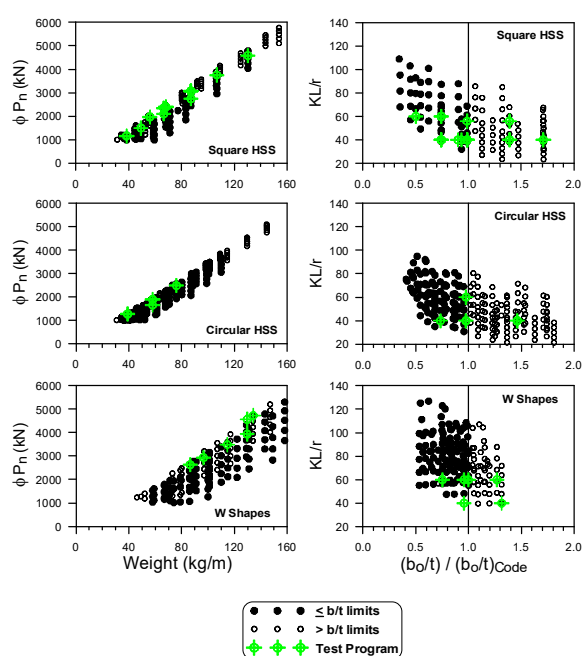
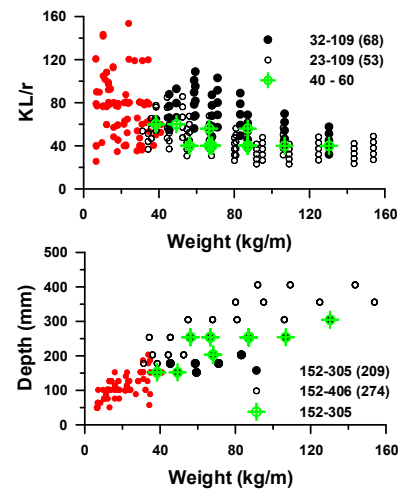
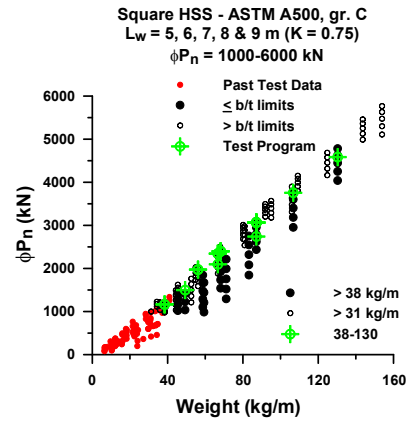
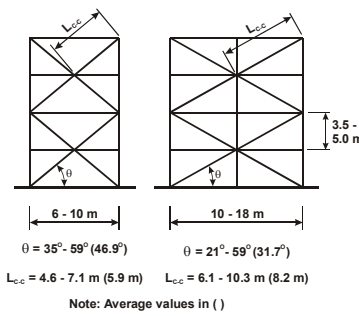
- Context
- **Bracing members**
- Brace Connections
- Multi-Storey Braced Frames
- Metal Roof Deck Diaphragms
- Conclusions

R. Tremblay, Polytechnique Montreal, Canada 11

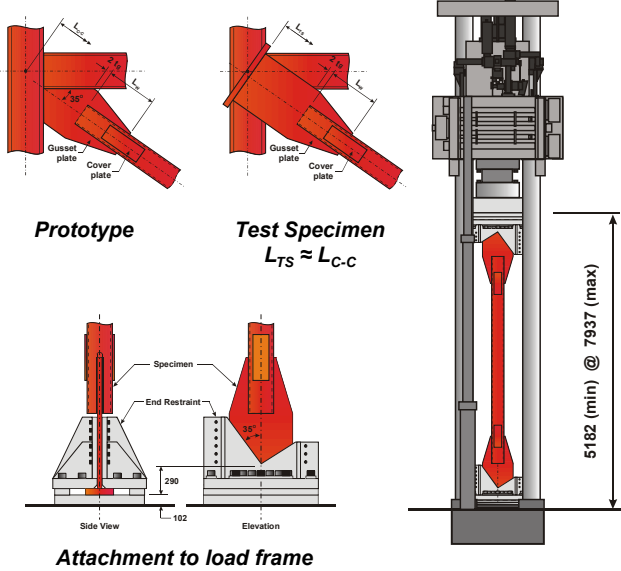
*Plastic deformation capacity  
of bracing members*

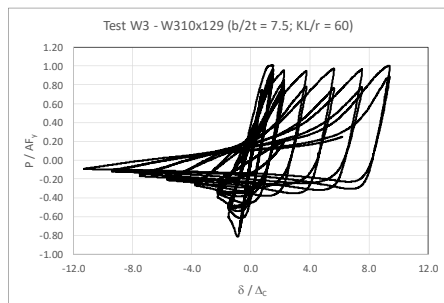
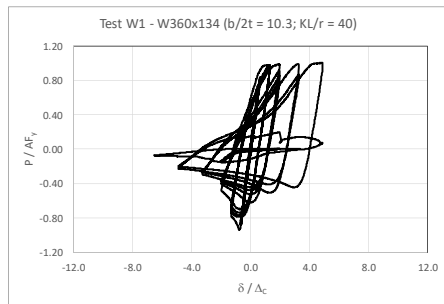


R. Tremblay, Polytechnique Montreal, Canada 12



### Brace connections designed for brace probable resistances





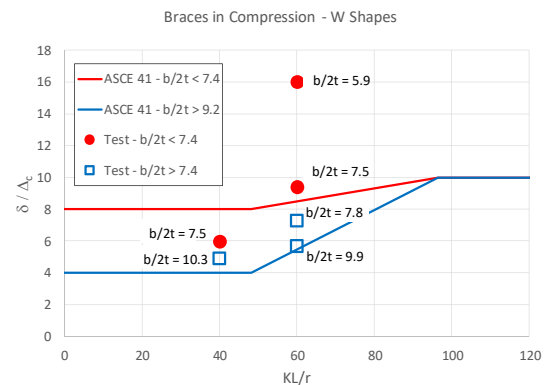
R. Tremblay, Polytechnique Montreal, Canada 15

## ASCE 41-17

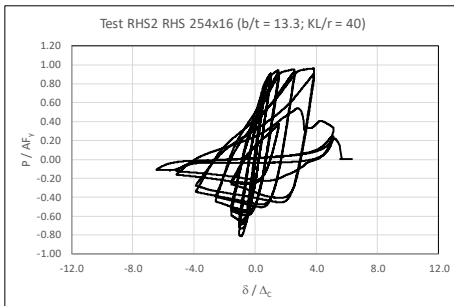
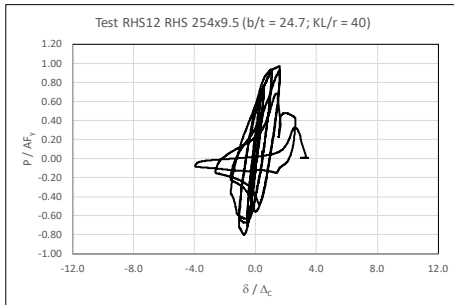
### Plastic deformation capacities

Component/Action	Modeling Parameters			Acceptance Criteria		
	Plastic Deformation	Residual Strength Ratio		Plastic Deformation		
	$\mu$	$\beta$	$\gamma$	$\beta D$	LS	EP
<b>Beams in Compression (except BFP bracing)<sup>a</sup></b>						
a. Slender $\lambda > 4.71 \sqrt{E/F_y}$						
1. FC I, SL in-plane <sup>b</sup> , SC in-plane <sup>b</sup>	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5 $\Delta_y$
2. SL out-of-plane <sup>b</sup> , SC out-of-plane <sup>b</sup>	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5 $\Delta_y$
3. HSS, pipes, tubes	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5 $\Delta_y$
4. Single angle	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5 $\Delta_y$
b. Stocky $\lambda \leq 4.71 \sqrt{E/F_y}$						
1. FC I, SL in-plane <sup>b</sup> , SC in-plane <sup>b</sup>	1 $\Delta_y$	0.8 $\Delta_y$	0.5	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5 $\Delta_y$
2. SL out-of-plane <sup>b</sup> , SC out-of-plane <sup>b</sup>	1 $\Delta_y$	0.8 $\Delta_y$	0.5	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5 $\Delta_y$
3. HSS, pipes, tubes	1 $\Delta_y$	0.8 $\Delta_y$	0.5	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5 $\Delta_y$
c. Intermediate	Linear interpolation between the values for slender and stocky beams (after application of all applicable modifiers) shall be used.					
<b>Beams in Tension (except BFP bracing)<sup>a</sup></b>						
1. FC	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5 $\Delta_y$
2. SL	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5 $\Delta_y$
3. HSS	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5 $\Delta_y$
4. Pipes	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5 $\Delta_y$
5. Single angle	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5	0.5 $\Delta_y$	0.8 $\Delta_y$	0.5 $\Delta_y$

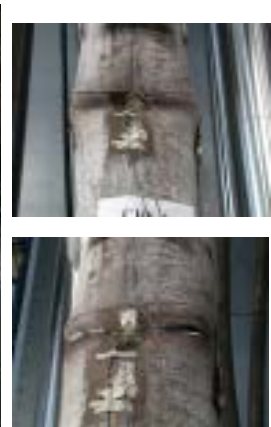
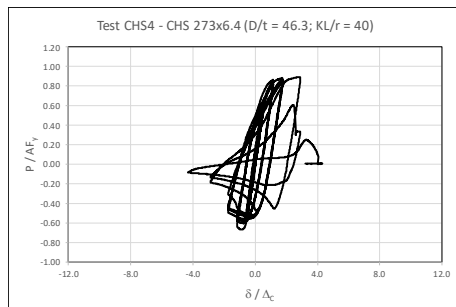
<sup>a</sup> Section compactness, modeling parameters and acceptance criteria apply to beam sections that are compact, fully ductile or noncompact (fully ductile according to Table C1.1 of ASCE 301). Where the beam section is noncompact according to Table B9.1 of ASCE 301, the acceptance criteria shall be multiplied by 0.5. For intermediate compactness conditions, the acceptance criteria shall be multiplied by a value determined by linear interpolation between the noncompact (fully ductile) and the noncompact cases.



R. Tremblay, Polytechnique Montreal, Canada 16



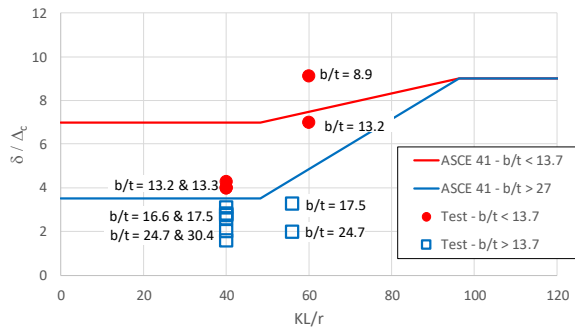
R. Tremblay, Polytechnique Montreal, Canada 17



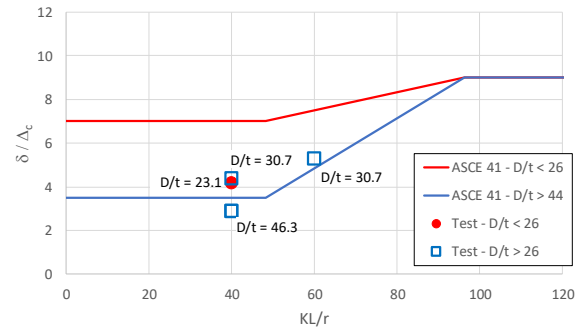
R. Tremblay, Polytechnique Montreal, Canada 18



Braces in Compression - Square HSS



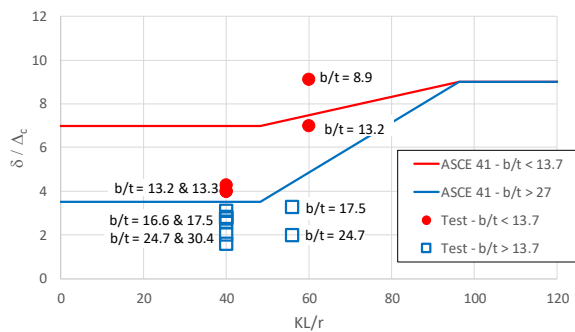
Braces in Compression - Circular HSS



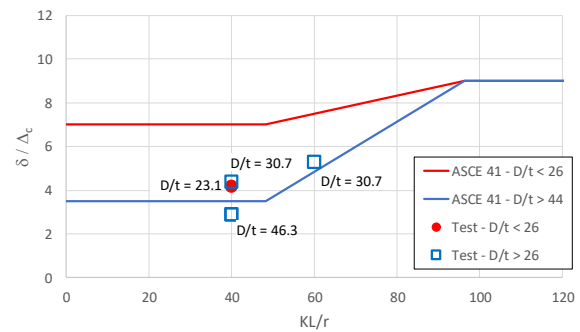
R. Tremblay, Polytechnique Montreal, Canada 19



Braces in Compression - Square HSS



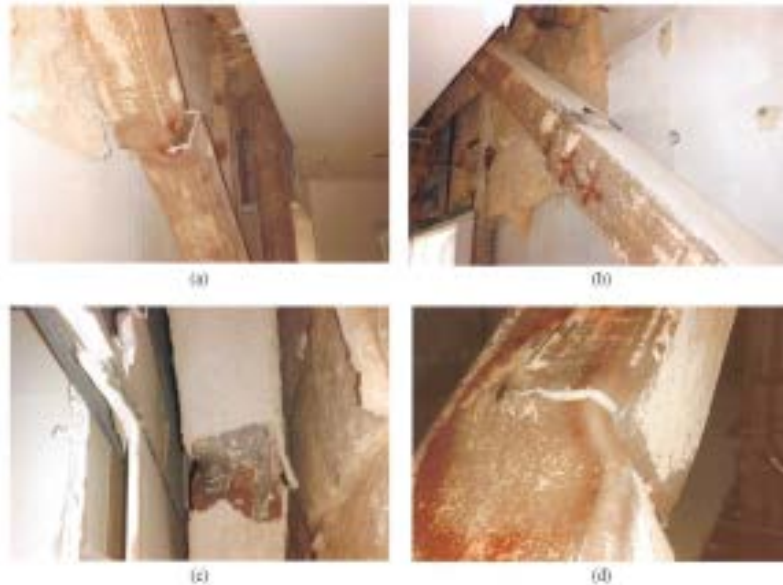
Braces in Compression - Circular HSS



R. Tremblay, Polytechnique Montreal, Canada 20



## Brace failures observed in past earthquakes



Northridge 1994  
Photos from Peter Maranian, Brandow and Associates (P. Uriz Thesis, 2005)

R. Tremblay, Polytechnique Montreal, Canada 21



### 27.5.3 Diagonal bracing members

**Note:** Where possible, at every storey, the two discontinuous bracing members in every K-bracing bay should be fabricated and installed from the same heat.

#### 27.5.3.1 Brace slenderness

The slenderness ratio,  $KL/r$ , of bracing members shall not exceed 200.

When the specified short-period spectral acceleration ratio  $(I_p F_a S_a (0.2))$  is equal to or greater than 0.75 or the specified 1 s spectral acceleration ratio  $(I_p F_a S_a (1.0))$  is equal to or greater than 0.30, the slenderness ratio of HSS bracing members shall not be less than 70.

**Note:** The effects of translational and rotational restraints at the brace ends or along the brace length should be accounted for in the calculation of  $KL$ .

#### 27.5.3.2 Width (diameter)-to-thickness ratios

When the specified short-period spectral acceleration ratios  $(I_p F_a S_a (0.2))$  are equal to or greater than 0.35, width-to-thickness ratios shall not exceed the following limits:

- a) when  $KL/r \leq 100$ :
  - i) for rectangular and square HSS:  $330 / \sqrt{F_y}$ ;
  - ii) for circular HSS:  $10\,000 / F_y$ ;
  - iii) for legs of angles and flanges of channels:  $145 / \sqrt{F_y}$ ; and
  - iv) for other elements: Class 1;
- b) when  $KL/r = 200$ 
  - i) for HSS members: Class 1;
  - ii) for legs of angles:  $170 / \sqrt{F_y}$ ; and
  - iii) for other elements: Class 2; and
- c) when  $100 < KL/r < 200$ , linear interpolation may be used.

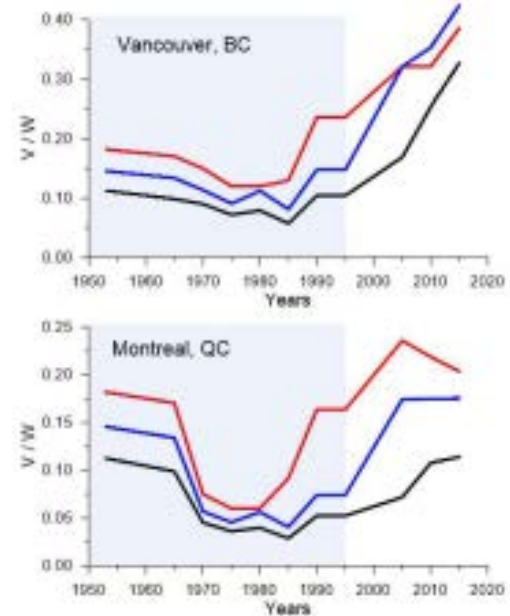
R. Tremblay, Polytechnique Montreal, Canada 22

### Possible situations:

- Braces with high local slenderness (high  $b/t$ ) have been commonly used because of their relatively higher efficiency in compression
- Large inelastic deformation demand expected because of lower original design seismic loads
- Force-based approach alone not sufficient to evaluate existing structures; detailing must also be examined

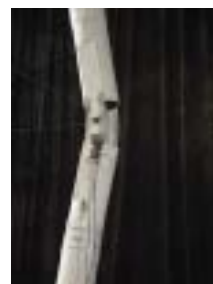
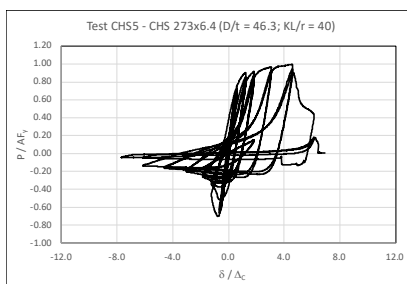
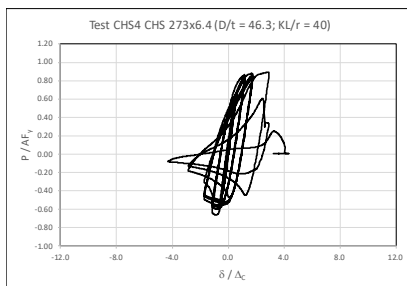
### Possible retrofit schemes:

- Replace braces using members that meet  $KL/r$  and  $b/t$  ratio limits (W shapes)
- Use more effective braces (buckling restrained braces, friction dampers, ductile plastic hinges, ...)



R. Tremblay, Polytechnique Montreal, Canada 23

### Use of a ductile W-shaped plastic hinge for enhanced ductility:



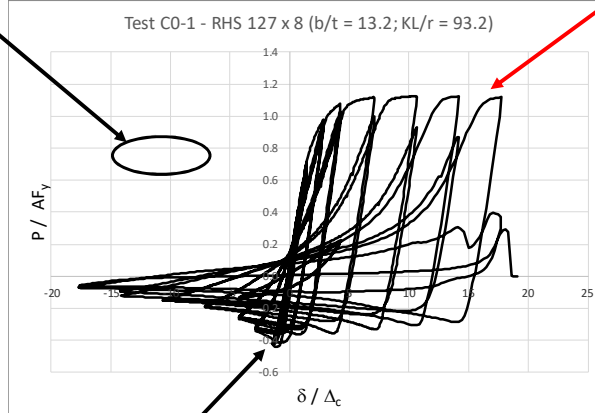
R. Tremblay, Polytechnique Montreal, Canada 24

# Plan

- Context
- Bracing members
- **Brace Connections**
- Multi-Storey Braced Frames
- Metal Roof Deck Diaphragms
- Conclusions

R. Tremblay, Polytechnique Montreal, Canada 25

**Tension-Only Bracing** : brace connections designed for tension design loads



**Brace connections expected to sustain tension loads up to the brace probable axial yield strength**

**Tension-Compression Bracing** : brace connections designed for compression design loads

R. Tremblay, Polytechnique Montreal, Canada 26