# BUSINESS DEVELOPMENT BANK OF CANADA ("BDC") and BDC CAPITAL INC. ("BDC Capital")

### ANNUAL REPORT on the ACCESS TO INFORMATION ACT

Reporting period: April 1, 2008 to March 31, 2009

#### Mandate:

BDC's mandate, as set out in the *Business Development Bank of Canada Act*, is to support Canadian entrepreneurship by providing financial, consulting and venture capital services. BDC's venture capital investments may be held in the name of BDC Capital, a wholly-owned subsidiary of BDC. All administration of such investments is performed by BDC employees, using BDC resources and facilities. All relevant records relating to BDC Capital are under the control of BDC.

#### Organization of Access to Information activities, policies and procedures:

Procedures are in place for directing formal access requests to the Access to Information Coordinator who ensures that they are processed in accordance with the provisions of the Act. The Coordinator is normally occupied part-time at BDC's Montreal Head Office in carrying out his responsibilities pursuant to the Act, although he is available at all times together with other administrative support, to process requests as the volume of activity may warrant. The Assistant General Counsel in BDC's legal department is currently designated as the Access to Information Coordinator, exercises delegated authority to exercise the powers, duties and functions under the Act and reports to BDC's President & CEO in access to information matters. A copy of the Delegation Order is attached. The Coordinator has extensive knowledge of access to information and privacy laws and policies.

BDC receives requests from many sources and for all types of information throughout the year. BDC's approach in determining whether to deal with such requests informally or whether to require the requestor to make a formal request under the Act depends on whether the information is of the type that obviously can be disclosed without having to consider applying exemptions and whether the relevant records can be easily identified and located. Decisions on waiving application and processing fees permitted under the Act are made on a case-by-case basis.

#### **Activities:**

As outlined in the attached statistical report, BDC received fourteen new formal requests in this reporting period. A response to four of the requests was carried forward to the next reporting period. In relation to the ten requests that were completed, the relevant records were fully disclosed in nine of those files, while in the tenth file, portions of the records were exempt as being personal information. In addition, there were thirteen formal consultations from other departments and agencies concerning requests they received in which some BDC-related records were involved.

The percentage breakdown for the source of requests received or completed during this reporting period is as follows:

42.9% Media 50.0% Business 7.1% Public

### **Education and Training**

During the reporting period, the Coordinator attended a full-day ATIP community meeting organized by the Canadian Access and Privacy Association in Ottawa. The Coordinator also helped prepare and coordinate the publication of a general background article about Privacy and Access to Information in an edition of the employee magazine distributed to all BDC employees five times a year.

# Access to Information and Privacy Acts <u>Designation Order</u>

Pursuant to section 73 of both the Access to Information Act and the Privacy Act (collectively, the "Acts"), Jean-René Halde, President and Chief Executive Officer of the Business Development Bank of Canada ("BDC") and head of a government institution for the purpose of the Acts in relation to BDC, hereby:

- 1. revokes all previous designations relating to the exercise of powers and performance of duties and functions of the head of a government institution under the Acts in relation to BDC (the "Powers"), and;
- 2. hereby designates Robert Annett, Assistant General Counsel, and should Mr. Annett be absent or unable to perform his duties and functions himself, then François Rivest, Assistant General Counsel, in his place, to exercise or perform such Powers and to be designated as BDC's Access to Information and Privacy Coordinator for that purpose.

Dated at Montreal, Quebec, this 28 day of August, 2007.

Jean-René Halde,

President and Chief Executive Officer



## Government Gouvernement

## REPORT ON THE ACCESS TO INFORMATION ACT

	T	of Canada	a du Canada	RAPPO	ORT CONCERNANT LA LOI SU	R L'ACCÈS À L'INFORMA	TON	
	stitution ANADA	В	USINESS DEVELOR	PMENT BANK OF CANADA / BANG	QUE DE DEVELOPPEMENT DU	Reporting period / Période visée F2009 (2008/01/04 - 2009/3		
	Sourc	ce N	Media / Médias	Academia / Secteur universitatire 0	Business / Secteur commercial 7	Organization / Organisme 0	Public 1	
I			Access to Information de la Loi sur l'accès à		Dispositon of requests completed / Disposition à l'égard des demandes traite	ées		

Requests under the Access to Information Act / Demandes en vertu de la Loi sur l'accès à l'infor	mation
Received during reporting period / Reçues pendant la période visée par le rapport	14
Outstanding from previous period / En suspens depuis la période antérieure	0
TOTAL	14
Completed during reporting period /	10
Traitées pendant la période visées par le rapport	] 10

Ш	Dispositon of requests completed / Disposition à l'égard des demandes	traitées			
1.	All disclosed / Communication totale	9	6.	Unable to process / Traitement impossible	
2.	Disclosed in part / Communication partielle	1	7.	Abandoned by applicant / Abandon de la demande	
3.	Nothing disclosed (excluded) / Aucune communication (exclusion)		8.	Treated informally / Traitement non officiel	
Nothing disclosed (exempt) /     Aucune communication (exemption)			тот	AL	10
5.	Transferred / Transmission				

Ш	Exemptions invoked / Exceptions invoquées				
S. Art. 13(1)(a)					
	(b)				
	(c)				

S. Art. 13(1)(a)	S. Art 16(1)(a)	S. Art. 18(b)		S. Art. 21(1)(a)	
(b)	(b)	(c)		(b)	
(c)	(c)	(d)		(c)	
(d)	(d)	S. Art. 19(1)	1	(d)	
S. Art. 14	S. Art. 16(2)	S. Art. 20(1)(a)		S. Art.22	
S. 15(1) International rel. / Art. Relations interm.	S. Art. 16(3)	(b)		S. Art 23	
Defence / Défense	S. Art. 17	(c)		S. Art. 24	
Subversive activities / Activitės subversives	S. Art. 18(a)	(d)		S. Art 26	

IV Exclusions citées		
S. Art. 68(a)	S. Art. 69(1)(c)	
(b)	(d)	
(c)	(e)	
S. Art. 69(1)(a)	(f)	
(b)	(g)	

V Completion time Délai de traiteme	
30 days or under / 30 jours ou moins	10
31 to 60 days / De 31 à 60 jours	
61 to 120 days / De 61 à 120 jours	
121 days or over / 121 jours ou plus	

VI	Extension Prorogati	ns / ions des délais	
		30 days or under / 30 jours ou moins	31 days or over / 31 jours ou plus
Searching / Recherche			
Consultation			
Third Tiers	party /		
TOTA	\L	0	0

VII	Translation Traduction		
	ations reques ctions demand		
Translations prepared /		English to French / De l'anglais au français	
	aductions réparées	French to English / Du français à l'anglais	

VIII	Method of access / Méthode de consultation	,
Copies Copies	given / de l'original	10
Examina Examen	ation / de l'original	
	and examination / et examen	

ıx	Fees
121	Frais

	Net fees co	ollected /		
	Frais net			
Application fees / Frais de la demande	40.	Preparation / Préparation		
Reproduction		Computer processing / Traitement informatique		·
Searching / Recherche		TOTAL		40.
Fees waived / Dispense de fra		No. of times / Nombre de fois	\$	
\$25.00 or under / 25 \$ ou moins		6	\$	30.
Over \$25.00 / De plus de 25 \$			\$	

TBS/SCT	350-62	(Rev.	1999/03

v	Costs
X	Coûte

Financial (all reason: Financiers (raisons		
Salary / Traitement	\$	8484.
Administration (O and M) / Administration (fonctionnement et maintien)	.\$	1997.
TOTAL	\$	10 481.
Person year utilization (all r Années-personnes utilisée		·
Person year (decimal format) / Années-personnes (nombre décimal)		.069

