Therapeutic Products Directorate

Drug Submission Performance Quarterly Report

April - June

2021





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OVERVIEW

The Therapeutic Products Directorate's (TPD) Quarterly Drug Submission Performance Report reflects pharmaceutical drug submission review activity over five consecutive quarters: from April - June 2020 to April - June 2021. Statistics are provided by Submission Type and show the number received, the number in workload, the number of decisions and the number of approvals.

Several significant events occurred during the spring of 2020 including the COVID-19 Pandemic and the implementation of revised fees in accordance with the *Fees in Respect of Drugs and Medical Devices Order (SOR/2019-124)*.

- Health Canada employees shifted from working in their offices to working remotely from home. Fortunately, in 2019, HPFB had implemented new forms to take advantage of the gateway for transmission of regulatory transactions in electronic format.¹ This method is more efficient than sending transactions on physical media by courier and is mandatory as of October 1, 2020.
- The publication of the Quarterly Drug Submission Performance Report was cancelled for two quarters (there were no reports published for Q4 Jan-Mar 2020 and Q1 Apr-June 2020), however figures for the past three quarters are provided in this report.
- An Interim Order Respecting Clinical Trials for Medical Devices and Drugs Relating to COVID-19 was approved and on August 13, 2020 the Minister of Health approved the Order respecting certain time limits under the Food and Drug Regulations temporarily extending the default period to review clinical trial applications and amendments from 30 days to 45 days to allow Health Canada to expedite the influx of COVID-19 related clinical trial applications. The order extending the default period expired on November 16, 2020. The number of CTA and CTA-As received under orders are included in this report.
- The Interim Order Respecting the Importation, Sale and Advertising of Drugs for Use in Relation to COVID-19 (ISAD Interim Order) was approved by the Governor in Council on September 25, 2020. This interim order was introduced, in part, to create a new authorization pathway to help expedite the authorization of drugs and vaccines for COVID-19. The number of applications and amendments filed, the number of applications and amendments in review, and the number of authorizations issued under the ISAD Interim Order are included in this report.

¹ The Regulatory Enrolment Process (REP) and the Common Electronic Submissions Gateway (CESG)

- There was a significant increase in the volume of Drug Identification Number Applications for Disinfectant products (DIND) received (see the Quarterly Drug Submission Performance Report for the Natural and Non-Prescription Health Products Directorate (NNHPD)).
- On April 1, 2020, revised fees were implemented in accordance with the *Fees in Respect of Drugs and Medical Devices Order (SOR/2019-124)*. In addition, submissions based only on clinical or non-clinical data, in support of safety updates to the labelling materials for a new drug are now submitted as an SNDS or SANDS (and not as an NC).
- Decisions made in 2020-2021 included submissions filed under both the pre-2020 and post-2020 cost recovery framework.

General Information

There are several steps involved in the drug submission review² and approval process:

- administrative processing,
- regulatory and scientific screening and
- in-depth scientific review.

When deficiencies or non-compliance issues are found, a company may submit responses before a final decision can be reached and thus multiple review cycles may be required. A submission's approval time can vary depending on the number and type of review cycles needed.

Submissions Received are counts of submissions received during the year using the filing date (CR date) which is the date the submission is considered administratively complete by Health Canada.

Workload is the number of submissions "under active review" on the last day of the quarter. "**Backlog**" is the proportion of the workload that is over target. Often the term workload is used to mean the amount of work received over a period of time and is a common source of confusion.

Approvals³ are Notice of Compliances (NOC) Issued or Issuable. An NOC issuable is when a submission's NOC is placed "on hold" awaiting authorization to market, due to Patented Medicines (NOC) Regulations or due to changes from Prescription to Non-Prescription.

Authorization means an authorization issued under section 5 of the ISAD Interim Order.

A **review cycle completion**⁴ is counted upon the conclusion of an in-depth scientific review that then results in a decision of approval or non-approval. The time taken to complete a cycle (excluding any pause days⁵) is compared to a set <u>performance standard</u> which is based on the type of submission, class and cycle (status).

Performance for all submissions or applications filed after April 1, 2020 is tracked individually.

² For further clarification, refer to the <u>Guidance for Industry: Management of Drug Submissions</u>.

³ Final results from confirmatory trials submitted in the form of an SNDS-C are now included in the SNDS Received, Workload and Performance figures. SNDS-Cs are not included in the SNDS Approval figures. For further clarification, refer to the <u>Guidance Document: Notice of Compliance with Conditions (NOC/c)</u>.

⁴ Review cycles include all types e.g. Review 1, Review 2, Review QN, Review Post Jr. The total number of "review decisions" may surpass the total number of review cycle completions as they include cancellations/withdrawals that occur while the submission is 'inactive'. For example, a withdrawal can be issued when a company fails to respond to a notice of non-compliance within the allotted time frame. A 'Cancelled by Company' is counted as a review decision when a company sends a cancellation letter after the submission's original materials have been accepted for review.

⁵ In the event that the review clock has been paused, the duration of the pause will be deducted from the total review time when calculating performance. That is, the days during which the clock is paused will not count when measuring performance (effective date: April 1, 2020).

"First Cycle Review" Approvals are those submissions approved without having to go through several review cycles to resolve submission deficiencies or non-compliance issues, and exclude "refiled" submissions.

Any questions or comments on this report should be forwarded to:

Office of Submissions and Intellectual Property, Resource Management and Operations Directorate Finance Building, A.L. # 0202A1 101 Tunney's Pasture Driveway, Tunney's Pasture Ottawa, Ontario, K1A 0K9

Tel: (613) 941-7281 Fax: (613) 941-0825

Email: osip-bppi@hc-sc.gc.ca

TPD Quarterly Drug Submission Performance Report

⁶ For further clarification, refer to the <u>Guidance for Industry: Management of Drug Submissions.</u>

ACRONYMS

Submission Types

ANDS - Abbreviated New Drug Submission

COV19 - Application under the Interim Order Respecting the Importation, Sale and

Advertising of Drugs for Use in Relation to COVID-19

COV19A - Application for an amendment to an application under the Interim Order

Respecting the Importation, Sale and Advertising of Drugs for Use in

Relation to COVID-19

CTA - Clinical Trial Application

CTA-A - Clinical Trial Application - Amendment

DINA - Application for a Drug Identification Number for a pharmaceutical product,

including non-prescription products attesting to a Labelling Standard

DINB - Application for a Drug Identification Number for a biological product

DIND - Application for a Drug Identification Number for a disinfectant product

DINF - Application for a Drug Identification Number for a Category IV Monograph

Product

EUANDS - Abbreviated Extraordinary Use New Drug Submission

EUNDS - Extraordinary Use New Drug Submission

EUSANDS - Supplement to an Abbreviated Extraordinary Use New Drug Submission

EUSNDS - Supplement to an Extraordinary Use New Drug Submission

MPNDS - Pre-Submission Meeting New Drug Submission

MPSNDS - Pre-Submission Meeting Supplement to a New Drug Submission

NC - Notifiable Change

NDS - New Drug Submission

NDS-D - New Drug Submission for Disinfectant products

PDC - Post-authorization Division 1 Change for a pharmaceutical product

PDC-B - Post-authorization Division 1 Change for a biologic drug product

PRNDS - Request for Priority Review Status: New Drug Submission

PRSNDS - Request for Priority Review Status: Supplemental New Drug Submission

SANDS - Supplement to an Abbreviated New Drug Submission

SANDS-c - Supplement to an Abbreviated New Drug Submission - Confirmatory

SNDS - Supplement to a New Drug Submission

SNDS-c - Supplement to a New Drug Submission - Confirmatory

SNDS-D - Supplement to a New Drug Submission for Disinfectant products

Documents

NOC - Notice of Compliance

NOC-c - Notice of Compliance with Conditions

IO_NOA - Notice of Authorization

IO_NOA_TC - Notice of Authorization with Terms and Conditions

Issuable NOC (Patent) - NOC on Hold due to Patented Medicines (NOC) Regulations

Issuable NOC (Rx to OTC) - NOC on Hold due to changes (Prescription to Non-Prescription)

NON - Notice of Non-Compliance

NOD - Notice of Deficiency

NON Withdrawal - Notice of Non-Compliance Withdrawal Letter

NOD Withdrawal - Notice of Deficiency Withdrawal Letter

Fee Categories

Fee Category	Description
New active substance	Submissions in support of a drug, other than a disinfectant, that contains a medicinal ingredient not previously approved in a drug in Canada and that is not a variation of a previously approved medicinal ingredient such as a salt, ester, enantiomer, solvate or polymorph
Clinical or non-clinical data and chemistry and manufacturing data	Submissions based on clinical or non-clinical data and chemistry and manufacturing data for a drug that does not include a new active substance
Clinical or nonclinical data only	Submissions based only on clinical or non-clinical data for a drug that does not include a new active substance
Comparative studies	Submissions based on comparative studies (e.g., clinical or non-clinical data, bioavailability data and data on the pharmacokinetics and pharmacodynamics of the drug) with or without chemistry and manufacturing data for a drug that does not include a new active substance
Chemistry and manufacturing data only	Submissions based only on chemistry and manufacturing data for a drug that does not include a new active substance
Clinical or nonclinical data only, in support of safety updates to the labelling	Submissions based only on clinical or non-clinical data, in support of safety updates to the labelling materials for a new drug that does not include a new active substance
Switch status from prescription drug to non-prescription drug	Submissions based only on data that support the modification or removing of a medicinal ingredient listed in Schedule F of the Food and Drug Regulations (i.e. identical claim for existing drug) - Category discontinued
Labelling only	Submissions, other than those described in item 9, 12 or 13, of labelling material, that include data in support of the following: brand name assessment, standardized or published test methods, in vitro or in vivo photostability or applications for a drug identification number in support of changes to brand names of non-prescription drugs (but not including examination of other supporting clinical or non-clinical data, comparative data, or chemistry and manufacturing data)

Fee Category	Description
Labelling only (generic drugs)	Submissions in support of a change to the labelling to be consistent with the Canadian reference product that do not include any additional labelling updates requiring a labelling assessment
Administrative submission	Submissions in support of a change in the manufacturer's name or brand name, including the following: changes in ownership of the drug, request for an additional brand name or changes resulting from a licensing agreement being entered into by two manufacturers that do not require an assessment of labelling material or brand name (e.g., post-authorization label changes filed by licensees to remain identical to licensor's drug and post-authorization chemistry and manufacturing updates for drugs listed in Schedule C or D of the Food and Drugs Act)
Disinfectant – full review	Submissions, other than those described in item 12, that include data in support of a disinfectant
Labelling only (disinfectants)	Submissions in support of changes to the labelling of disinfectants that do not require supporting data, submissions in support of safety updates for disinfectants that are new drugs or submissions in support of a change in the manufacture's name or brand name that requires a review of labelling material due to deviations from the previously authorized labelling or drug
Drug identification number application - labelling standards	Applications, including those that pertain to changes to brand names for non-prescription drugs, that include an attestation of compliance with a labelling standard or Category IV Monograph for a drug that does not include clinical or non-clinical data or chemistry and manufacturing data
Published data only	Submissions based only on published clinical or non-clinical data for a drug that does not include a new active substance - Category discontinued

For further information, please consult <u>the Guidance Document: Fees for the Review of Human and Disinfectant Drug Submissions and Applications</u>.

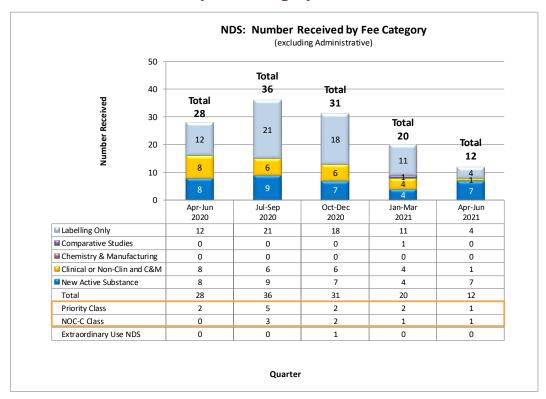
NEW DRUG SUBMISSION (NDS)

&

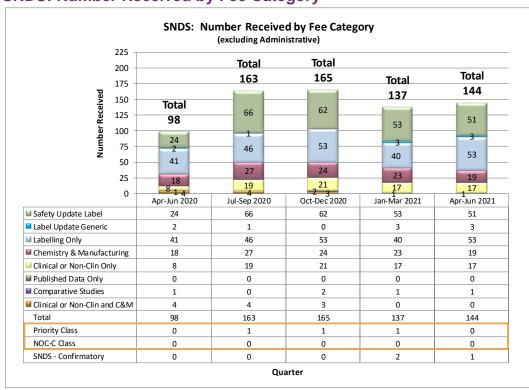
SUPPLEMENT TO A NEW DRUG SUBMISSION (SNDS)

SUBMISSIONS RECEIVED 7

NDS: Number Received by Fee Category



SNDS: Number Received by Fee Category

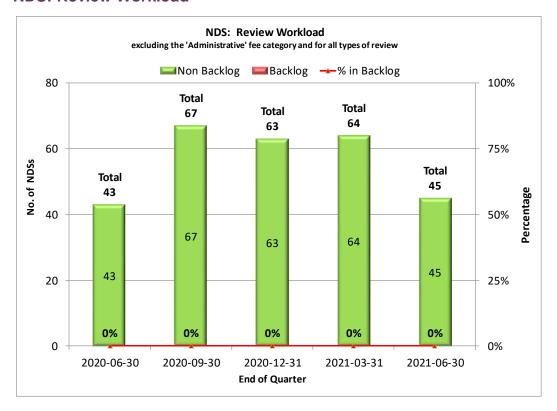


⁷ Submissions granted Priority Review Status or found eligible for advance NOC/c consideration are assigned a shortened review target to account for the Priority nature of the submission. For further clarification, please see the <u>Priority Review of Drug Submissions Policy</u>, the <u>Notice of Compliance with conditions (NOC/c) Guidance</u> and the <u>Management of Drug Submissions Guidance</u>.

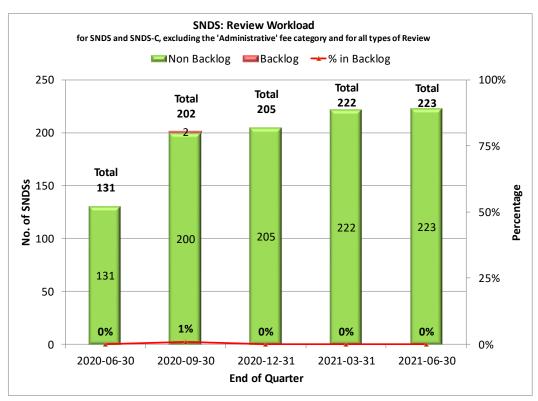
TPD Quarterly Drug Submission Performance Report **NDS and SNDS**

WORKLOAD

NDS: Review Workload



SNDS: Review Workload



WORKLOAD

NDS: Review Workload by Fee Category

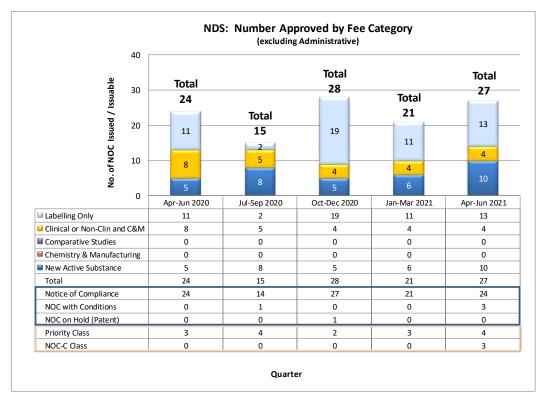
NDS: REVIEW WORKLOAD BY FEE CATEGORY (excluding Administrative) and End of Quarter					
FEE Category 2020-06-30 2020-09-30 2020-12-31 2021-03-31 2021-06-3					
Labelling Only	4	23	16	17	9
Backlog	0	0	0	0	0
Comparative Studies	1	0	0	0	0
Backlog	0	0	0	0	0
Chemistry & Manufacturing	0	0	0	0	0
Backlog	0	0	0	0	0
Clinical or Non-Clin Only	0	0	0	0	0
Backlog	0	0	0	0	0
Clinical or Non-Clin and C&M	16	20	20	22	19
Backlog	0	0	0	0	0
New Active Substance	22	24	27	25	17
Backlog	0	0	0	0	0
Total	43	67	63	64	45
Non Backlog	43	67	63	64	45
Backlog	0	0	0	0	0
% in Backlog	0%	0%	0%	0%	0%
Priority (subset)	6	5	7	6	2
Backlog	0	0	0	0	0

SNDS: Review Workload by Fee Category

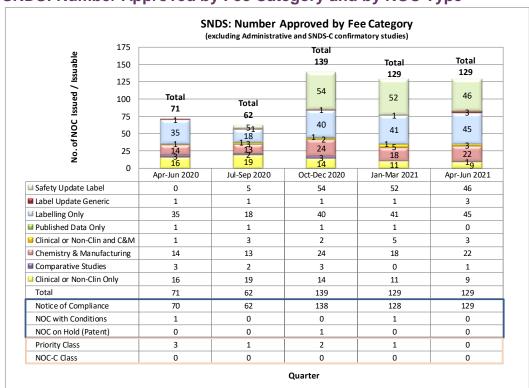
SNDS: REVIEW WORKLOAD BY FEE CATEGORY (excluding Administrative) and End of Quarter						
FEE Category	2020-06-30	2020-09-30	2020-12-31	2021-03-31	2021-06-30	
Labelling Only	19	48	47	49	47	
Backlog	0	1	0	0	0	
Comparative Studies	5	4	0	1	3	
Backlog	0	0	0	0	0	
Chemistry & Manufacturing	35	43	37	47	45	
Backlog	0	0	0	0	0	
Clinical or Non-Clin Only	47	37	44	53	61	
Backlog	0	1	0	0	0	
Clinical or Non-Clin and C&M	9	10	11	9	6	
Backlog	0	0	0	0	0	
Published Data	3	2	1	0	0	
Backlog	0	0	0	0	0	
Label Update Generic	1	0	0	2	0	
Backlog	0	0	0	0	0	
Safety Update Label	12	58	65	61	61	
Backlog	0	0	0	0	0	
Total	131	202	205	222	223	
Non Backlog	131	200	205	222	223	
Backlog	0	2	0	0	0	
% in Backlog	0%	1%	0%	0%	0%	
Priority (subset)	3	3	1	2	2	
Backlog	0	1	0	0	0	
SNDS-C (Confirmatory)	0	0	0	1	2	
Backlog	0	0	0	0	0	

APPROVALS 8

NDS: Number Approved by Fee Category and by NOC Type



SNDS: Number Approved by Fee Category and by NOC Type



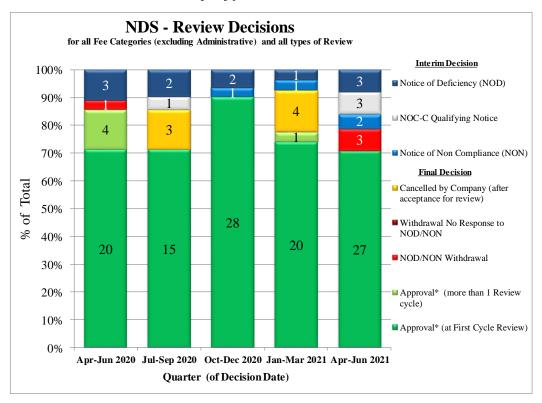
⁸ Submissions granted Priority Review Status or found eligible for advance NOC/c consideration are assigned a shortened review target to account for the Priority nature of the submission. For further clarification, please see the <u>Priority Review of Drug Submissions Policy</u>, the <u>Notice of Compliance with conditions (NOC/c) Guidance</u> and the <u>Management of Drug Submissions Guidance</u>.

TPD Quarterly Drug Submission Performance Report NDS and SNDS

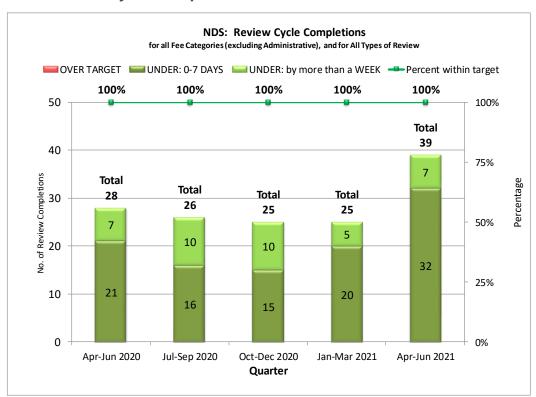
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REVIEW PERFORMANCE

NDS: Review Decisions by Type

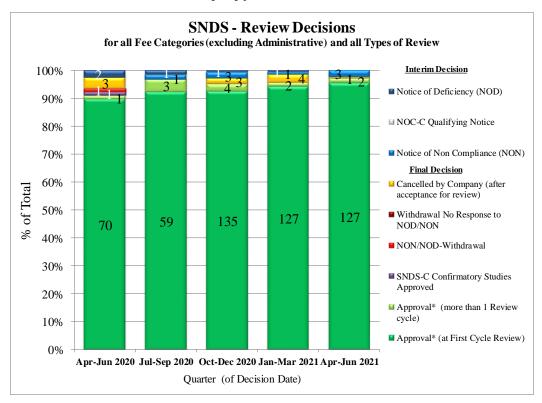


NDS: Review Cycle Completions

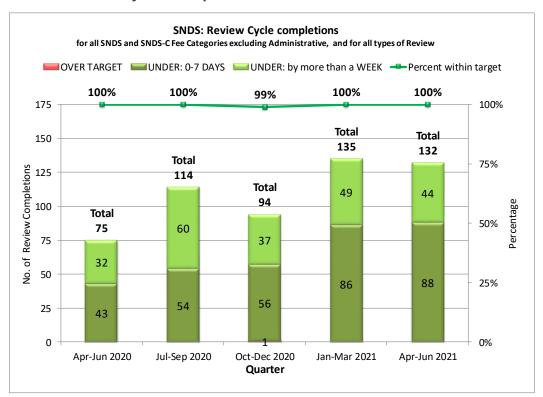


REVIEW CYCLE DECISIONS

SNDS: Review Decisions by Type

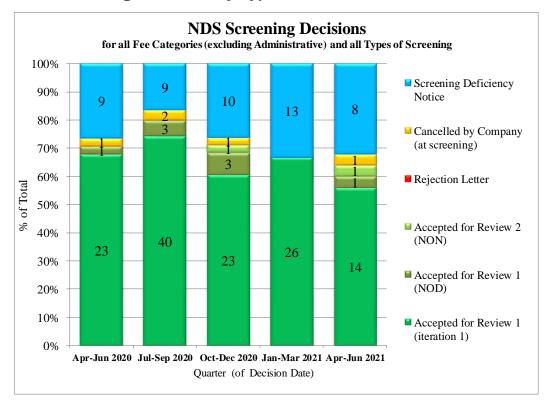


SNDS: Review Cycle Completions

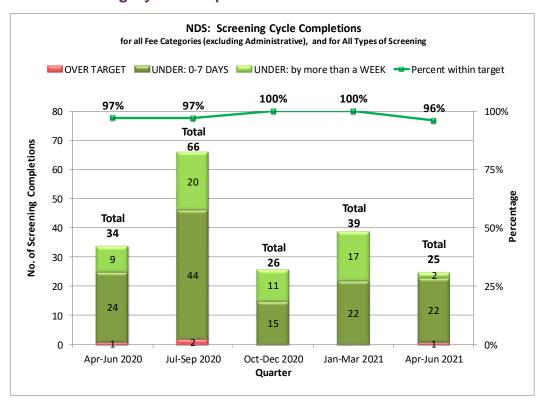


SCREENING PERFORMANCE

NDS: Screening Decisions by Type

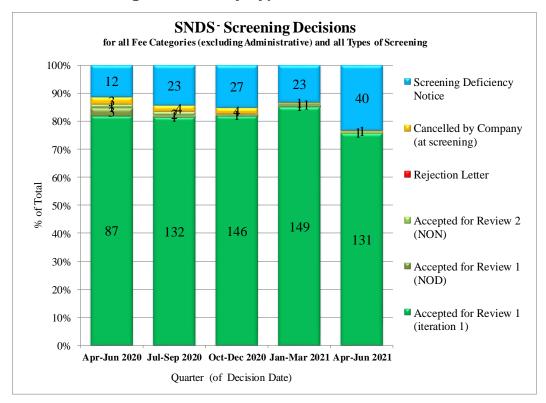


NDS: Screening Cycle Completions

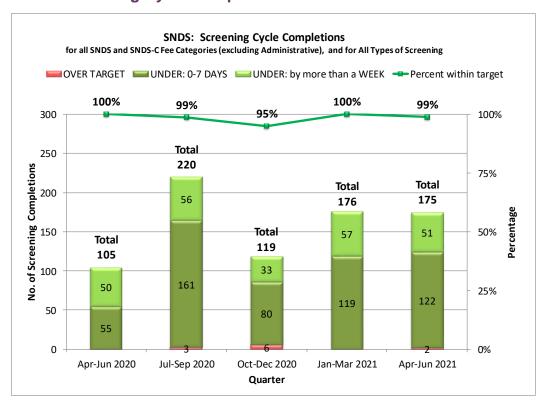


SCREENING CYCLE DECISIONS

SNDS: Screening Decisions by Type



SNDS: Screening Cycle Completions



Application under the Interim Order Respecting the Importation, Sale and Advertising of Drugs for Use in Relation to COVID-19

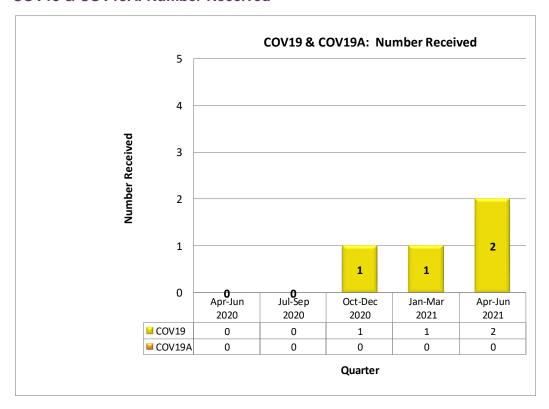
(COV19)

&

Application for an amendment to an application under the Interim Order Respecting the Importation, Sale and Advertising of Drugs for Use in Relation to COVID-19 (COV19A)

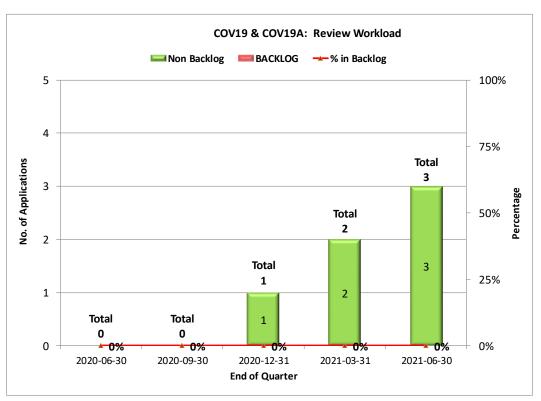
RECEIVED

COV19 & COV19A: Number Received



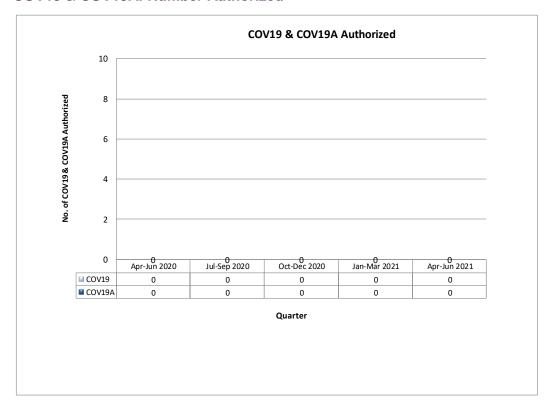
WORKLOAD

COV19 & COV19A: Review Workload



AUTHORIZATIONS

COV19 & COV19A: Number Authorized



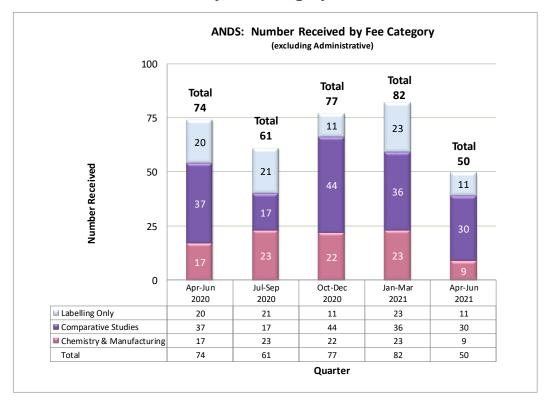
Abbreviated New Drug Submissions (ANDS)

&

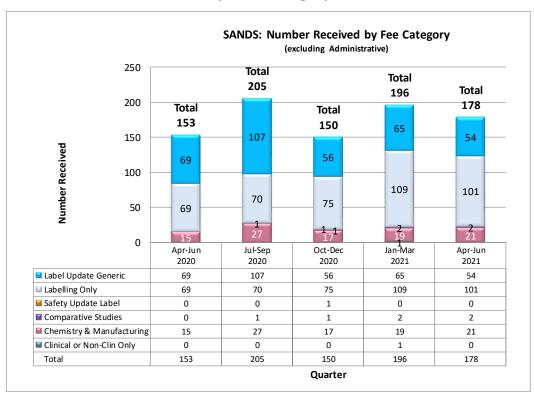
Supplement to an Abbreviated New Drug Submissions (SANDS)

SUBMISSIONS RECEIVED

ANDS: Number Received by Fee Category

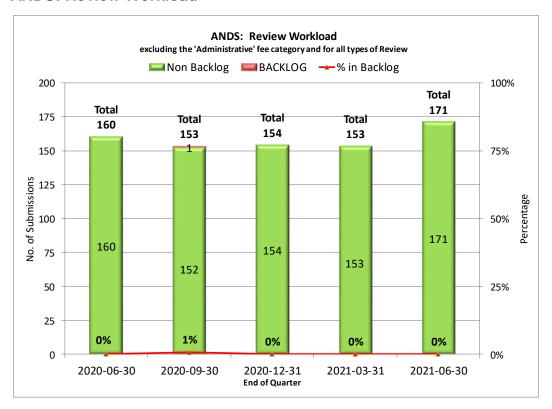


SANDS: Number Received by Fee Category

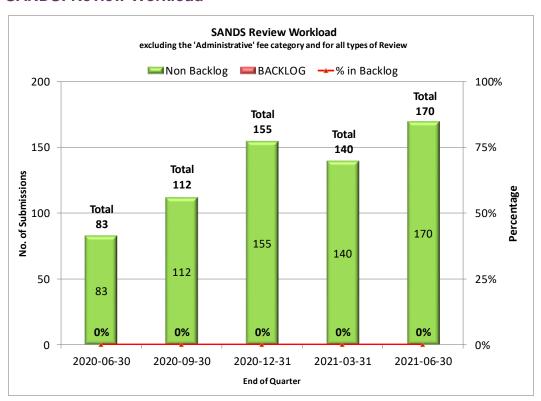


WORKLOAD

ANDS: Review Workload



SANDS: Review Workload



WORKLOAD

ANDS: Review Workload by Fee Category

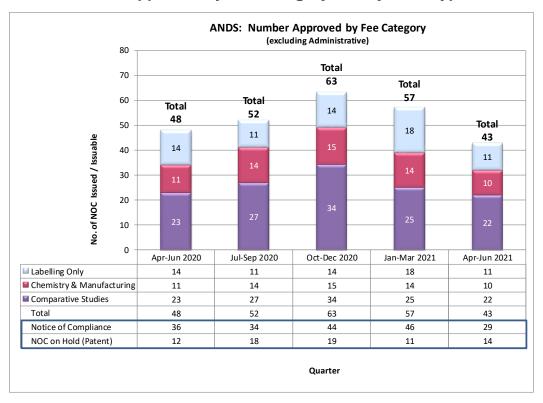
ANDS: REVIEW WORKLOAD BY FEE CATEGORY (excluding Administrative) and End of Quarter							
FEE Category	FEE Category 2020-06-30 2020-09-30 2020-12-31 2021-03-31 2021-06-30						
Chemistry & Manufacturing	47	51	54	53	51		
Backlog	0	1	0	0	0		
Comparative Studies	103	84	79	89	98		
Backlog	0	0	0	0	0		
Labelling Only	10	18	21	11	22		
Backlog	0	0	0	0	0		
Total	160	153	154	153	171		
Non Backlog	160	152	154	153	171		
BACKLOG	0	1	0	0	0		
% in Backlog	0%	1%	0%	0%	0%		

SANDS: Review Workload by Fee Category

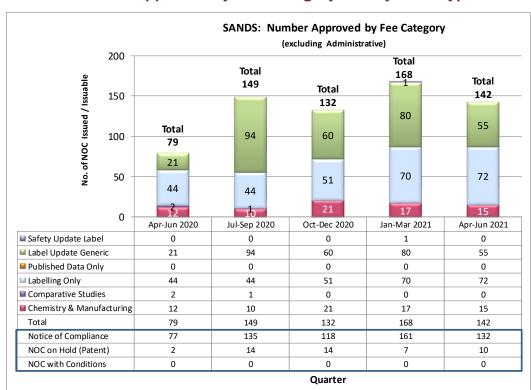
SANDS: REVIEW WORKLOAD BY FEE CATEGORY (excluding Administrative) and End of Quarter						
FEE Category	2020-06-30	2020-09-30	2020-12-31	2021-03-31	2021-06-30	
Chemistry & Manufacturing	28	37	40	30	34	
Backlog	0	0	0	0	0	
Comparative Studies	1	0	0	1	5	
Backlog	0	0	0	0	0	
Labelling Only	31	54	85	91	119	
Backlog	0	0	0	0	0	
Label Update Generic	23	21	29	18	12	
Backlog	0	0	0	0	0	
Safety Update Label	0	0	1	0	0	
Backlog	0	0	0	0	0	
Total	83	112	155	140	170	
Non Backlog	83	112	155	140	170	
BACKLOG	0	0	0	0	0	
% in Backlog	0%	0%	0%	0%	0%	

APPROVALS

ANDS: Number Approved by Fee Category and by NOC Type



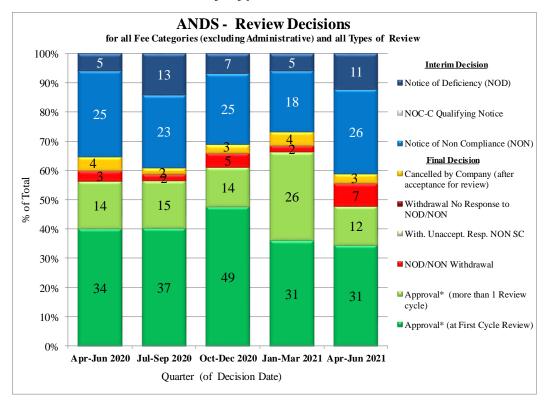
SANDS: Number Approved by Fee Category and by NOC Type



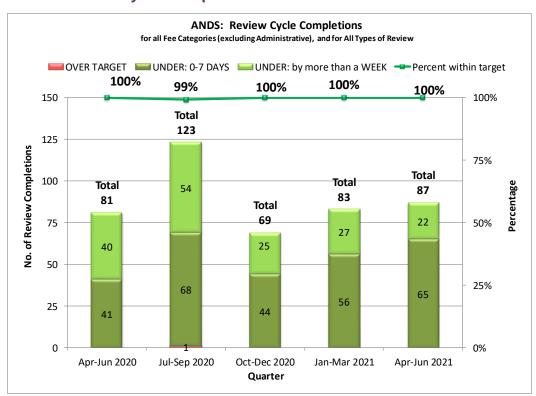
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REVIEW PERFORMANCE

ANDS: Review Decisions by Type

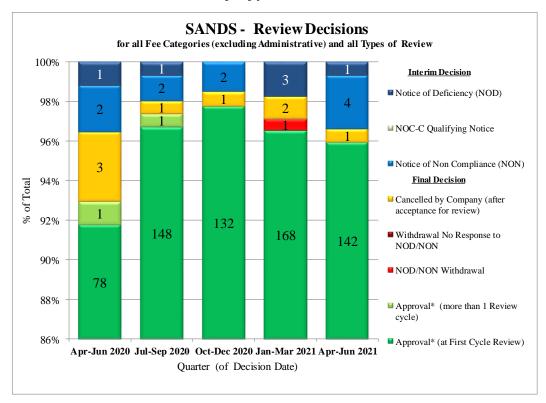


ANDS: Review Cycle Completions

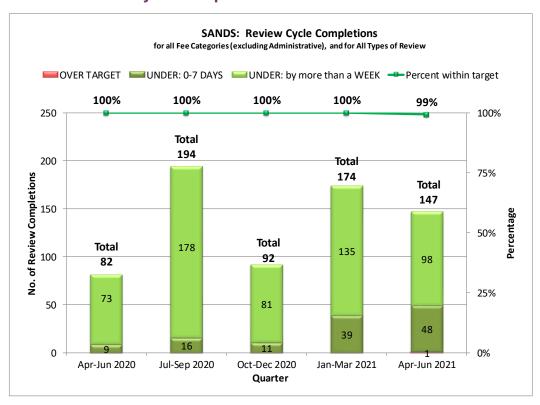


REVIEW PERFORMANCE

SANDS: Review Decisions by Type

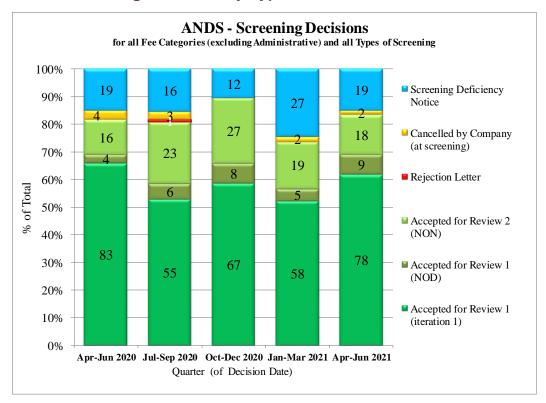


SANDS: Review Cycle Completions

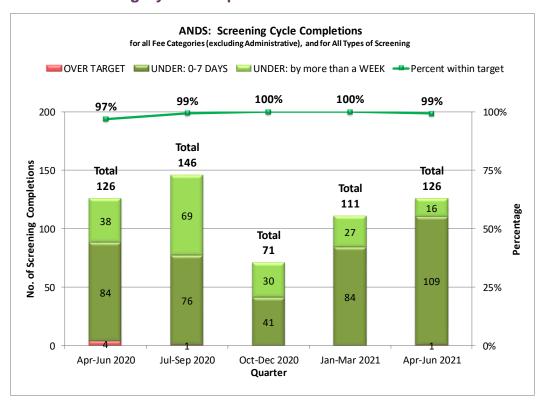


SCREENING PERFORMANCE

ANDS: Screening Decisions by Type

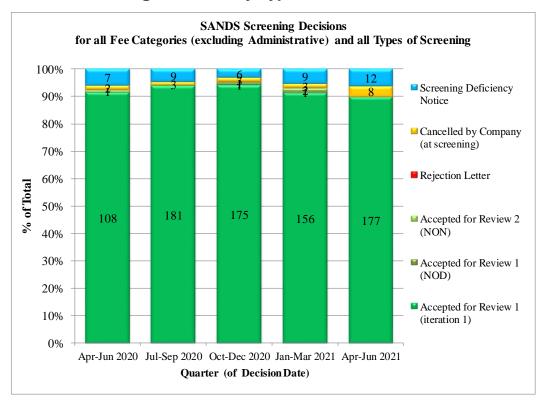


ANDS: Screening Cycle Completions

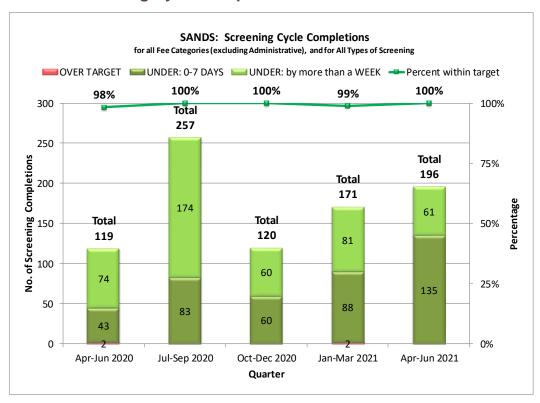


SCREENING PERFORMANCE

SANDS: Screening Decisions by Type

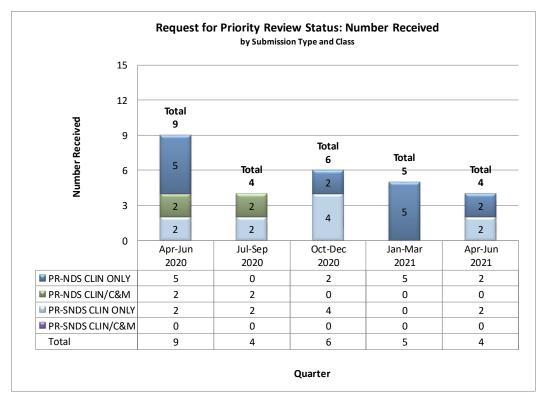


SANDS: Screening Cycle Completions

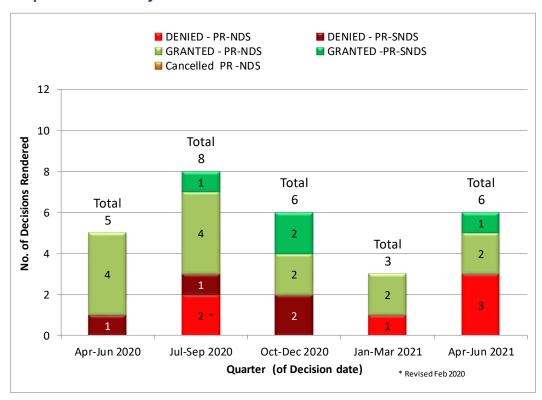


REQUEST FOR PRIORITY REVIEW STATUS (for NDS & SNDS)

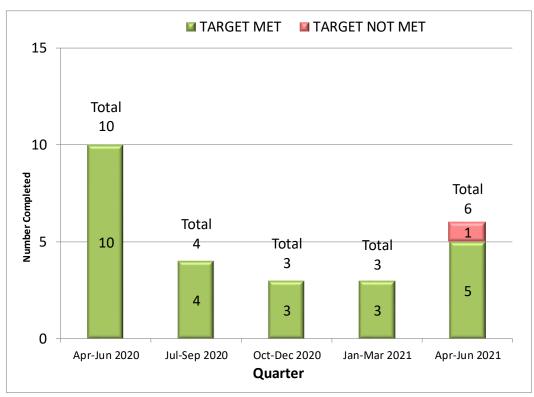
Request for Priority Review Status: Number Received



Request for Priority Review Status: Decisions Rendered



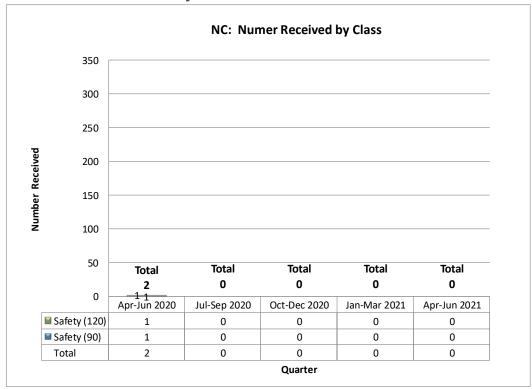
Request for Priority Review Status: Performance



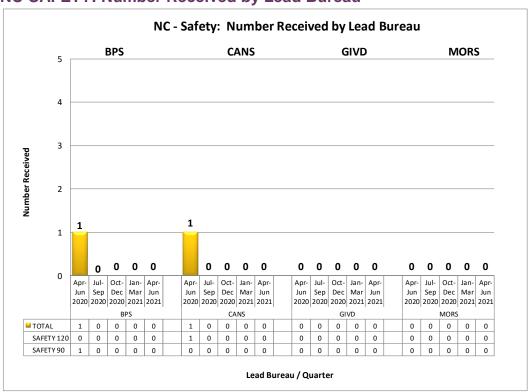
NC: NOTIFIABLE CHANGE

NOTIFIABLE CHANGE RECEIVED

NC: Number Received by Class

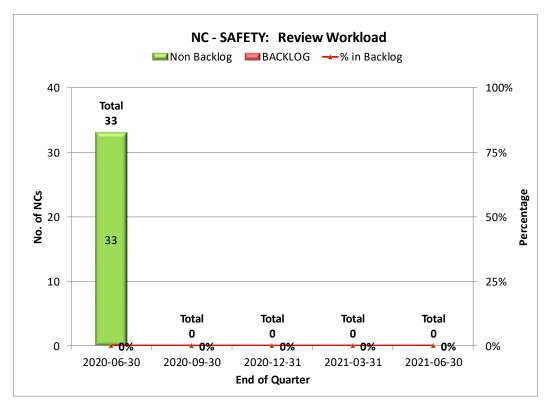


NC-SAFETY: Number Received by Lead Bureau



WORKLOAD

NC-SAFETY: Review Workload

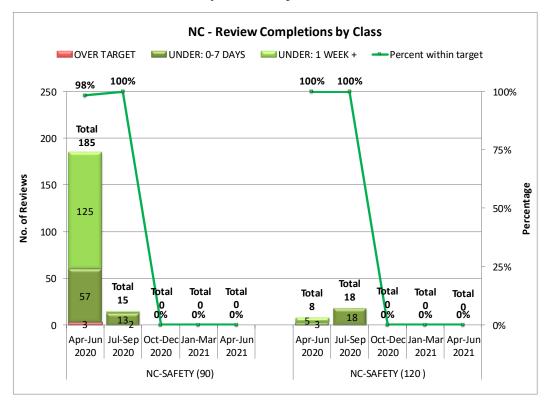


NC-SAFETY: Review Workload by Class

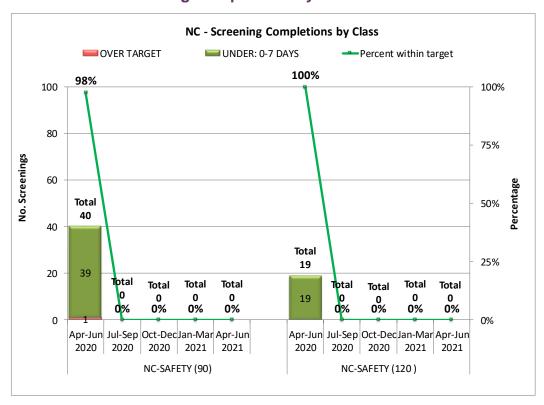
TPD NC - SAFETY: REVIEW WORKLOAD AT END OF QUARTER								
Class	2020-06-30 2020-09-30 2020-12-31 2021-03-31 2021-06-30							
SAFETY - 90 day	15	0	0	0	0			
Backlog	0	0	0	0	0			
SAFETY - 120 day	18	0	0	0	0			
Backlog	0	0	0	0	0			
Total	33	0	0	0	0			
Non Backlog	33	0	0	0	0			
BACKLOG	0	0	0	0	0			
% in Backlog	0%	0%	0%	0%	0%			

PERFORMANCE

NC–SAFETY: Review Completions by Class



NC-SAFETY: Screening Completions by Class



NC-SAFETY: Number of Decisions by Class

NC - SAFETY (90)									
DOCUMENT TYPE	Apr-Jun 2020	Jul-Sep 2020	Oct-Dec 2020	Jan-Mar 2021	Apr-Jun 2021				
NO OBJECTION LETTER	181	15	1	4	0				
NOT SATISFACTORY NOTICE	0	0	0	0	0				
REJECTION LETTER (SCR)	0	0	0	0	0				
SCREENING DEFICIENCY NOTICE	5	0	0	0	0				
CANCELLED BY COMPANY	6	0	0	0	0				
NC - HOLD (PATENT)	1	0	0	0	0				

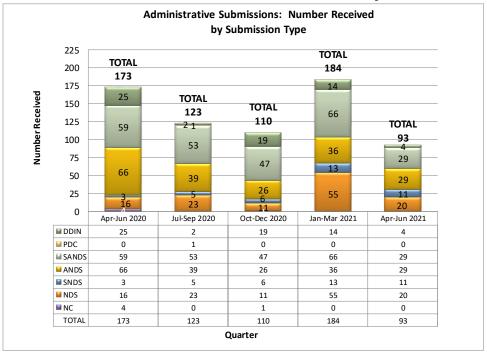
NC - SAFETY (120)								
DOCUMENT TYPE	Apr-Jun 2020	Jul-Sep 2020	Oct-Dec 2020	Jan-Mar 2021	Apr-Jun 2021			
NO OBJECTION LETTER	8	18	0	0	0			
NOT SATISFACTORY NOTICE	0	0	0	0	0			
SCREENING DEFICIENCY NOTICE	2	0	0	0	0			
CANCELLED BY COMPANY	1	0	0	0	0			
REJECTION LETTER (SCR)	0	0	0	0	0			
NC - HOLD (PATENT)	0	0	0	0	0			

ADMINISTRATIVE SUBMISSIONS

(Manufacturer and/or Product Name Changes)9

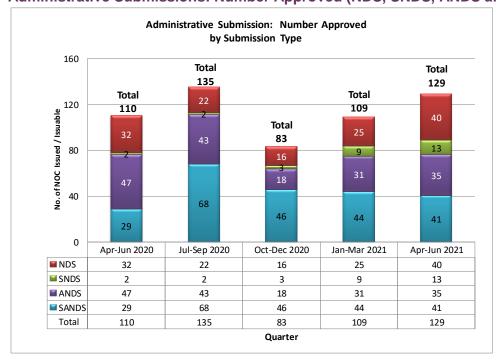
RECEIVED

Administrative Submissions: Number Received by Submission Type



APPROVALS

Administrative Submissions: Number Approved (NDS, SNDS, ANDS and SANDS)



⁹ The screening functions for Administrative submissions and the review functions for Labelling Only submissions with an Administrative component were moved from the Office of Submissions and Intellectual Property (OSIP) to the labelling area of the Bureau of Gastroenterology, Infection and Viral Diseases (BGIVD) at TPD in December 2018.

ADMINISTRATIVE SUBMISSIONS

(Manufacturer and/or Product Name Changes) 10

DECISIONS

Administrative Submissions/Applications: Number of Decisions by Submission Type

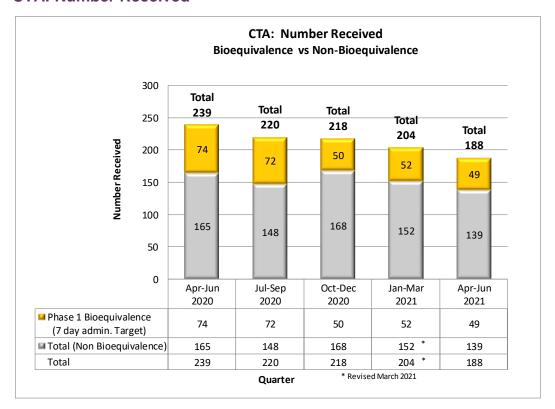
NDS	Apr-Jun 2020	Jul-Sep 2020	Oct-Dec 2020	Jan-Mar 2021	Apr-Jun 2021
NOTICE OF COMPLIANCE	32	22	16	25	40
SCREENING DEFICIENCY NOTICE	0	0	1	1	0
CANCELLATION LETTER	1	0	0	2	2
PROCESSING HOLD LETTER	6	6	2	2	2
SNDS	Apr-Jun 2020	Jul-Sep 2020	Oct-Dec 2020	Jan-Mar 2021	Apr-Jun 2021
NOTICE OF COMPLIANCE	2	2	3	9	13
SCREENING DEFICIENCY NOTICE	0	0	0	1	1
CANCELLATION LETTER	0	2	0	0	5
PROCESSING HOLD LETTER	0	2	1	0	0
ANDS	Apr-Jun 2020	Jul-Sep 2020	Oct-Dec 2020	Jan-Mar 2021	Apr-Jun 2021
NOTICE OF COMPLIANCE	47	42	18	30	35
NOC ON IP HOLD	0	1	0	1	0
SCREENING DEFICIENCY NOTICE	1	2	0	0	0
CANCELLATION LETTER	6	5	2	1	2
PROCESSING HOLD LETTER	13	3	7	0	2
SANDS	Apr-Jun 2020	Jul-Sep 2020	Oct-Dec 2020	Jan-Mar 2021	Apr-Jun 2021
NOTICE OF COMPLIANCE	29	68	46	44	41
NOC ON IP HOLD	0	0	0	0	0
SCREENING DEFICIENCY NOTICE	0	0	0	0	1
CANCELLATION LETTER	4	2	0	6	1
PROCESSING HOLD LETTER	10	4	5	1	0
NC	Apr-Jun 2020	Jul-Sep 2020	Oct-Dec 2020	Jan-Mar 2021	Apr-Jun 2021
NO OBJECTION LETTER	1	1	0	0	0
NC - HOLD (PATENT)	0	0	0	0	0
CANCELLATION LETTER	4	0	0	0	0
PROCESSING HOLD LETTER	0	0	2	0	0
SCREENING DEFICIENCY NOTICE	0	0	1	0	0
DINA	Apr-Jun 2020	Jul-Sep 2020	Oct-Dec 2020	Jan-Mar 2021	Apr-Jun 2021
NOTIFICATION FORM / DIN ISSUED	12	12	15	7	13
NO OBJECTION LETTER	1	0	0	0	0
SCREENING DEFICIENCY NOTICE	0	0	0	0	1
CANCELLATION LETTER	8	2	1	0	0
PROCESSING HOLD LETTER	4	0	0	0	0
PDC	Apr-Jun 2020	Jul-Sep 2020	Oct-Dec 2020	Jan-Mar 2021	Apr-Jun 2021
NO OBJECTION LETTER	0	0	0	0	0
CANCELLATION LETTER	0	1	0	0	0
PROCESSING HOLD LETTER	0	0	0	0	0

¹⁰ The screening functions for Administrative submissions and the review functions for Labelling Only submissions with an Administrative component were moved from the Office of Submissions and Intellectual Property (OSIP) to the labelling area of the Bureau of Gastroenterology, Infection and Viral Diseases (BGIVD) at TPD in December 2018.

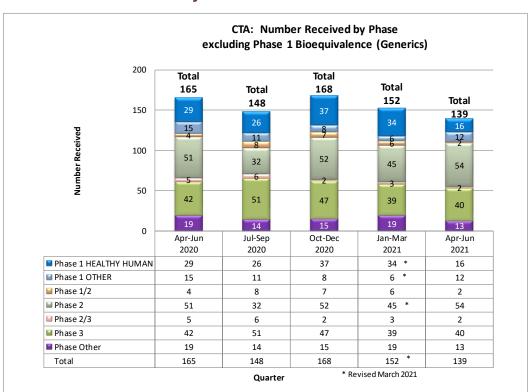
Clinical Trial Applications and Amendments (CTA & CTA-A)

CTA: CLINICAL TRIAL APPLICATIONS

CTA: Number Received



CTA: Number Received by Phase



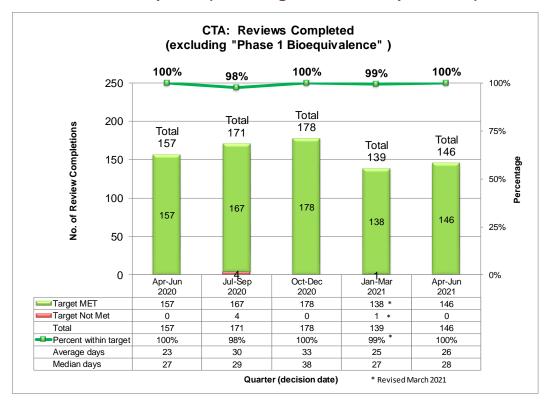
DECISION DOCUMENTS

CTA: Number of Decisions by Type

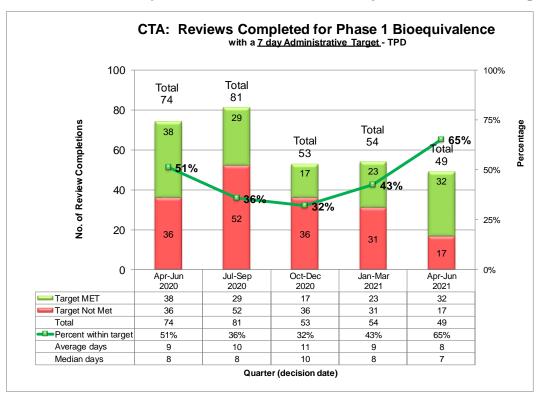
СТА					
DOCUMENT TYPE	Apr-Jun 2020	Jul-Sep 2020	Oct-Dec 2020	Jan-Mar 2021	Apr-Jun 2021
NO OBJECTION LETTER	215	185	217	186	188
CANCELLED BY COMPANY DURING REVIEW	18	8	14	7	9
CANCELLED BY COMPANY AT PROCESSING	5	4	1	2	6
NOTICE OF AUTHORIZATION	1	2	6	0	1

PERFORMANCE

CTA: Reviews Completed (excluding Phase 1 Bioequivalence)

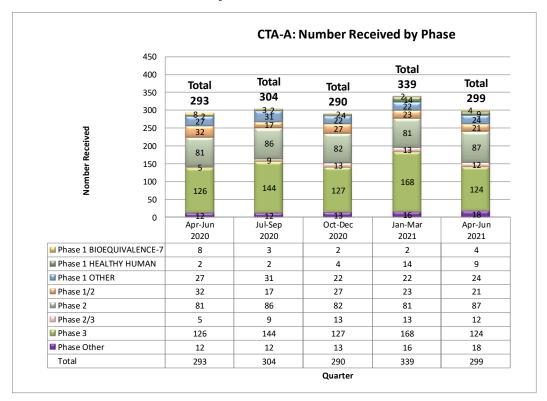


CTA: Reviews Completed for Phases with a 7 Day Administrative Target



CTA-A: CLINICAL TRIAL APPLICATION-AMENDMENTS

CTA-A: Number Received by Phase



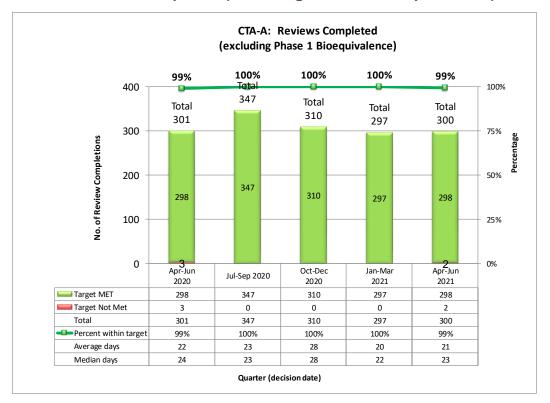
DECISIONS

CTA-A: Number of Decisions by Type

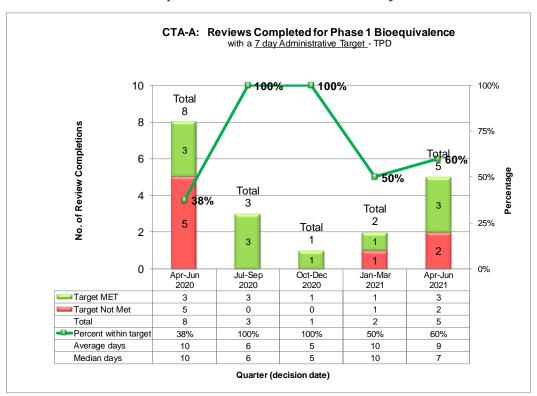
CTA-A (excluding administrative)								
DOCUMENT TYPE	Apr-Jun 2020	Jul-Sep 2020	Oct-Dec 2020	Jan-Mar 2021	Apr-Jun 2021			
NO OBJECTION LETTER	307	259	301	293	303			
CANCELLED BY COMPANY DURING REVIEW	5	2	7	2	2			
CANCELLED BY COMPANY AT PROCESSING	7	14	8	21	9			
NOT SATISFACTORY NOTICE	0	0	0	0	0			
NOTICE OF AUTHORIZATION	0	0	2	4	2			
NOTICE OF AUTHORIZA AMEND	0	0	0	0	2			

PERFORMANCE

CTA-A: Reviews Completed (excluding Phase 1 Bioequivalence)

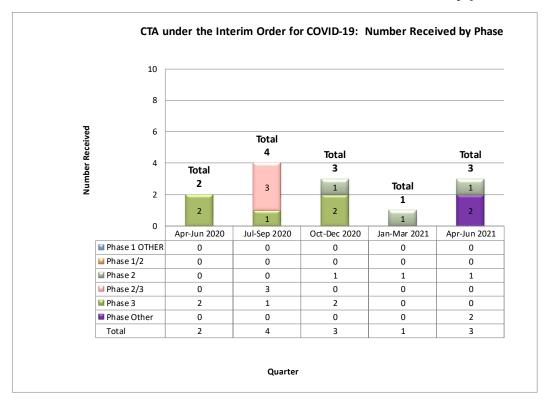


CTA-A: Reviews Completed for Phases with a 7 Day Administrative Target

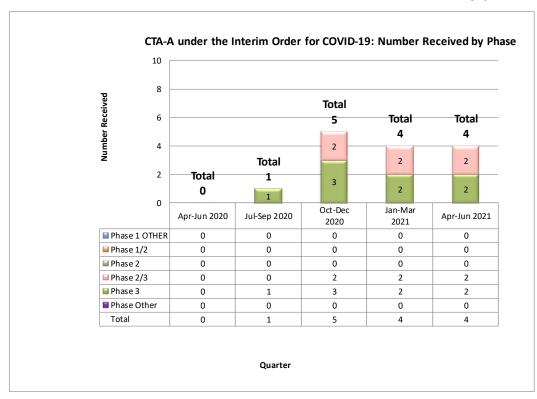


CTA & CTA-A RECEIVED UNDER THE INTERIM ORDER COVID 19

CTA: Number Received under the Interim Order Covid-19 by phase



CTA-A: Number Received under the Interim Order Covid-19 by phase



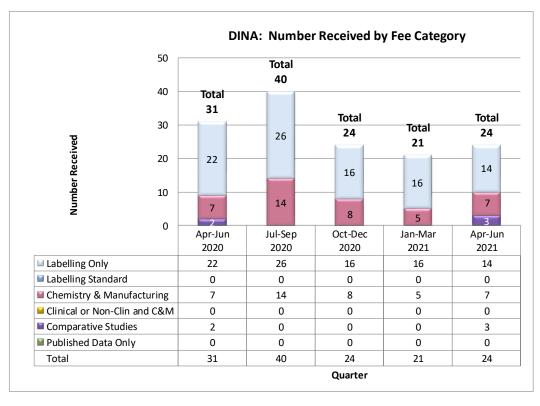
These figures are a subset of the total CTA and CTA-A received.

DINA

Application for a Drug Identification Number

DINA: APPLICATION FOR A DRUG IDENTIFICATION NUMBER 11

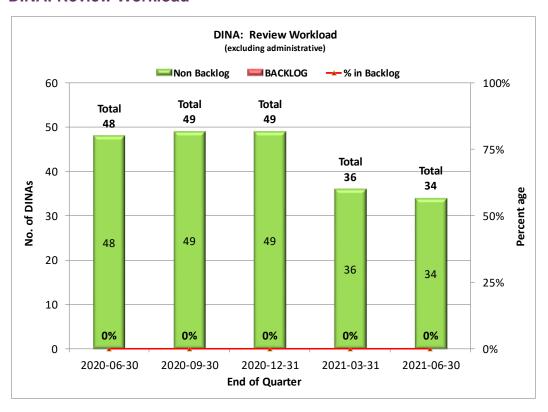




¹¹ The non-prescription (or over-the-counter) and disinfectant drug review functions were moved from TPD to the Natural and Non-prescription Health Products Directorate (NNHPD) on July 1, 2013. These products are reported in a separate NNHPD Drug Submission Performance Report as of October 1, 2015.

REVIEW WORKLOAD

DINA: Review Workload

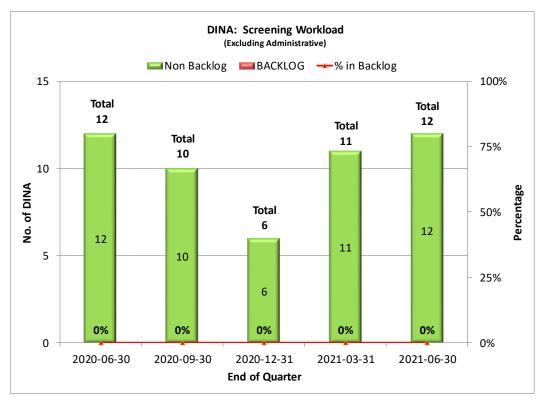


DINA: Review Workload by Fee Category

DINA: REVIEW WORKLOAD BY FEE CATEGORY (excluding Administrative) and End of Quarter									
FEE Category	FEE Category 2020-06-30 2020-09-30 2020-12-31 2021-03-31 2021-06-3								
Labelling Only	35	36	29	16	14				
Backlog	0	0	0	0	0				
Chemistry & Manufacturing	12	11	18	19	18				
Backlog	0	0	0	0	0				
Clinical or Non-Clin and C&M	0	0	1	1	0				
Backlog	0	0	0	0	0				
Comparative Studies	1	2	1	0	2				
Backlog	0	0	0	0	0				
Total	48	49	49	36	34				
Non Backlog	48	49	49	36	34				
BACKLOG	0	0	0	0	0				
% in Backlog	0%	0%	0%	0%	0%				

SCREENING WORKLOAD

DINA: Screening Workload



DINA: Screening Workload by Fee Category

DINA: SCREENING WORKLOAD BY FEE CATEGORY (excluding Administrative) and End of Quarter									
FEE Category	2020-06-30 2020-09-30 2020-12-31 2021-03-31 2021-06-3								
Labelling Only	8	2	3	7	6				
Backlog	0	0	0	0	0				
Labelling Standard	0	2	0	0	0				
Backlog	0	0	0	0	0				
Chemistry & Manufacturing	3	6	3	4	4				
Backlog	0	0	0	0	0				
Comparative Studies	1	0	0	0	2				
Backlog	0	0	0	0	0				
Total	12	10	6	11	12				
Non Backlog	12	10	6	11	12				
BACKLOG	0	0	0	0	0				
% in Backlog	0%	0%	0%	0%	0%				

DECISIONS

DINA: Number of Decisions by Fee Category

DINA - LABELLING ONLY									
DOCUMENT TYPE	Apr-Jun 2020	Jul-Sep 2020	Oct-Dec 2020	Jan-Mar 2021	Apr-Jun 2021				
NOTIFICATION FORM/DIN ISSUED	4	5	2	8	1				
NO OBJECTION LETTER	13	22	19	17	13				
CANCELLED BY COMPANY	2	3	0	1	0				
NEW DRUG LETTER SCREEN	0	0	0	0	0				
NON WITHDRAWAL LETTER	0	0	0	0	0				
NOTICE OF DEFICIENCY	1	0	0	0	0				
NOTICE OF NON-COMPLIANCE	0	0	0	0	0				
REJECTION LETTER (SCR)	0	0	0	0	0				
SCREENING DEFICIENCY NOTICE	2	0	0	1	0				

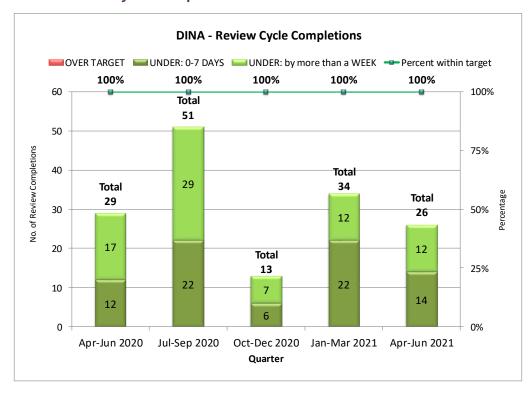
DINA - CHEMISTRY AND MANUFACTURING					
DOCUMENT TYPE	Apr-Jun 2020	Jul-Sep 2020	Oct-Dec 2020	Jan-Mar 2021	Apr-Jun 2021
NOTIFICATION FORM/DIN ISSUED	6	4	0	3	6
NO OBJECTION LETTER	3	5	4	5	1
NOD WITHDRAWAL LETTER	0	0	0	0	0
NON WITHDRAWAL LETTER	0	0	0	0	0
NOTICE OF DEFICIENCY	0	0	0	0	1
NOTICE OF NON-COMPLIANCE	0	0	2	0	3
NEW DRUG LETTER REVIEW	0	0	0	0	0
NEW DRUG LETTER SCREEN	0	0	0	0	0
REJECTION LETTER (SCR)	0	0	0	0	0
SCREENING DEFICIENCY NOTICE	5	5	7	3	0
CANCELLED BY COMPANY	0	1	0	0	0

DINA - COMPARATIVE STUDIES								
DOCUMENT TYPE	Apr-Jun 2020	Jul-Sep 2020	Oct-Dec 2020	Jan-Mar 2021	Apr-Jun 2021			
NOTIFICATION FORM/DIN ISSUED	0	0	0	1	0			
NOTICE OF DEFICIENCY	0	0	1	0	0			
NOTICE OF NON-COMPLIANCE	0	0	0	0	0			
NO OBJECTION LETTER	0	0	0	0	0			
NON WITHDRAWAL LETTER	0	0	0	0	0			
SCREENING DEFICIENCY NOTICE	0	0	0	0	0			
CANCELLED BY COMPANY	0	0	0	0	0			

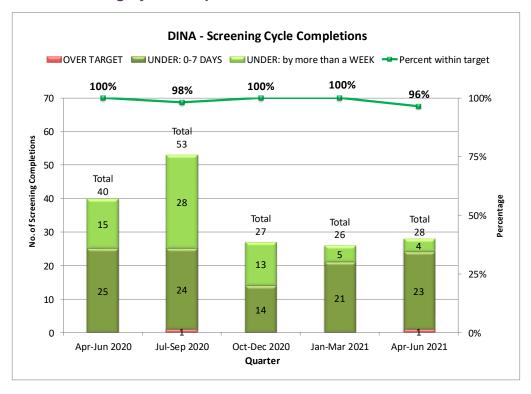
DINA - CLINICAL OR NON CLINICAL DATA AND C&M								
DOCUMENT TYPE	Apr-Jun 2020	Jul-Sep 2020	Oct-Dec 2020	Jan-Mar 2021	Apr-Jun 2021			
CANCELLED BY COMPANY	0	0	0	0	0			
NOTICE OF NON-COMPLIANCE	1	0	0	0	0			
NOTIFICATION FORM/DIN ISSUED	0	0	0	0	0			
SCREENING DEFICIENCY NOTICE	0	0	0	0	0			
NON WITHDRAWAL LETTER	0	0	0	0	1			

PERFORMANCE

DINA: Review Cycle Completions

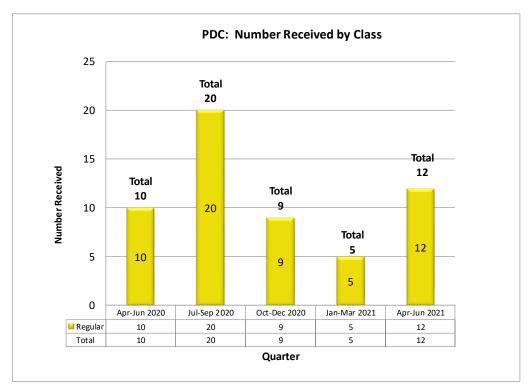


DINA: Screening Cycle Completions



PDC: POST-AUTHORIZATION DIVISION 1 CHANGE

PDC: Number Received

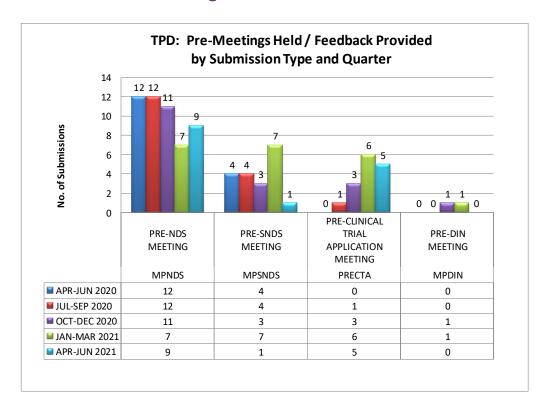


PDC: Number of Decisions by Type

PDC					
DOCUMENT TYPE	Apr-Jun 2020	Jul-Sep 2020	Oct-Dec 2020	Jan-Mar 2021	Apr-Jun 2021
REGULAR					
CANCELLED BY COMPANY	2	8	3	4	6
NO OBJECTION LETTER	9	7	11	4	1
NOT SATISFACTORY NOTICE	1	0	0	0	0
REJECTION LETTER (SCR)	0	0	0	0	0

APPENDIX A: PRE-SUBMISSION MEETINGS 12

Pre-submission Meetings Held / Feedback Provided



Prior to filing a submission, the sponsor may request a pre-submission meeting to discuss the presentation of data in support of the submission. For further information, refer to the <u>Management of Drug Submissions Guidance.</u>