



Access to Information and Privacy Statistical Report for the 2019 to 2020 Fiscal Year

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Access to Information and Privacy Statistical Report for the 2019 to 2020 Fiscal Year

From <u>Treasury Board of Canada Secretariat</u>

Openness, transparency and accountability are guiding principles of the Government of Canada.

The Access to Information Act and the Privacy Act both came into effect on July 1, 1983.

Paragraph 70(1)(d) of the *Access to Information Act* assigns responsibility to the President of the Treasury Board, as the designated minister, to collect statistics on an annual basis. These statistics are used to assess the performance of the Government of Canada's access to information and privacy programs.

This statistical report presents statistical information about the Government of Canada's access to information and privacy programs globally across all federal institutions subject to the two Acts for the period of April 1, 2019, to March 31, 2020. It also presents cumulative data from July 1, 1983, to March 31, 2020.

In addition, each institution subject to the <u>Access to Information Act</u> and the <u>Privacy Act</u> tables an individual annual report in Parliament on the administration of each Act in their institution. These reports can be found on the institutions' websites.

Eight institutions received the majority of access to information requests and personal information requests across the government. A separate report with additional statistical information about the performance of these institutions will be provided in early in 2021.

The complete statistical dataset for 2019-2020 will be available in open format on the Government of Canada's <u>Open Data Portal</u>.

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Access to Information Act statistics for the 2019 to 2020 fiscal year

Requests under the Access to Information Act

A total of 156,222 Access to Information (ATI) requests were received in the 2019 to 2020 fiscal year, an overall increase of 26.6 percent from the 2018 to 2019 fiscal year. There was also a total of 25,916 ATI requests that were outstanding from the 2018 to 2019 fiscal year, an overall decrease of 5.3 percent from the outstanding requests of the previous fiscal year.

Of the 182,138 ATI requests that were either received in the 2019 to 2020 fiscal year or outstanding from the previous fiscal year, 82 percent were closed. This is a slight decrease from the 2018 to 2019 fiscal year: of the 150,792 ATI requests received in the 2018 to 2019 fiscal year or outstanding from the previous fiscal year, 83 percent were closed. Table 1 shows the number of ATI requests received, closed and carried over for the 2019 to 2020 fiscal year.

Table 1: ATI requests received, closed and carried over, fiscal year 2019 to 2020

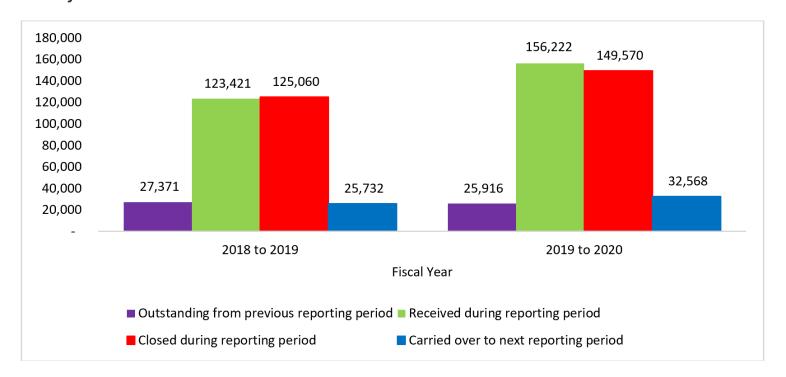
ATI Requests	Number of requests
Outstanding from 2018 to 2019 reporting period a	25,916
Received during 2019 to 2020 reporting period	156,222
Total	182,138
Closed during 2019 to 2020 reporting period	149,570

<u>a</u>

Due to administrative errors, there are small inconsistencies between the statistical data for the 2018 to 2019 fiscal year and the statistical data for the 2019 to 2020 fiscal year regarding the number of requests left outstanding at the end of the 2018 to 2019 reporting period.

Figure 1 shows the number of ATI requests received, closed and carried over from fiscal year 2018 to 2019 to fiscal year 2019 to 2020

Figure 1: ATI requests received, closed and carried over by all government institutions, fiscal year 2018 to 2019 and fiscal year 2019 to 2020

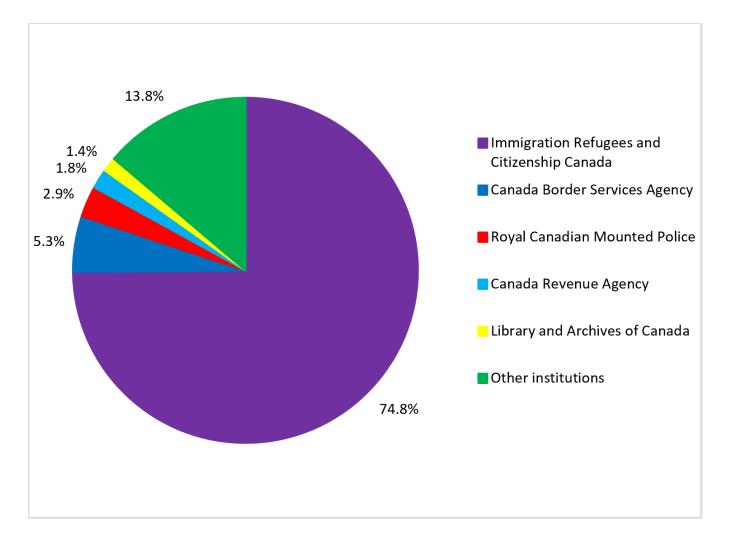


	2018 to 2019	2019 to 2020	
Outstanding from previous reporting period	27,371	25,916	
Received during reporting period	123,421	156,222	
Closed during reporting period	125,060	149,570	
Carried over to next reporting period	25,732	32,568	

Five government institutions received 86.2 percent of the 156,222 requests received in the 2019 to 2020 fiscal year.

Figure 2 shows the proportion of ATI requests received by the five institutions that received the highest volume of requests, and all other government institutions, for the 2019 to 2020 fiscal year.

Figure 2: proportion of ATI requests received by the five institutions that received the highest volume of requests, and all other government institutions, fiscal year 2019 to 2020



Immigration Refugees and Citizenship Canada	74.8%
Canada Border Services Agency	5.3%
Royal Canadian Mounted Police	2.9%
Canada Revenue Agency	1.8%
Library and Archives of Canada	1.4%
Other institutions	13.8%

Table 2 shows the number of pages processed under the *Access to Information Act* by the five institutions that received the highest volume of requests, and all other government institutions, for the 2019 to 2020 fiscal year.

Table 2: pages processed under the *Access to Information Act* by the five institutions that received the highest volume of requests, and all other government institutions, fiscal year 2019 to 2020

Rank	Name of institution	Number of requests received	Requests received as percentage	Number of pages processed a
1	Immigration Refugees and Citizenship Canada	116,928	74.8	7,787,738
2	Canada Border Services Agency	8,223	5.3	1,160,704
3	Royal Canadian Mounted Police	4,512	2.9	1,186,062

Total		156,222	100	32,600,292
Other	institutions	21,564	13.8	20,222,239
5	Library and Archives of Canada	2,131	1.4	289,974
4	Canada Revenue Agency	2,864	1.8	1,953,575

The number of pages processed for each institution represents the total processed pages for closed requests. It does not include the number of pages processed for requests that were carried over into the next reporting period.

Table 3 shows the sources of ATI requests made in the 2019 to 2020 fiscal year.

Table 3: sources of received ATI requests, fiscal year 2019 to 2020

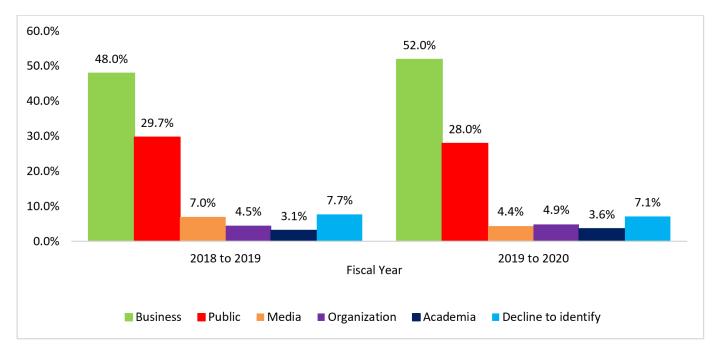
ource Number of requests		Percentage of requests
Business (private sector)	81,182	52.0
Public	43,715	28.0
Media	6,886	4.4
Organization a	7,669	4.9
Academia	5,674	3.6
Decline to identify b	11,096	7.1
Total	156,222	100

- The category "organization" includes, associations, unions, non-for-profit and voluntary organizations, offices of members of Parliament, political parties and non-government organizations. It also includes requesters that selected "organization" when submitting their request.
- <u>b</u> The category "decline to identify" includes requesters that selected "decline to identify" when submitting their request. It also includes instances where the requester did not select any of the available categories when submitting a hard-copy application.

Government-wide, business (private sector) accounted for 52.0 percent of ATI requests received, and the public accounted for 28.0 percent of ATI requests received.

Figure 3 shows the sources of ATI requests received, for the 2018 to 2019 fiscal year and the 2019 to 2020 fiscal year.

Figure 3: sources of received ATI requests, fiscal year 2018 to 2019 and fiscal year 2019 to 2020



2040 to 2040					
Source	2018 to 2019	2019 to 2020			
Business	48.0%	52.0%			
Public	29.7%	28.09			
Media	7.0%	4.49			
Organization	4.5%	4.9%			
Academia	3.1%	3.69			
Decline to identify	7.7%	7.19			

In June 2019, the *Access to Information Act* was amended to enable institutions to seek the Information Commissioner's approval to decline to act on requests that are vexatious, made in bad faith, or an abuse of the right of access. In the 2019 to 2020 fiscal year, across the federal government, institutions sought the Information Commissioner's approval to decline to act on six requests.

Table 4 shows the outcome of these proposals to the Information Commissioner to decline to act on an ATI request on the basis that the request is vexatious, made in bad faith, or an abuse of the right of access.

Table 4: proposals to decline to act, approved or declined by the Information Commissioner, and carried over, fiscal year 2019 to 2020

Decline to act on vexatious, bad faith or abuse of right of access requests	Number of requests
Outstanding from 2018 to 2019 reporting period	0
Sent during 2019 to 2020 reporting period	6
Total	6
Approved by the Information Commissioner during 2019 to 2020 reporting period	1
Declined by the Information Commissioner during 2019 to 2020 reporting period	4
Carried over to the 2020 to 2021 reporting period	1

Timeliness

Of the 149,570 ATI requests closed in the 2019 to 2020 fiscal year, 67.4 percent were closed within legislated timelines, including extensions. This is a decrease of 5.7 percent from the 2018 to 2019 fiscal year.

Table 5 shows ATI requests closed within the legislated timeline, including extensions, for the 2018 to 2019 fiscal year and the 2019 to 2020 fiscal year.

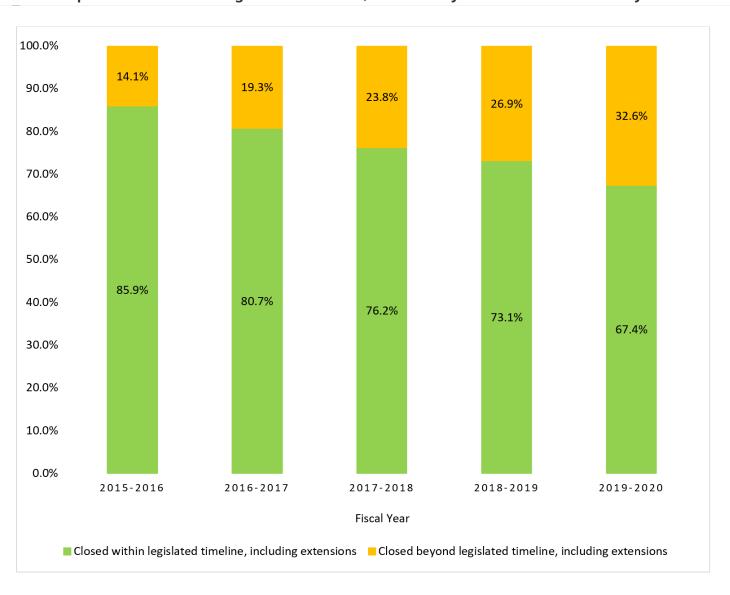
Table 5: status of closed ATI requests, fiscal year 2018 to 2019 and fiscal year 2019 to 2020

	Requests as	percentage	Number of requests		
Status of closed requests	2018 to 2019	2019 to 2020	2018 to 2019	2019 to 2020	
Closed within legislated timeline, including extensions	73.1	67.4	91,402	100,827	
Closed beyond legislated timeline, including extensions	26.9	32.6	33,658	48,743	
Total	100	100	125,060	149,570	

The percentage of ATI requests closed within legislated timelines has decreased by 18.5 percent over the last five fiscal years, from 85.9 percent in the 2015 to 2016 fiscal year to 67.4 percent in the 2019 to 2020 fiscal year.

Figure 4 shows ATI requests closed within legislated timelines, from the 2015 to 2016 fiscal year to the 2019 to 2020 fiscal year.

Figure 4: ATI requests closed within legislated timelines, from fiscal year 2015 to 2016 to fiscal year 2019 to 2020



▼ Figure 4 - Text version

	2015-2016	2016-2017	2017-2018	2018-2019	2019-2020
Closed within legislated timeline, including extensions	85.9%	80.7%	76.2%	73.1%	67.4%
Closed beyond legislated timeline, including extensions	14.1%	19.3%	23.8%	26.9%	32.6%

Another way to examine performance is to consider the number of institutions that meet the performance standard for ATI requests, which is to respond to 90 percent of requests within legislated timelines, including extensions. Of the 138 institutions that closed ATI requests in the 2019 to 2020 fiscal year, 84 institutions met this standard, closing at least 90 percent of requests within legislated timelines. 54 institutions did not meet this standard, principally due to workload pressures within the organization. Of the 45 institutions that did not close any requests in the 2019 to 2020 fiscal year, 42 institutions did not receive any requests and 3 institutions received requests.

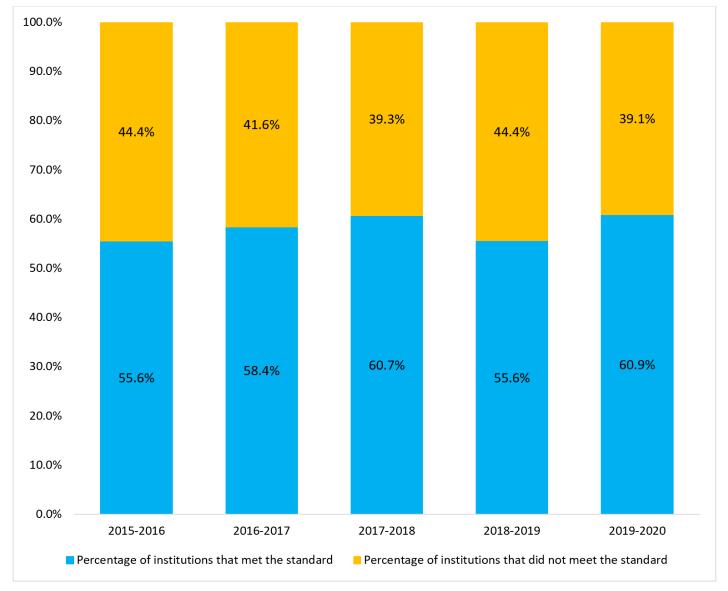
Table 6 shows the number of institutions that closed at least 90 percent of ATI requests within legislated timelines, from the 2015 to 2016 fiscal year to the 2019 to 2020 fiscal year.

Table 6: number of institutions that closed at least 90 percent of ATI requests within legislated timelines, from fiscal year 2015 to 2016 to fiscal year 2019 to 2020

Number of institutions	2015 to 2016	2016 to 2017	2017 to 2018	2018 to 2019	2019 to 2020
Number of institutions that closed at least 90 percent of requests within legislated timelines	85	90	85	74	84
Number of institutions that closed fewer than 90 percent of requests within legislated timelines	68	64	55	59	54
Number of institutions that did not close any requests	22	22	41	48	45
Total	175	176	181	181	183

Figure 5 shows the percentage of reporting institutions that closed at least 90 percent of ATI requests within legislated timelines, from the 2015 to 2016 fiscal year to the 2019 to 2020 fiscal year.

Figure 5: percentage of institutions that closed at least 90 percent of ATI requests within legislated timelines, from fiscal year 2015 to 2016 to fiscal year 2019 to 2020



▼ Figure 5 - Text version					
	2015- 2016	2016- 2017	2017-2018	2018-2019	2019-2020
Percentage of institutions that met the standard	55.6%	58.4%	60.7%	55.6%	60.9%
Percentage of institutions that did not meet the standard	44.4%	41.6%	39.3%	44.4%	39.1%

Table 7 shows the disposition of ATI requests, and the time required to close these requests, for the 2019 to 2020 fiscal year.

Table 7: disposition and time required to close ATI requests, fiscal year 2019 to 2020

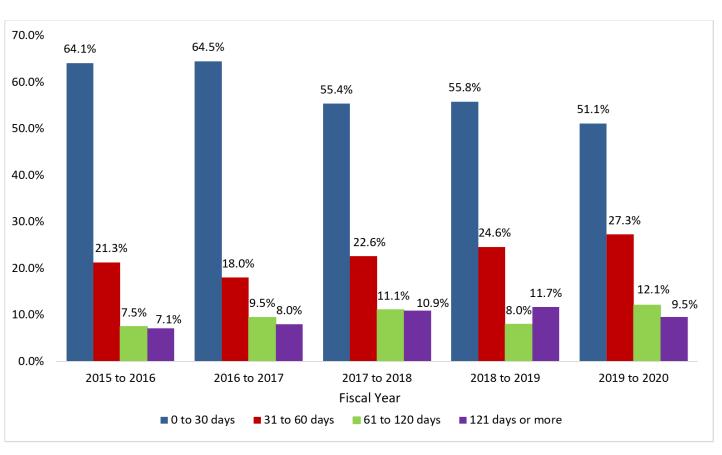
		Closure time a						
Disposition of requests (including requests for which extensions were required)	1 to 15 days	16 to 30 days	31 to 60 days	61 to 120 days	121 to 180 days	181 to 365 days	More than 365 days	Total
All disclosed	1,495	19,520	10,106	4,343	766	579	251	37,060
Disclosed in part	1,395	41,400	28,400	12,705	3,882	3,926	2,880	94,588

Total as percentage	7.1	44.0	27.3	12.1	3.3	3.2	3.0	100
Total number of requests	10,630	65,825	40,802	18,046	4,988	4,842	4,437	149,570
Decline to act with the approval of the Information Commissioner	0	0	0	1	0	0	0	1
Neither confirmed nor denied C	97	100	20	20	4	6	17	264
Request abandoned b	5,461	1,296	1,044	330	153	182	1,116	9,582
Request transferred	367	34	1	3	3	0	0	408
No records exist	1,541	3,121	1,072	460	113	80	96	6,483
All excluded	115	87	43	57	23	10	8	343
All exempted	159	267	116	127	44	59	69	841

- <u>a</u> The number of days to close requests or consultations refers to calendar days.
- An abandoned request is a request that has been formally withdrawn by the requester, or the requester did not respond to a notice indicating that the request will be closed if they do not respond within a specified time period.
- The category "neither confirmed nor denied" relate to requests for which subsection 10(2) of the *Access to Information Act* was invoked: "The head of a government institution may but is not required to indicate under subsection 10(1) whether a record exists."

Figure 6 shows the time required to close ATI requests from fiscal year 2015 to 2016 to fiscal year 2019 to 2020.

Figure 6: Time required to close ATI requests from fiscal year 2015 to 2016 to fiscal year 2019 to 2020



▼ Figure 6 - Text version

Number of

	2015 to 2016	2016 to 2017	2017 to 2018	2018 to 2019	2019 to 2020
0 to 30 days	64.1%	64.5%	55.4%	55.8%	51.1%
31 to 60 days	21.3%	18.0%	22.6%	24.6%	27.3%
61 to 120 days	7.5%	9.5%	11.1%	8.0%	12.1%
121 days or more	7.1%	8.0%	10.9%	11.7%	9.5%

Table 8 shows the principal reason that ATI requests were closed beyond the legislated timeline for fiscal year 2019 to 2020.

Table 8: Principal reason that ATI requests were closed beyond the legislated timeline for fiscal year 2019 to 2020

Principal reason	Total number of requests closed beyond the legislated timeline including extensions
Workload	46,283
External consultation	736
Internal consultation	461
Other a	1,263
Total	48,743

<u>a</u> Other reasons include the unavailability of key officials, difficulties in obtaining relevant records, labour disputes and lengthy power outages.

Note: Requests that were neither closed in the initial 30-day legislated timeline nor within the timeframe covered by an extension.

Of the 48,743 ATI requests closed beyond the legislated timeline, 89.9 percent were past the timeline with no extension taken. This is an increase of 4.5 percent from the 2018 to 2019 fiscal year.

Table 9 shows the number of ATI requests that were closed beyond the legislated timeline in fiscal year 2019 to 2020, by number of days past the timeline.

Table 9: number of days past deadline for ATI requests closed beyond the legislated timeline including extensions, fiscal year 2019 to 2020

days past legislated timeline	Number of requests past legislated timeline where no extension was taken	Number of requests past legislated timeline where an extension was taken	beyond the legislated timeline including extensions	Total as percentage
1 to 15 days	24,150	1,417	25,567	52.5
16 to 30 days	3,206	401	3,607	7.4
31 to 60 days	4,484	461	4,945	10.1
61 to 120 days	4,375	551	4,926	10.1
121 to 180	2,154	362	2,516	5.2

Total requests closed

days				
181 to 365 days	2,839	606	3,445	7.1
More than 365 days	2,591	1,146	3,737	7.7
Total	43,799	4,944	48,743	100

Disposition

Records were disclosed either in full or in part for 88 percent of closed ATI requests. This is an increase of 4 percent from the 2018 to 2019 fiscal year.

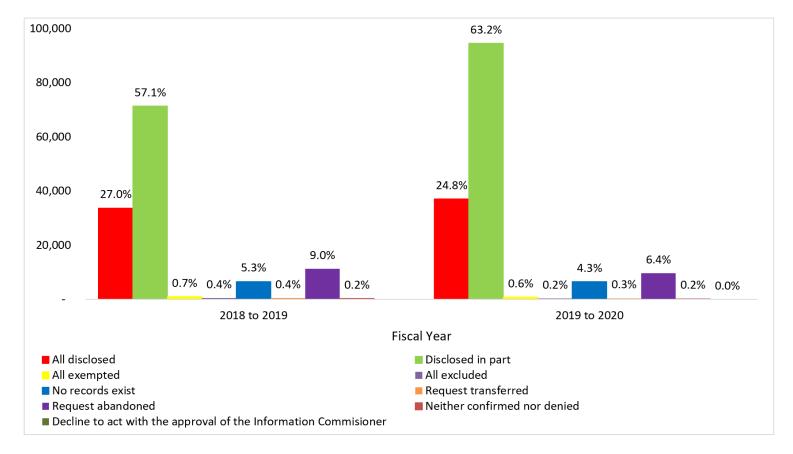
Table 10 shows the disposition of closed ATI requests for fiscal year 2018 to 2019 and fiscal year 2019 to 2020.

Table 10: disposition of closed ATI requests, fiscal year 2018 to 2019 and fiscal year 2019 to 2020

	Requests as	percentage	Number of	lumber of requests	
Disposition of closed requests	2018 to 2019	2019 to 2020	2018 to 2019	2019 to 2020	
All disclosed	27.0	24.8	33,709	37,060	
Disclosed in part	57.1	63.2	71,353	94,588	
All exempted	0.7	0.6	925	841	
All excluded	0.4	0.2	483	343	
No records exist	5.3	4.3	6,590	6,483	
Request transferred	0.4	0.3	486	408	
Request abandoned	9.0	6.4	11,243	9,582	
Neither confirmed nor denied	0.2	0.2	271	264	
Decline to act with the approval of the Information Commissioner	N/A	0.0	N/A	1	
Total	100	100	125,060	149,570	

Figure 7 shows the disposition of closed ATI requests in fiscal year 2018 to 2019 and fiscal year 2019 to 2020.

Figure 7: disposition of closed ATI requests, fiscal year 2018 to 2019 and fiscal year 2019 to 2020



	2018-19	2019-20
All disclosed	27.0%	24.8%
Disclosed in part	57.1%	63.2%
All exempted	0.7%	0.6%
All excluded	0.4%	0.2%
No records exist	5.3%	4.3%
Request transferred	0.4%	0.3%
Request abandoned	9.0%	6.4%
Neither confirmed nor denied	0.2%	0.2%
Decline to act with the approval of the Information Commisioner	0.0%	0.0%

Complexity

In the 2019 to 2020 fiscal year, government institutions processed about 32.6 million pages for closed ATI requests, an increase of about 17 million pages over the 2018 to 2019 fiscal year. The increase is largely attributable to one institution closing three ATI requests on the same subject involving nearly 15 million pages in total.

Government institutions undertook consultations with parties outside the federal government in 6.4 percent of all closed ATI requests. This is a decrease of 2 percent from the 2018 to 2019 fiscal year.

Table 11 shows the number of relevant pages processed and disclosed in response to ATI requests closed in fiscal year 2018 to 2019 and fiscal year 2019 to 2020.

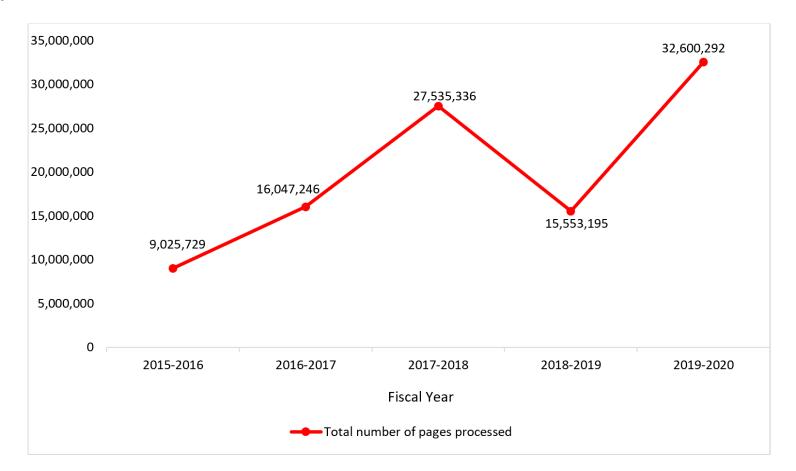
Table 11: relevant pages processed and disclosed in response to ATI requests closed in fiscal year 2018 to 2019 and fiscal year 2019 to 2020

	Number of pages processed Number of pa		ges disclosed	disclosed Number of requests a				
	2018 to 2019	2019 to 2020	2018 to 2019	2019 to 2020	2018 to 2019	2019 to 2020		
Total	15,553,195	32,600,292 <u>b</u>	10,791,635	13,271,901	117,713	142,678		
The total number of requests for each reporting period reflects the total number of requests closed in each period with the exception of those categorized as "no records exist," "request transferred" or "neither confirmed nor denied."								

Figure 8 shows the number of pages processed in response to closed ATI requests from fiscal year 2015 to 2016 to fiscal year 2019 to 2020.

processing three requests on the same subject involving nearly 15 million pages in total.

Figure 8: number of pages processed in response to closed ATI requests, from fiscal year 2015 to 2016 to fiscal year 2019 to 2020



▼ Figure 8 - 16	ext version
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	2015-2016	2016-2017	2017-2018	2018-2019	2019-2020
Total number of pages processed	9,025,729	16,047,246	27,535,336	15,553,195	32,600,292

Note: The number of pages processed rose significantly in the 2017 to 2018 fiscal year due to 1 request of approximately 14.8 million pages. The number of pages once again rose significantly in the 2019 to 2020 fiscal year due to one institution closing three requests on the same subject involving nearly 15 million pages in total.

Table 12 shows the number of complex ATI requests closed in fiscal year 2019 to 2020, by disposition.

Table 12: complex ATI requests closed in fiscal year 2019 to 2020, by disposition

Disposition	Consultation required	Assessment of fees	Legal advice sought	Other 1	Total
All disclosed	1,410	3	36	326	1,775
Disclosed in part	7,577	6	378	1,042	9,003
All exempted	100	1	22	27	150
All excluded	146	0	14	9	169
Request abandoned	279	7	17	101	404
Neither confirmed nor denied	6	0	3	6	15
Total	9,518	17	470	1,511	11,516

1 Other considerations include requests for the contents of a database, requests to process audio or video recordings, high-profile subject matter, instances in which records are located in another region or country, and instances in which the records are in a language other than English or French.

Extensions

49.3 percent of all extensions taken for closed requests cited paragraph 9(1)(a) of the *Access to Information Act* as a reason for the extension, relating to interference with government operations. 19.1 percent of all extensions taken for closed requests were for 30 days or less; 93.3 percent were for 120 days or less.

Table 13 shows the number of ATI requests that were closed in fiscal year 2019 to 2020 where extensions were invoked, by length of the extension.

Table 13: ATI requests closed in fiscal year 2019 to 2020 with reasons for and length of extensions

		9(1)(b) - Con	sultation		
Length of extensions	9(1)(a) - Interference with operations	Section 69	Other <u>c</u>	9(1)(c) - Third-party notice	Total
30 days or less	2,879	59	1,023	113	4,074
31 to 60 days	3,243	174	4,271	1,824	9,512
61 to 120 days	3,795	534	1,676	290	6,295
121 to 180 days	274	120	379	56	829
181 to 365 days	241	36	141	32	450
365 days or more	74	10	55	13	152
Total	10,506	933	7,545	2,328	21,312

- The timelines associated with extensions begin at the end of the initial 30-day response period.
- <u>b</u> Section 69 of the *Access to Information Act* states that the act does not apply to confidences of the Queen's Privy Council for Canada.
- "Other" includes consultations with one or more of the following: other federal government institutions, provincial and municipal governments, foreign states, international organizations of states, Aboriginal governments, non-governmental organizations or individuals.

Consultations

The number of ATI requests for consultation between federal government institutions in the 2019 to 2020 fiscal year increased by 5.1 percent from the 2018 to 2019 fiscal year. The number of pages to review decreased by 16.2 percent.

Table 14 shows the number of ATI requests for consultation in fiscal year 2018 to 2019 and fiscal year 2019 to 2020 between government institutions and the number of pages reviewed in connection with those requests.

Table 14: number of ATI requests for consultation and number of pages reviewed in connection with those requests, fiscal year 2018 to 2019 and fiscal year 2019 to 2020

	Number of requests for consultations		Number of pages to review	
Consultations	2018 to 2019	2019 to 2020	2018 to 2019	2019 to 2020
Received during reporting period	9,692	10,187	480,689	402,943
Outstanding from the previous reporting period	1,434	1,759	271,251	227,884
Total	11,126	11,946	751,940	630,827
Closed during the reporting period	9,356	9,980	490,453	435,841
Pending at the end of the reporting period	1,766	1,966	261,416	195,021

Table 15 shows, by recommendation, the number of ATI requests for consultation that were closed within particular timeframes in fiscal year 2019 to 2020.

Table 15: requests for consultation between government institutions closed within particular timeframes in fiscal year 2019 to 2020, by recommendation

		Number of days required to close consultation requests						
Recommendation	1 to 15 days	16 to 30 days	31 to 60 days	61 to 120 days	121 to 180 days	181 to 365 days	More than 365 days	Total
Disclose entirely	2,494	1,689	981	410	90	49	10	5,723
Disclose in part	602	833	840	520	154	152	110	3,211
Exempt entirely	58	37	31	18	5	1	2	152
Exclude entirely	16	9	2	5	1	0	1	34
Consult other institution	61	47	45	25	3	3	5	189
Other	297	78	73	109	34	62	18	671

	tional government institutions subject to the Access to Information Act.
Exemptions 1	
The tables that follow show the numb	er of ATI requests closed in fiscal year 2019 to 2020 in which specific exemptions
under the Access to Information Act we	re invoked.
Table 16a: exemptions for inform	nation obtained in confidence
Provision	Number of requests
13(1)(a)	7,845
13(1)(b)	246
13(1)(c)	660
13(1)(d)	248
13(1)(e)	33
Table 16b: exemptions for feder	al-provincial affairs
Provision	Number of requests
14	546
14(a)	356
14(b)	151
Table 16c: exemptions for interr	ational affairs and defence
Provision	Number of requests
15(1)	12,550

Table 16d: exemptions for law enforcement and investigations

15(1) International Affairs

15(1) Subversive activities

15(1) Defence

Total

3,528

2,693

1,972

1,087

287

267

146 9,980

1,160

418

2,055

Provision	Number of requests
16(1)(a)(i)	1,025
16(1)(a)(ii)	413
16(1)(a)(iii)	454
16(1)(b)	845
16(1)(c)	26,229
16(1)(d)	62

16(2)	1,463
16(2)(a)	21
16(2)(b)	12
16(2)(c)	2,723
16(3)	2
16.1(1)(a)	9
16.1(1)(b)	15
16.1(1)(c)	24
16.1(1)(d)	22
16.2(1)	10
16.3	8
16.31	0
16.4(1)(a)	1
16.4(1)(b)	0
16.5	16
16.6	8
Table 16e: exemptions for safety	
	NI I f
Provision	Number of requests
Provision 17	Number of requests 33,990
Table 16f: exemptions for econor	33,990
17	33,990
Table 16f: exemptions for econor	mic interests of Canada
Table 16f: exemptions for econor Provision 18(a) 18(b)	mic interests of Canada Number of requests
Table 16f: exemptions for econor Provision 18(a) 18(b) 18(c)	mic interests of Canada Number of requests 145
Table 16f: exemptions for econor Provision 18(a) 18(b)	mic interests of Canada Number of requests 145 454
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Table 16f: exemptions for econor Provision 18(a) 18(b) 18(c) 18(d) 18.1(1)(a) 18.1(1)(b) 18.1(1)(c) 18.1(1)(d)	Number of requests 145 454 11 229 34 35 9 8
Table 16f: exemptions for econor Provision 18(a) 18(b) 18(c) 18(d) 18.1(1)(a) 18.1(1)(b) 18.1(1)(c) 18.1(1)(d) Table 16g: exemptions for person	Number of requests 145 454 11 229 34 35 9 8 8 11 11 11 11 1
Table 16f: exemptions for econor Provision 18(a) 18(b) 18(c) 18(d) 18.1(1)(a) 18.1(1)(b) 18.1(1)(c) 18.1(1)(d) Table 16g: exemptions for person Provision	Number of requests 145 454 11 229 34 35 9 8

20(1)(a)	114
20(1)(b)	2,384
20(1)(b.1) 20(1)(c) 20(1)(d)	73
20(1)(c)	2,077
20(1)(d)	523
20.1	6
20.2	0
20.4	0

Table 16i: exemptions for operations of government

Provision	Number of requests
21(1)(a)	3,516
21(1)(b)	3,988
21(1)(c)	855
21(1)(d)	390

Table 16j: exemptions for testing procedures, tests and audits

Provision	Number of requests
22	221
22.1(1)	41

Table 16k: exemptions for solicitor-client privilege

Provision	Number of requests
23	2,040

Table 16l: exemptions for protected information (patents and trade-marks)

Provision	Number of requests
23.1	18

Table 16m: exemptions for statutory prohibitions

Provision	Number of requests
24(1)	2,205

Table 16n: exemptions for information to be published

Provision	Number of requests
26	112

The tables that follow show the number of ATI requests closed in fiscal year 2019 to 2020 in which specific exclusions under the *Access to Information Act* were invoked.

Table 17a: exclusions for non-application (Act does not apply to certain materials)

Provision	Number of requests
68(a)	453
68(b)	19
68(c)	14
68.1	64
68.2(a) 68.2(b)	1
68.2(b)	0

Table 17b: exclusions for Cabinet confidences

Provision	Number of requests
69(1)	78
69(1)(a)	201
69(1)(b)	12
69(1)(c)	67
69(1)(d)	140
69(1)(e)	312
69(1)(f)	45
69(1)(g) re (a)	1,017
69(1)(g) re (b)	27
69(1)(g) re (c)	636
69(1)(g) re (d)	304
69(1)(g) re (e)	528
69(1)(g) re (f)	291

Table 17c: exclusions for certificate under the Canada Evidence Act

Provision	Number of requests
69.1(1)	3

Fees and costs

The annual cost to administer the Government of Canada's access to information program increased by 5.4 percent to about \$78.5 million in the 2019 to 2020 fiscal year, with the average cost per closed request declining by 11.9 percent to about \$525 per request.

Table 18 shows the fees and costs associated with administering the *Access to Information Act* for the 2018 to 2019 fiscal year and the 2019 to 2020 fiscal year.

Table 18: fees and costs of Access to Information Act operations, fiscal year 2018 to 2019 and fiscal

year 2019 to 2020

	Amount	
Description	2018 to 2019	2019 to 2020
Cost of institutions' operations a	\$73,373,705	\$77,469,191
Cost of ATIP Online Request Service b	\$1,079,623	\$987,919
Total	\$74,453,328	\$78,457,110
Requests closed	125,060	149,570
Average cost per closed request	\$595.34	\$524.55
Pages processed	15,553,195	32,600,292
Average cost per processed page	\$4.79	\$2.41
Number of requests in which fees were collected	116,443	148,791
Fees collected	\$582,473	\$743,955
Average fees collected per request	\$5.00	\$5.00
Number of requests in which fees were waived or refunded	7,093	5,584
Fees waived or refunded	\$40,968	\$35,319
Average fees waived or refunded per request	\$5.78	\$6.33

- Cost of operations includes salaries, overtime, goods and services, contracts and all other expenses specific to access to information and privacy offices. Cost of operations does not include costs associated with time spent by business areas searching for and reviewing records.
- <u>b</u> The 2018 to 2019 fiscal year is the first year in which the cost of the ATIP Online Request Service (AORS) is reported.
- This calculation uses the cost of operations in the reporting period to obtain the average cost per closed request. Because the cost of operations also includes the processing costs of some requests that will be carried over into the next reporting period, the average cost per closed request is an approximation.

Informal requests for government information

An informal request is a request for information made to a government institution subject to the *Access to Information Act* that is either not made or not processed under the Act.

Informal requests include:

- formal requests that are discontinued in favour of providing information informally, in consultation with the requester
- requests where the information sought is already publicly available online
- requests where the response involves re-releasing information that was made available in response to previously closed formal requests, summaries of which are found online.

Informal requests do **not** include:

- responses to parliamentary questions or media enquiries
- requests made internally within a government institution to share information between sectors

Unlike in the case of formal requests:

- fees cannot be charged for informal requests
- there are no deadlines for responding to informal requests
- requesters have no statutory right of complaint to the Information Commissioner

In the 2019 to 2020 fiscal year, 58.4 percent of informal requests were closed within 30 days. This is a decrease of 1.2 percent from the 2018 to 2019 fiscal year.

Table 19 shows the time required to close informal requests in the 2019 to 2020 fiscal year.

Table 19: time required to close informal requests, fiscal year 2019 to 2020

		Closure time						
	1 to 15				181 to 365 More than 3 days	More than 365 days	Total	
Number of requests	7,541	3,135	2,245	1,641	547	1,255	1,930	18,294

Access to Information Act requests since 1983

The statistics that follow reflect the best available data since 1983. The categories have been adjusted in some cases in order to compare data across the years.

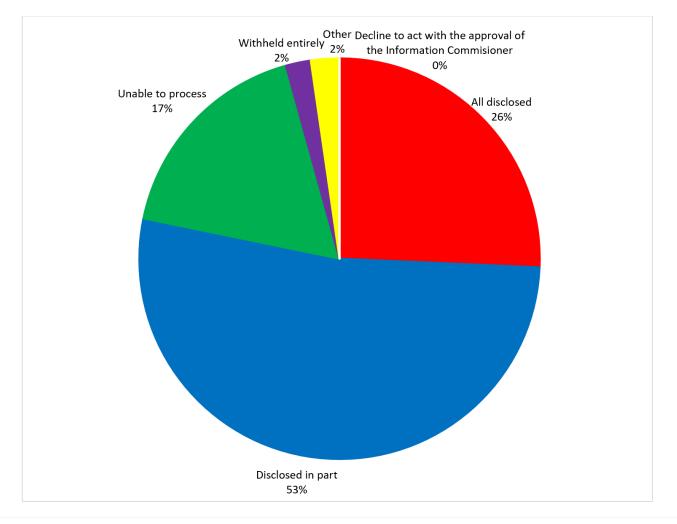
Table 20 shows the number of ATI requests received and closed since 1983.

Table 20: number of ATI requests since 1983

ATI Requests	Number of requests
Requests received	1,259,174
Requests closed	1,221,486

Figure 9 shows the disposition of ATI requests closed since 1983.

Figure 9: disposition of closed ATI requests since 1983



▼ Figure 9 - Text version

Disposition of closed requests	Requests as percentage
All disclosed	26%
Disclosed in part	53%
Unable to process	17%
Withheld entirely	2%
Other	2%
Decline to act with the approval of the Information Commissioner	0%

Notes:

- "Unable to process" includes the categories "no records exist," 3 "abandoned" 4 and "requests that could not be processed." 5
- "Withheld entirely" includes the categories "all exempted" and "all excluded."
- "Other" includes the categories "transferred," "neither confirmed nor denied" and "treated informally."
- In June 2019, the *Access to Information Act* was amended to enable institutions to seek the Information Commissioner's approval to decline to act on requests that are vexatious, made in bad faith, or an abuse of the right of access. In the 2019 to 2020 fiscal year, one request was closed with the disposition 'Decline to act with the approval of the Information Commissioner'.

Table 21 shows the disposition of ATI requests closed since 1983.

Table 21: disposition of closed ATI requests since 1983

Disposition of closed requests	Requests as percentage	Number of requests
Disclosed in part	52.5	641,779
All disclosed	25.7	313,794
All exempted	1.5	18,151
All excluded	0.5	6,449
No records exist	4.6	56,653
Abandoned	5.1	61,958
Transferred	1.0	12,147
Neither confirmed nor denied	0.1	1,791
Decline to act with the approval of the Information Commissioner	0	1
Treated informally a	1.2	14,057
Requests that could not be processed a	7.8	94,706
Total	100	1,221,486

<u>a</u> The number of requests has remained constant since the 2013 to 2014 fiscal year.

Table 22 shows ATI requests closed since 1983, by the number of days required to close them.

Table 22: time required to close ATI requests since 1983

Closure time (including requests for which extensions were required)	Requests as percentage	Number of requests
0 to 30 days	58.7	716,540
31 to 60 days	20.6	251,480
61 days or more	20.8	253,466
Total	100	1,221,486

Table 23 shows the fees and costs associated with administering the Access to Information Act since 1983.

Table 23: fees and costs of *Access to Information Act* since 1983

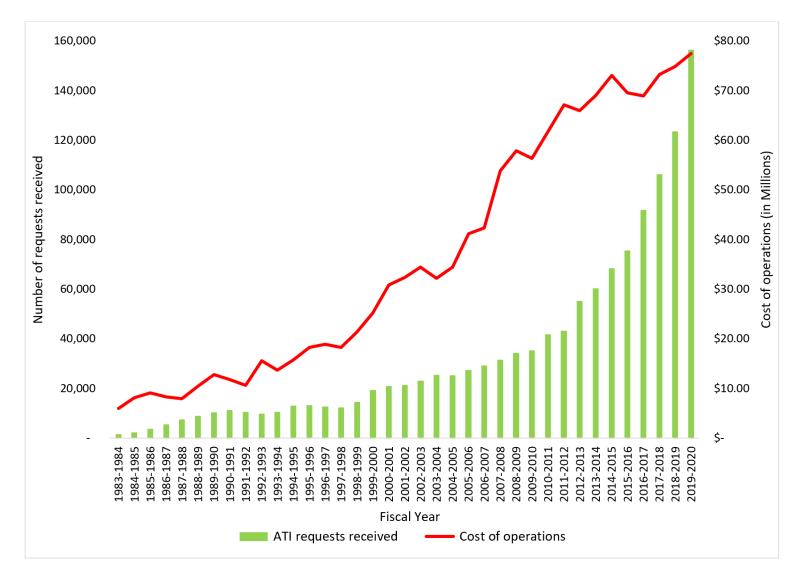
Descriptions	Amount
Requests closed	1,221,486
Cost of operations a	\$1,349,384,830.17
Average cost per closed request b	\$1,104.71
Fees collected	\$9,116,879.02
Average fees collected per closed request	\$7.46
Fees waived or refunded	\$3,727,433.94
Average fees waived or refunded per closed request d	\$3.05

- <u>a</u> This amount is adjusted to the rate of inflation. Before the 2018 to 2019 reporting period, the cost of operations was not adjusted to the rate of inflation.
- This calculation uses the cost of operations to obtain the average cost per closed request since 1983.

 Because the cost of operations also included the processing costs of some requests that were carried over to the next reporting period, the average cost per closed request is an approximation.
- This calculation uses the amount of fees collected to obtain the average fees collected per closed request since 1983. However, in actuality, fees would not have been collected for every closed request.
- <u>d</u> This calculation uses the amount of fees waived or refunded since 1983 to obtain the average fees waived or refunded per closed request. However, in actuality, fees would not have been waived for every closed request.

Figure 10 shows ATI requests received and cost of *Access to Information Act* operations, from the 1983 to 1984 fiscal year to the 2019 to 2020 fiscal year.

Figure 10: ATI requests received and cost of *Access to Information Act* operations, from fiscal year 1983 to 1984 to fiscal year 2019 to 2020



▼ Figure 10 - Text Version					
Year	Cost of operations (inflation adjusted)	ATI requests received			

1983-1984	\$5.95	1,513
1984-1985	\$8.14	2,229
1985-1986	\$9.08	3,606
1986-1987	\$8.31	5,450
1987-1988	\$7.91	7,301
1988-1989	\$10.42	8,853
1989-1990	\$12.78	10,234
1990-1991	\$11.84	11,093
1991-1992	\$10.66	10,387
1992-1993	\$15.54	9,729
1993-1994	\$13.73	10,422
1994-1995	\$15.75	12,861
1995-1996	\$18.25	13,124
1996-1997	\$18.89	12,476
1997-1998	\$18.24	12,206
1998-1999	\$21.43	14,340
1999-2000	\$25.17	19,294
2000-2001	\$30.87	20,789
2001-2002	\$32.39	21,265
2002-2003	\$34.48	22,977
2003-2004	\$32.18	25,234
2004-2005	\$34.47	25,207
2005-2006	\$41.17	27,269
2006-2007	\$42.36	29,182
2007-2008	\$53.86	31,487
2008-2009	\$57.94	34,041
2009-2010	\$56.37	35,154
2010-2011	\$61.79	41,641
2011-2012	\$67.11	43,194
2012-2013	\$65.98	55,145
2013-2014	\$69.05	60,105
2014-2015	\$73.10	68,193
2015-2016	\$69.54	75,387
2016-2017	\$68.95	91,880
2017-2018	\$73.29	106,255
2018-2019	\$74.90	123,421
2019-2020	\$77.47	156,222

Note: cost of operations in million dollars

Privacy Act statistics for the 2019 to 2020 fiscal year

Requests under the Privacy Act

A total of 78,541 personal information requests under the *Privacy Act* were received in the 2019 to 2020 fiscal year, an increase of 5.3 percent from the 2018 to 2019 fiscal year. There was also a total of 27,346 personal information requests that were outstanding from the 2018 to 2019 fiscal year, an overall decrease of 1 percent from the outstanding requests from the previous fiscal year.

Of the 105,887 personal information requests that were either received in the 2019 to 2020 fiscal year or outstanding from the previous period, 70.1 percent were closed. This is a decrease of 3.2 percent from the 2018 to 2019 fiscal year: of the 102,137 requests that were either received in the 2018 to 2019 fiscal year or outstanding from the previous period, 73.3 percent were closed.

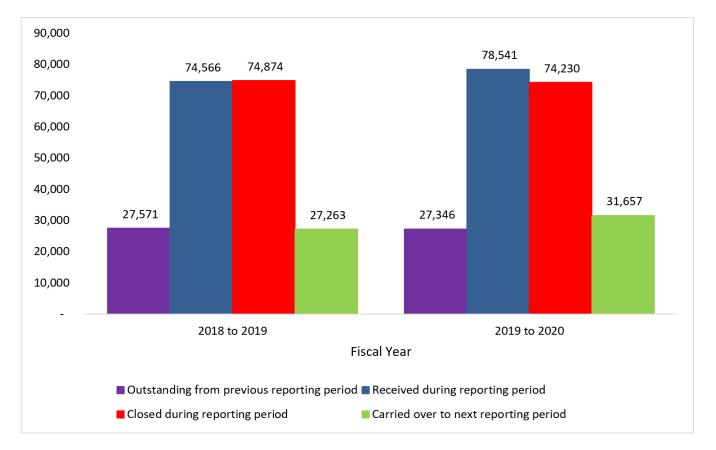
Table 24 shows the number of personal information requests received, closed and carried over for the 2019 to 2020 fiscal year.

Table 24: personal information requests received, closed and carried over, fiscal year 2019 to 2020

Personal information requests	Number of requests
Outstanding from 2018 to 2019 reporting period a	27,346
Received during 2019 to 2020 reporting period	78,541
Total	105,887
Closed during 2019 to 2020 reporting period	74,230
Carried over to 2020 to 2021 reporting period	31,657
Due to administrative errors, there are small inconsistencies between the 2019 fiscal year and the statistical data for the 2019 to 2020 fiscal year regoutstanding at the end of the 2018 to 2019 reporting period.	

Figure 11 shows the number of personal information requests received, closed and carried over from the 2018 to 2019 fiscal year to 2019 to 2020 fiscal year.

Figure 11: personal information requests received, closed and carried over, fiscal year 2018 to 2019 and fiscal year 2019 to 2020

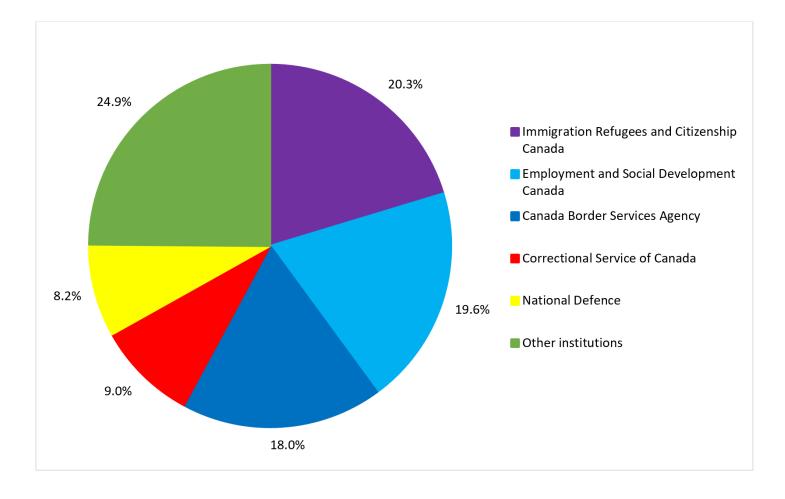


	2018 to 2019	2019 to 2020
Outstanding from previous reporting period	27,571	27,34
Received during reporting period	74,566	78,54
Closed during reporting period	74,874	74,23
Carried over to next reporting period	27,263	31,65

Five government institutions received 75.1 percent of the 78,541 personal information requests received in the 2019 to 2020 fiscal year.

Figure 12 shows the proportion of personal information requests received by the five institutions that received the highest volume of requests, and all other government institutions, for the 2019 to 2020 fiscal year.

Figure 12: proportion of personal information requests received by the five institutions that received the highest volume of requests, and all other government institutions, fiscal year 2019 to 2020



Immigration Refugees and Citizenship Canada	20.3%
Employment and Social Development Canada	19.6%
Canada Border Services Agency	18.0%
Correctional Service of Canada	9.0%
National Defence	8.2%
Other institutions	24.9%

Table 25 shows the number of pages processed under the *Privacy Act* by the five institutions that received the highest volume of requests, and all other government institutions, for the 2019 to 2020 fiscal year.

Table 25: pages processed under the *Privacy Act* by the five institutions that received the highest volume of requests, and all other government institutions, fiscal year 2019 to 2020

Rank	Name of institution	Number of requests received	Requests received as percentage	Pages processed <u>a</u>
1	Immigration Refugees and Citizenship Canada	15,963	20.3	984,436
2	Employment and Social Development Canada	15,405	19.6	1,259,755
3	Canada Border	14,102	18.0	547,551

Total		78,541	100	9,282,133
Other	institutions	19,533	24.9	3,661,773
5	National Defence	6,475	8.2	2,381,632
4	Correctional Service of Canada	7,063	9.0	446,986
	Services Agency			

<u>a</u>

The number of pages processed per government institution represents the total processed pages for closed requests. This does not include the number of pages processed for requests that were carried over into the next reporting period.

Timeliness

Of the 74,230 personal information requests closed in the 2019 to 2020 fiscal year, 78.9 percent were closed within the legislated timeline, including extensions. This is an increase of 2.3 percent from the 2018 to 2019 fiscal year.

Table 26 shows the number of personal information requests closed within legislated timelines, including extensions, in the 2018 to 2019 fiscal year and 2019 to 2020 fiscal year.

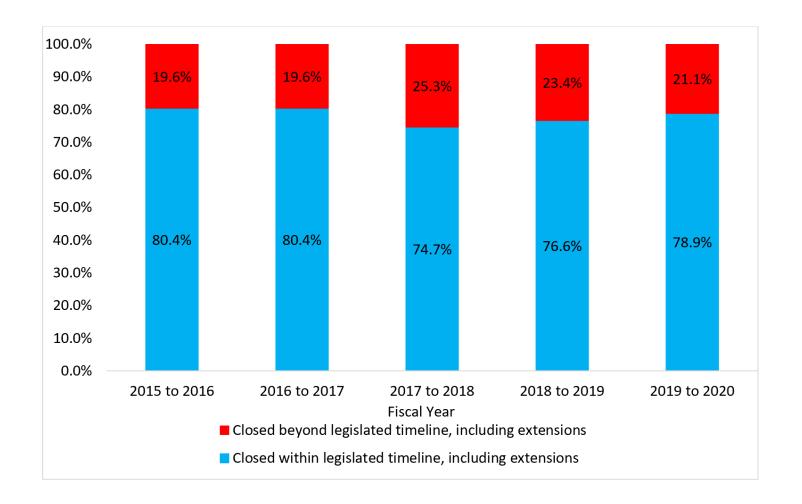
Table 26: status of closed personal information requests, fiscal year 2018 to 2019 and fiscal year 2019 to 2020

	Requests as	percentage	Number of requests		
Status of closed requests	2018 to 2019	2019 to 2020	2018 to 2019	2019 to 2020	
Closed within legislated timeline, including extensions	76.6	78.9	57,334	58,599	
Closed beyond legislated timeline, including extensions	23.4	21.1	17,540	15,631	
Total	100	100	74,874	74,230	

The percentage of personal requests closed within legislated timelines decreased by 1.5 percent over the last five fiscal years, from 80.4 percent in the 2015 to 2016 fiscal year to 78.9 percent in the 2019 to 2020 fiscal year.

Figure 13 shows personal information requests closed within legislated timelines, from the 2015 to 2016 fiscal year to the 2019 to 2020 fiscal year.

Figure 13: personal information requests closed within legislated timelines, from fiscal year 2015 to 2016 to fiscal year 2019 to 2020



▼ Figure 13 - Text version								
	2015 to 2016	2016 to 2017	2017 to 2018	2018 to 2019	2019 to 2020			
Closed within legislated timeline, including extensions	80.4%	80.4%	74.7%	76.6%	78.9%			
Closed beyond legislated timeline, including extensions	19.6%	19.6%	25.3%	23.4%	21.1%			

Another way to examine performance is to consider the number of institutions that meet the performance standard for personal information requests, which is to respond to 85 percent of requests within legislated timelines. Of the 99 institutions that closed personal information requests in the 2019 to 2020 fiscal year, 75 institutions met this standard, closing at least 85 percent of requests within legislated timelines. 24 institutions did not meet this standard, principally due to workload pressures within the organization. Of the 84 institutions that did not close any personal information requests in the 2019 to 2020 fiscal year, 83 institutions did not receive any requests and 1 institution received requests.

Table 27 shows the number of institutions that closed at least 85 percent of personal information requests within legislated timelines, from the 2015 to 2016 fiscal year to the 2019 to 2020 fiscal year.

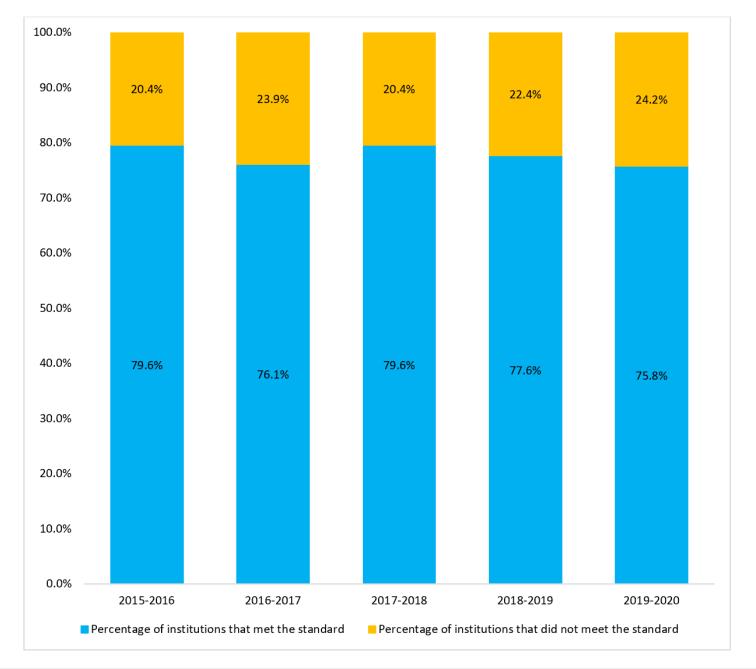
Table 27: number of institutions that closed at least 85 percent of personal information requests within legislated timelines, from fiscal year 2015 to 2016 to fiscal year 2019 to 2020

	2015 to	2016 to	2017 to	2018 to	2019 to
Number of institutions	2016	2017	2018	2019	2020

Number of institutions that closed at least 85 percent of requests within legislated timelines	78	70	74	66	75
Number of institutions that closed fewer than 85 percent of requests within legislated timelines	20	22	19	19	24
Number of institutions that did not close any requests	77	84	88	96	84
Total	175	176	181	181	183

Figure 14 shows the percentage of reporting institutions that closed at least 85 percent of personal information requests within legislated timelines, from the 2015 to 2016 fiscal year to the 2019 to 2020 fiscal year.

Figure 14: percentage of institutions that closed at least 85 percent of personal information requests within legislated timelines, from fiscal year 2015 to 2016 to fiscal year 2019 to 2020



▼ Figure 14 - Text version					
Based on 85% standard	2015- 2016	2016- 2017	2017-2018	2018-2019	2019-2020

Percentage of institutions that met the standard	79.6%	76.1%	79.6%	77.6%	75.8%
Percentage of institutions that did not meet the standard	20.4%	23.9%	20.4%	22.4%	24.2%

Table 28 shows the disposition of personal information requests, and the time required to close these requests, for the 2019 to 2020 fiscal year.

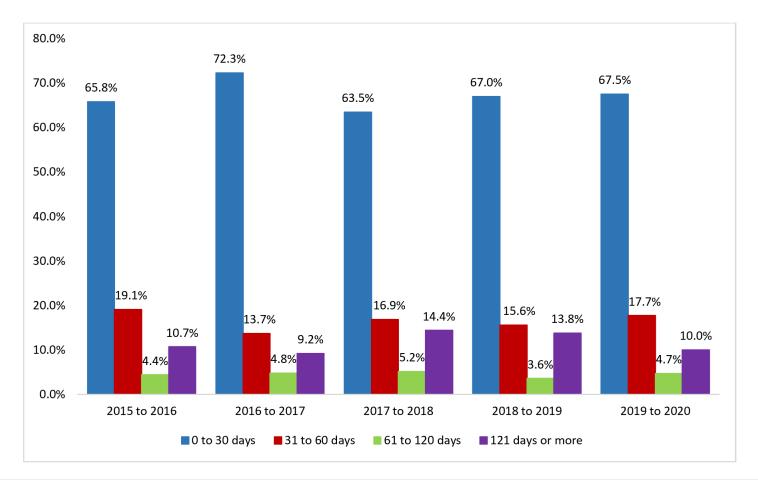
Table 28: disposition and time required to close personal information requests, fiscal year 2019 to 2020

	Closure time a								
Disposition of requests (including requests for which extensions were required)	1 to 15 days	16 to 30 days	31 to 60 days	61 to 120 days	121 to 180 days	181 to 365 days	More than 365 days	Total	
All disclosed	3,372	11,998	3,658	602	194	279	301	20,404	
Disclosed in part	5,301	15,389	7,774	2,324	824	1,548	2,397	35,557	
All exempted	138	139	57	29	16	22	25	426	
All excluded	1	2	1	1	1	0	0	6	
No records exist	5,064	1,800	613	249	95	181	224	8,226	
Request abandoned b	5,263	1,383	1,035	292	73	111	1,133	9,290	
Neither confirmed nor denied C	146	137	16	10	1	3	8	321	
Total	19,285	30,848	13,154	3,507	1,204	2,144	4,088	74,230	
Total percentage	26.0	41.6	17.7	4.7	1.6	2.9	5.5	100	

- <u>a</u> The number of days to close requests or consultations refers to calendar days.
- <u>b</u> An abandoned request is a request that has been formally withdrawn by the requester, or the requester did not respond to a notice indicating that the request will be closed if they do not respond within a specified time period.
- The category "neither confirmed nor denied" relates to requests for which subsection 16(1) of the *Privacy*Act was invoked: "The head of a government institution may but is not required to indicate under subsection 16(1) whether personal information exists."

Figure 15 shows the time required to close personal information requests from the 2015 to 2016 fiscal year to the 2019 to 2020 fiscal year

Figure 15: Time required to close personal information requests from fiscal year 2015 to 2016 to fiscal year 2019 to 2020



▼ Figure 15 - Text version

<u>a</u>

	2015 to 2016	2016 to 2017	2017 to 2018	2018 to 2019	2019 to 2020
0 to 30 days	65.8%	72.3%	63.5%	67.0%	67.5%
31 to 60 days	19.1%	13.7%	16.9%	15.6%	17.7%
61 to 120 days	4.4%	4.8%	5.2%	3.6%	4.7%
121 days or more	10.7%	9.2%	14.4%	13.8%	10.0%

Table 29 shows the principal reason that personal information requests were closed beyond the legislated timeline for the 2019 to 2020 fiscal year

Table 29: Principal reason that personal information requests were closed beyond legislated timeline for fiscal year 2019 to 2020

Principal reason	Total number of requests closed beyond the legislated timelines including extensions
Workload	14,078
External consultation	148
Internal consultation	215
Other a	1,190
Total	15,631

disputes and lengthy power outages.

Note: requests that were closed neither in the initial 30-day legislated timeframe nor within the timeframe covered by an extension.

Of the 15,631 personal information requests closed beyond the legislated timeline, 73.4 percent were past the timeline with no extension taken. This is a decrease of 11.9 percent from the 2018 to 2019 fiscal year.

Table 30 shows the number of personal information requests that were closed beyond the legislated timeline in the 2019 to 2020 fiscal year, by number of days past the timeline.

Table 30: number of days past deadline for personal information requests closed beyond the legislated timeline including extensions, fiscal year 2019 to 2020

Number of days past legislated timeline	Number of requests past legislated timeline where no extension was taken	Number of requests past legislated timeline where an extension was taken	Total requests closed beyond the legislated timeline including extensions	Total as percentage
1 to 15 days	4,589	547	5,136	32.9
16 to 30 days	570	305	875	5.6
31 to 60 days	926	445	1,371	8.8
61 to 120 days	753	511	1,264	8.1
121 to 180 days	832	307	1,139	7.3
181 to 365 days	1,793	586	2,379	15.2
More than 365 days	2,016	1,451	3,467	22.2
Total	11,479	4,152	15,631	100

Disposition

Records were disclosed either in full or in part for 75.4 percent of closed personal information requests. This is an increase of 1.2 percent from the 2018 to 2019 fiscal year.

Table 31 shows the disposition of closed personal information requests for the 2018 to 2019 fiscal year to the 2019 to 2020 fiscal year.

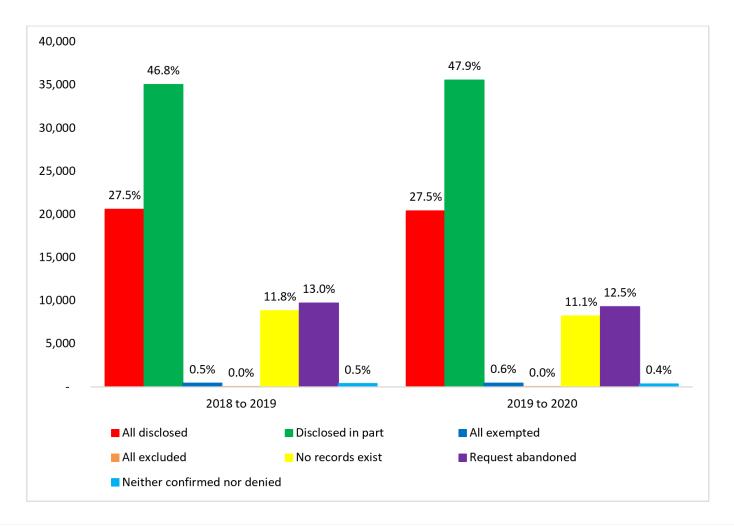
Table 31: disposition of closed personal information requests, fiscal year 2018 to 2019 and fiscal year 2019 to 2020

Disposition of closed requests	Requests as	percentage	Number of requests		
	2018 to 2019	2019 to 2020	2018 to 2019	2019 to 2020	
All disclosed	27.5	27.5	20,567	20,404	
Disclosed in part	46.8	47.9	35,021	35,557	
All exempted	0.5	0.6	397	426	
All excluded	0.0	0.0	14	6	

No records exist	11.8	11.1	8,812	8,226
Request abandoned	13.0	12.5	9,712	9,290
Neither confirmed nor denied	0.5	0.4	351	321
Total	100	100	74,874	74,230

Figure 16 shows the disposition of closed personal information requests for the 2018 to 2019 fiscal year to the 2019 to 2020 fiscal year.

Figure 16: disposition of closed personal information requests, fiscal year 2018 to 2019 and fiscal year 2019 to 2020



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	2018-19 %	2019-20 %
All disclosed	27.5%	27.5%
Disclosed in part	46.8%	47.9%
All exempted	0.5%	0.6%
All excluded	0.0%	0.09
No records exist	11.8%	11.19
Request abandoned	13.0%	12.5%
Neither confirmed nor denied	0.5%	0.49

Complexity

In the 2019 to 2020 fiscal year, government institutions processed about 9.3 million pages for closed personal information requests.

Government institutions undertook consultations with parties outside the federal government in 1.5 percent of all closed personal information requests.

Table 32 shows the number of relevant pages processed and disclosed in response to personal information requests closed in the 2018 to 2019 fiscal year and the 2019 to 2020 fiscal year, by manner of disposition.

Table 32: relevant pages processed and disclosed in response to personal information requests closed in fiscal year 2018 to 2019 and fiscal year 2019 to 2020, by disposition

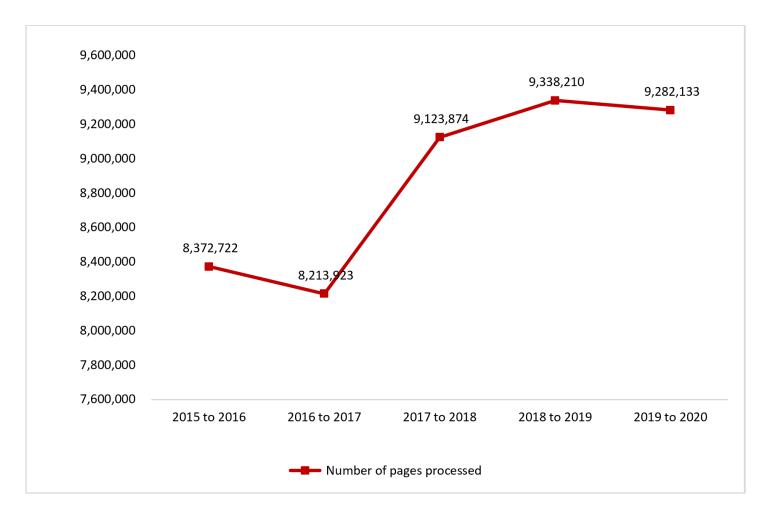
	Number of pag	ges processea	Number of pa	ges disclosed	Number of r	equests 😐
	2018 to 2019	2019 to 2020	2018 to 2019	2019 to 2020	2018 to 2019	2019 to 2020
Total	9,338,210	9,282,133	7,724,545	7,530,019	65,711	66,004

The total number of requests for each reporting period reflects the total number of requests closed in each period with the exception of those categorized as "no records exist" or "neither confirmed nor denied."

Note: Institutions subject to the *Privacy Act* may receive and process duplicate records and, in the end, release a single copy of the duplicates to the requester.

Figure 17 shows the number of pages processed in response to closed personal information requests from fiscal year 2015 to 2016 to fiscal year 2019 to 2020.

Figure 17: number of pages processed in response to closed personal information requests, from fiscal year 2015 to 2016 to fiscal year 2019 to 2020



▼ Figure 17 - Text version					
	2015 to 2016	2016 to 2017	2017 to 2018	2018 to 2019	2019 to 2020
Number of pages processed	8,372,722	8,213,923	9,123,874	9,338,210	9,282,133

Table 33: complex personal information requests closed in fiscal year 2019 to 2020, by disposition

Disposition	Consultation required	Legal advice sought	Interwoven information	Other <u>b</u>	Total
All disclosed	182	1	56	254	493
Disclosed in part	850	29	9,404	118	10,401
All exempted	9	4	6	1	20
All excluded	0	0	0	1	1
Request abandoned	35	4	105	55	199
Neither confirmed nor denied	24	3	2	0	29
Total	1,100	41	9,573	429	11,143

The category "interwoven information" includes requests wherein the relevant records contain personal information about another individual that is blended or intermixed with the personal information of the requester.

<u>a</u>



Other considerations include requests for the contents of a database, requests to process audio or video recordings, high profile subject matter, instances in which records are located in another region or country, and instances in which the records are in a language other than English or French.

Consultations

The number of requests for consultations between federal government institutions decreased by 4.9 percent from the 2018 to 2019 fiscal year. The number of pages to review decreased by 1.5 percent.

Table 34 shows the number of personal information requests for consultation in the 2018 to 2019 fiscal year to the 2019 to 2020 fiscal year between government institutions and the number of pages reviewed in connection with those requests.

Table 34: number of requests for consultation and number of pages reviewed in connection with those requests, fiscal year 2018 to 2019 and fiscal year 2019 to 2020

	Number of requests for consultation		Number of pages to review			
Consultations	2018 to 2019	2019 to 2020	2018 to 2019	2019 to 2020		
Received during reporting period	392	378	18,774	16,869		
Outstanding from the previous reporting period	38	31	36,307	37,386		
Total	430	409	55,081	54,255		
Closed during the reporting period	399	382	18,126	50,091		
Pending at the end of the reporting period	31	27	36,955	4,164		

Table 35 shows, by recommendation, the number of personal information requests for consultation that were closed within particular timeframes in fiscal year 2019 to 2020.

Table 35: requests for consultation between government institutions closed within particular timeframes in fiscal year 2019 to 2020, by recommendation

		Number of days required to close consultation requests							
Recommendation	1 to 15 days	16 to 30 days	31 to 60 days	61 to 120 days	121 to 180 days	181 to 365 days	More than 365 days	Tota	
Disclose entirely	90	38	12	1	0	0	1	142	
Disclose in part	103	45	36	9	2	2	2	199	
Exempt entirely	9	4	3	5	1	0	0	22	
Exclude entirely	0	0	0	0	0	0	0	0	
Consult other institution	2	2	0	0	0	0	0	4	
Other	7	1	4	0	0	0	3	15	
Total	211	90	55	15	3	2	6	382	

[&]quot;Consult other institution" refers to a government institution's recommendation that the institution that

consulted them contact additional government institutions subject to the Privacy Act.

Extensions

<u>a</u>

92.8 percent of all extensions taken for closed requests cited paragraph 15(a)(i) of the *Privacy Act* as a reason for the extension, relating to interference with government operations.

Table 36 shows the number of personal information requests that were closed in fiscal year 2019 to 2020 where extensions were invoked, by length of extension.

Table 36: personal information requests closed in fiscal year 2019 to 2020 with reasons for and length of extension

Length of	15(a)(i): Interference with operations				15(a)(ii)	: Consulta	15(b):	Total	
extension a	Further review required to determine exemptions	Large volume of pages	Large volume of requests	Documents are difficult to obtain	Cabinet Confidence (Section 70)	External	Internal	Translation purposes or conversion	
1 to 15 days	4	3	7	2	0	1	6	0	23
16 to 30 days	105	798	10,530	94	2	270	596	19	12,414
31 days or greater	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1	1
Total	109	801	10,537	96	2	271	602	20	12,438

<u>a</u> The *Privacy Act* provides for a maximum extension of 30 days.

Section 70 of the *Privacy Act* states that the act does not apply to confidences of the Queen's Privy Council for Canada.

Exemptions 6

The tables that follow show the number of personal information requests closed in the 2019 to 2020 fiscal year in which specific exemptions under the *Privacy Act* were invoked.

Table 37a: exemptions for exempt banks

Provision	Number of requests
18(2)	232

Table 37b: exemptions for personal information obtained in confidence

Provision	Number of requests
19(1)(a)	1,220
19(1)(b)	34

19(1)(e)	0
19(1)(f)	0
Table 37c: exemptions for federa	l-provincial affairs
Provision	Number of requests
20	5
Table 27d, evenutions for intern	ational affaire and defense
Table 37d: exemptions for intern	ational arrairs and defence
Provision	Number of requests
21	5,745
Z I	3,743

912

610

Table 37e: exemptions for law enforcement and investigation

19(1)(c)

19(1)(d)

Provision

	·
22(1)(a)(i)	1,569
22(1)(a)(ii)	399
22(1)(a)(iii)	20
22(1)(b)	5,599
22(1)(c)	592
22(2)	3
22.1	16
22.2	1
22.3	8
22.4	0

Number of requests

Table 37f: exemptions for security clearances

•	
Provision	Number of requests
23(a)	6
23(b)	0

Table 37g: exemptions for individuals sentenced for an offence

5 1	
Provision	Number of requests
24(a)	4
24(b)	168

Provision

Table 37h: exemptions for safety	of individuals
Provision	Number of requests

Table 37i: exemptions for information about another individual

Provision	Number of requests
26	29,074

Table 37j: exemptions for solicitor-client privilege

Provision	Number of requests
27	958
27.1	2

Table 37k: exemptions for medical records

Provision	Number of requests
28	25

Exclusions 7

The tables that follow show the number of personal information requests closed in the 2019 to 2020 fiscal year in which specific exclusions under the *Privacy Act* were invoked.

Table 38a: exclusions for non-application (Act does not apply to certain materials)

Provision	Number of requests
69(1)(a)	16
69(1)(b)	0

Table 38b: exclusions for Canadian Broadcasting Corporation

Provision	Number of requests
69.1	1

Table 38c: exclusions for Cabinet confidences

Provision	Number of requests
70(1)	1
70(1)(a)	2
70(1)(b)	0
70(1)(c)	1
70(1)(d)	0
70(1)(e)	1
70(1)(f)	0

Table 38d: exclusions for certificate under the *Canada Evidence Act*

Provision	Number of requests
70.1	0

Costs

The cost to administer the Government of Canada's privacy program increased by 13.6 percent to about \$55 million in the 2019 to 2020 fiscal year, with the average cost per closed request increasing by 14.6 percent to about \$741 per request.

Table 39 shows the costs associated with administering the *Privacy Act* for fiscal year 2018 to 2019 and fiscal year 2019 to 2020.

Table 39: cost of *Privacy Act* operations, fiscal year 2018 to 2019 and fiscal year 2019 to 2020

	Amount	
Description of costs	2018 to 2019	2019 to 2020
Cost of institutions' operations	\$47,995,307	\$54,607,942
Cost of ATIP Online Request Service b	\$419,853	\$384,191
Total	\$48,415,160	\$54,992,133
Requests closed	74,874	74,230
Average cost per closed request	\$646.62	\$740.83
Pages processed	9,338,210	9,282,133
Average cost per page processed	\$5.18	\$5.92

- <u>a</u> Cost of operations includes salaries, overtime, goods and services, contracts and all other expenses specific to Access to Information and Privacy offices. Cost of operations does not include costs associated with time spent by business areas searching for and reviewing records.
- <u>b</u> The 2018 to 2019 fiscal year is the first year in which the cost of the ATIP Online Request Service (AORS) is reported.
- This calculation uses the total cost of operations in the reporting period to obtain the average cost per closed request. Because the total cost of operations also includes the processing costs of some requests that will be carried over into the next reporting period, the average cost per closed request is an approximation.

Disclosures under subsections 8(2) and 8(5) of the Privacy Act

Subsection 8(1) of the *Privacy Act* states that "personal information under the control of a government institution shall not, without the consent of the individual to whom it relates, be disclosed by the institution except in accordance with this section." Subsection 8(2) of the Act, subject to other Acts of Parliament, provides for exceptions where it would be possible for government institutions to disclose personal information without consent. Subsection 8(5) of the Act requires institutions to notify the Privacy Commissioner in writing of any disclosure of personal information made pursuant to paragraph 8(2)(m) of the Act. These notifications should be done prior to the disclosure where reasonably practical or in any other cases forthwith.

Table 40 shows the number of disclosures made under paragraphs 8(2)(e) and 8(2)(m) and subsection 8(5) of the *Privacy Act* in the 2019 to 2020 fiscal year.

Table 40: disclosures under subsections 8(2) and 8(5) of the *Privacy Act*, fiscal year 2019 to 2020

Paragraph 8(2)(e)		Paragraph 8(2)(m) 🕒	Subsection 8(5)	Total
	5,686	230	180	6,096
<u>a</u>	control of a government instit on the written request of the	cy Act: "Subject to any other Act of Parlian tution may be disclosed to an investigat body, for the purpose of enforcing any law ne request specifies the purpose and described the purpose and descr	ive body specified in the regular of Canada or a province or	ılations,
<u>b</u>	control of a government instit the institution, (i) the public in	acy Act: "Subject to any other Act of Parlia cution may be disclosed for any purpose sterest in disclosure clearly outweighs any closure would clearly benefit the individua	where, in the opinion of the invasion of privacy that coul	head of d result

Requests for correction of personal information and notations

Subsection 12(2) of the *Privacy Act* provides individuals with the right to request that government institutions correct personal information under their control when the individual believes that it may contain errors or omissions. Alternatively, the individual may request that government institutions attach a notation to the information to reflect any corrections that were requested but not made.

Where the information has been disclosed to other individuals or organizations, government institutions are also required to advise them of the correction or notation.

Table 41 shows the number of requests for correction of personal information made to government institutions in the 2019 to 2020 fiscal year.

Table 41: requests for correction of personal information, fiscal year 2019 to 2020

Disposition of received requests	Amount
Requests for correction accepted	21
Notations attached	42
Total	63

Privacy impact assessments

Privacy impact assessments (PIAs) provide a framework to ensure that privacy is considered throughout the design or redesign of programs or service delivery. PIAs identify the extent to which proposals comply with all appropriate statutes, assist managers and decision makers in avoiding or mitigating privacy risks, and promote informed policy, program and system design choices.

Completed PIAs must be submitted to the Treasury Board of Canada Secretariat, as well as to the Office of the Privacy Commissioner.

Table 42 shows the number of PIAs completed by government institutions in the 2019 to 2020 fiscal year.

Table 42: number of privacy impact assessments completed, fiscal year 2019 to 2020

Privacy impact assessments	Amount
Number of PIAs completed a	77
"Completed" signifies privacy impact assessments that were submitted to both the Commissioner and the Information and Privacy Policy Division of the Treasury Bo	,

Personal information banks

Personal information banks (PIBs) are descriptions of personal information held by government institutions. The *Privacy Act* requires that PIBs describe all personal information about individuals that is organized and retrievable by a person's name or by an identifying number, symbol or other particular assigned only to that person. PIBs must include personal information that has been or is being used, or is available for use, to support a program or activity.

Institution-specific PIBs describe personal information contained in the records relating to the institution-specific programs of a government institution.

Standard PIBs describe personal information found in records created, collected and maintained by most government institutions to support common internal programs and activities, such as communications, travel and employment.

They are created by the Treasury Board of Canada Secretariat and government institutions can register against them.

Central PIBs describe personal information about members of the general public, as well as current and former employees from all or several government institutions. They are maintained by central government departments and agencies.

Table 43 shows the number of new PIBs, newly registered PIBs and the number of institutions registering new PIBs.

Table 43: new Personal information banks registered, fiscal year 2019 to 2020

Personal information banks	Amount
Number of new institution-specific PIBs registered	5
Number of times government institutions registered against standard PIBs	20
Number of central PIBs registered	0
Total number of new PIBs registered	25
Number of government institutions registering new PIBs	
At the date of this report's publication, there were 50 standard personal information banks main the Treasury Board of Canada Secretariat.	ntained by

Table 44 shows the number of PIBs active, created, terminated and modified during the 2019 to 2020 fiscal year.

Table 44: Personal Information Banks active, created, terminated and modified, fiscal year 2019 to 2020

Personal information banks	Amount

Active	2,250
Created	46
Terminated	15
Modified	110

Material Privacy Breaches

A breach is deemed a "material privacy breach" if the breach involves sensitive personal information and could reasonably be expected to cause serious injury or harm to the individual and/or involves a large number of affected individuals. Section 4 of the <u>Guidelines for Privacy Breaches</u> requires institutions to establish a process for the mandatory reporting of material privacy breaches to the Office of the Privacy Commissioner (OPC) and the Treasury Board of Canada Secretariat (TBS).

Table 45 shows the number of material privacy breaches reported to the Treasury Board of Canada Secretariat (TBS) and the Office of the Privacy Commissioner of Canada (OPC) in the 2019 to 2020 fiscal year.

Table 45: Material Privacy Breaches, fiscal year 2019 to 2020

Material Privacy Breaches	Amount		
Number of material privacy breaches reported to TBS	326		
Number of material privacy breaches reported to OPC			
This total reflects a correction to the statistical data submitted by Indigenous Services Canada and Crown-Indigenous Relations and Northern Affairs. Their statistical reports erroneously reported material privacy breaches for the reporting period of 2019-2020. Neither institutions had any reported material privacy breaches for this reporting period. The Annual Reports for these institutions tabled in Parliament include			

Privacy Act requests since 1983

this error.

The statistics that follow reflect the best available data since 1983. The categories have been adjusted in some cases in order to compare data across the years.

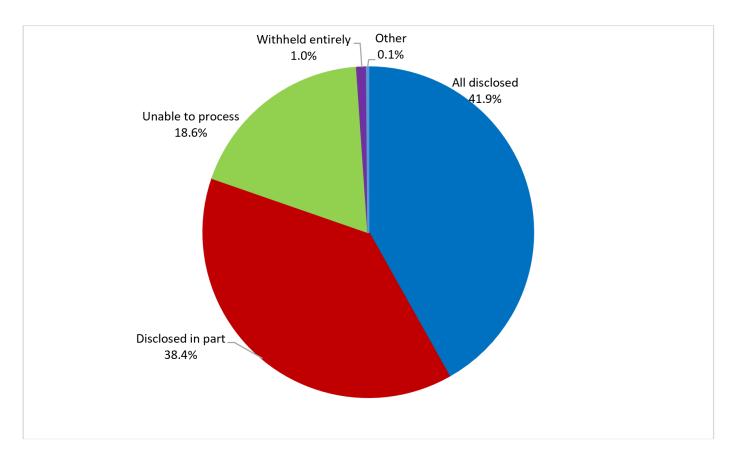
Table 46 shows the number of personal information requests received and closed since 1983.

Table 46: number of personal information requests since 1983

Requests under the <i>Privacy Act</i>	Number of requests
Requests received	1,797,553
Requests closed	1,765,744

Figure 18 shows the disposition of personal information requests closed since 1983.

Figure 18: disposition of closed personal information requests since 1983



	Disposition of closed <i>Privacy Act</i> requests since 1983	2019-20
All disclosed		41.9%
Disclosed in part		38.4%
Unable to process		18.6%
Withheld entirely		1.0%
Other		0.1%

Notes:

- "Unable to process" includes the categories "no records exist,&rdquo (** transferred," (** abandoned&rdquo and "requests that could not be processed." (** 11)
- "Withheld entirely" includes categories "all exempted" and "all excluded."
- "Other" consists of the category "neither confirmed nor denied."

Table 47 shows the disposition of personal information requests closed since 1983.

Table 47: disposition of closed personal information requests since 1983

Disposition of closed requests	Requests as percentage	Number of requests
All disclosed	41.9	739,336
Disclosed in part	38.4	678,853
All exempted	0.9	16,177
All excluded	0.0	680

No records exist	4.8	84,328		
Abandoned	6.2	110,189		
Neither confirmed nor denied	0.1	2,328		
Transferred a	1.8	32,188		
Requests that could not be processed a	5.8	101,665		
Total	100	1,765,744		
The number of requests has remained constant since the 2013 to 2014 fiscal year.				

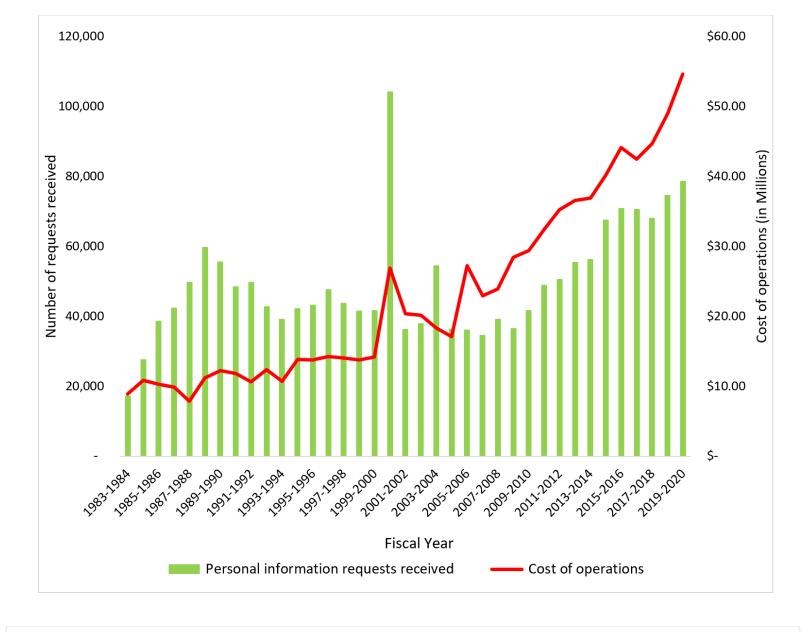
Table 48 shows the costs associated with administering the *Privacy Act* since 1983.

Table 48: cost of *Privacy Act* operations since 1983

Description of cost of operations	Amount
Requests closed	1,765,744
Cost of operations a \$85	
Average cost per closed request b	\$482.25
 This amount is adjusted to the rate of inflation. Before the 2018 to 2019 reporting period, the cost of operations was not adjusted to the rate of inflation. This calculation uses the total cost of operations to obtain the average cost per closed request since 1983. However, in actuality, the total cost of operations reflects processing costs of some requests that will be carried over into the next reporting period, in addition to closed requests, so the calculation is an approximation. 	

Figure 19 shows personal information requests received and cost of *Privacy Act* operations, from the 1983 to 1984 fiscal year to the 2019 to 2020 fiscal year.

Figure 19: personal information requests received and cost of *Privacy Act* operations, from fiscal year 1983 to 1984 to fiscal year 2019 to 2020



▼ Figure 19 - Text version

Year	Cost of operations (inflation adjusted)	Personal information requests received
1983-1984	\$8.86	17,109
1984-1985	\$10.81	27,547
1985-1986	\$10.26	38,606
1986-1987	\$9.84	42,292
1987-1988	\$7.87	49,626
1988-1989	\$11.17	59,631
1989-1990	\$12.23	55,505
1990-1991	\$11.83	48,430
1991-1992	\$10.66	49,732
1992-1993	\$12.40	42,713
1993-1994	\$10.73	39,060
1994-1995	\$13.85	42,147
1995-1996	\$13.77	43,137

1996-1997	\$14.28	47,532
1997-1998	\$14.01	43,648
1998-1999	\$13.77	41,498
1999-2000	\$14.20	41,585
2000-2001	\$26.92	104,133
2001-2002	\$20.38	36,137
2002-2003	\$20.14	37,863
2003-2004	\$18.37	54,377
2004-2005	\$17.11	36,316
2005-2006	\$27.21	36,090
2006-2007	\$22.89	34,559
2007-2008	\$23.93	39,134
2008-2009	\$28.41	36,454
2009-2010	\$29.42	41,621
2010-2011	\$32.43	48,775
2011-2012	\$35.21	50,524
2012-2013	\$36.55	55,355
2013-2014	\$36.91	56,263
2014-2015	\$40.19	67,399
2015-2016	\$44.12	70,833
2016-2017	\$42.48	70,490
2017-2018	\$44.70	67,967
2018-2019	\$49.00	74,566
2019-2020	\$54.61	78,541

Note: cost of operations in million dollars

Footnotes

- These tables reflect the number of times an exemption was applied at least once in a closed request. Different types of exemptions can also be applied within a single request and the same request can therefore be counted more than once across the tables. As a result, the tables added together do not reflect the total number of requests where information was exempted.
- These tables reflect the number of times an exclusion was applied at least once in a closed request. Different types of exclusions can also be applied within a single request and the same request can therefore be counted more than once across the tables. As a result, the tables added together do not reflect the total number of requests where information was excluded.
- 3 "No records exist" was added in the 2011 to 2012 reporting period.

"Abandoned" was added in the 2011 to 2012 reporting period. "Requests that could not be processed" consists of requests from 1983 to 2011 that could not be processed for the following reasons: "insufficient information provided by applicant," "no records exist" or "abandoned by applicant." These tables reflect the number of times an exemption was made at least once in a closed request. Different <u>6</u> types of exemptions can also be made within a single request and the same request can therefore be counted more than once across the tables. As a result, the tables added together do not reflect the total number of requests where information was exempted. 7 These tables reflect the number of times an exclusion was made at least once in a closed request. Different types of exclusions can also be made within a single request and the same request can therefore be counted more than once across the tables. As a result, the tables added together do not reflect the total number of requests where information was excluded. 8 The category "no records exist" was added in the 2011 to 2012 reporting period. 9 The category "transferred" was removed following the 2010 to 2011 reporting period. 10 The category "abandoned" was added in the 2011 to 2012 reporting period. 11 The category "requests that could not be processed" consists of requests from 1983 to 2011 that could not be processed for the following reasons: "insufficient information provided by applicant," "no records exist" or "abandoned by applicant." ▶ Report a problem or mistake on this page ☼ Share this page Date modified: 2020-12-30 Contact us Departments and agencies Public service and military

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