

# **The Parents and Grandparents Program: Intake Report**

2014 to 2019



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# **Key Terms**

# **Glossary of Terms**

Term	Definition
Admissions target	The planned annual target of admissions for a specific immigration program.
Application target	The number of applications the Department aims to receive in a given intake year under the parents and grandparents program.
Application window	The time prospective sponsors and applicants have to submit an application.
Intake model	The type of selection system used to determine who can submit an application and whose application will be accepted into processing.
Intake year	The year that an intake opens to new applications.
Interest to Sponsor (ITS)	A form that prospective sponsors submit to show their interest in sponsoring their parent(s) and/or grandparent(s).
Inventory	Applications in processing, expressed in applications or in persons (applicants).
Invitation to Apply (ITA)	An invitation issued to a selected prospective sponsor to submit an application.
ITA window	The time the Department takes to issue Invitations to Apply.
ITS window	The time prospective sponsors have to submit an Interest to Sponsor form.
Levels Plan	The planned immigration target and range for each immigration program for a specific calendar year.
Ministerial Instructions	Authorities that allow the Minister to issue special Instructions to immigration officers to enable the Government of Canada to best attain its immigration goals.
Processing time	The time from when a complete application is received into processing to when a final decision is rendered.

# Regions of Residence

Region of Residence	Provinces and Territories
Eastern Maritime Provinces	New Brunswick, Newfoundland and Labrador, Nova Scotia, Prince Edward Island
Quebec	-
Ontario	-
Western and Prairie Provinces	Alberta, British Columbia, Manitoba, Saskatchewan
Territories	Northwest Territories, Nunavut, Yukon

#### Section 1: Overview

## What is included in the Intake Report

The Intake Report presents an overview of the application intake process and statistics related to application intakes from 2014 to 2019. It also presents data from across the intake continuum, including inventory levels, processing times, admissions targets, and admissions. Proportions in the report may not add up to 100% due to rounding to the nearest whole number and statistics from different sections may not be identical due to different reporting times.

#### **Background**

The admissions targets under the Levels Plan informs the planned number of applications to be finalized for each program in a year. Prior to November 2011, the parents and grandparents program used a first-in application intake model and had no limit on the submission of applications. Since the number of applicants and their family members was much greater than the admissions targets, a long inventory developed. By the end of 2011, the inventory had grown to over 79,000 applications, which included over 167,000 persons.

To reduce the inventory, a moratorium on new applications was established from November 5, 2011 to December 31, 2013. By the end of 2013, the inventory was reduced to less than 41,500 applications, equaling a little over 86,000 persons. When the program opened to new applications on January 2, 2014, a limit on the number of applications to be accepted into processing was introduced.

#### **Intake Process**

Beginning in 2014, Ministerial Instructions were published specifying the intake model and the number of applications to be accepted into processing for the intake year (application target). Importantly, applications can consist of more than one person since the principal applicant lists their spouse or common-law partner and/or dependent children as part of the application.

The application target **(input)** is set at the discretion of the Minister in consideration of the inventory size and the number of applications needed to meet admissions targets **(output)**. The application target is the backbone of the application management system since calibrating the number of applications accepted into processing helps manage the inventory and processing times.

Image 1. Intake and admissions process



#### **Section 2: Intake Periods**

For the purpose of this report, intake years will be grouped into 'intake periods' based on the intake selection model used. From 2014 to 2019, three types of intake models were used:

- 1) Intake Period 1: 2014 to 2016
- 2) Intake Period 2: 2017 to 2018
- 3) Intake Period 3: 2019

## First Intake Period (1): 2014 to 2016

The Department used a first-in application intake model to manage the receipt of new applications each year. Once the application window opened, interested sponsors submitted their applications and the Department closed the application window once the application target was estimated to have been reached. Since intake was subject to a limit based on the application target, there was a "rush" to submit applications via mail or courier.

Table 1. Stages of the intake process, by intake year

	2014	2015	2016
Opening Date	January 2	January 2	January 4
Closing Date	February 3	January 16	January 7

## Second Intake Period (2): 2017 to 2018

In 2017 and 2018, the Department used a randomized selection model to manage the intake of applications. First, prospective sponsors were able to express their interest by submitting an ITS form. After the ITS window closed and all duplicate submissions were removed, all remaining unique ITS submissions were randomized into a queue. A predetermined number of ITAs per round were issued in the order of the randomized list to target receiving the planned number of complete applications (application target). Since not all prospective sponsors who are issued an ITA submit an application, the Department issued a number of ITAs *greater than* the application target based on the estimated ITA uptake rate. Those who received an ITA were required to submit their application(s) within the application window.

Table 2. Stages of the intake process, by intake year

		2017	2018
ITS window		33 days	31 days
110 Williaow		(January 3 to February 2)	(January 2 to February 1)
ITA window	Round 1	2 days	4 days
II A WIIIGOW	Rouna i	(April 25 to April 26)	(March 19 to March 22)
	Round 2	2 days	2 days
	Rouna 2	(September 6 to 7)	(July 31 to August 1)
Application window		90 days	60 days

#### ITA Uptake Rate and Probability of Selection

Table 3 shows statistics related to the ITS and ITA periods, including the ITA uptake rate by intake year. This uptake rate improved significantly from 2017 to 2018 due to enhancements made to the ITS form. It is important to note that a low ITA uptake rate does not inhibit the Department's ability to meet the application target nor does it impact an individual prospective sponsor's probability of being selected (a lower anticipated ITA uptake rate results in the issuance of more ITAs in order to meet the application target). The probability of selection for individual prospective sponsors can be determined by dividing the number of ITAs issued by the number of unique ITS forms received.

Table 3. ITS forms received, ITAs issued, ITA uptake rate, and the probability of selection, by intake year

	2017	2018
Total ITS submissions	100,211	99,895
Unique ITS submissions	95,098	93,836
Total ITAs issued	16,500	23,500
Round 1	10,000	15,000
Round 2	6,500	8,500
ITA uptake rate	56%	74%
Probability of selection	17%	25%

Data Source: Internal source

#### **Estimated demand**

Under a random selection model, it is possible to estimate the demand to sponsor parents and grandparents. While prospective sponsors may submit an ITS form, a proportion who are invited to apply do not submit an application. Therefore, sponsor demand can be estimated by multiplying the ITA uptake rate by the number of unique ITS submissions received. Given that a sponsor is able to sponsor multiple persons on an application, the demand in parents and grandparents is estimated by multiplying sponsor demand by the average number of persons per application submitted in that year. Table 4 shows the estimated demand in 2017 and 2018. Using this methodology, estimated demand grew by over 30% from 2017 to 2018.

Table 4. Estimated demand, by intake year

	2017	2018
Sponsors	52,788	69,323
Parents and Grandparents	83,405	110,917

Note: These data only consider demand to submit a complete application and does consider the final decision of those applications.

## Third Intake Period (3): 2019

For the 2019 intake, a new intake model was introduced. While the 2019 process continued to manage the intake of applications in two steps, prospective sponsors were invited based on the order in which they submitted their ITS form.

First, the ITS window opened allowing prospective sponsors to express their interest in sponsoring their parent(s) and/or grandparent(s) by completing an online ITS form with a maximum of 27,000 ITS forms to be accepted. After the maximum was reached, the ITS window closed and all duplicate ITS submissions were removed. A total of 26,376 unique ITS submissions were received in 2019.

Second, ITAs were issued based on the order ITS forms were submitted until the predetermined number of invitations were issued. In 2019, all persons who successfully submitted an ITS form were invited to apply and had 60 days to submit an application. The ITA uptake rate in 2019 was 92%.

Table 5. Stages of the intake process, 2019

ITS window	Less than 8 minutes (January 28)
ITA window	4 days (April 24 to April 27)
Application window	60 days

## Section 3: Complete Applications Received from 2014 to 2019

The following section compares complete applications received by year in relation to the application target as well as the profile of the sponsors who submitted applications across intake periods. Statistics for this section are also presented by intake period based on the intake model used: Intake Period 1 (2014 to 2016); Intake Period 2 (2017 to 2018); and Intake Period (2019).

## How many applications were accepted into processing?

Table 6 shows the application target and number of complete applications accepted in each year whereas Table 7 shows the combined numbers by intake period. The Department accepted *more* applications than the target every year except 2017 and the combined number of applications accepted was greater than the target for the entire period (2014 to 2019).

Table 6. Number of complete applications received compared to the application target, by intake year

	2014	2015	2016	2017	2018	2019
Application target	5,000	5,000	10,000	10,000	17,000	20,000
Applications received	5,933	5,687	10,461	9,159	17,361	24,292
Relative difference	+18.7%	+13.7%	+4.6%	-8.4%	+2.1%	+21.5%

Data source: COGNOS (MBR) extracted as of February 4, 2021

Table 7. Number of complete applications received compared to the application target, by intake period

	1	2	3	Total
Application target	20,000	27,000	20,000	67,000
Applications received	22,081	26,520	24,292	72,970
Relative difference	+10.4%	-1.8%	+21.5%	+8.9%

Note: The 'total' column includes an additional 77 applications where the received date on the file is recorded as 2020, however, they are applications received from prior intake years.

Data source: COGNOS (MBR) extracted as of February 4, 2021

Principal applicants must include their family members (spouse/common-law partner and/or dependent children) on their application. Table 8 shows the number of persons (whether accompanying or not) associated with all complete applications received by intake period.

Table 8. Number of persons per complete application received, by intake year

Persons / Intake Year	2014	2015	2016	2017	2018	2019
Number of persons	9,626	9,221	16,957	14,476	27,818	40,154
Average per application	1.62	1.62	1.62	1.58	1.60	1.65

Data source: COGNOS (MBR) extracted as of February 4, 2021

Table 9. Number of persons per complete application received, by intake period

Persons / Intake Period	1	2	3	Total
Number of persons	35,804	42,294	40,154	118,367
Average per application	1.62	1.59	1.65	1.62

Note: The 'total' column includes an additional 77 applications where the received date on the file is recorded as 2020, however, they are applications received from prior intake years.

## Who submitted a complete application to sponsor parents and grandparents?

This section details the profile of the sponsors who submitted complete applications across intake periods. Importantly, the totals may not equal number of complete applications received since sponsors can submit multiple applications (e.g. when sponsoring divorced parents).

#### Sex

As Table 10 shows, female sponsors represented a majority of sponsors who submitted an application in every intake period with the proportion declining over time.

Table 10. Proportion of sponsors by sex, by intake period

Sex / Intake Period	1	2	3	Total
Female	58%	55%	51%	54%
Male	42%	45%	49%	46%
Other	0%	0%	0%	0%

Data source: COGNOS (MBR) extracted as of January 5, 2021

#### Age

Sponsors must be 18 or older to sponsor their parents or grandparents. As Table 11 shows, the majority of sponsors were between 31 and 40 years old at the time they submitted their application for all intake periods. Approximately a quarter were between 41 and 50 years of age. A sizable proportion were under 31 years of age with only a small proportion being 51 or above.

Table 11. Proportion of sponsors by age, by intake period

Age Grouping / Intake Period	1	2	3	Total
30 Years or Less	15%	14%	17%	15%
31 to 40 Years Old	56%	55%	58%	56%
41 to 50 Years Old	25%	26%	21%	24%
51 Years or More	4%	5%	4%	4%

#### Lag Time

Sponsors must be a Canadian permanent resident or Canadian citizen at the time they submit their application in order to be eligible to sponsor their parents and grandparents. As Table 12 shows, for the first two intake periods the majority (54% and 52% respectively) of sponsors obtained permanent residence in Canada seven or more years prior to the submission of their application to sponsor their parents or grandparents; however; this declined to 48% in the third intake period.

Table 12. Proportion of sponsors by lag time, by intake period

Lag Time / Intake Period	1	2	3	Total
3 years or less	18%	23%	28%	23%
4 to 6 years	29%	26%	24%	26%
7 to 9 years	23%	23%	21%	22%
10 years or more	31%	29%	27%	29%

Note: Lag time refers to the time from when a new immigrant receives permanent residence to when they submit an application to sponsor a member of the family class.

Data source: COGNOS (MBR) extracted as of January 5, 2021

#### **Immigration Class**

The vast majority of sponsors (99%) immigrated to Canada as permanent residents. As Table 13 shows, the majority of immigrant sponsors arrived under the Economic Class with approximately a quarter arriving under the Family Class. Only a small proportion arrived under other immigration categories.

Table 13. Proportion of sponsors by immigration class, by intake period

Immigration Class / Intake Period	1	2	3	Total
Economic Class	70%	71%	76%	72%
Family Class	27%	24%	21%	24%
Other	2%	4%	2%	3%

Data source: COGNOS (MBR) extracted as of January 5, 2021

#### Use of a Co-signer

A sponsor's spouse or common-law partner can co-sign the undertaking to count their income towards the income requirement. As Table 14 shows, a sizable majority of sponsors had a spouse or common-law partner co-sign their application to sponsor their parents and grandparents across all intake period; however, the use of a co-signer declined over time.

Table 14. Proportion of sponsors by co-signer use, by intake period

Co-signer Use / Intake Period	1	2	3	Total
Did not Use Co-Signer	30%	33%	37%	34%
Used Co-Signer	70%	67%	63%	66%

#### Persons per Application

The principal applicant, who must be the biological or adoptive parent or grandparent of the sponsor, must list their spouse or common-law partner and/or dependent children on the application whether they are accompanying them to Canada or not. As Table 15 shows, the majority of applications included two persons with a sizable portion to sponsor a single person. Only a small portion of applications consisted of more than two persons.

Table 15. Proportion of applications by number of persons, by intake period

Persons per Application / Intake Period	1	2	3	Total
1 person	38%	40%	35%	38%
2 persons	58%	55%	61%	58%
3 or more persons	4%	5%	4%	4%

Data source: COGNOS (MBR) extracted as of January 5, 2021

#### Region of Residence

Table 16 shows the proportion of sponsors by region of residence. Approximately half of sponsors resided in the province of Ontario, followed by the Western and Prairie provinces, Quebec, the Eastern Maritime provinces, and the Territories.

Table 16. Proportion of sponsors by region of residence, by intake period

Intended Region of Residence / Intake Period	1	2	3	Total
Eastern Maritime Provinces	1%	1%	1%	1%
Quebec	10%	14%	10%	11%
Ontario	50%	42%	51%	48%
Western and Prairie Provinces	39%	42%	39%	40%
Territories	0%	0%	0%	0%

Note: For sponsors who use an immigration representative, the province or territory of the representative's address may have been captured in these statistics, which may or may not be the same as the sponsor.

Data source: COGNOS (MBR) extracted as of March 2, 2021

#### Source Country

In general, the country of citizenship of the principal applicant corresponds to the country of origin (country of birth or citizenship) of the sponsor. Table 17 shows complete applications received by the country of citizenship of the principal applicant based on the top five countries over the entire period.

Table 17. Proportion by principal applicant's country of citizenship, by intake period

Country of Citizenship / Intake Period	1	2	3	Total
People's Republic of China	35%	17%	28%	26%
India	20%	25%	31%	26%
Philippines	5%	11%	4%	7%
Iran	3%	4%	5%	4%
Pakistan	3%	3%	4%	3%
Other	34%	39%	28%	34%

## Section 4: Processing of Applications from 2014 to 2019

## Finalized applications and decisions on applications received from 2014 to 2019

As of January 5, 2021, the vast majority of applications received from 2014 to 2018 had been finalized, while less than a quarter from the 2019 intake had been finalized. The vast majority of finalized applications received from all intake periods were approved.

Table 18. Number and proportion of applications finalized as of January 5, 2021, by intake period

Applications Finalized / Intake Period	1	2	3	Total
Finalized	20,299	20,762	5,255	46,316
In Processing	1,778	5,756	19,037	26,571
Finalized	92%	78%	22%	64%
In Processing	8%	22%	78%	36%

Data source: COGNOS (MBR) extracted as of January 5, 2021

Table 19. Proportion of finalized applications by final decision as of January 5, 2021, by intake period

Final Decisions / Intake Period	1	2	3	Total
Approved	92%	89%	98%	92%
Refused	6%	9%	2%	7%
Withdrawn	2%	1%	1%	1%

## **Section 5: Processing by Year**

## **Inventory and Processing Times**

The primary variable affecting processing times is the size of the inventory. The inventory grows when the **input** (total persons for complete applications received) exceeds the **output** (total persons from finalized applications to meet admissions targets). New applications received are placed at the end of the inventory and are typically processed in the order they are received. Processing times in a given year are calculated based on applications finalized in the last 12 months and are not necessarily applications received in that year. As Figure 1 shows, processing times for applications finalized in 2019 reached a decade low of 19 months.

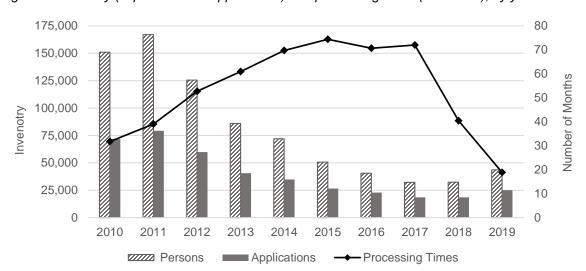


Figure 1. Inventory (in persons and applications) and processing times (in months), by year

Note: Data are preliminary estimates and subject to change. Data more recent than September 30, 2022 has not been publically released.

Data source: COGNOS (MBR) extracted as of June 26, 2020

## How many parent and grandparent applications were finalized?

Table 20 and Table 21 show applications and persons finalized from 2014 to 2019 by final decision. Finalizations in a given year are typically applications received in previous years.

Table 20. Number of applications finalized by final decision (proportion), by year

Final Decisions / Year	2014	2015	2016	2017	2018	2019
Total	10,826	10,562	11,811	11,357	16,833	12,888
Approved	87%	87%	92%	90%	90%	89%
Refused	11%	10%	6%	8%	8%	10%
Withdrawn	3%	2%	2%	2%	2%	1%

Table 21. Number of persons from finalized applications by final decision (proportion), by year

Final Decisions / Year	2014	2015	2016	2017	2018	2019
Total	18,021	17,747	19,269	18,093	27,011	20,703
Approved	87%	87%	92%	90%	90%	89%
Refused	11%	11%	6%	8%	8%	10%
Withdrawn	2%	2%	1%	2%	1%	1%

Data source: COGNOS (MBR) extracted as of February 4, 2021

## How many parents and grandparents were admitted as permanent residents?

The targeted number of admissions for the parents and grandparents program is based on the Levels Plan which sets out the Department's planned immigration levels. Admissions includes the principal applicant and accompanying family members (spouse/partner and/or dependent children). It is important to note that due to processing times and the time lag between approval of an application and admission of its applicants, admissions in a given year are typically from applications received under intakes from previous years.

The admissions target and range remained relatively stable from 2014 to 2019 with the admissions target increasing by 500 persons in 2019. The Department fell short of the low admission range in 2015 and 2016. In all other years (2014, 2017, 2018, 2019), the Department admitted a number of parents and grandparents above the low admissions range.

Table 22. Admissions targets and number of admissions, by year

	Admissions Targets			Actual	Within
Year	Low	Target	High	<ul><li>Admissions</li></ul>	Range
2014	18,000	20,000	20,000	18,205	_
2015	18,000	-	20,000	15,491	▼
2016	18,000	20,000	20,000	17,045	▼
2017	18,000	20,000	20,000	20,495	<b>A</b>
2018	17,000	20,000	21,000	18,030	_
2019	17,000	20,500	22,000	22,011	<b>A</b>
Total	106,000	100,500	123,000	111,277	_

Note: '—' = within range; '▲' = above range; '▼' = below range.

Data Source: Targets from Immigration Levels Plans. Admissions data from Netezza\_DM2 - RDM, Permanent Residents, April 30, 2022.

#### **Section 6: Conclusion**

Several important changes to the parent and grandparent application intake management system began in late 2011 that played a positive role in reducing the inventory and processing times for parent and grandparent applications. This included increased admissions, a temporary moratorium on new applications, and the introduction of an annual application target beginning in 2014.

Beginning in 2014, while a long inventory remained, the collective annual intakes from 2014 to 2017 added fewer persons to the inventory than number finalized to meet admissions targets. The result was a continued reduction in the inventory and a reduction in processing times for applications finalized in 2018.

The 2018 and 2019 intakes represented the first intakes since the program reopened where the input was greater than the output. These intakes added a number of persons to the inventory greater than the number of persons finalized to meet admissions targets. While this caused the inventory to grow slightly, resulting in longer processing times, overall the inventory remained significantly lower than it had been prior to 2016.