



Office of the Superintendent of  
Financial Institutions Canada

Bureau du surintendant des  
institutions financières Canada

**Annual Report to Parliament  
on the Administration of the  
*Privacy Act***

**2015-2016**

**June 2016**



OSFI  
BSIF

**Canada**



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## 1. Introduction

The purpose of the *Privacy Act* is to extend the present laws of Canada that protect the privacy of individuals with respect to personal information about themselves held by a government institution and that provide individuals with a right of access to that information.

This annual report was prepared and submitted in accordance with section 72 of the *Privacy Act*.

The information provided in this report covers the period from April 1, 2015 to March 31, 2016.

## 2. Mandate of the Office of the Superintendent of Financial Institutions (OSFI)

OSFI's legislated mandate was implemented in 1996 and under the legislation, OSFI's mandate is to:

- supervise federally regulated financial institutions and private pension plans to determine whether they are in sound financial condition and meeting minimum plan funding requirements, respectively, and are complying with their governing law and supervisory requirements;
- promptly advise institutions and plans in the event there are material deficiencies and take, or require management, boards or plan administrators to take, necessary corrective measures expeditiously;
- advance and administer a regulatory framework that promotes the adoption of policies and procedures designed to control and manage risk; and
- monitor and evaluate system-wide or sectoral issues that may impact institutions negatively.

OSFI's prudential mandate supports a safe and sound Canadian financial system.

OSFI's legislation also acknowledges the need to allow institutions to compete effectively and take reasonable, measured risks. It recognizes that management, boards of directors, and plan administrators are ultimately responsible for the health of Canadian financial institutions and pension plans.

The Office of the Chief Actuary (OCA) is a separate unit within OSFI and provides expert actuarial services and advice on the state of various public pension plans and on the financial implications of options being considered by policymakers. In conducting its work, the OCA plays a vital and independent role towards a financially sound and sustainable Canadian public retirement income system.

### **3. Strategic Outcomes**

Primary to OSFI's mandate and central to its contribution to Canada's financial system are two strategic outcomes:

1. A safe and sound Canadian financial system
2. A financially sound and sustainable Canadian public retirement income system.

For the purposes of the *Privacy Act*, the head of OSFI is the Superintendent and the responsible minister is the Minister of Finance.

### **4. Administration of the *Privacy Act***

#### **4.1 Access to Information and Privacy (ATIP) Unit**

The Access to Information and Privacy (ATIP) Unit is part of the Enterprise Information Management (EIM) directorate within the Information Management/Information Technology (IM/IT) Division. The unit is responsible for administering the *Act* for the Office of the Superintendent of Financial Institutions. As such, the ATIP unit coordinates the timely processing of requests under the legislation, handles complaints lodged with the Privacy Commissioner, and responds to informal inquiries. The ATIP unit also provides advice and guidance to Office staff on matters involving the *Act*.

The unit is comprised of one ATIP Coordinator reporting to a Director, and supported by a backup resource and an administrative coordinator. In fiscal year 2015-2016, the ATIP unit was resourced primarily by contract resources due to the extended absence of the ATIP coordinator during this reporting period.

#### **4.2 Institutional changes to the administration of the *Privacy Act***

No institutional changes to the administration of the *Privacy Act* were undertaken during this reporting period.

#### **4.3 Education and Training**

Training efforts over the last year have been focused on continued privacy awareness building with staff in service areas involved in the collection and use of personal information – Human Resources, Securities and Administrative Services directorates, and within the Office's senior and operational governance committees. Training efforts also focused on ATIP awareness for all OSFI staff as part of an Information Management and ATIP awareness program. Four (4) awareness sessions were held and 213 employees attended, giving OSFI a 30% participation rate among all staff.

Ongoing development of a backup resource, to the sole ATIP resource, from within the EIM Directorate continued in this fiscal year in order to ensure the ongoing sustainability of the

organizational ATIP program.

#### **4.4 Processing of Privacy Requests**

All formal privacy requests are submitted to the ATIP Coordinator, who checks them for completeness. The Coordinator requests the information from the head of the division or divisions concerned. In gathering the material and subsequently reviewing it, the Coordinator provides advice and direction to ensure that the provisions of the *Act* are respected.

The assembled material is reviewed by the Coordinator, by Legal Services, and by the responsible Director, as appropriate. The material and the recommendations pertaining to each request are then submitted to the Deputy Superintendent for review and approval.

Employees have the right to review their personal records at intervals specified in the various collective agreements. To exercise this right, an employee contacts the appropriate official in the Human Resources and Administration Division. The review of personal records is considered informal and no data on these requests is compiled. The employee, however, does have the option of submitting a formal request under the privacy legislation. Employees of the Human Resources and Administration Division are aware of the provisions of the *Privacy Act* as they relate to the use and disclosure of personal information.

#### **4.5 Delegation of Authority**

Delegation orders set out what powers, duties and functions for the administration of the *Privacy Act* has been delegated by the head of the institution and to whom. Administration of the *Privacy Act* at OSFI is the responsibility of the Superintendent. The authority to claim exemptions and to issue various statutory notices has been delegated to the Deputy Superintendent. The authority to issue various statutory notices has also been delegated to the Director, Enterprise Information Management and the Access to Information and Privacy Coordinator.

#### **4.6 Summary of significant changes to programs, operations, policies or procedures**

Work continues to strengthen OSFI's EIM Governance Framework. OSFI's Directive on Privacy has been implemented, senior managers briefed on the Directive and a key component – an OSFI Privacy Breach Protocol – has been approved and established. A Privacy Impact Assessment Framework is near completion. Privacy risk assessment and risk management serve as a formalized component of OSFI's established project governance model. Through her membership on the Architecture Review Board and the Change Advisory Board, the Director, Enterprise Information Management and members of the EIM management team ensure that privacy-related project risks are assessed early in the project cycle and that appropriate assessment tools (Privacy Impact Assessment or Privacy Protocols) are applied. As such, material privacy risks are appropriately mitigated at the appropriate project gates and accountability for residual risks duly documented through sign-off at the appropriate level.

In addition, a fulsome review of internal Access to Information and Privacy procedures is currently underway to ensure practices are aligned with central agency best practices and relevant legislative instruments. This review is scheduled to be completed in the 2016-17 reporting period.

#### **4.7 Number of New Data Matching and Sharing Activities Undertaken**

No data matching and sharing activities were undertaken during this reporting period.

#### **4.8 Exempt banks**

The Office holds no personal information in its Personal Information Banks that requires designation under section 18 of the Act.

#### **4.9 Reading room**

In accordance with the *Privacy Act*, OSFI's Kennet room in Ottawa has been designated as a public reading room. It is located at 255 Albert Street, on the 16<sup>th</sup> floor.

### **5. Interpretation of the Statistical Report**

#### **Part 1 – Requests under the *Privacy Act***

Due to the nature of OSFI's work – regulating financial institutions and private pension plans under federal jurisdiction – much of the information in the Office's possession is third-party information. As such, OSFI has relatively little personal information in its record holdings.

In 2015-2016, one request was outstanding from the previous reporting period and three (3) new requests were received. Since the inception of the *Privacy Act*, July 1, 1983, OSFI has received a total of 56 privacy requests.

#### **Part 2 – Requests closed during the reporting period**

The following table summarizes the actions taken with respect to the completed requests:

<b>Disposition</b>	<b>Number of requests</b>
All disclosed	0
Disclosed in part	3
All exempted	0
No records exist	1
Abandoned	0
<b>Total</b>	<b>4</b>

Parts of some of the records were subject to exemptions. In every case, where applicable, the applicant was given access to the remaining portion of the records relevant to the request.



### ***Exemptions***

OSFI has only applied exemptions to withhold information under subsections 22(1)(a)(i) and 26 of the *Act*, as applicable.

### ***Exclusions***

No exclusions were cited.

### ***Format of information released***

Paper documents were disclosed in all 3 requests where information was provided to the requester.

### ***Relevant pages processed and disclosed***

One thousand six hundred and twenty-four (1,624) pages were processed and 988 pages disclosed during this reporting period.

### ***Other complexities***

No other complexities were encountered.

### ***Deemed refusal***

There were 2 requests for which responses were provided past the statutory deadline.

### ***Request for translation***

No requests for translation were made.

### **Part 3 – Disclosures under Subsections 8(2) and 8(5)**

No disclosures were made pursuant to subsections 8(2)(e), 8(2)(m) or 8(5) of the *Privacy Act* during this reporting period.

### **Part 4 – Request for correction of personal information and notations**

No requests for correction of personal information and notations were made during this reporting period.

### **Part 5 – Extensions**

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One request required an extension of 30 days in accordance with section 15(a)(i) as a result of the significant number of pages to be processed.

#### **Part 6 – Consultations received from other government institutions and organizations**

No consultations from other government institutions and organizations were received during the reporting period.

#### **Part 7 – Completion time of consultations on Cabinet confidences**

No consultations with respect to Cabinet confidences were required.

#### **Part 8 – Resources related to the *Privacy Act***

The cost to administer the *Act* during this reporting period was \$109,678. These costs represent the work of one backup employee at the RE-05 level, one employee at the REX-07 level and the cost of consultant support during the absence of the ATIP Coordinator – a total of 0.43 full time equivalents (FTE) – and include related professional development costs for the employees. Costs incurred in this reporting period reflect staff and contractor times for the development of Privacy Impact Assessments, drafting of Privacy frameworks and training and awareness building.

### **6. Complaints and investigations**

The Office did not receive any complaints pursuant to the *Privacy Act* during this reporting period, and no investigations regarding OSFI were carried out.

### **7. Privacy Breaches**

There were no material privacy breaches reported during the 2015-2016 fiscal year.

### **8. Appeals to the Federal Court of Canada**

#### **8.1 Major changes implemented as a result of concerns or issues raised by the Privacy Commissioner of Canada in her annual report to Parliament**

The Privacy Commissioner of Canada did not raise any concerns or issues related to OSFI, therefore no major changes were implemented.

#### **8.2 Major changes implemented as a result of concerns or issues raised by other agents of Parliament**

No major changes were implemented by OSFI as other agents of Parliament did not raise any concerns or issues.

### **8.3 Number of applications or appeals to the Federal Court or the Federal Court of Appeal during the fiscal year**

There were no applications or appeals to the Federal Court or the Federal Court of Appeal during this fiscal year related to OSFI.

## **9. Completed Privacy Impacts Assessments**

The Office did not complete any Privacy Impact Assessments during this reporting period.

## APPENDIX A



### Statistical Report on the *Privacy Act*

Name of institution: Office of the Superintendent of Financial Institutions Canada

Reporting period: 2015-04-01 to 2016-03-31

#### Part 1: Requests Under the *Privacy Act*

	Number of Requests
Received during reporting period	3
Outstanding from previous reporting period	1
<b>Total</b>	<b>4</b>
Closed during reporting period	4
Carried over to next reporting period	0

#### Part 2: Requests Closed During the Reporting Period

##### 2.1 Disposition and completion time

Disposition of Requests	Completion Time							Total
	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	
All disclosed	0	0	0	0	0	0	0	0
Disclosed in part	0	0	2	1	0	0	0	3
All exempted	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0
No records exist	0	1	0	0	0	0	0	1
Request abandoned	0	0	0	0	0	0	0	0
Neither confirmed nor denied	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>	<b>1</b>	<b>2</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4</b>

## 2.2 Exemptions

Section	Number of Requests	Section	Number of Requests	Section	Number of Requests
18(2)	0	22(1)(a)(i)	1	23(a)	0
19(1)(a)	0	22(1)(a)(ii)	0	23(b)	0
19(1)(b)	0	22(1)(a)(iii)	0	24(a)	0
19(1)(c)	0	22(1)(b)	0	24(b)	0
19(1)(d)	0	22(1)(c)	0	25	0
19(1)(e)	0	22(2)	0	26	2
19(1)(f)	0	22.1	0	27	0
20	0	22.2	0	28	0
21	0	22.3	0		

## 2.3 Exclusions

Section	Number of Requests	Section	Number of Requests	Section	Number of Requests
69(1)(a)	0	70(1)	0	70(1)(d)	0
69(1)(b)	0	70(1)(a)	0	70(1)(e)	0
69.1	0	70(1)(b)	0	70(1)(f)	0
		70(1)(c)	0	70.1	0

## 2.4 Format of information released

Disposition	Paper	Electronic	Other formats
All disclosed	0	0	0
Disclosed in part	3	0	0
<b>Total</b>	<b>3</b>	<b>0</b>	<b>0</b>

## 2.5 Complexity

### 2.5.1 Relevant pages processed and disclosed

Disposition of Requests	Number of Pages Processed	Number of Pages Disclosed	Number of Requests
All disclosed	0	0	0
Disclosed in part	1624	988	3
All exempted	0	0	0
All excluded	0	0	0
Request abandoned	0	0	0
Neither confirmed nor denied	0	0	0
<b>Total</b>	<b>1624</b>	<b>988</b>	<b>3</b>

### 2.5.2 Relevant pages processed and disclosed by size of requests

Disposition	Less Than 100 Pages Processed		101-500 Pages Processed		501-1000 Pages Processed		1001-5000 Pages Processed		More Than 5000 Pages Processed	
	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed
All disclosed	0	0	0	0	0	0	0	0	0	0
Disclosed in part	2	70	0	0	0	0	1	918	0	0
All exempted	0	0	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0	0	0
Request abandoned	0	0	0	0	0	0	0	0	0	0
Neither confirmed nor denied	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>2</b>	<b>70</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>918</b>	<b>0</b>	<b>0</b>

### 2.5.3 Other complexities

Disposition	Consultation Required	Legal Advice Sought	Interwoven Information	Other	Total
All disclosed	0	0	0	0	0
Disclosed in part	0	0	3	0	3
All exempted	0	0	0	0	0
All excluded	0	0	0	0	0
Request abandoned	0	0	0	0	0
Neither confirmed nor denied	0	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>3</b>

### 2.6 Deemed refusals

#### 2.6.1 Reasons for not meeting statutory deadline

Number of Requests Closed Past the Statutory Deadline	Principal Reason			
	Workload	External Consultation	Internal Consultation	Other
2	1	0	0	1

## 2.6.2 Number of days past deadline

Number of Days Past Deadline	Number of Requests Past Deadline Where No Extension Was Taken	Number of Requests Past Deadline Where An Extension Was Taken	Total
1 to 15 days	1	0	1
16 to 30 days	0	0	0
31 to 60 days	0	0	0
61 to 120 days	1	0	1
121 to 180 days	0	0	0
181 to 365 days	0	0	0
More than 365 days	0	0	0
<b>Total</b>	<b>2</b>	<b>0</b>	<b>2</b>

## 2.7 Requests for translation

Translation Requests	Accepted	Refused	Total
English to French	0	0	0
French to English	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>

### Part 3: Disclosures Under Subsections 8(2) and 8(5)

Paragraph 8(2)(e)	Paragraph 8(2)(m)	Subsection 8(5)	Total
0	0	0	0

### Part 4: Requests for Correction of Personal Information and Notations

Disposition for Correction Requests Received	Number
Notations attached	0
Requests for correction accepted	0
<b>Total</b>	<b>0</b>

### Part 5: Extensions

#### 5.1 Reasons for extensions and disposition of requests

Disposition of Requests Where an Extension Was Taken	15(a)(i) Interference With Operations	15(a)(ii) Consultation		15(b) Translation or Conversion
		Section 70	Other	
All disclosed	0	0	0	0
Disclosed in part	1	0	0	0
All exempted	0	0	0	0
All excluded	0	0	0	0
No records exist	0	0	0	0
Request abandoned	0	0	0	0
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>

## 5.2 Length of extensions

Length of Extensions	15(a)(i) Interference with operations	15(a)(ii) Consultation		15(b) Translation purposes
		Section 70	Other	
1 to 15 days	0	0	0	0
16 to 30 days	1	0	0	0
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>

## Part 6: Consultations Received From Other Institutions and Organizations

### 6.1 Consultations received from other Government of Canada institutions and other organizations

Consultations	Other Government of Canada Institutions	Number of Pages to Review	Other Organizations	Number of Pages to Review
Received during the reporting period	0	0	0	0
Outstanding from the previous reporting period	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Closed during the reporting period	0	0	0	0
Pending at the end of the reporting period	0	0	0	0

### 6.2 Recommendations and completion time for consultations received from other Government of Canada institutions

Recommendation	Number of Days Required to Complete Consultation Requests							Total
	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	
All disclosed	0	0	0	0	0	0	0	0
Disclosed in part	0	0	0	0	0	0	0	0
All exempted	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0
Consult other institution	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>



6.3 Recommendations and completion time for consultations received from other organizations

Recommendation	Number of days required to complete consultation requests							Total
	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	
All disclosed	0	0	0	0	0	0	0	0
Disclosed in part	0	0	0	0	0	0	0	0
All exempted	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0
Consult other institution	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Part 7: Completion Time of Consultations on Cabinet Confidences**

7.1 Requests with Legal Services

Number of Days	Fewer Than 100 Pages Processed		101-500 Pages Processed		501-1000 Pages Processed		1001-5000 Pages Processed		More than 5000 Pages Processed	
	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed
1 to 15	0	0	0	0	0	0	0	0	0	0
16 to 30	0	0	0	0	0	0	0	0	0	0
31 to 60	0	0	0	0	0	0	0	0	0	0
61 to 120	0	0	0	0	0	0	0	0	0	0
121 to 180	0	0	0	0	0	0	0	0	0	0
181 to 365	0	0	0	0	0	0	0	0	0	0
More than 365	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

7.2 Requests with Privy Council Office

Number of Days	Fewer Than 100 Pages Processed		101-500 Pages Processed		501-1000 Pages Processed		1001-5000 Pages Processed		More than 5000 Pages Processed	
	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed
1 to 15	0	0	0	0	0	0	0	0	0	0
16 to 30	0	0	0	0	0	0	0	0	0	0
31 to 60	0	0	0	0	0	0	0	0	0	0
61 to 120	0	0	0	0	0	0	0	0	0	0
121 to 180	0	0	0	0	0	0	0	0	0	0
181 to 365	0	0	0	0	0	0	0	0	0	0
More than 365	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Part 8: Complaints and Investigations Notices Received**

Section 31	Section 33	Section 35	Court action	Total
0	0	0	0	0

**Part 9: Privacy Impact Assessments (PIAs)**

Number of PIA(s) completed	0
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**Part 10: Resources Related to the Privacy Act**

**10.1 Costs**

Expenditures		Amount
Salaries		\$20,177
Overtime		\$0
Goods and Services		\$89,501
• Professional services contracts	\$88,683	
• Other	\$818	
<b>Total</b>		<b>\$109,678</b>

**10.2 Human Resources**

Resources	Person Years Dedicated to Privacy Activities
Full-time employees	0.12
Part-time and casual employees	0.00
Regional staff	0.00
Consultants and agency personnel	0.31
Students	0.00
<b>Total</b>	<b>0.43</b>

**Note:** Enter values to two decimal places

## APPENDIX B



Office of the Superintendent of  
Financial Institutions Canada

Bureau du surintendant des  
institutions financières Canada

### DESIGNATION / DÉLÉGATION

#### *PRIVACY ACT / LOI SUR LA PROTECTION DES RENSEIGNEMENTS PERSONNELS*

##### *Privacy Act Designation Order*

##### *Arrêté sur la délégation en vertu de la Loi sur la protection des renseignements personnels*

By this order made pursuant to section 73 of the *Privacy Act*, I hereby authorize those officers and employees of the Office of the Superintendent of Financial Institutions occupying, on an acting basis or otherwise, the positions identified within the attached schedule to perform on my behalf any of the powers, duties or functions specified therein.

Par le présent arrêté pris en vertu de l'article 73 de la *Loi sur la protection des renseignements personnels*, j'autorise les agents et les employés du Bureau du surintendant des institutions financières occupant, par intérim ou autrement, les postes identifiés dans l'annexe ci-jointe à exercer en mon nom, les attributions, les fonctions et les pouvoirs qui y sont spécifiés.

This designation replaces and repeals all previous orders.

Le présent document remplace et annule tous les arrêtés antérieurs.

Dated in Ottawa on this 14<sup>th</sup> day of February, 2014

Fait à Ottawa en ce 14 jour de Février 2014

Superintendent of Financial Institutions /  
Le surintendant des institutions financières



OSFI  
BSIF

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Ottawa, Canada  
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**SCHEDULE 2**  
**Designation Order - Privacy Act**

Section	Powers, Duties or Functions	Deputy Superintendent, Regulation Sector	Director, Enterprise Information Management	ATIP Coordinator
8(2)(j)	To disclose personal information when satisfied that the purpose for which the information is disclosed cannot reasonably be accomplished unless the information is provided in a form that identifies the person to whom it relates and obtain a written undertaking that no subsequent disclosure of the information will be made in a form that could reasonably be expected to identify the individual to whom it relates	X		
8(2)(m)	To disclose personal information when public interest outweighs invasion of privacy or when disclosure benefits the individual	X		
8(4)	To keep copies of requests made under 8(2)(e), keep records of information disclosed pursuant to such requests and to make those copies and records available to Privacy Commissioner	X	X	X
8(5)	To notify the Privacy Commissioner in writing of disclosure under paragraph 8(2)(m)	X	X	X
9(1)	To retain a record of use of personal information.	X	X	X
9(4)	To notify the Privacy Commissioner of consistent use of personal information and update index accordingly	X	X	X
10	To include personal information in personal information banks	X	X	X
14(a)	To give written notice as to whether or not access will be given	X	X	X
14(b)	To give access to requester	X	X	X
15	To extend time limit and give notice of extension	X	X	X
17(2)(b)	To determine the necessity for a translation or interpretation of a record	X	X	
17(3)	To determine whether a record should be provided in an alternative format	X	X	
18(2)	To refuse to disclose personal information referred to in that section	X		

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**SCHEDULE 2**  
**Designation Order - Privacy Act**

Section	Powers, Duties or Functions	Deputy Superintendent, Regulation Sector	Director, Enterprise Information Management	ATIP Coordinator
19(1)	To refuse to disclose personal information referred to in that section	X		
19(2)	To disclose, with consent, personal information referred to in that subsection	X	X	
20	To refuse to disclose personal information referred to in that section	X		
21	To refuse to disclose personal information referred to in that section	X		
22	To refuse to disclose personal information referred to in that section	X		
22.3	To refuse to disclose personal information referred to in that section	X		
23	To refuse to disclose personal information referred to in that section	X		
24	To refuse to disclose personal information under that section	X		
25	To refuse to disclose personal information under that section	X		
26	To refuse to disclose personal information under that section	X		
27	To refuse to disclose personal information under that section	X		
28	To refuse to disclose personal information under that section	X		
31	To receive notice of investigation by the Privacy Commissioner	X	X	
33(2)	To make representations to the Privacy Commissioner	X	X	X
35(1)	To receive the report of findings of the investigation and give notice of action taken or proposed to be taken or reasons why no action has been or is proposed to be taken	X	X	
35(4)	To provide access to personal information	X	X	

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**SCHEDULE 2**  
**Designation Order - Privacy Act**

<b>Section</b>	<b>Powers, Duties or Functions</b>	<b>Deputy Superintendent, Regulation Sector</b>	<b>Director, Enterprise Information Management</b>	<b>ATIP Coordinator</b>
36(3)	To receive the report of findings of the investigation of files in exempt banks	X	X	
37(3)	To receive the report of findings after investigation in respect of personal information	X	X	
51(2)(b)	To request that the matter be heard and determined in the National Capital Region	X	X	
51(3)	To request the opportunity to make representations ex parte	X	X	
72(1)	To prepare annual report for submission to Parliament	X	X	X

**Privacy Regulations**

<b>Section</b>	<b>Powers, Duties or Functions</b>	<b>Deputy Superintendent, Regulation Sector</b>	<b>Director, Enterprise Information Management</b>	<b>ATIP Coordinator</b>
9	Reasonable facilities and time provided to examine personal information	X	X	X
11(2)	Notification that correction to personal information has been made	X	X	X
11(4)	Notification that correction to personal information has been refused	X	X	X
13(1)	Disclosure of personal information relating to physical or mental health may be made to qualified medical practitioner or psychologist for an opinion on whether to release information to requestor	X		
14	Disclosure of personal information relating to physical or mental health may be made to requestor in presence of qualified medical practitioner or psychologist	X		

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