

National Gallery of Canada

Musée des beaux-arts du Canada

Annual Report

Access to Information Act

April 1, 2020 to March 31, 2021

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Introduction

Purpose of the Access to Information Act

The Access to Information Act (" the Act") provides Canadian citizens, permanent residents and any individual or corporation present in Canada the right to access information in records under the control of a government institution, in accordance with the principle that government information should be available to the public, that necessary exceptions to the right of access should be limited and specific, and that decisions on the disclosure of government information should be reviewed independently of government.

Section 94 of the Act requires that the head of every government institution submit an annual report on the administration of this Act within the institution during the period beginning on April 1 of the preceding year and ending on March 31 of the current year. This report describes how the National Gallery of Canada ("the Gallery") administered the Act from April 1, 2020 to March 31, 2021. The Annual Report is prepared and tabled in Parliament in accordance with section 94 of the Act.

NGC Background

The National Gallery of Canada was founded in 1880 by the then-Governor General, the Marquess of Lorne, in concert with the Royal Canadian Academy of Arts. With the enactment of the 1913 *National Gallery of Canada Act*, the federal government assumed responsibility for the Gallery. The federal government continued its stewardship through successive Acts of Parliament, culminating with the *Museums Act* of July 1, 1990, which established the Gallery as a Crown corporation.

NGC Mandate

As per the *Museums Act*, 1990, c. 5, the National Gallery of Canada's mandate is to develop, maintain, and make known, throughout Canada and internationally, a collection of works of art, both historic and contemporary, with special, but not exclusive, reference to Canada, and to further knowledge, understanding, and enjoyment of art in general among all Canadians.

Organizational Structure and Delegation Order

For the first half of the reporting period, activities relating to the *Access to Information Act* were managed on a part-time basis by the Director, Corporate Secretariat and Ministerial Liaison, who serves as the Gallery's Access to Information and Privacy (ATIP) Coordinator, and to whom the Director and CEO has delegated all the powers, duties, and functions for the administration of the Act. A copy of the signed and dated Delegation Order that was in effect at the end of the reporting period is attached as Appendix 1. Upon the departure of the Director, and for the

remainder of the reporting period, a senior member of the Corporate Secretariat and Ministerial Liaison assumed the role of A/ATIP Coordinator.

Both the ATIP Coordinator and A/ATIP Coordinator were assisted in their duties by the Executive Assistant and ATIP Administrative Officer, and by an external consultant who provides services on a project basis.

The ATIP Coordinator position is responsible for the following activities:

- processing formal requests under the *Access to Information Act* and *Privacy Act* (together "the Acts"), as well as informal requests for information and consultation requests from other government institutions;
- providing ongoing advice and guidance to senior management and Gallery staff on access to information and privacy legislation and related policies, privacy risk management activities, and other related matters;
- monitoring Gallery compliance with the Acts, regulations and relevant policies and procedures;
- acting, on behalf of the Gallery, in dealings with the Treasury Board of Canada Secretariat (TBS), the Offices of the Information Commissioner and Privacy Commissioner, as well as other government institutions, regarding the administration of the Act as it relates to the Gallery;
- preparing annual reports to Parliament, in addition to other statutory reports and material that may be required by central agencies;
- coordinating the annual update to *Info Source* and informing TBS of any changes to the Gallery's Personal Information Banks (PIBs);
- promoting awareness of the Acts, regulations, and relevant procedures throughout the Gallery; and
- participating in government-wide forums for the ATIP community, including TBS community and ATIP Coordinator meetings, as well as ad-hoc working groups and training sessions.

Section 96 of the Act authorizes a government institution to provide services related to any power, duty or function conferred or imposed on the head of a government institution under the Act to another government institution that is presided over by the same Minister or that is under the responsibility of the same Minister and may receive such services from any other such government institution. Such services may be provided only if the government institution enters into a written agreement with the other institution. During the reporting period, the Gallery was not party to any agreement pursuant to section 96 of the Act.

Performance 2020-2021

This section includes information about the processing of requests under the *Access to Information Act* in 2020-21 and an interpretation of the 2020-21 Statistical Report found at Appendix 2.

Section 1: Requests Received

Formal Requests

| Number of Requests | 2020-2021 | 2019-2020 | 2018-2019 |
|--------------------------------------|-----------|-----------|-----------|
| Received during the reporting period | 8 | 1 | 21 |
| Outstanding from previous period | 1 | 0 | 1 |
| TOTAL (All requests) | 9 | 1 | 22 |

The Gallery received eight formal requests for information under the Act during the reporting period. The number of requests in 2020-21 represents a significant increase of 700% requests received over the 2019-20 reporting period, however a decrease of 62% over 2018-19.

As one request was carried over from the 2019-20 reporting period, the Gallery had a total of nine active requests during 2020-21.

Informal Requests

During the reporting period, the Gallery received 14 informal requests for information, a significant increase from the three informal requests received in 2019-20, and an increase from the 11 requests received in 2018-19. The 14 informal requests received were completed during the reporting period.

Section 2: Requests Closed During the Reporting Period

Legislated Timelines and Completion Times

Of the Gallery's nine active requests, eight were completed of which six were closed within the legislated timelines stipulated by the Act. The percentage of requests closed within legislated timelines was therefore 75%.

The following are the completion times for the eight completed requests:

- One request was completed within 1 to 15 days.
- Two requests were completed within 16 to 30 days.

- Two requests were completed within 31 to 60 days.
- One request was completed within 121 to 180 days.
- Two requests were completed within 181 to 365 days.

Extensions

Section 9 of the Act provides for the extension of the statutory time limits if consultations are necessary or if the request is for a large volume of records and processing the request within the original time would unreasonably interfere with the operations of the institution.

Of the eight completed requests, four required an extension for interference to operations and two required an extension for consultations.

Disposition of Requests

Of the eight completed requests, two were "all disclosed" (i.e. no information was withheld from disclosure) and five were "disclosed in part" (i.e. some information was exempt from disclosure). As such, 25% of completed requests were all disclosed, while 62.5% of requests were disclosed in part.

Exemptions

The Statistical Reports identify the number of requests for which specific types of exemptions were invoked. If the Gallery applied two different exemptions to a request, one exemption under each relevant section is reported. If the same exemption was claimed several times in the same request, it is captured only once in the statistics.

| Exemptions | 2021-21 | 2019-20 | 2018-19 |
|-------------------|---------|---------|---------|
| invoked | | | |
| Section 15(1) | 1 | 0 | 0 |
| Section 16(2) | 1 | 0 | 5 |
| Section 16(2)(c) | 0 | 0 | 0 |
| Section 18(a) | 6 | 0 | 4 |
| Section 18(b) | 5 | 0 | 8 |
| Section 18(d) | 1 | 0 | 0 |
| Section 19(1) | 6 | 0 | 12 |
| Section 20(1)(b) | 4 | 0 | 4 |
| Section 20(1) (c) | 3 | 0 | 5 |
| Section 20(1)(d) | 1 | 0 | 0 |
| Section 21(1) (a) | 3 | 0 | 7 |
| Section 21(1) (b) | 4 | 0 | 8 |
| Section 22 | 0 | 0 | 0 |
| Section 23 | 2 | 0 | 1 |
| Section 24(1) | 0 | 0 | 1 |
| Total: | 37 | 0 | 55 |

Exclusions

The Act does not apply to published material pursuant to section 68 and to Confidences of the Queen's Privy Council pursuant to Section 69. No exclusions were invoked during the reporting period.

Relevant Pages Processed and Disclosed

For the eight completed requests, 3775 pages were processed, and 3775 pages were either all disclosed or disclosed in part. Of note, the number of pages processed as reported in the Statistical Report does not include pages that required review for relevancy, scope, or duplicates, and does not consider work conducted on active requests. Accordingly, the number of pages reviewed by the ATIP Office was significantly higher than what is captured in the Statistical Report.

COVID-19 Related Impacts

During the reporting period, COVID-19 had minimal impact to access to information operations. As reported in the Supplemental Statistical Report at Appendix 2, the Gallery maintained either partial or full capacity to process records in different formats and levels of security. The Gallery also had the ability to receive requests through its regular channels (i.e. mail or email) for the entire reporting period.

Section 3: Fees

Application fees collected during the reporting period amounted to \$25.00, while \$15.00 in application fees were waived by the Gallery.

Section 4: Consultations Received from Other Institutions and Organizations

The number of consultations received by the Gallery in 2020-21 reflected an increase over the last two reporting periods, as referenced in the following table:

| Number of Consultations | 2020-21 | 2019-20 | 2018-20 |
|--------------------------------------|---------|---------|---------|
| Received during the reporting period | 6 | 0 | 4 |
| Total | 6 | 0 | 4 |

The six consultations consisted of 46 pages requiring review and recommendations to the consulting institution. All consultations were closed during the reporting period.

Section 5: Completion Time of Consultations on Cabinet Confidences

There were no consultations on Cabinet confidences.

Section 6: Complaints, Audits, and Investigations

One complaint was received regarding a request for which an extension for interference to operations was taken to the statutory deadline. Further to investigation, the complaint was deemed to be not well-founded.

No other complaints, investigations or audits were received or carried out during the reporting period.

Section 7: Court Action

As was the case for the last three fiscal years, there were no complaints concerning the Gallery that were subject to court action during the 2020-21 reporting period.

Section 8: Resources

Costs

For 2020-21, the cost directly associated with the administration of the *Access to Information Act* was estimated at \$88,946.00, an increase of 96.5% when compared to 2019-20 (\$45,255.00), and a slight decrease of 6% when compared to the \$94,766 reported for the 2018-19 fiscal year.

Human Resources

The Access to Information (ATI) function is estimated to have had 0.563-full time equivalent (FTE) dedicated to it throughout 2020-21, an increase over the 0.25 FTE reported in 2019-20, and a decrease over the 0.63 FTE reported for 2018-19.

The 0.563 FTE for 2020-21 consisted of 0.319 FTE for full-time employees and 0.244 FTE for consultants and agency personnel.

Training and Awareness

Three training sessions were delivered by an external consultant on general access to information and privacy requirements. Ten staff from the Library, Collections Management and ATIP Office departments attended the sessions.

Policies, Guidelines, Procedures, and Initiatives

No new or revised institution-specific policies, guidelines, or procedures related to access to information were implemented during the reporting period.

Summary of Key Issues and Actions Taken on Complaints or Audits

One complaint was received regarding a time extension and was deemed not well-founded. Accordingly, there were no key issues or actions to address because of the investigation.

No audits were carried out or concluded during the reporting period in relation to the Gallery's administration of the Act.

Monitoring Compliance

Requests are regularly monitored through a tracking log, which captures detailed information concerning the timeline of each request. As the Gallery receives a modest number of requests each year, its monitoring of the time required to process information requests is a straightforward exercise.

Additional Information

Whenever possible, information is provided informally to the public. For example, both the Communications Division and Visitor Services respond to many requests for information from the media and the public, respectively. The Gallery's website also serves as a valuable source of information about the institutions, and its programs, services, and activities.

Appendix 1 – Access to Information Act Delegation Order

Appendix 2 – Statistical Report on the *Access to Information Act* and Supplemental ATIP Statistical Report

The Director of the National Gallery of Canada, as designated head of the institution by virtue of Order-in-Council and pursuant to section 73 of the *Access to Information Act* (the *Act*) hereby designates the persons holding the positions set out herein or the persons occupying those positions on an acting basis, to exercise the powers, duties or functions of the Director as the head of a government institution, under the section or sections of the *Act* set out hereunder opposite each position.

| Position | Access to Information Act | | |
|----------------------------------------------------------------------------------------------------------------------------|------------------------------|------------------------------------------------------------------------------------------------------------------|--|
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 4(2.1) | Reasonable effort to assist, respond accurately and completely and provide timely access in the format requested | |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 7(a) | Notice where access requested | |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 7(b) | Giving access to record | |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 8(1) | Transfer of request to another institution | |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 9 | Extension of time limits | |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 11(2), (3), (4), (5), (6) | Additional fees | |

| Position | | Access to Information Act |
|----------------------------------------------------------------------------------------------------------------------------|-------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 12(2) | Language of access |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 12(3) | Access in an alternative format |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 13 | Exemption—Information obtained in confidence |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 14 | Exemption—Federal–provincial affairs |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 15 | Exemption—International affairs and defence |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 16 | Exemption—Law enforcement and investigations |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 16.1 | Exemption—Records related to investigations, examinations and audits of the Auditor General, the Commissioner of Official Languages, the Information Commissioner and the Privacy Commissioner |

| Position | | Access to Information Act |
|----------------------------------------------------------------------------------------------------------------------------|-------|------------------------------------------------------------------------------------------------------|
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 16.2 | Exemption—Records related to investigations by the Commissioner of Lobbying |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 16.3 | Exemption—Records related to investigations, examinations or reviews under the Canada Elections Act |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 16.31 | Exemption—Records related to investigations, under the Canada Elections Act |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 16.4 | Exemption—Records related to investigations by the Public Sector Integrity Commissioner |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 16.5 | Exemption—for records related to a disclosure under the Public Servants Disclosure Protection Act |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 16.6 | Exemption—for records related to the National Security and intelligence Committee |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 17 | Exemption—Safety of individuals |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 18 | Exemption—Economic interests of Canada |

| Position | | Access to Information Act |
|----------------------------------------------------------------------------------------------------------------------------|------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 18.1 | Exemption—Economic interest of the Canada Post Corporation, Export Development Canada, the Public Sector Pension Investment Board and VIA Rail Canada Inc. |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 19 | Exemption—Personal information |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 20 | Exemption—Third party information |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 20.1 | Exemption—Third party information obtained by the Public Sector Pension Investment Board |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 20.2 | Exemption—Third party information obtained by the Canada Pension Plan Investment Board |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 20.4 | Exemption—Contracts of performing artists and identity of confidential donors to the National Arts Centre Corporation |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 21 | Exemption—Operations of Government |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 22 | Exemption—Testing procedures |

| Position | Access to Information Act | | |
|----------------------------------------------------------------------------------------------------------------------------|---------------------------|--------------------------------------------------------|--|
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 22.1 | Exemption—Audit working papers and draft audit reports | |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 23 | Exemption—Solicitor-client privilege | |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 23.1 | Exemption—Patent or trademark privilege | |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 24 | Exemption—Statutory prohibitions | |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 25 | Severability | |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 26 | Exception—Information to be published | |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 27(1), (4) | Third party notification | |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 28(1), (2), (4) | Third party notification | |

| Position | | Access to Information Act |
|----------------------------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------|
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 29(1) | Disclosure on recommendation of Information Commissioner |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 33 | Advising Information Commissioner of third party involvement |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 35(2) | Right to make representations |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 37(4) | Access to be given to complainant |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 43(1) | Notice to third party (application to Federal Court for review) |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 44(2) | Notice to applicant (application to Federal Court by third party) |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 52(2), (3) | Special rules for hearings |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 71(1)(2) | Exempt information severed from manuals |

| Position | Access to Information Act | |
|----------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------------------------------------------------------------------------------------------------------------|
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 72 | Prepare annual report to Parliament |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 77 | Responsibilities conferred on the head of the institution by the regulations made under section 77 which are not included above |

Access to Information Act Delegation Order

| Position | Access to Information Regulations | | |
|----------------------------------------------------------------------------------------------------------------------------|-----------------------------------|----------------------------------|--|
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 6(1) | Transfer of request | |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 7(2) | Search and preparation fees | |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 7(3) | Production and programming fees | |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 8 | Providing access to record(s) | |
| Access to Information and Privacy Coordinator as well as Vice President, Corporate & Public Affairs and Marketing | 8.1 | Limitations in respect of format | |

Dated at Ottawa on May 20, 2021

Sasha Suda, PhD Director and CEO, National Gallery of Canada

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Statistical Report on the Access to Information Act

| Name of institution: | The National Gallery of Canda | | | | | |
|----------------------|-------------------------------|----|-----------|--|--|--|
| Reporting period: | 4/1/2020 | to | 3/31/2021 | | | |

Section 1: Requests Under the Access to Information Act

1.1 Number of requests

| | Number of Requests |
|--------------------------------------------|--------------------|
| Received during reporting period | 8 |
| Outstanding from previous reporting period | 1 |
| Total | 9 |
| Closed during reporting period | 8 |
| Carried over to next reporting period | 1 |

1.2 Sources of requests

| Source | Number of Requests |
|---------------------------|--------------------|
| Media | 0 |
| Academia | 6 |
| Business (private sector) | 0 |
| Organization | 0 |
| Public | 1 |
| Decline to Identify | 1 |
| Total | 8 |

1.3 Informal requests

| Completion Time | | | | | | | |
|-----------------|------------------|------------------|-------------------|-----------------------|--------------------|-----------------------|-------|
| 1 to 15 Days | 16 to 30 Days | 31 to 60 Days | 61 to 120 Days | 121 to 180 Days | 181 to 365 Days | More Than 365 Days | Total |
| 13 | 0 | 1 | 0 | 0 | 0 | 0 | 14 |

Note: All requests previously recorded as "treated informally" will now be accounted for in this section only.

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Section 2: Decline to act vexatious, made in bad faith or abuse of right requests

| | Number of Requests |
|--------------------------------------------|--------------------|
| Outstanding from previous reporting period | 0 |
| Sent during reporting period | 0 |
| Total | 0 |

| Approved by the Information Commissioner during reporting period | 0 |
|---------------------------------------------------------------------|---|
| Declined by the Information Commissioner during | |
| reporting period | 0 |
| Carried over to next reporting period | 0 |

Section 3: Requests Closed During the Reporting Period

3.1 Disposition and completion time

| | | Completion Time | | | | | | |
|-----------------------------------------------------------------------|-----------------|---------------------|------------------|-------------------|-----------------------|-----------------------|--------------------------|-------|
| Disposition of Requests | 1 to 15 Days | 16 to 30 Days | 31 to 60 Days | 61 to 120 Days | 121 to 180 Days | 181 to 365 Days | More Than 365 Days | Total |
| All disclosed | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 2 |
| Disclosed in part | 1 | 0 | 1 | 0 | 1 | 2 | 0 | 5 |
| All exempted | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| All excluded | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| No records exist | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Request transferred | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Request abandoned | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| Neither confirmed nor denied | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Decline to act with the approval of the Information Commisioner | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 1 | 2 | 2 | 0 | 1 | 2 | 0 | 8 |

3.2 Exemptions

| Section | Number of Requests | Section | Number of Requests | | Number of Requests | Section | Number of Requests |
|---------------|-----------------------|------------|-----------------------|------------|-----------------------|----------|-----------------------|
| 13(1)(a) | 0 | 16(2) | 1 | 18(a) | 6 | 20.1 | 0 |
| 13(1)(b) | 0 | 16(2)(a) | 0 | 18(b) | 5 | 20.2 | 0 |
| 13(1)(c) | 0 | 16(2)(b) | 0 | 18(c) | 0 | 20.4 | 0 |
| 13(1)(d) | 0 | 16(2)(c) | 0 | 18(d) | 1 | 21(1)(a) | 3 |
| 13(1)(e) | 0 | 16(3) | 0 | 18.1(1)(a) | 0 | 21(1)(b) | 4 |
| 14 | 0 | 16.1(1)(a) | 0 | 18.1(1)(b) | 0 | 21(1)(c) | 0 |
| 14(a) | 0 | 16.1(1)(b) | 0 | 18.1(1)(c) | 0 | 21(1)(d) | 0 |
| 14(b) | 0 | 16.1(1)(c) | 0 | 18.1(1)(d) | 0 | 22 | 0 |
| 15(1) | 1 | 16.1(1)(d) | 0 | 19(1) | 6 | 22.1(1) | 0 |
| 15(1) - I.A.* | 0 | 16.2(1) | 0 | 20(1)(a) | 0 | 23 | 2 |
| 15(1) - Def.* | 0 | 16.3 | 0 | 20(1)(b) | 4 | 23.1 | 0 |
| 15(1) - S.A.* | 0 | 16.31 | 0 | 20(1)(b.1) | 0 | 24(1) | 0 |
| 16(1)(a)(i) | 0 | 16.4(1)(a) | 0 | 20(1)(c) | 3 | 26 | 0 |
| 16(1)(a)(ii) | 0 | 16.4(1)(b) | 0 | 20(1)(d) | 1 | | |
| 16(1)(a)(iii) | 0 | 16.5 | 0 | | | _ | |

| 16(1)(b) | 0 | 16.6 | 0 |
|----------|---|-------------|----------------|
| 16(1)(c) | 0 | 17 | 0 |
| 16(1)(d) | 0 | * I.A.: Int | ernational Aff |

fairs Def.: Defence of Canada S.A.: Subversive Activities

3.3 Exclusions

| Section | Number of Requests | Section | Number of Requests | Section | Number of Requests |
|---------|-----------------------|----------|-----------------------|-----------------|-----------------------|
| 68(a) | 0 | 69(1) | 0 | 69(1)(g) re (a) | 0 |
| 68(b) | 0 | 69(1)(a) | 0 | 69(1)(g) re (b) | 0 |
| 68(c) | 0 | 69(1)(b) | 0 | 69(1)(g) re (c) | 0 |
| 68.1 | 0 | 69(1)(c) | 0 | 69(1)(g) re (d) | 0 |
| 68.2(a) | 0 | 69(1)(d) | 0 | 69(1)(g) re (e) | 0 |
| 68.2(b) | 0 | 69(1)(e) | 0 | 69(1)(g) re (f) | 0 |
| | | 69(1)(f) | 0 | 69.1(1) | 0 |

3.4 Format of information released

| Paper | Electronic | Other |
|-------|------------|-------|
| 0 | 7 | 0 |

3.5 Complexity

3.5.1 Relevant pages processed and disclosed

| Number of Pages Processed | Number of Pages Disclosed | Number of Requests |
|------------------------------|------------------------------|--------------------|
| 3775 | 3775 | 8 |

3.5.2 Relevant pages processed and disclosed by size of requests

| | | han 100 rocessed | - | 1-500 Processed | 501-1 Pages Pre | | | -5000 rocessed | | han 5000 Processed |
|------------------------------------|-----------------------|---------------------|--------------------------|--------------------|-----------------------|--------------------|-----------------------|--------------------|-----------------------|-----------------------|
| Disposition | Number of Requests | | Number of Requests | Pages Disclosed | Number of Requests | Pages Disclosed | Number of Requests | Pages Disclosed | Number of Requests | Pages Disclosed |
| All disclosed | 2 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Disclosed in part | 1 | 72 | 1 | 157 | 0 | 0 | 3 | 3539 | 0 | 0 |
| All exempted | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| All excluded | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Request abandoned | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Neither confirmed nor denied | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Declined to act with the approval of the Information Commissioner | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
|-------------------------------------------------------------------------------|---|----|---|-----|---|---|---|------|---|---|
| Total | 4 | 79 | 1 | 157 | 0 | 0 | 3 | 3539 | 0 | 0 |

3.5.3 Other complexities

| Disposition | Consultation Required | Assessment of Fees | Legal Advice Sought | Other | Total |
|-------------------------------------------------------------------------------|--------------------------|-----------------------|------------------------|-------|-------|
| All disclosed | 0 | 0 | 0 | 0 | 0 |
| Disclosed in part | 1 | 0 | 0 | 0 | 1 |
| All exempted | 0 | 0 | 0 | 0 | 0 |
| All excluded | 0 | 0 | 0 | 0 | 0 |
| Request abandoned | 0 | 0 | 0 | 0 | 0 |
| Neither confirmed nor denied | 0 | 0 | 0 | 0 | 0 |
| Declined to act with the approval of the Information Commissioner | 0 | 0 | 0 | 0 | 0 |
| Total | 1 | 0 | 0 | 0 | 1 |

3.6 Closed requests

3.6.1 Number of requests closed within legislated timelines

| | Requests closed within legislated timelines |
|---------------------------------------------------------------|------------------------------------------------|
| Number of requests closed within legislated timelines | 6 |
| Percentage of requests closed within legislated timelines (%) | 75 |

3.7 Deemed refusals

3.7.1 Reasons for not meeting legislated timelines

| | Principal Reason | | | | | |
|------------------------------------------------------------|-----------------------------------------------|--------------------------|--------------------------|-------|--|--|
| Number of Requests Closed Past the Legislated Timelines | Interference with Operations / Workload | External Consultation | Internal Consultation | Other | | |
| 2 | 1 | 0 | 0 | 1 | | |

3.7.2 Requests closed beyond legislated timelines (including any extension taken)

| Number of Days Past Legislated Timelines | Number of Requests Past Legislated Timeline Where No Extension Was Taken | Number of Requests Past Legislated Timeline Where an Extension Was Taken | Total |
|---------------------------------------------|-----------------------------------------------------------------------------------|--------------------------------------------------------------------------------|-------|
| 1 to 15 days | 0 | 1 | 1 |
| 16 to 30 days | 0 | 0 | 0 |
| 31 to 60 days | 0 | 0 | 0 |
| 61 to 120 days | 0 | 0 | 0 |
| 121 to 180 days | 0 | 0 | 0 |
| 181 to 365 days | 0 | 1 | 1 |
| More than 365 days | 0 | 0 | 0 |
| Total | 0 | 2 | 2 |

3.8 Requests for translation

| Translation Requests | Accepted | Refused | Total |
|----------------------|----------|---------|-------|
| English to French | 0 | 0 | 0 |
| French to English | 0 | 0 | 0 |
| Total | 0 | 0 | 0 |

Section 4: Extensions

4.1 Reasons for extensions and disposition of requests

| | | 9(1)(b) Consultation | | |
|--------------------------------------------------------------------|---------------------------------------------------|----------------------|-------|--------------------------------------|
| Disposition of Requests Where an Extension Was Taken | 9(1)(a) Interference With Operations | Section 69 | Other | 9(1)(c) Third-Party Notice |
| All disclosed | 0 | 0 | 0 | 0 |
| Disclosed in part | 4 | 0 | 2 | 0 |
| All exempted | 0 | 0 | 0 | 0 |
| All excluded | 0 | 0 | 0 | 0 |
| No records exist | 0 | 0 | 0 | 0 |
| Request abandoned | 0 | 0 | 0 | 0 |
| Decline to act with the approval of the Information Commisioner | 0 | 0 | 0 | 0 |
| Total | 4 | 0 | 2 | 0 |

4.2 Length of extensions

| | 9(1)(a) | 9(1)(b) Co | | |
|----------------------|---------------------------------|-------------------|-------|-------------------------------|
| Length of Extensions | Interference With Operations | Section 69 | Other | 9(1)(c) Third-Party Notice |
| 30 days or less | 1 | 0 | 0 | 0 |
| 31 to 60 days | 1 | 0 | 1 | 0 |

| 61 to 120 days | 1 | 0 | 0 | 0 |
|------------------|---|---|---|---|
| 121 to 180 days | 0 | 0 | 0 | 0 |
| 181 to 365 days | 1 | 0 | 1 | 0 |
| 365 days or more | 0 | 0 | 0 | 0 |
| Total | 4 | 0 | 2 | 0 |

Section 5: Fees

| | Fee Collected | | Fee Waived or Refunded | | |
|-----------------|---------------|--------|------------------------|--------|--|
| Fee Туре | Requests | Amount | Requests | Amount | |
| Application | 5 | \$25 | 3 | \$15 | |
| Other fees | 0 | \$0 | 0 | \$0 | |
| Total | 5 | \$25 | 3 | \$15 | |

Section 6: Consultations Received From Other Institutions and Organizations

6.1 Consultations received from other Government of Canada institutions and organizations

| Consultations | Other Government of Canada Institutions | Number of Pages to Review | Other Organizations | Number of Pages to Review |
|---------------------------------------------------|--------------------------------------------------|------------------------------|------------------------|------------------------------|
| Received during reporting period | 6 | 46 | 0 | 0 |
| Outstanding from the previous reporting period | 0 | 0 | 0 | 0 |
| Total | 6 | 46 | 0 | 0 |
| Closed during the reporting period | 6 | 46 | 0 | 0 |
| Carried over to next reporting period | 0 | 0 | 0 | 0 |

6.2 Recommendations and completion time for consultations received from other Government of Canada institutions

| | N | Number of Days Required to Complete Consultation Requests | | | | | | | | |
|---------------------------|-----------------|-----------------------------------------------------------|------------------|----------------------|-----------------------|--------------------|-----------------------------|-------|--|--|
| Recommendation | 1 to 15 Days | 16 to 30 Days | 31 to 60 Days | 61 to 120 Days | 121 to 180 Days | 181 to 365 Days | More Than 365 Days | Total | | |
| Disclose entirely | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | | |
| Disclose in part | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | | |
| Exempt entirely | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Exclude entirely | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Consult other institution | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Total | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | | |

6.3 Recommendations and completion time for consultations received from other organizations

| | N | Number of Days Required to Complete Consultation Requests | | | | | | | | |
|---------------------------|-----------------|-----------------------------------------------------------|------------------|----------------------|-----------------------|--------------------|-----------------------------|-------|--|--|
| Recommendation | 1 to 15 Days | 16 to 30 Days | 31 to 60 Days | 61 to 120 Days | 121 to 180 Days | 181 to 365 Days | More Than 365 Days | Total | | |
| Disclose entirely | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Disclose in part | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Exempt entirely | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Exclude entirely | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Consult other institution | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Total | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |

Section 7: Completion Time of Consultations on Cabinet Confidences

7.1 Requests with Legal Services

| | Fewer Than 100 101-500 Page Pages Processed Processed | | U | 501-1000 Pages Processed | | 1001-5000 Pages Processed | | More Than 5000 Pages Processed | | |
|----------------|----------------------------------------------------------|--------------------|-------------------------|-----------------------------|-----------------------|------------------------------|-----------------------|-----------------------------------|--------------------------|--------------------|
| Number of Days | Number of Requests | Pages Disclosed | Number of Request | Pages Disclosed | Number of Requests | Pages Disclosed | Number of Requests | Pages Disclosed | Number of Requests | Pages Disclosed |
| 1 to 15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16 to 30 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 31 to 60 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 61 to 120 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 121 to 180 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 181 to 365 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| More than 365 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

7.2 Requests with Privy Council Office

| | | Than 100 rocessed | | 0 Pages essed | 501-1 Pages Pr | | | -5000 rocessed | | han 5000 Processed |
|----------------|-----------------------|----------------------|-------------------------|------------------|-----------------------|--------------------|-----------------------|--------------------|--------------------------|-----------------------|
| Number of Days | Number of Requests | Pages Disclosed | Number of Request | Pages | Number of Requests | Pages Disclosed | Number of Requests | Pages Disclosed | Number of Requests | Pages Disclosed |
| 1 to 15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16 to 30 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 31 to 60 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 61 to 120 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 121 to 180 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 181 to 365 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| More than 365 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Section 8: Complaints and investigations

| Section 32 Notice of intention to investigate | Subsection 30(5) Ceased to investigate | Section 35 Formal representations | Section 37 Reports of finding received | Section 37 Reports of finding containing recommendations issued by the Information Commissioner | Section 37 Reports of finding containing orders issued by the Information Commissioner |
|-----------------------------------------------------|----------------------------------------------|-----------------------------------------|-------------------------------------------|----------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| 1 | 0 | 1 | 1 | 0 | 0 |

Section 9: Court Action

9.1 Court actions on complaints received before June 21, 2019 and on-going

| Section 41 (before June 21, 2019) | Section 42 | Section 44 |
|--------------------------------------|------------|------------|
| 0 | 0 | 0 |

9.2 Court actions on complaints received after June 21, 2019

| Section 41 (after June 21, 2019) | | | | | | |
|----------------------------------|----------------------------------------------------------------------------------------|---|---|---|--|--|
| Complainant (1) | Institution (2) Third Party (3) Privacy Commissioner (4) Total | | | | | |
| 0 | 0 | 0 | 0 | 0 | | |

Section 10: Resources Related to the Access to Information Act

10.1 Costs

| Expenditures | | Amount |
|-----------------------------------------------------|----------|----------|
| Salaries | | \$37,710 |
| Overtime | | \$0 |
| Goods and Services | | \$51,236 |
| Professional services contracts | \$50,219 | |
| • Other | \$1,017 | |
| Total | | \$88,946 |

10.2 Human Resources

| Resources | Person Years Dedicated to Access to Information Activities |
|----------------------------------|------------------------------------------------------------------|
| Full-time employees | 0.319 |
| Part-time and casual employees | 0.000 |
| Regional staff | 0.000 |
| Consultants and agency personnel | 0.244 |
| Students | 0.000 |
| Total | 0.563 |

Note: Enter values to three decimal places.