# 2017-2018 Annual Report on Privacy Act

April 1, 2017 – March 31, 2018

Natural Sciences and Engineering Research Council

# Contents

| 1. Introduction   | 2  |
|---|----|
| 2. About the NSERC  | 3  |
| 2.1 Mandate   | 3  |
| 2.2 Responsibilities  | 3  |
| 3. Organizational structure and delegation of authority                 | 4  |
| 3.1 The Organizational Structure  | 4  |
| 3.2 The ATIP Office   | 4  |
| 3.3 Delegation order  | 4  |
| 4. Interpretation of Statistical Report                                 | 5  |
| 4.1 Requests under the Privacy Act                                      | 5  |
| 4.2 Disposition of Requests Completed                                   | 6  |
| 4.3 Exemptions Invoked  | 6  |
| 4.4 Extension of Time Limits  | 6  |
| 4.5 Completion Time   | 6  |
| 4.6 Consultations   |    |
| 5. Monitoring and Related activities                                    | 7  |
| 5.1 Informal Practices and Proactive Disclosure                         | 7  |
| 5.2 Costs   | 7  |
| 5.3 Training  | 7  |
| 5.4 Privacy/Security Breach Protocol                                    | 8  |
| 5.5 Info Source, Publicly Accessible Information and Inquiry Points     | 8  |
| 5.6 Privacy Impact Assessments  | 9  |
| 5.7 Disclosure under section 8(2)(m) of the Privacy Act                 | 9  |
| 5.8 Corrections   | 9  |
| 5.9 Human Resources   | 9  |
| 6. Complaints and Audits  | 9  |
| 6.1 Complaints  | 9  |
| 6.2 Audits  | 10 |
| 6.3 Application/Appeals to the Federal Court or Federal Court of Appeal | 10 |
| Appendix A — Delegation Instrument                                      | 11 |
| Appendix B — 2017-2018 Annual Privacy Act Statistical Report            | 12 |
|   |    |

# 1. Introduction

The *Privacy Act* (Revised Statutes of Canada, Chapter P-21, 1985) was proclaimed on July 1, 1983.

The *Privacy Act* provides Canadian citizens and permanent residents with the right of access to, and correction of, personal information about themselves that is under the control of a government institution. The Act also provides the legal framework for the collection, retention, use, disclosure, disposition and accuracy of personal information in the administration of programs and activities by government institutions subject to the Act.

Section 72 of the *Privacy Act* requires that the head of every government institution prepare for submission to Parliament an annual report on the administration of this Act within the institution during each financial year

This report represents an overview of the activities of the Natural Sciences and Engineering Research Council of Canada (NSERC) for the reporting period of April 1, 2017 to March 31, 2018 (the current reporting period).

For more information:

Access to Information and Privacy Coordinator

Natural Sciences and Engineering Research Council of Canada

350 Albert Street, 13th Floor

Ottawa, Ontario K1A 1H5

Email: atip-aiprp@nserc-crsng.gc.ca
Telephone: 613-995-6214
Facsimile: 613-943-1222

# 2. About the NSERC

#### 2.1 Mandate

NSERC was established on May 1, 1978, as a federal agency defined as a "separate employer" by the *Public Service Staff Relations Act*. It is funded directly by Parliament and submits reports to it through the Minister of Science, with responsibility for promoting and assisting research in the natural sciences and engineering, other than the health sciences. NSERC is the primary federal agency investing in post-secondary research and training in these disciplines.

NSERC's Council is composed of a President and up to 18 other distinguished members selected from the private and public sectors. NSERC's President is the Chief Executive Officer. The elected Vice-President is the Chair of the Council and of its Executive Committee. NSERC's Council is advised on policy matters by various standing committees. Funding decisions are made by the President, or designate, on the basis of recommendations made by peer review committees.

#### 2.2 Responsibilities

NSERC helps make Canada a country of discoverers and innovators for the benefit of all Canadians. Over the last 10 years, NSERC has invested more than \$10 billion in basic research, projects involving partnerships between postsecondary institutions and industry, and the training of Canada's next generation of scientists and engineers.

NSERC's five strategic goals are to:

- Foster a science and engineering culture in Canada and make science and engineering mainstream.
- Launch the new generation, mobilize Canada's future brain trust and enable early-career scientists to launch independent research careers.
- Build a diversified and competitive research base and stimulate breakthrough research and research excellence.
- Strengthen the dynamic between discovery and innovation, and deepen interactions between colleges and universities, the private sector, governments and civil society.
- Go global, secure Canada's access to global scientific and engineering knowledge and expertise, and increase participation in international research endeavours.

# 3. Organizational structure and delegation of authority

## 3.1 The Organizational Structure

The Access to Information and Privacy (ATIP) Office resides in NSERC's Secretariat under the directorship of the Corporate Secretary.

A full-time ATIP Coordinator reports to the Corporate Secretary and is assisted by an ATIP/Secretariat Officer who spends about half of his/her time on ATIP. The delegation of authority from the President includes the Vice-President, Communications, Corporate and International Affairs; the Executive Director, Corporate Planning and Policy; the Corporate Secretary and the ATIP Coordinator.

Temporary help service contractors are used for additional resources, as required.

#### 3.2 The ATIP Office

The ATIP Office coordinates responses to requests submitted to NSERC under the *Privacy Act*. It also provides interpretation, advice, and recommendations to NSERC staff on the implications of the *Privacy Act* on their activities, and delivers training, education and awareness sessions to staff. In 2017-2018, ATIP staff provided privacy advice and guidance to NSERC staff on a wide range of programs and activities. ATIP Office activities also include:

- Preparing annual statistics for Treasury Board of Canada Secretariat (TBS) and an Annual Report to Parliament;
- Updating information on NSERC's web site regarding privacy issues;
- Coordinating updates to the *Info Source* publication;
- Managing the ATIP electronic management system;
- Reviewing departmental documents; and
- Participating in forums for the ATIP community, such as the TBS ATIP Community meetings and working groups.

#### 3.3 Delegation order

Under section 3 of the *Privacy Act*, the President of the NSERC is designated as the head of the government institution for purposes of the administration of said act.

Pursuant to section 73 of the *Privacy Act*, deputy heads may delegate any of their powers, duties or functions under the *Privacy Act* by signing an order authorizing one or more officers or employees of the institution, who are at the appropriate level, to exercise or perform said powers, duties or functions. This Delegation of Authority can be found in Appendix A.

# 4. Interpretation of Statistical Report

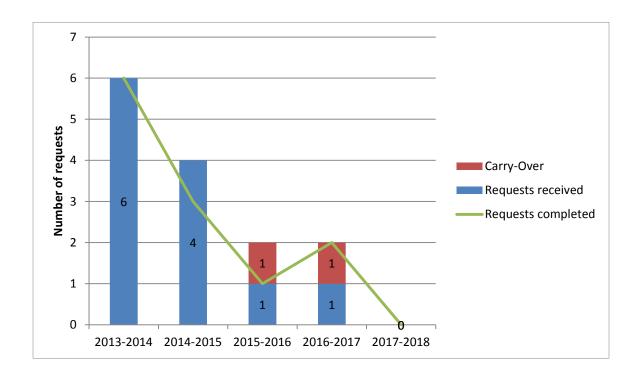
NSERC's 2017-2018 statistical report on the *Privacy Act* is provided in <u>Appendix B</u>.

## 4.1 Requests under the Privacy Act

From April 1, 2017 to March 31, 2018, NSERC did not receive any privacy requests (this was one request lower than the one received during the previous reporting period for 2016-2017). There were no outstanding requests from the previous reporting period.

No requests were carried forward to the 2018-2019 reporting period.

Figure 1: Number of privacy requests received, completed and carried-over 2013-2018



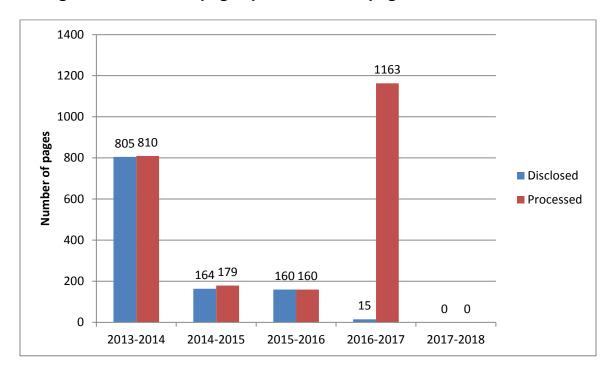


Figure 2: Number of pages processed and pages disclosed 2013-2018

# 4.2 Disposition of Requests Completed

Not applicable.

# 4.3 Exemptions Invoked

Not Applicable.

## 4.4 Extension of Time Limits

Not applicable.

## 4.5 Completion Time

Not applicable.

#### 4.6 Consultations

In 2017–2018, NSERC did not receive any privacy consultations from other government institutions and organizations.

# 5. Monitoring and Related activities

The ATIP Office distributes a weekly report to senior management pertaining to all privacy requests (information is withheld to protect the identity of requestors).

ATIP Office uses with the software AccessPro suite to monitor the time to process privacy requests, actions completed, and the level of effort and complexity of each privacy requests.

#### 5.1 Informal Practices and Proactive Disclosure

NSERC encourages informal practices of providing information requested outside the ATIP process, provided that the information released is clearly only that of the requester.

In addition, NSERC proactively discloses peer review feedback to grant and award applicants. In 2017-2018, NSERC proactively disclosed over 16,000 evaluation reports from external peer reviewers to over 14,000 applicants. These reports provide feedback to applicants on the assessment of their proposals in relation to the program criteria. They are redacted in the spirit of the *Privacy Act* by program staff who has received training by the ATIP staff.

#### 5.2 Costs

For the current reporting year, the total salary and professional services cost associated with the Privacy program was \$109,347 (a decrease of 7.9% compared to the 2016-17 reporting period cost of \$118,763).

This cost does not include the processing of the proactive disclosure to applicants described in 5.1, above.

## 5.3 Training

The ATIP Office delivered two training sessions to Programs staff related to the *Privacy Act* and its implication on their activities while handling the personal information of applicants. Overall, 34 employees attended two training sessions regarding proactive disclosure to applicants and what information should be redacted from external reviewers' reports.

### 5.4 Privacy/Security Breach Protocol

14 privacy breaches occurred during the reporting period.

NSERC's Privacy/Security Breach Protocol (the Protocol) complies with the TBS Directive on Privacy Practices, its Directive on Information Management Roles and Responsibilities, its Directive on Departmental Security Management and NSERC's Policy on Information Management.

The Protocol outlines the basic steps that must be followed by NSERC employees, agents, contractors, or students who discover a possible breach of privacy/security involving personal information under the control of the Agency.

During this reporting period, non-material privacy breaches and incidents were managed in accordance with the Privacy/Security Breach Protocol. The ATIP Office was involved in analyzing, containing, and resolving these breaches and incidents, in collaboration with the OPIs and the Chief Security Officer.

NSERC was not required to report these privacy breaches to the Office of the Privacy Commissioner (OPC) due to the low risk and the type of information involved. Nonetheless, in most cases, those affected by the breach were formally notified. NSERC continuously strives to reduce the number of breaches by improving staff awareness and implementing the necessary controls in systems and processes.

# 5.5 *Info Source*, Publicly Accessible Information and Inquiry Points

Info Source is a series of publications containing information on the Government of Canada and on the government's data collection activities. Info Source is intended to help the public access government information and to exercise their rights under the Privacy Act and the ATI Act. In 2017-2018, NSERC did not make any changes to its Info Source chapter.

NSERC's comprehensive web site provides information on the Agency's policies, programs, organizational structure and contacting staff. In accordance with the federal government's policy on proactive disclosure, the NSERC web site allows access to internal evaluations and audits, as well as information on hospitality expenses, contracts, and grants.

NSERC's web site has an ATIP page that provides background information on the *Privacy Act*, how to make a request and who to contact. It provides links to other sources, including *Info Source*, annual reports, and summaries of completed ATI requests.

#### **5.6 Privacy Impact Assessments**

For the current reporting period, NSERC completed one privacy impact assessment on the collection of equity, diversity and inclusion data.

#### 5.7 Disclosure under section 8(2)(m) of the Privacy Act

Paragraph 8(2)(*m*) of the *Privacy Act* concerns cases where, in the opinion of the head of the institution, the public interest in disclosure clearly outweighs any invasion of privacy that could result from the disclosure, or where disclosure would clearly benefit the individual to whom the information relates.

During this reporting period, NSERC did not disclose any information under this section of the *Act*.

#### 5.8 Corrections

Paragraph 12(2)(a) of the *Privacy Act* gives individuals a right to request a correction of personal information about them held by the federal government.

No corrections were requested or made in the 2017–2018 reporting period.

#### 5.9 Human Resources

The associated human resources specifically for the administration of the *Privacy Act* and related activities, for this reporting period, is estimated at 1.18 FTE, which is a 9% increase over the 1.08 FTE reported for the last reporting period (April 1<sup>st</sup>, 2016 to March 31<sup>st</sup>, 2017).

During the current reporting period, NSERC had continued difficulties with staff and contractor turnover yet was successful in filing the ATIP/Secretariat position that had been vacant for some time. While NSERC allocated the necessary financial resources for these resources, it was not able to find suitable candidates to staff the ATIP Coordinator on a permanent basis.

# 6. Complaints and Audits

#### 6.1 Complaints

Applicants have the right to register a complaint with the OPC regarding any matter relating to the processing of a request.

During the reporting period, NSERC did not receive any privacy complaints.

#### 6.2 Audits

No privacy audits were conducted during the reporting period.

# 6.3 Application/Appeals to the Federal Court or Federal Court of Appeal

There were zero applications or appeals to the Federal Court or Federal Court of Appeal under the *Privacy Act* during the 2017–2018 reporting period.

# <u>Appendix A — Delegation Instrument</u>

OFFICIAL DOCUMENT

NATURAL SCIENCES AND ENGINEERING RESEARCH COUNCIL OF CANADA

**DELEGATION OF AUTHORITY** 

ACCESS TO INFORMATION ACT AND PRIVACY ACT

I, the President of the Natural Sciences and Engineering Research Council of Canada, pursuant to Section 73 of the Access to Information Act and the Privacy Act, hereby authorize employees of the Natural Sciences and Engineering Research Council of Canada, whose positions are set out in the attached Schedule, to carry out those of my powers, duties or functions under the Acts that are set in the Schedule in relation to those positions.

This May of May 20

DOCUMENT OFFICIEL

CONSEIL DE RECHERCHES EN SCIENCES NATURELLES ET EN GÉNIE DU CANADA

**DÉLÉGATION DE POUVOIRS** 

LOI SUR L'ACCÈS À L'INFORMATION ET LOI SUR LA PROTECTION DES RENSEIGNEMENTS PERSONNELS

En ma qualité de président du Conseil de recherches en sciences naturelles et en génie du Canada et conformément à l'article 73 de la Loi sur l'accès à l'information et de la Loi sur la protection des renseignements personnels, j'autorise par la présente les employés du Conseil de recherches en sciences naturelles et en génie du Canada dont les postes sont indiqués dans l'annexe ci-jointe à exécuter ces attributions en vertu des lois précisées dans l'annexe visant ces postes.

Fait à Ottawa

Dr. B. Mario Pinto (President/Président)

|   |                | Position Title |        |                        |                          |      |  |  |  |  |  |  |
|---|----------------|----------------|--------|------------------------|--------------------------|------|--|--|--|--|--|--|
| Delegation  | Deputy<br>Head | VP-CCIA        | ED-CPP | Corporate<br>Secretary | ATIP<br>Coor-<br>dinator |      |  |  |  |  |  |  |
| Descriptions  | Section        | 1              | 2      | 3                      | 4                        | 5    |  |  |  |  |  |  |
| Privacy Act   |                |                |        |                        |                          |      |  |  |  |  |  |  |
| Disclosure to investigative bodies  | 8(2)(e)        | yes            | yes    | yes                    |                          |      |  |  |  |  |  |  |
| Disclosure for research and statistics  | 8(2)(j)        | yes            | yes    | yes                    | yes                      | yes  |  |  |  |  |  |  |
| Disclosure in public<br>interest clearly outweighs<br>any invasion of privacy | 8(2)(m)(i)     | yes            | yes    | yes                    |                          |      |  |  |  |  |  |  |
| Disclosure in public interest, benefit of individual                          | 8(2)(m)(ii)    | yes            | yes    | yes                    |                          |      |  |  |  |  |  |  |
| Record of disclosure for investigations                                       | 8(4)           | yes            | yes    | yes                    | yes                      | yes  |  |  |  |  |  |  |
| Notify Privacy<br>Commissioner of 8(2)(m)                                     | 8(5)           | yes            | yes    | yes                    | yes                      | yes* |  |  |  |  |  |  |
| Record of consistent uses   | 9(1)           | yes            | yes    | yes                    | yes                      | yes  |  |  |  |  |  |  |
| Notify Privacy<br>Commissioner of<br>consistent uses                          | 9(4)           | yes            | yes    | yes                    | yes                      | yes  |  |  |  |  |  |  |
| Personal information in banks   | 10(1)          | yes            | yes    | yes                    | yes                      | yes  |  |  |  |  |  |  |
| Notice where access is granted  | 14             | yes            | yes    | yes                    | yes                      | yes  |  |  |  |  |  |  |
| Extension of time limits  | 15             | yes            | yes    | yes                    | yes                      | yes  |  |  |  |  |  |  |
| Notice where access is refused  | 16             | yes            | yes    | yes                    | yes                      | yes  |  |  |  |  |  |  |
| Decision regarding<br>translation   | 17(2)(b)       | yes            | yes    | yes                    | yes                      | yes* |  |  |  |  |  |  |
| Conversion to alternate<br>format   | 17(3)(b)       | yes            | yes    | yes                    | yes                      | yes* |  |  |  |  |  |  |
| Refuse access – exempt<br>bank  | 18(2)          | yes            | yes    | yes                    | yes .                    | yes  |  |  |  |  |  |  |
| Refuse access –<br>confidential information                                   | 19(1)          | yes            | yes    | yes                    | yes                      | yes* |  |  |  |  |  |  |
| Disclose confidential   | 19(2)          | yes            | yes    | yes                    | yes                      | yes  |  |  |  |  |  |  |

|  | -              | Position Title |        |                        |                          |      |  |  |  |
|--|----------------|----------------|--------|------------------------|--------------------------|------|--|--|--|
| Delegation   | Deputy<br>Head | VP-CCIA        | ED-CPP | Corporate<br>Secretary | ATIP<br>Coor-<br>dinator |      |  |  |  |
| Descriptions   | Section        | 1              | 2      | 3                      | 4                        | 5    |  |  |  |
| information  |                | Section 2      |        |                        |                          |      |  |  |  |
| Refuse access – federal-<br>provincial affairs   | 20             | yes            | yes    | yes                    | yes                      | yes* |  |  |  |
| Refuse access –<br>international affairs,<br>defence   | 21             | yes            | yes    | yes                    | yes                      | yes* |  |  |  |
| Refuse access – law enforcement and investigation  | 22             | yes            | yes    | yes                    | yes                      | yes* |  |  |  |
| Refuse access – security clearance   | 23             | yes            | yes    | yes                    | yes                      | yes  |  |  |  |
| Refuse access – person<br>under sentence   | 24             | yes            | yes    | yes                    | yes                      | yes  |  |  |  |
| Refuse access – safety of individuals  | 25             | yes            | yes    | yes                    | yes                      | yes* |  |  |  |
| Refuse access – another person's information   | 26             | yes            | yes    | yes                    | yes                      | yes  |  |  |  |
| Refuse access – solicitor-<br>client privilege   | 27             | yes            | yes    | yes                    | yes                      | yes  |  |  |  |
| Refuse access – medical record   | 28             | yes            | yes    | yes                    | yes                      | yes* |  |  |  |
| Receive notice of investigation  | 31             | yes            | yes    | yes                    | yes                      | yes  |  |  |  |
| Representation to Privacy<br>Commissioner  | 33(2)          | yes            | yes    | yes                    | yes                      | yes  |  |  |  |
| Response to findings and recommendations of the Privacy Commissioner within a specified time | 35(1)(b)       | yes            | yes    | yes                    | yes                      | yes  |  |  |  |
| Access given to complainant  | 35(4)          | yes            | yes    | yes                    | yes                      | yes  |  |  |  |
| Response to review of exempt banks   | 36(3)(b)       | yes            | yes    | yes                    | yes                      | yes  |  |  |  |
| Response to review of compliance   | 37(3)          | yes            | yes    | yes                    | yes                      | yes  |  |  |  |
| Request of court hearing in<br>the National Capital Region                                   | 51(2)(b)       | yes            | yes    | yes                    | yes                      | yes  |  |  |  |
| Ex parte representation to   | 51(3)          | yes            | yes    | yes                    | yes                      | yes  |  |  |  |

|  | Position Title |                |           |        |                        |                          |  |  |
|--|----------------|----------------|-----------|--------|------------------------|--------------------------|--|--|
| Delegation   |                | Deputy<br>Head | VP-CCIA   | ED-CPP | Corporate<br>Secretary | ATIP<br>Coor-<br>dinator |  |  |
| Descriptions   | Section        | 1              | 2         | 3      | 4                      | 5                        |  |  |
| court  |                |                |           |        |                        |                          |  |  |
|  |                | Privacy Reg    | gulations |        |                        |                          |  |  |
| Reasonable facilities and time provided to examine personal information  | 9              | yes            | yes       | yes    | yes                    | yes                      |  |  |
| Notification that correction to personal information has been made   | 11(2)          | yes            | yes       | yes    | yes                    | yes                      |  |  |
| Notification that correction to personal information has been refused  | 11(4)          | yes            | yes       | yes    | yes                    | yes                      |  |  |
| Disclosure of personal information relating to physical or mental health may be made to a qualified medical practitioner or psychologist for an opinion on whether to release information to the requestor | 13(1)          | yes            | yes       | yes    |                        |                          |  |  |
| Disclosure of personal information relating to physical or mental health may be made to a requestor in the presence of a qualified medical practitioner or psychologist                                    | 14             | yes            | yes       | yes    |                        |                          |  |  |

<sup>\*</sup>Indicates that the Access to Information & Privacy Coordinator may sign under this provision with approval of the President or other senior designates.

# <u>Appendix B — 2017-2018 Annual Privacy Act</u> <u>Statistical Report</u>

#### Statistical Report on the Privacy Act

Name of institution: Natural Sciences and Engineering Research Council

**Reporting period:** 2017-04-01 to 2018-03-31

#### Part 1: Requests Under the Privacy Act

|  | Number of Requests |
|--|--------------------|
| Received during reporting period           | 0                  |
| Outstanding from previous reporting period | 0                  |
| Total                                      | 0                  |
| Closed during reporting period             | 0                  |
| Carried over to next reporting period      | 0                  |

#### Part 2: Requests Closed During the Reporting Period

#### 2.1 Disposition and completion time

|                              | Completion Time |                  |                  |                   |   |                    |                          |       |  |  |
|------------------------------|-----------------|------------------|------------------|-------------------|---|--------------------|--------------------------|-------|--|--|
| Disposition of<br>Requests   | 1 to 15<br>Days | 16 to 30<br>Days | 31 to 60<br>Days | 61 to 120<br>Days |   | 181 to<br>365 Days | More<br>Than 365<br>Days | Total |  |  |
| All disclosed                | 0               | 0                | 0                | 0                 | 0 | 0                  | 0                        | 0     |  |  |
| Disclosed in part            | 0               | 0                | 0                | 0                 | 0 | 0                  | 0                        | 0     |  |  |
| All exempted                 | 0               | 0                | 0                | 0                 | 0 | 0                  | 0                        | 0     |  |  |
| All excluded                 | 0               | 0                | 0                | 0                 | 0 | 0                  | 0                        | 0     |  |  |
| No records exist             | 0               | 0                | 0                | 0                 | 0 | 0                  | 0                        | 0     |  |  |
| Request abandoned            | 0               | 0                | 0                | 0                 | 0 | 0                  | 0                        | 0     |  |  |
| Neither confirmed nor denied | 0               | 0                | 0                | 0                 | 0 | 0                  | 0                        | 0     |  |  |
| Total                        | 0               | 0                | 0                | 0                 | 0 | 0                  | 0                        | 0     |  |  |



#### 2.2 Exemptions

| Section  | Number of<br>Requests | Section       | Number of<br>Requests | Section | Number of<br>Requests |
|----------|-----------------------|---------------|-----------------------|---------|-----------------------|
| 18(2)    | 0                     | 22(1)(a)(i)   | 0                     | 23(a)   | 0                     |
| 19(1)(a) | 0                     | 22(1)(a)(ii)  | 0                     | 23(b)   | 0                     |
| 19(1)(b) | 0                     | 22(1)(a)(iii) | 0                     | 24(a)   | 0                     |
| 19(1)(c) | 0                     | 22(1)(b)      | 0                     | 24(b)   | 0                     |
| 19(1)(d) | 0                     | 22(1)(c)      | 0                     | 25      | 0                     |
| 19(1)(e) | 0                     | 22(2)         | 0                     | 26      | 0                     |
| 19(1)(f) | 0                     | 22.1          | 0                     | 27      | 0                     |
| 20       | 0                     | 22.2          | 0                     | 28      | 0                     |
| 21       | 0                     | 22.3          | 0                     |         |                       |

#### 2.3 Exclusions

| Section  | Number of<br>Requests | Section  | Number of<br>Requests | Section  | Number of<br>Requests |
|----------|-----------------------|----------|-----------------------|----------|-----------------------|
| 69(1)(a) | 0                     | 70(1)    | 0                     | 70(1)(d) | 0                     |
| 69(1)(b) | 0                     | 70(1)(a) | 0                     | 70(1)(e) | 0                     |
| 69.1     | 0                     | 70(1)(b) | 0                     | 70(1)(f) | 0                     |
|          |                       | 70(1)(c) | 0                     | 70.1     | 0                     |

#### 2.4 Format of information released

| Disposition       | Paper | Electronic | Other formats |
|-------------------|-------|------------|---------------|
| All disclosed     | 0     | 0          | 0             |
| Disclosed in part | 0     | 0          | 0             |
| Total             | 0     | 0          | 0             |

#### 2.5 Complexity

# 2.5.1 Relevant pages processed and disclosed

| Disposition of Requests | Number of Pages<br>Processed | Number of Pages<br>Disclosed | Number of Requests |
|-------------------------|------------------------------|------------------------------|--------------------|
| All disclosed           | 0                            | 0                            | 0                  |
| Disclosed in part       | 0                            | 0                            | 0                  |
| All exempted            | 0                            | 0                            | 0                  |
| All excluded            | 0                            | 0                            | 0                  |
| Request abandoned       | 0                            | 0                            | 0                  |
| Neither confirmed nor   |                              |                              |                    |
| denied                  | 0                            | 0                            | 0                  |
| Total                   | 0                            | 0                            | 0                  |

#### 2.5.2 Relevant pages processed and disclosed by size of requests

|                              | Less Than 100<br>Pages Processed |                    |                       | 101-500<br>Pages Processed |                       | 501-1000<br>Pages Processed |                       | -5000<br>rocessed  | More Than 5000<br>Pages Processed |                    |
|------------------------------|----------------------------------|--------------------|-----------------------|----------------------------|-----------------------|-----------------------------|-----------------------|--------------------|-----------------------------------|--------------------|
| Disposition                  | Number of<br>Requests            | Pages<br>Disclosed | Number of<br>Requests | Pages<br>Disclosed         | Number of<br>Requests | Pages<br>Disclosed          | Number of<br>Requests | Pages<br>Disclosed | Number of<br>Requests             | Pages<br>Disclosed |
| All disclosed                | 0                                | 0                  | 0                     | 0                          | 0                     | 0                           | 0                     | 0                  | 0                                 | 0                  |
| Disclosed in part            | 0                                | 0                  | 0                     | 0                          | 0                     | 0                           | 0                     | 0                  | 0                                 | 0                  |
| All exempted                 | 0                                | 0                  | 0                     | 0                          | 0                     | 0                           | 0                     | 0                  | 0                                 | 0                  |
| All excluded                 | 0                                | 0                  | 0                     | 0                          | 0                     | 0                           | 0                     | 0                  | 0                                 | 0                  |
| Request abandoned            | 0                                | 0                  | 0                     | 0                          | 0                     | 0                           | 0                     | 0                  | 0                                 | 0                  |
| Neither confirmed nor denied | 0                                | 0                  | 0                     | 0                          | 0                     | 0                           | 0                     | 0                  | 0                                 | 0                  |
| Total                        | 0                                | 0                  | 0                     | 0                          | 0                     | 0                           | 0                     | 0                  | 0                                 | 0                  |

#### 2.5.3 Other complexities

| Disposition                        | Consultation<br>Required | Legal Advice<br>Sought | Interwoven<br>Information | Other | Total |
|------------------------------------|--------------------------|------------------------|---------------------------|-------|-------|
| All disclosed                      | 0                        | 0                      | 0                         | 0     | 0     |
| Disclosed in part                  | 0                        | 0                      | 0                         | 0     | 0     |
| All exempted                       | 0                        | 0                      | 0                         | 0     | 0     |
| All excluded                       | 0                        | 0                      | 0                         | 0     | 0     |
| Request abandoned                  | 0                        | 0                      | 0                         | 0     | 0     |
| Neither<br>confirmed nor<br>denied | 0                        | 0                      | 0                         | 0     | 0     |
| Total                              | 0                        | 0                      | 0                         | 0     | 0     |

#### 2.6 Deemed refusals

#### 2.6.1 Reasons for not meeting statutory deadline

| Number of Requests Closed Past |          | Principa              | l Reason                 |       |
|--------------------------------|----------|-----------------------|--------------------------|-------|
| the Statutory Deadline         | Workload | External Consultation | Internal<br>Consultation | Other |
| 0                              | 0        | 0                     | 0                        | 0     |

#### 2.6.2 Number of days past deadline

| Number of Days Past<br>Deadline | Number of Requests<br>Past Deadline Where No<br>Extension Was Taken | Number of Requests<br>Past Deadline Where An<br>Extension Was Taken | Total |
|---------------------------------|---|---|-------|
| 1 to 15 days                    | 0   | 0   | 0     |
| 16 to 30 days                   | 0   | 0   | 0     |
| 31 to 60 days                   | 0   | 0   | 0     |
| 61 to 120 days                  | 0   | 0   | 0     |
| 121 to 180 days                 | 0   | 0   | 0     |
| 181 to 365 days                 | 0   | 0   | 0     |
| More than 365 days              | 0   | 0   | 0     |
| Total                           | 0   | 0   | 0     |

#### 2.7 Requests for translation

| Translation Requests | Accepted | Refused | Total |
|----------------------|----------|---------|-------|
| English to French    | 0        | 0       | 0     |
| French to English    | 0        | 0       | 0     |
| Total                | 0        | 0       | 0     |

# Part 3: Disclosures Under Subsections 8(2) and 8(5)

| Paragraph 8(2)(e) | Paragraph 8(2)(m) | Subsection 8(5) | Total |
|-------------------|-------------------|-----------------|-------|
| 0                 | 0                 | 0               | 0     |

#### Part 4: Requests for Correction of Personal Information and Notations

| Disposition for Correction Requests Received | Number |
|--|--------|
| Notations attached                           | 0      |
| Requests for correction accepted             | 0      |
| Total  | 0      |

#### Part 5: Extensions

#### 5.1 Reasons for extensions and disposition of requests

|   | 15(a)(i)                        | <b>15(</b> a<br>Consu | 15(b) |                              |
|---|---------------------------------|-----------------------|-------|------------------------------|
| Disposition of Requests Where<br>an Extension Was Taken | Interference With<br>Operations | Section 70            | Other | Translation or<br>Conversion |
| All disclosed   | 0                               | 0                     | 0     | 0                            |
| Disclosed in part                                       | 0                               | 0                     | 0     | 0                            |
| All exempted  | 0                               | 0                     | 0     | 0                            |
| All excluded  | 0                               | 0                     | 0     | 0                            |
| No records exist  | 0                               | 0                     | 0     | 0                            |
| Request abandoned                                       | 0                               | 0                     | 0     | 0                            |
| Total   | 0                               | 0                     | 0     | 0                            |

#### 5.2 Length of extensions

|                      | 15(a)(i)                     | •          | a)(ii)<br>ultation | 15(b)                |
|----------------------|------------------------------|------------|--------------------|----------------------|
| Length of Extensions | Interference with operations | Section 70 | Other              | Translation purposes |
| 1 to 15 days         | 0                            | 0          | 0                  | 0                    |
| 16 to 30 days        | 0                            | 0          | 0                  | 0                    |
| Total                | 0                            | 0          | 0                  | 0                    |

#### Part 6: Consultations Received From Other Institutions and Organizations

# 6.1 Consultations received from other Government of Canada institutions and other organizations

| Consultations                                  | Other<br>Government of<br>Canada<br>Institutions | Number of Pages<br>to Review | Other<br>Organizations | Number of Pages<br>to Review |
|--|--|------------------------------|------------------------|------------------------------|
| Received during the reporting period           | 0  | 0                            | 0                      | 0                            |
| Outstanding from the previous reporting period | 0  | 0                            | 0                      | 0                            |
| Total  | 0  | 0                            | 0                      | 0                            |
| Closed during the reporting period             | 0  | 0                            | 0                      | 0                            |
| Pending at the end of the reporting period     | 0  | 0                            | 0                      | 0                            |

# **6.2** Recommendations and completion time for consultations received from other Government of Canada institutions

|                           | Numb    | per of Da | ys Requi | red to Co    | omplete ( | Consulta      | tion Req            | uests |
|---------------------------|---------|-----------|----------|--------------|-----------|---------------|---------------------|-------|
|                           | 1 to 15 | 16 to 30  | 31 to 60 | 61 to<br>120 | 121 to    | 181 to<br>365 | More<br>Than<br>365 |       |
| Recommendation            | Days    | Days      | Days     | Days         | Days      | Days          | Days                | Total |
| All disclosed             | 0       | 0         | 0        | 0            | 0         | 0             | 0                   | 0     |
| Disclosed in part         | 0       | 0         | 0        | 0            | 0         | 0             | 0                   | 0     |
| All exempted              | 0       | 0         | 0        | 0            | 0         | 0             | 0                   | 0     |
| All excluded              | 0       | 0         | 0        | 0            | 0         | 0             | 0                   | 0     |
| Consult other institution | 0       | 0         | 0        | 0            | 0         | 0             | 0                   | 0     |
| Other                     | 0       | 0         | 0        | 0            | 0         | 0             | 0                   | 0     |
| Total                     | 0       | 0         | 0        | 0            | 0         | 0             | 0                   | 0     |

# 6.3 Recommendations and completion time for consultations received from other organizations

|                           | Nun             | nber of da       | ays requi        | red to co   | omplete o   | consulta    | tion requ    | ests  |
|---------------------------|-----------------|------------------|------------------|-------------|-------------|-------------|--------------|-------|
|                           |                 |                  |                  | 61 to       | 121 to      | 181 to      | More<br>Than |       |
| Recommendation            | 1 to 15<br>Days | 16 to 30<br>Days | 31 to 60<br>Days | 120<br>Days | 180<br>Days | 365<br>Days | 365<br>Days  | Total |
| All disclosed             | 0               | 0                | 0                | 0           | 0           | 0           | 0            | 0     |
| Disclosed in part         | 0               | 0                | 0                | 0           | 0           | 0           | 0            | 0     |
| All exempted              | 0               | 0                | 0                | 0           | 0           | 0           | 0            | 0     |
| All excluded              | 0               | 0                | 0                | 0           | 0           | 0           | 0            | 0     |
| Consult other institution | 0               | 0                | 0                | 0           | 0           | 0           | 0            | 0     |
| Other                     | 0               | 0                | 0                | 0           | 0           | 0           | 0            | 0     |
| Total                     | 0               | 0                | 0                | 0           | 0           | 0           | 0            | 0     |

## Part 7: Completion Time of Consultations on Cabinet Confidences

#### 7.1 Requests with Legal Services

|                | Fewer Than 100 Pages Processed |                    |                       | 01-500 Pages 501-1000<br>Processed Pages Processed |                       |                    | -5000<br>rocessed     |                    | an 5000<br>ocessed    |                    |
|----------------|--------------------------------|--------------------|-----------------------|--|-----------------------|--------------------|-----------------------|--------------------|-----------------------|--------------------|
| Number of Days | Number of<br>Requests          | Pages<br>Disclosed | Number of<br>Requests | Pages<br>Disclosed                                 | Number of<br>Requests | Pages<br>Disclosed | Number of<br>Requests | Pages<br>Disclosed | Number of<br>Requests | Pages<br>Disclosed |
| 1 to 15        | 0                              | 0                  | 0                     | 0  | 0                     | 0                  | 0                     | 0                  | 0                     | 0                  |
| 16 to 30       | 0                              | 0                  | 0                     | 0  | 0                     | 0                  | 0                     | 0                  | 0                     | 0                  |
| 31 to 60       | 0                              | 0                  | 0                     | 0  | 0                     | 0                  | 0                     | 0                  | 0                     | 0                  |
| 61 to 120      | 0                              | 0                  | 0                     | 0  | 0                     | 0                  | 0                     | 0                  | 0                     | 0                  |
| 121 to 180     | 0                              | 0                  | 0                     | 0  | 0                     | 0                  | 0                     | 0                  | 0                     | 0                  |
| 181 to 365     | 0                              | 0                  | 0                     | 0  | 0                     | 0                  | 0                     | 0                  | 0                     | 0                  |
| More than 365  | 0                              | 0                  | 0                     | 0  | 0                     | 0                  | 0                     | 0                  | 0                     | 0                  |
| Total          | 0                              | 0                  | 0                     | 0  | 0                     | 0                  | 0                     | 0                  | 0                     | 0                  |

#### 7.2 Requests with Privy Council Office

|                | Fewer Than 100 101–500 Pages Pages Processed Processed |                    | 501-1000<br>Pages Processed |                    | 1001-5000<br>Pages Processed |                    | More than 5000<br>Pages Processed |                    |                       |                    |
|----------------|--|--------------------|-----------------------------|--------------------|------------------------------|--------------------|-----------------------------------|--------------------|-----------------------|--------------------|
| Number of Days | Number of<br>Requests                                  | Pages<br>Disclosed | Number of<br>Requests       | Pages<br>Disclosed | Number of<br>Requests        | Pages<br>Disclosed | Number of<br>Requests             | Pages<br>Disclosed | Number of<br>Requests | Pages<br>Disclosed |
| 1 to 15        | 0  | 0                  | 0                           | 0                  | 0                            | 0                  | 0                                 | 0                  | 0                     | 0                  |
| 16 to 30       | 0  | 0                  | 0                           | 0                  | 0                            | 0                  | 0                                 | 0                  | 0                     | 0                  |
| 31 to 60       | 0  | 0                  | 0                           | 0                  | 0                            | 0                  | 0                                 | 0                  | 0                     | 0                  |
| 61 to 120      | 0  | 0                  | 0                           | 0                  | 0                            | 0                  | 0                                 | 0                  | 0                     | 0                  |
| 121 to 180     | 0  | 0                  | 0                           | 0                  | 0                            | 0                  | 0                                 | 0                  | 0                     | 0                  |
| 181 to 365     | 0  | 0                  | 0                           | 0                  | 0                            | 0                  | 0                                 | 0                  | 0                     | 0                  |
| More than 365  | 0  | 0                  | 0                           | 0                  | 0                            | 0                  | 0                                 | 0                  | 0                     | 0                  |
| Total          | 0  | 0                  | 0                           | 0                  | 0                            | 0                  | 0                                 | 0                  | 0                     | 0                  |

#### Part 8: Complaints and Investigations Notices Received

| Section 31 | Section 33 | Section 35 | Court action | Total |
|------------|------------|------------|--------------|-------|
| 0          | 0          | 0          | 0            | 0     |

# Part 9: Privacy Impact Assessments (PIAs)

| Number of PIA(s) completed | 1 |
|----------------------------|---|

#### Part 10: Resources Related to the Privacy Act

#### 10.1 Costs

| Expenditures                    |          | Amount    |
|---------------------------------|----------|-----------|
| Salaries                        |          | \$49,013  |
| Overtime                        |          | \$0       |
| Goods and Services              |          | \$60,334  |
| Professional services contracts | \$60,334 |           |
| Other                           | \$0      |           |
| Total                           |          | \$109,347 |

#### 10.2 Human Resources

| Resources                        | Person Years Dedicated to Privacy Activities |
|----------------------------------|--|
| Full-time employees              | 0.47   |
| Part-time and casual employees   | 0.00   |
| Regional staff                   | 0.00   |
| Consultants and agency personnel | 0.38   |
| Students                         | 0.33   |
| Total                            | 1.18   |

Note: Enter values to two decimal places.