



FINTRAC

2021-2022 - Annual Report Administration of the *Access to Information Act*

September 1, 2022



Safe Canadians,
Secure Economy

Table of Contents

1. Introduction.....	1
2. About FINTRAC	1
3. The Access to Information and Privacy Office	2
4. Delegation of Authority	2
5. Statistical Overview and Accomplishments.....	3
Performance of Access Request Case Activity.....	3
Source of Access Requests	4
Disposition of Completed Access Requests.....	4
Completion Times and Extensions of Access Requests	5
Consultations under the Act.....	5
Impact of COVID-19	5
Complaints and Investigations of Access Requests	6
Informal Requests	6
6. Reporting on Access to Information Fees for the purposes of the <i>Service Fees Act</i>	7
7. ATIP Awareness and Education.....	7
8. New Access to Information-related Policies, Guidelines, Procedures or Initiatives	8
9. Access to Information Program Performance and Monitoring	8
10. Closing.....	9
11. ANNEX A –Director and Chief Executive Officer’s Delegation Order	10
12. ANNEX B – Statistical Report.....	11

Financial Transactions and Reports Analysis Centre of Canada

234 Laurier Avenue West
Ottawa, Ontario K1P 1H7 Canada
Telephone: 1-866-346-8722
Facsimile: 613-943-7931

www.fintrac-canafe.gc.ca

ISSN 2563-7355
Cat. No. FD2-6/1E-PDF

1. Introduction

This report to Parliament, which is prepared and tabled in accordance with Section 94 of the *Access to Information Act* and Section 20 of the *Service Fees Act*, describes the activities of the Financial Transactions and Reports Analysis Centre of Canada (FINTRAC) in administering these acts during fiscal year 2021–22. This report should be considered along with FINTRAC’s 2021–22 *Annual Report on the Administration of the Privacy Act*, which is tabled separately.

The purpose of the *Access to Information Act* (hereafter the “Act”) is to provide a right of access to information held by government institutions in accordance with three principles: information should be available to the public; necessary exceptions to the right of access should be limited and specific; and decisions on the disclosure of government information should be reviewed independently of government.

2. About FINTRAC

FINTRAC is Canada’s financial intelligence unit and anti-money laundering and anti-terrorist financing regulator. It plays a critical role in combatting money laundering, terrorist activity financing, and threats to the security of Canada. The Centre has two core responsibilities framed around a duty to protect the personal information with which it is entrusted.

First, FINTRAC is responsible for ensuring compliance with Part 1 and 1.1 of the *Proceeds of Crime (Money Laundering) and Terrorist Financing Act* and its associated regulations. This legal framework establishes obligations for specified businesses to develop a compliance regime in order to identify clients, monitor business relationships, keep records, and report certain types of financial transactions. These compliance obligations allow for certain economic activities to be more transparent, which helps prevent and deter nefarious individuals and organizations from using Canada’s legitimate economy to launder the proceeds of their crimes or finance terrorist activities. FINTRAC is committed to working with businesses to help them understand and comply with their obligations. The Centre also maintains a registry of money services businesses in Canada and foreign money services businesses that direct and provide services to persons and entities in Canada.

Second, FINTRAC is mandated by the *Proceeds of Crime (Money Laundering) and Terrorist Financing Act* to generate actionable financial intelligence that assists Canada’s law enforcement and national security agencies and international partners in combatting money laundering, terrorist activity financing, and threats to the security of Canada. In addition, the Centre produces strategic financial intelligence for federal policy and decision-makers, the security and intelligence community, businesses across the country, international partners, and other stakeholders. FINTRAC’s strategic intelligence provides a wide analytic perspective on the nature, scope, and threat posed by money laundering and terrorism financing.

3. The Access to Information and Privacy Office

FINTRAC's Access to Information and Privacy (ATIP) Office is responsible for leading, coordinating, and undertaking the Centre's access to information and privacy responsibilities. The ATIP Office is part of FINTRAC's Communications Group and led by the Centre's Head of Communications, who reports directly to FINTRAC's Director and Chief Executive Officer. The Head of Communications, who is also the Centre's Chief Privacy Officer, is responsible for the overall management of all access to information and privacy matters within FINTRAC.

FINTRAC's ATIP Office consists of an ATIP Coordinator and two Senior ATIP Advisors. Key responsibilities of the ATIP Office include:

- developing and implementing policies, procedures, and guidelines to ensure FINTRAC's compliance with the Act and the *Privacy Act*;
- ensuring the timely processing of access to information and privacy requests, and meeting proactive disclosure obligations;
- providing advice, guidance, and awareness activities to FINTRAC employees, contractors, and students on ATIP-related matters;
- representing FINTRAC in its discussions and negotiations with external stakeholders, including other government departments, third parties, the Treasury Board Secretariat of Canada, the Office of the Information Commissioner, the Office of the Privacy Commissioner and the general public;
- maintaining Personal Information Banks and conducting privacy impact assessments; and
- preparing annual reports to Parliament and publishing FINTRAC's Info Source Chapter.

To support the ATIP Office in meeting its legislative obligations, FINTRAC has established a collaborative network comprised of representatives from all sectors and relevant units within the Centre. These representatives are responsible for coordinating requests, providing guidance on the Act within their work units, and liaising with the ATIP Office on all ATIP-related matters.

4. Delegation of Authority

Order in Council P.C. 2000-1066 designates the Director and Chief Executive Officer of the Centre as head of FINTRAC for the purposes of administering the Act and FINTRAC's privacy program. Pursuant to Section 73 of the Act, FINTRAC's Director and Chief Executive Officer delegated the authority to exercise the powers, functions, and duties under the Act to the Deputy Director, the Manager of Communications and the ATIP Coordinator within the Enterprise Policy, Research and Programs Sector. These functions have full-delegated authority under the Act and the *Privacy Act*, in accordance with the delegation of authority instrument approved by the Director and Chief Executive Officer in July 2021.

A copy of the Director and Chief Executive Officer’s Delegation Order that was in place during 2021–22 is in Annex A.

5. Statistical Overview and Accomplishments

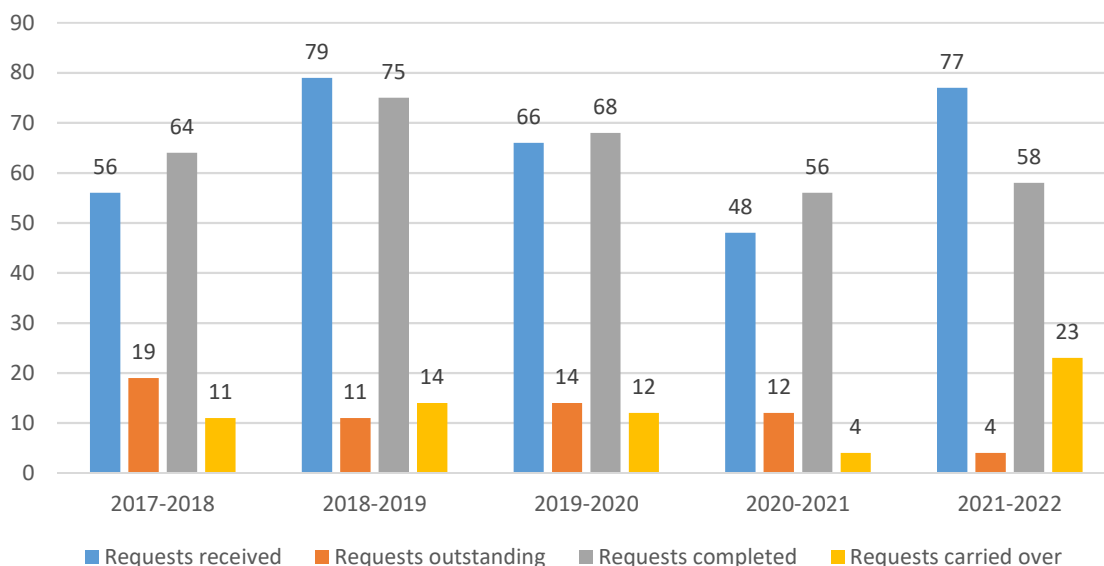
Annex B contains FINTRAC’s detailed Statistical Report on the *Access to Information Act* for April 1, 2021 to March 31, 2022.

Performance of Access Request Case Activity

During the reporting period of April 1, 2021 to March 31, 2022, there was a 38% increase in the number of access requests received by FINTRAC (77) as compared to the previous year (48). FINTRAC also managed 4 requests that were outstanding from a previous fiscal year, bringing the total caseload to 81. Of these, FINTRAC completed 58 requests in 2021–22, and 23 were carried over to the next reporting period. All but 2 of these 23 requests were carried over within the legislated timeline.

Of the 4 outstanding requests active in 2021–22 from previous years, 3 were from 2020–21 (2 of which were responded to within the legislated timeline), and 1 was carried forward from reporting year 2018–19 and past its extended legislated deadline due to a lengthy consultation. All 4 outstanding requests from previous years were completed in 2021–22.

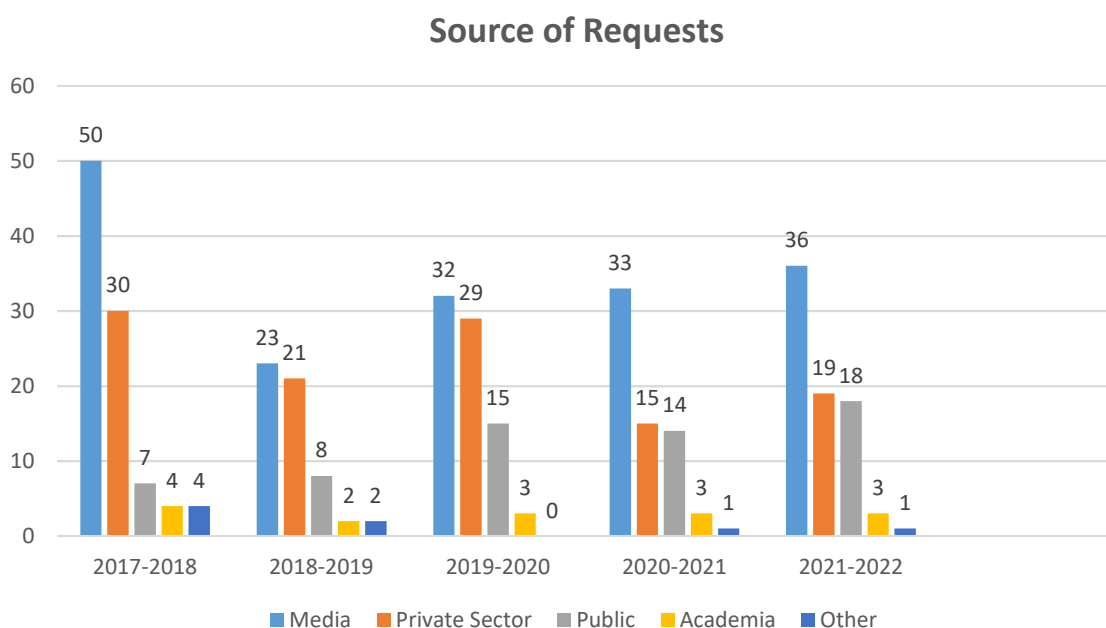
Number of Access to Information Requests



FINTRAC’s responses to many requests required the intensive review of complex records, including extensive internal and external consultations. In 2021–22, FINTRAC’s on-time response rate increased to 90% from 84% in the previous reporting year. The Centre’s on-time response rate is once again well above the federal government’s overall average response rate of 70% in 2020–21.

Source of Access Requests

Of the 77 access requests received, the largest number originated from the media (36), followed by private sector businesses (19). FINTRAC also received 18 requests from the general public and 3 requests from academia. The following table provides the source of access requests for the past five years. Requests from another organization, or where an applicant has declined to identify their applicable category, are reflected as “Other”.



Disposition of Completed Access Requests

FINTRAC completed 58 access requests in 2021–22:

- In 17 cases, representing 29% of the overall cases, the applicants received full disclosure of the information requested (a combined 317 pages).
- In 18 cases, representing 31% of the overall cases, the applicants received a partial disclosure of the information requested (a combined 2,273 pages).
- In 1 case, representing 2% of the overall cases, the applicant received a response that all information requested was excluded from disclosure.

- In 5 cases, representing 9% of the overall cases, FINTRAC responded that it was unable to acknowledge the existence of the information requested.
- In 15 cases, representing 26% of the overall cases, it was determined that no responsive records existed.
- In 2 cases, representing 3% of the overall cases, the applicants abandoned their requests.

Completion Times and Extensions of Access Requests

The Act allows extensions beyond the 30-day statutory period for specific reasons. Of the 58 completed requests during the reporting period, 52 were finalized within the established deadline (the 30-day statutory or an extended deadline pursuant to Section 9 of the Act). Due to delays resulting from internal and/or external consultations, as well as operational and capacity challenges, 6 requests were completed after their established deadline.

In 2021–22, FINTRAC required an extension to the original 30-day statutory deadline in 24 instances. The following is a breakdown of these cases:

- Paragraph 9(1)(a) was invoked 14 times to overcome workload challenges and operational constraints.
- Paragraph 9(1)(b) was invoked 9 times in order to complete consultations with other government institutions.
- Paragraph 9(1)(c) was invoked 1 time in order to complete third-party consultations.

Consultations under the Act

Consultations undertaken between institutions are an essential part of processing requests under the Act. They provide institutions that have an interest in the records proposed for disclosure with an opportunity to make recommendations to the processing institution. For this reporting period, FINTRAC completed 31 consultation requests received from other Government of Canada institutions (including 1 outstanding request from 2020–21). FINTRAC also processed 1 consultation request from a provincial government institution, providing recommendations to assist the organization in responding to an information request that it was processing under its access legislation.

Impact of COVID-19

Despite the public health measures and restrictions associated with the COVID-19 global pandemic, FINTRAC’s Access to Information and Privacy Office was fully operational, working both remotely and on-site, and able to receive, process, and respond to requests under the Acts. There were only slight delays experienced due to some subject matter experts having limited on-site and network access. The most significant consequence of the COVID-19 restrictions that the office has observed was an increase in time required by other institutions to respond to FINTRAC consultations, particularly larger government institutions.

Complaints and Investigations of Access Requests

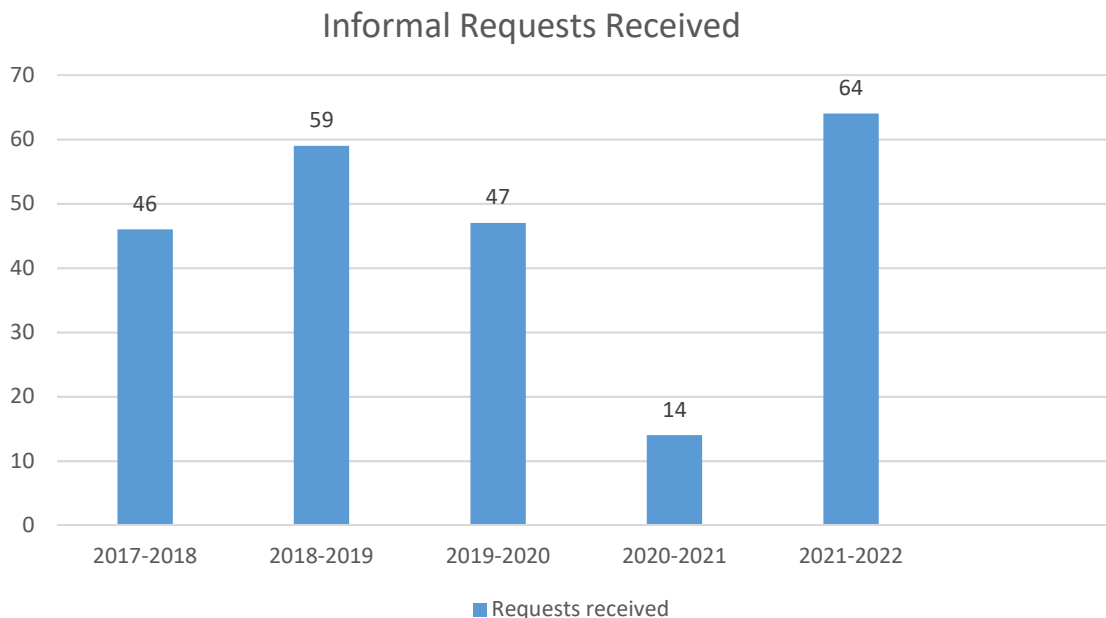
Subsection 30(1) of the Act describes how the Office of the Information Commissioner receives and investigates complaints from individuals regarding the processing of requests under the Act. While FINTRAC did not receive any new complaints during the reporting period, it resolved two complaints received in previous reporting periods.

FINTRAC worked closely with the Office of the Information Commissioner to resolve a 2017–18 complaint that pertained to FINTRAC improperly withholding a record under the Act. FINTRAC agreed with the Information Commissioner’s findings and fully disclosed the record to the applicant. Another complaint from fiscal year 2016–17 was discontinued by the applicant.

FINTRAC also continued to manage two complaints regarding the same request, which alleged that the Centre improperly applied exemptions and that it failed to conduct a reasonable search under the Act.

Informal Requests

In 2021–22, FINTRAC received 64 informal requests for copies of records released in previously processed requests. The Centre processed 61 of the 64 requests within 15 days, 2 were responded to between 16 and 30 days, and 1 was carried forward to the next reporting period. The following chart shows the number of informal requests received by FINTRAC over the past five years.



6. Reporting on Access to Information Fees for the purposes of the *Service Fees Act*

The *Service Fees Act* requires a responsible authority to report annually to Parliament on the fees collected by the institution.

With respect to fees collected under the *Access to Information Act*, the information below is reported in accordance with the requirements under section 20 of the *Service Fees Act*.

Cost and Revenues associated with the administration of the <i>Access to Information Act</i>	
Total Revenues <i>(the \$5 application fee is the only fee charged for an ATI request)</i>	\$335
Total Operating Cost	\$179,727

In accordance with the *Interim Directive on the Administration of the Act*, issued on May 5, 2016, and the changes to the *Access to Information Act* that came into force on June 21, 2019, FINTRAC waives all fees prescribed by the Act and Regulations, other than the \$5 application fee set out in paragraph 7(1)(a) of the Regulations. During the reporting period, FINTRAC waived the application fee on 10 occasions, totaling \$50.

7. ATIP Awareness and Education

Information protection is integral to FINTRAC's mandate. As such, FINTRAC requires its employees (including students and contractors) to have a heightened awareness of security, privacy, information management, and access to information. The *FINTRAC Code of Conduct, Values and Ethics* specifically describes employees' legal obligations to protect information under the *Proceeds of Crime (Money Laundering) and Terrorist Financing Act* and makes reference to the *Privacy Act*, the *Canadian Charter of Rights and Freedoms*, the *Access to Information Act*, and the Centre's privacy, security, and information management policies. Adherence to the *Code of Conduct, Values and Ethics* is a condition of employment for every FINTRAC employee.

The following training and awareness activities took place during the reporting period:

- The ATIP Office published monthly information notices regarding access to information and privacy protection on FINTRAC's intranet site.
- The ATIP Office also raises awareness by providing day-to-day coaching as well as targeted information sessions to ATIP representatives. In 2021–22, two one-on-one training sessions were delivered. This focused training fosters a spirit of collaboration and has been essential to the success of FINTRAC's broader ATIP program.

- Work is currently underway to modify FINTRAC’s existing ATIP awareness training to a self-directed, online learning format. While working on this modified training format, during the 2021–22 period, the ATIP Office delivered 3 virtual ATIP awareness sessions to 10 employees.
- Access to information and privacy protection messaging is incorporated in mandatory Information Management awareness sessions and in New Employee Orientation Training. In 2021–22:
 - Information Management awareness training was provided to 162 employees. The sessions raised employee awareness about their information management responsibilities, including in relation to ATIP, and covered the obligations and best practices for managing personal information in accordance with the *Privacy Act*, the *Proceeds of Crime (Money Laundering) and Terrorist Financing Act*, and FINTRAC’s privacy, security, and information management policies.
 - The New Employee Orientation Training moved to a virtual/hybrid format and is currently being redesigned into a self-directed online learning format. The training provides information about the Centre’s mandate and reinforces to employees the importance of information management and safeguarding information and privacy particularly as fundamental components of the work undertaken by the Centre. A total of 115 employees and students completed this virtual training in 2021–22.
- FINTRAC employees completed the following online learning courses at the Canada School of Public Service:
 - *Access to Information and Privacy Fundamentals – I702* (3 employees)
 - *Access to Information in the Government of Canada – I701* (1 employee)
 - *Privacy in the Government of Canada – I015* (1 employee)

8. New Access to Information-related Policies, Guidelines, Procedures, or Initiatives

None to report

9. Access to Information Program Performance and Monitoring

FINTRAC’s automated case management system facilitates timely responses to requests, documents important actions and decisions, and monitors performance. The system also includes an audit log, has extensive search capabilities to enable analysis of previously processed information, and generates progress and statistical reports.

The ATIP Office provides updates to senior management within FINTRAC's corporate governance, as well as status updates on ATIP files to FINTRAC's Executive Office on a regular basis.

10. Closing

FINTRAC remains fully committed to applying the spirit and intent of the Act to ensure openness, transparency, and consistency when processing requests within its organization and when responding to the Canadian public.



Financial Transactions and
Reports Analysis
Centre of Canada

Ottawa, Canada K1P 1H7

Centre d'analyse des
opérations et déclarations
financières du Canada

Ottawa, Canada K1P 1H7

DELEGATION ORDER ARRÊTÉ DE DÉLÉGATION DE POUVOIRS

Access to Information Act and Regulations Loi sur l'accès à l'information et règlements

Pursuant to Section 95 of the *Access to Information Act*, the Financial Transactions and Reports Analysis Centre of Canada's Director and Chief Executive Officer delegates the full authority to exercise the powers, functions and duties under the *Access to Information Act* to the Deputy Director, the Manager of Communications and the Access to Information and Privacy Coordinator within the Enterprise Policy, Research & Programs Sector. This delegation order also applies to persons occupying any of these positions on an acting basis.

En vertu de l'article 95 de la *Loi sur l'accès à l'information*, la directrice et présidente-directrice générale du Centre d'analyse des opérations et déclarations financières du Canada délègue à la sous-directrice de Politiques organisationnelles, recherche et programmes, au gestionnaire des Communications et au coordonnateur de l'Accès à l'information et de la protection des renseignements personnels les pouvoirs et fonctions qui lui sont attribués par cette même loi. Le présent arrêté s'applique également aux personnes occupant les postes susmentionnés par intérim.

**This designation takes effect as of July 28, 2021
La présente désignation entre en vigueur le 28 juillet 2021**

Paquet, Sarah

Digitally signed by: Paquet, Sarah
DN: CN = Paquet, Sarah C = CA
O = GC OU = FINTRAC-CANAFE
Date: 2021.07.20 18:30:23 -04'00'

**Director and Chief Executive Officer
Financial Transactions and Reports Analysis Centre of Canada
Directrice et présidente-directrice générale
Centre d'analyse des opérations et déclarations financières du Canada**



Statistical Report on the *Access to Information Act*

Name of institution: FINTRAC

Reporting period: 01/04/2021 to 31/03/2022

Section 1: Requests Under the *Access to Information Act*

1.1 Number of requests

		Number of Requests
Received during reporting period		77
Outstanding from previous reporting periods		4
• Outstanding from previous reporting period	3	
• Outstanding from more than one reporting period	1	
Total		81
Closed during reporting period		58
Carried over to next reporting period		23
• Carried over within legislated timeline	21	
• Carried over beyond legislated timeline	2	

1.2 Sources of requests

Source	Number of Requests
Media	36
Academia	3
Business (private sector)	19
Organization	1
Public	18
Decline to Identify	0
Total	77

1.3 Channels of requests

Source	Number of Requests
Online	77
E-mail	0
Mail	0
In person	0
Phone	0
Fax	0
Total	77

Section 2: Informal Requests

2.1 Number of informal requests

		Number of Requests
Received during reporting period		64
Outstanding from previous reporting periods		0
• Outstanding from previous reporting period	0	
• Outstanding from more than one reporting period	0	
Total		64
Closed during reporting period		63
Carried over to next reporting period		1

2.2 Channels of informal requests

Source	Number of Requests
Online	64
E-mail	0
Mail	0
In person	0
Phone	0
Fax	0
Total	64

2.3 Completion time of informal requests

Completion Time

2.5 Pages re-released informally

Less Than 100 Pages Re-released		100-500 Pages Re-released		501-1000 Pages Re-released		1001-5000 Pages Re-released		More Than 5000 Pages Re-released	
Number of Requests	Pages Re-released	Number of Requests	Pages Re-released	Number of Requests	Pages Re-released	Number of Requests	Pages Re-released	Number of Requests	Pages Re-released
54	1273	9	3169	0	0	0	0	0	0

Section 3: Applications to the Information Commissioner on Declining to Act on Requests

	Number of Requests
Outstanding from previous reporting period	0
Sent during reporting period	0
Total	0
Approved by the Information Commissioner during reporting period	0
Declined by the Information Commissioner during reporting period	0
Withdrawn during reporting period	0
Carried over to next reporting period	0

Section 4: Requests Closed During the Reporting Period

4.1 Disposition and completion time

Disposition of Requests	Completion Time							Total
	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	
All disclosed	1	11	1	2	1	1	0	17
Disclosed in part	1	2	3	6	4	1	1	18
All exempted	0	0	0	0	0	0	0	0
All excluded	1	0	0	0	0	0	0	1
No records exist	7	7	1	0	0	0	0	15
Request transferred	0	0	0	0	0	0	0	0
Request abandoned	1	1	0	0	0	0	0	2

Neither confirmed nor denied	0	5	0	0	0	0	0	5
Declined to act with the approval of the Information Commissioner	0	0	0	0	0	0	0	0
Total	11	26	5	8	5	2	1	58

4.2 Exemptions

Section	Number of Requests	Section	Number of Requests	Section	Number of Requests	Section	Number of Requests
13(1)(a)	5	16(2)	1	18(a)	0	20.1	0
13(1)(b)	3	16(2)(a)	3	18(b)	0	20.2	0
13(1)(c)	4	16(2)(b)	0	18(c)	0	20.4	0
13(1)(d)	0	16(2)(c)	8	18(d)	0	21(1)(a)	6
13(1)(e)	0	16(3)	0	18.1(1)(a)	0	21(1)(b)	2
14	2	16.1(1)(a)	0	18.1(1)(b)	0	21(1)(c)	0
14(a)	0	16.1(1)(b)	0	18.1(1)(c)	0	21(1)(d)	0
14(b)	0	16.1(1)(c)	0	18.1(1)(d)	0	22	0
15(1)	0	16.1(1)(d)	0	19(1)	9	22.1(1)	0
15(1) - I.A.*	9	16.2(1)	0	20(1)(a)	0	23	4
15(1) - Def.*	0	16.3	0	20(1)(b)	9	23.1	0
15(1) - S.A.*	5	16.4(1)(a)	0	20(1)(b.1)	0	24(1)	7
16(1)(a)(i)	0	16.4(1)(b)	0	20(1)(c)	3	26	1
16(1)(a)(ii)	0	16.5	0	20(1)(d)	2		
16(1)(a)(iii)	0	16.6	0				
16(1)(b)	3	17	14				
16(1)(c)	14						
16(1)(d)	0						

* I.A.: International Affairs Def.: Defence of Canada S.A.: Subversive Activities

4.3 Exclusions

Section	Number of Requests	Section	Number of Requests	Section	Number of Requests
68(a)	2	69(1)	0	69(1)(g) re (a)	0
68(b)	0	69(1)(a)	0	69(1)(g) re (b)	0
68(c)	0	69(1)(b)	0	69(1)(g) re (c)	0
68.1	0	69(1)(c)	0	69(1)(g) re (d)	0
68.2(a)	0	69(1)(d)	0	69(1)(g) re (e)	0
68.2(b)	0	69(1)(e)	0	69(1)(g) re (f)	0
		69(1)(f)	0	69.1(1)	0

4.4 Format of information released

Paper	Electronic				Other
	E-record	Data set	Video	Audio	
0	35	0	0	0	0

4.5 Complexity

4.5.1 Relevant pages processed and disclosed for paper and e-record formats

Number of Pages Processed	Number of Pages Disclosed	Number of Requests
2580	1869	43

4.5.2 Relevant pages processed per request disposition for paper and e-record formats by size of requests

Disposition	Less Than 100 Pages Processed		100-500 Pages Processed		501-1000 Pages Processed		1001-5000 Pages Processed		More Than 5000 Pages Processed	
	Number of Requests	Pages Processed	Number of Requests	Pages Processed	Number of Requests	Pages Processed	Number of Requests	Pages Processed	Number of Requests	Pages Processed
All disclosed	16	140	1	167	0	0	0	0	0	0
Disclosed in part	13	435	3	636	2	1202	0	0	0	0
All exempted	0	0	0	0	0	0	0	0	0	0
All excluded	1	0	0	0	0	0	0	0	0	0
Request abandoned	2	0	0	0	0	0	0	0	0	0
Neither confirmed nor denied	5	0	0	0	0	0	0	0	0	0
Declined to act with the approval of the Information Commissioner	0	0	0	0	0	0	0	0	0	0
Total	37	575	4	803	2	1202	0	0	0	0

4.5.3 Relevant minutes processed and disclosed for audio formats

Number of Minutes Processed	Number of Minutes Disclosed	Number of Requests
0	0	0

4.5.4 Relevant minutes processed per request disposition for audio formats by size of requests

Disposition	Less Than 60 Minutes Processed		60 - 120 Minutes Processed		More than 120 Minutes Processed	
	Number of Requests	Minutes Processed	Number of Requests	Minutes Processed	Number of Requests	Minutes Processed
All disclosed	0	0	0	0	0	0
Disclosed in part	0	0	0	0	0	0

All exempted	0	0	0	0	0	0
All excluded	0	0	0	0	0	0
Request abandoned	0	0	0	0	0	0
Neither confirmed nor denied	0	0	0	0	0	0
Declined to act with the approval of the Information Commissioner	0	0	0	0	0	0
Total	0	0	0	0	0	0

4.5.5 Relevant minutes processed and disclosed for video formats

Number of Minutes Processed	Number of Minutes Disclosed	Number of Requests
0	0	0

4.5.6 Relevant minutes processed per request disposition for video formats by size of requests

Disposition	Less Than 60 Minutes Processed		60 - 120 Minutes Processed		More than 120 Minutes Processed	
	Number of Requests	Minutes Processed	Number of Requests	Minutes Processed	Number of Requests	Minutes Processed
All disclosed	0	0	0	0	0	0
Disclosed in part	0	0	0	0	0	0
All exempted	0	0	0	0	0	0
All excluded	0	0	0	0	0	0
Request abandoned	0	0	0	0	0	0
Neither confirmed nor denied	0	0	0	0	0	0
Declined to act with the approval of the Information Commissioner	0	0	0	0	0	0
Total	0	0	0	0	0	0

4.5.7 Other complexities

Disposition	Consultation Required	Legal Advice Sought	Other	Total
-------------	-----------------------	---------------------	-------	-------

All disclosed	8	2	0	10
Disclosed in part	14	6	1	21
All exempted	0	0	0	0
All excluded	0	0	0	0
Request abandoned	0	0	0	0
Neither confirmed nor denied	0	1	0	1
Declined to act with the approval of the Information Commissioner	0	0	0	0
Total	22	9	1	32

4.6 Closed requests

4.6.1 Requests closed within legislated timelines

Number of requests closed within legislated timelines	52
Percentage of requests closed within legislated timelines (%)	89.65517241

4.7 Deemed refusals

4.7.1 Reasons for not meeting legislated timelines

Number of requests closed past the legislated timelines	Principal Reason			
	Interference with operations/ Workload	External Consultation	Internal Consultation	Other
6	1	5	0	0

4.7.2 Requests closed beyond legislated timelines (including any extension taken)

Number of days past legislated timelines	Number of requests past legislated timeline where no extension was taken	Number of requests past legislated timeline where an extension was taken	Total
1 to 15 days	0	1	1
16 to 30 days	0	0	0
31 to 60 days	0	1	1
61 to 120 days	0	3	3
121 to 180 days	0	0	0
181 to 365 days	0	0	0
More than 365 days	0	1	1
Total	0	6	6

4.8 Requests for translation

Translation Requests	Accepted	Refused	Total
English to French	0	0	0
French to English	0	0	0
Total	0	0	0

Section 5: Extensions

5.1 Reasons for extensions and disposition of requests

Disposition of Requests Where an Extension Was Taken	9(1)(a) Interference With Operations/ Workload	9(1)(b) Consultation		9(1)(c) Third-Party Notice
		Section 69	Other	
All disclosed	5	0	1	0
Disclosed in part	8	0	8	1
All exempted	0	0	0	0
All excluded	0	0	0	0
Request abandoned	0	0	0	0
No records exist	1	0	0	0
Declined to act with the approval of the Information Commissioner	0	0	0	0
Total	14	0	9	1

5.2 Length of extensions

Length of Extensions	9(1)(a) Interference With Operations/ Workload	9(1)(b) Consultation		9(1)(c) Third-Party Notice
		Section 69	Other	
30 days or less	5	0	0	0
31 to 60 days	1	0	5	0
61 to 120 days	5	0	4	1
121 to 180 days	3	0	0	0
181 to 365 days	0	0	0	0
365 days or more	0	0	0	0
Total	14	0	9	1

Section 6: Fees

	Fee Collected	Fee Waived	Fee Refunded
--	---------------	------------	--------------

Fee Type	Number of Requests	Amount	Number of Requests	Amount	Number of Requests	Amount
Application	67	\$335.00	10	\$50.00	0	\$0.00
Other fees	0	\$0.00	0	\$0.00	0	\$0.00
Total	67	\$335.00	10	\$50.00	0	\$0.00

Section 7: Consultations Received From Other Institutions and Organizations

7.1 Consultations received from other Government of Canada institutions and other organizations

Consultations	Other Government of Canada Institutions	Number of Pages to Review	Other Organizations	Number of Pages to Review
Received during the reporting period	30	1066	1	2
Outstanding from the previous reporting period	1	6	0	0
Total	31	1072	1	2
Closed during the reporting period	31	1072	1	2
Carried over within negotiated timelines	0	0	0	0
Carried over beyond negotiated timelines	0	0	0	0

7.2 Recommendations and completion time for consultations received from other Government of Canada institutions

Recommendation	Number of Days Required to Complete Consultation Requests							Total
	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	
Disclose entirely	5	10	0	0	0	0	0	15
Disclose in part	2	4	7	1	0	0	0	14
Exempt entirely	0	2	0	0	0	0	0	2
Exclude entirely	0	0	0	0	0	0	0	0
Consult other institution	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0
Total	7	16	7	1	0	0	0	31

7.3 Recommendations and completion time for consultations received from other organizations outside the Government of Canada

Recommendation	Number of Days Required to Complete Consultation Requests							Total
	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	
Disclose entirely	1	0	0	0	0	0	0	1
Disclose in part	0	0	0	0	0	0	0	0
Exempt entirely	0	0	0	0	0	0	0	0
Exclude entirely	0	0	0	0	0	0	0	0
Consult other institution	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0
Total	1	0	0	0	0	0	0	1

Section 8: Completion Time of Consultations on Cabinet Confidences

8.1 Requests with Legal Services

Number of Days	Fewer Than 100 Pages Processed		100-500 Pages Processed		501-1000 Pages Processed		1001-5000 Pages Processed		More Than 5000 Pages Processed	
	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed
1 to 15	0	0	0	0	0	0	0	0	0	0
16 to 30	0	0	0	0	0	0	0	0	0	0
31 to 60	0	0	0	0	0	0	0	0	0	0
61 to 120	0	0	0	0	0	0	0	0	0	0
121 to 180	0	0	0	0	0	0	0	0	0	0
181 to 365	0	0	0	0	0	0	0	0	0	0
More than 365	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0

8.2 Requests with Privy Council Office

Number of Days	Fewer Than 100 Pages Processed		100-500 Pages Processed		501-1000 Pages Processed		1001-5000 Pages Processed		More Than 5000 Pages Processed	
	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed
1 to 15	0	0	0	0	0	0	0	0	0	0
16 to 30	0	0	0	0	0	0	0	0	0	0
31 to 60	0	0	0	0	0	0	0	0	0	0
61 to 120	0	0	0	0	0	0	0	0	0	0
121 to 180	0	0	0	0	0	0	0	0	0	0
181 to 365	0	0	0	0	0	0	0	0	0	0
More than 365	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0

Section 9: Investigations and Reports of finding

9.1 Investigations

Section 32 Notice of intention to investigate	Subsection 30(5) Ceased to investigate	Section 35 Formal Representations
0	1	1

9.2 Investigations and Reports of finding

Section 37(1) Initial Reports			Section 37(2) Final Reports		
Received	Containing recommendations issued by the Information Commissioner	Containing orders issued by the Information Commissioner	Received	Containing recommendations issued by the Information Commissioner	Containing orders issued by the Information Commissioner
0	0	0	1	1	0

Section 10: Court Action

10.1 Court actions on complaints

Section 41				
Complainant (1)	Institution (2)	Third Party (3)	Privacy Commissioner (4)	Total
0	0	0	0	0

10.2 Court actions on third party notifications under paragraph 28(1)(b)

Section 44 - under paragraph 28(1)(b)
0

Section 11: Resources Related to the *Access to Information Act*

11.1 Allocated Costs

Expenditures	Amount
Salaries	\$167,518
Overtime	\$2,869

Goods and Services		\$9,340
• Professional services contracts	\$0	
• Other	\$9,340	
Total		\$179,727

11.2 Human Resources

Resources	Person Years Dedicated to Access to Information Activities
Full-time employees	1.450
Part-time and casual employees	0.000
Regional staff	0.000
Consultants and agency personnel	0.000
Students	0.000
Total	1.450

Note: Enter values to three decimal places.



Supplemental Statistical Report on the *Access to Information Act* and the *Privacy Act*

Name of institution: FINTRAC

Reporting period: 2021-04-01 to 2022-03-31

Section 1: Capacity to Receive Requests under the Access to Information Act and the Privacy Act

Enter the number of weeks your institution was able to receive ATIP requests through the different channels.

	Number of Weeks
Able to receive requests by mail	52
Able to receive requests by email	52
Able to receive requests through the digital request service	52

Section 2: Capacity to Process Records under the Access to Information Act and the Privacy Act

2.1 Enter the number of weeks your institution was able to process paper records in different classification levels.

	No Capacity	Partial Capacity	Full Capacity	Total
Unclassified Paper Records	0	0	52	52
Protected B Paper Records	0	0	52	52
Secret and Top Secret Paper Records	0	4	48	52

2.2 Enter the number of weeks your institution was able to process electronic records in different classification levels.

	No Capacity	Partial Capacity	Full Capacity	Total
Unclassified Electronic Records	0	0	52	52
Protected B Electronic Records	0	0	52	52
Secret and Top Secret Electronic Records	0	4	48	52

Section 3: Open Requests and Complaints Under the Access to Information Act

3.1 Enter the number of open requests that are outstanding from previous reporting periods.

Fiscal Year Open Requests Were Received	Open Requests that are <i>Within</i> Legislated Timelines as of March 31, 2022	Open Requests that are <i>Beyond</i> Legislated Timelines as of March 31, 2022	Total
Received in 2021-2022	21	2	23
Received in 2020-2021	0	0	0
Received in 2019-2020	0	0	0
Received in 2018-2019	0	0	0
Received in 2017-2018	0	0	0
Received in 2016-2017	0	0	0
Received in 2015-2016 or earlier	0	0	0
Total	21	2	23

Row 8, Col. 3 of Section 3.1 must equal Row 7, Col. 1 of Section 1.1 of the 2021-2022 Statistical Report on the *Access to Information Act*

3.2 Enter the number of open complaints with the Information Commissioner of Canada that are outstanding from previous reporting periods.

Fiscal Year Open Complaints Were Received by Institution	Number of Open Complaints
Received in 2021-2022	0
Received in 2020-2021	2
Received in 2019-2020	0
Received in 2018-2019	0

Received in 2017-2018	0
Received in 2016-2017	0
Received in 2015-2016 or earlier	0
Total	2

Section 4: Open Requests and Complaints Under the Privacy Act

4.1 Enter the number of open requests that are outstanding from previous reporting periods.

Fiscal Year Open Requests Were Received	Open Requests that are <i>Within</i> Legislated Timelines as of March 31, 2022	Open Requests that are <i>Beyond</i> Legislated Timelines as of March 31, 2022	Total
Received in 2021-2022	2	0	2
Received in 2020-2021	0	0	0
Received in 2019-2020	0	0	0
Received in 2018-2019	0	0	0
Received in 2017-2018	0	0	0
Received in 2016-2017	0	0	0
Received in 2015-2016 or earlier	0	0	0
Total	2	0	2

Row 8, Col. 3 of Section 4.1 must equal Row 7, Col. 1 of Section 1.1 of the 2021-2022 Statistical Report on the *Privacy Act*

4.2 Enter the number of open complaints with the Privacy Commissioner of Canada that are outstanding from previous reporting periods.

Fiscal Year Open Complaints Were Received by Institution	Number of Open Complaints
Received in 2021-2022	0
Received in 2020-2021	0

Received in 2019-2020	0
Received in 2018-2019	0
Received in 2017-2018	0
Received in 2016-2017	0
Received in 2015-2016 or earlier	0
Total	0

Section 5: Social Insurance Number (SIN)

Did your institution receive authority for a new collection or new consistent use of the SIN in 2021-2022?	No
--	----