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RESEARCH ON MICROPROCESSOR ARRAYS FOR

SIGNAL PROCESSING AT HIGH DATA RATES

Final Report

C.V.W. Armstrong

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Research Report No. 3 MACS Project

March 31, 1979 .

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1. INTRODUCTION

This final report is divided up into a number of sections. The first section describes a simulator that was developed for the purpose of studying algorithms for the MACS processor. Following sections describe a set of radar and other related processing problems noted in the Interim Report [1]. These problems are as follows:

- (1) plot-to-track correlation
- (2) FFT.processing ,
- (3) partial plot correlation
- (4) MTI processing.

A fifth topic, namely Kalman Filter processing, was not treated due to lack of time although some interesting research was referenced in the Interim Report.

The final section of this report summarises the main findings and attempts to identify those areas of future study which seem fruitful. The major results of this research are embodied in the simulator and these recommendations for future research and development associated with this array architecture. A number of possible changes in the design of the multitimicroprocessor array are noted in this conclusion.

2. MACS SIMULATOR

A simulator has been developed, written primarily in FORTRAN, which allows a microprogrammer to run a MACS microprogram for an array of any number of PEs. A complete report on this effort is provided in [2]. The simulator goes ahead and implements a number of proposed hardware changes and has been used to demonstrate the usefulness of these changes.

The simulator has been split into a number of programs in such a way that every physical device is associated with a particular subprogram, allowing any FORTRAN programmer to easily modify the architecture of the simulated MACS system by simply creating an appropriate program. The interaction between programs is as simple as reflected in a block diagram representation of the system.

Chapter 2 of this user manual describes the MACS architecture as presently implemented in the package of program modules forming the simulator. An overview of the general architecture is presented as well as an explanation of the processing element (PE) capabilities. Microinstruction fields are treated in great detail.

- 2.1 -

Chapter 3 explains thoroughly with many examples, how to input microprograms to the simulator and how to execute them. It also demonstrates how to program the top and bottom buffers, as well as the working memory. It shows how to run the simulator in a standalone mode, using the monitor to control the execution and debug the microprograms.

Chapter 4 is a technical description of the simulator, providing a better understanding of the simulator to the user, but mainly provided for the more sophisticated user who may want to modify the architecture or improve on the current simulation. In this chapter, all the programs, program variables and common blocks are explained in great detail and the interactions between program modules are examined.

3. PLOT-TO-TRACK CORRELATION

This section reports on the progress made in studying the problem of plot-to-track correlation. As noted in the interim report, it was decided to go back to first principles and refer to Sittler's original discussion on the association problem [3]. In particular, it was decided to investigate the one space dimension problem because as noted in the paper, the appropriate equations are valid for any number of space dimensions when the appropriate variables are replaced by vectors. In a microprocessor array of this sort, this generalization would be reflected in processing vectors rather than scalars where the same operations are involved. We would therefore see simply a reduction in throughput although the number of vector "elements" processed per unit time would remain the same.

3.1 Processing Problem

As developed from [3], there are basically three problems to be considered as suitable candidates for array processing. Before enumerating these problems, it is necessary to briefly describe the surveillance model under consideration.

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The host computer must provide this surveillance model which relies on:

(a) a set of actual tracks

(b) a set of current plots

This must be updated from one association operation to the next based on the following assumptions:

(a) Tracks

(i) Track Starting

This is given by a Poisson model with parameter λ _O. This means that λ _O objects per unit time per unit area are generated at random. That is, this is a constant average rate for independent Bernouilli trials.

(ii) Track Length

This is provided by an exponential distribution with time constant τ _O.

(iii) Track Positions

Once it has been determined (probabilistically) when and where a track commences and what its track length is going to be, it is considered to follow a general random track model of independent motions.

(b) Plots

A sequence of "observations" are made of this "actual" track. The time at which such observations are taken is given by a Poisson model with parameter $\lambda_{_{\mathbf{S}}}$. Again this is based on independent Bernouilli trials for each time increment. Although, at a given time, we know the "true" position, the measured position for a plot is influenced by an error distribution which is Gaussian with a Markov property:

$$
f(x_{ik}|x_{i1},x_{i2},...,x_{1,k-1}) = f(x_{ik}|x_{i,k-1})
$$

$$
= \frac{1}{\sqrt{2\pi} \sigma_{ik}} e^{-(x_{ik} - \hat{\mu}_{ik})^{2}/2\sigma_{ik}^{2}}
$$

= $\frac{1}{\sqrt{2\pi} \sigma_{ik}}$ e
with $\sigma_{ik}^2 = \epsilon^2 + \sigma_0^2 t_{ik}$ and $\hat{\mu}_{i,k}$ $(x_{i,k-1})$ determined by appropriate tracking algorithms.

 x_{ik} = kth measured plot position for ith track $_{ik}$ = expected k th measured plot position for ith track σ_{ik} = standard deviation of the expected k th measured plot position distribution

In addition, there are plots associated with false alarms which are also given by a Poisson model with parameter $\lambda_{\mathbf{M}}$.

We are attempting simple chain associations (that is, we do not consider forking or crossing tracks) and with these simplifications, it was determined that the following two routines were candidates for PE array processing:

(1) Gate Association

For each track, the PE array receives parameters specifying a gate. All plots within that gate must be tagged.

Maximum Likelihood Calculation (2)

For each track and the plots tagged as within the gate for that track, the following calculation must be performed:

$$
L_{i} = \ln \frac{\lambda_{0} \lambda_{s}}{\lambda_{N} (\lambda_{s} - \frac{1}{\tau_{0}})}
$$
\n
$$
+ \sum_{k=2}^{n_{i}} \left[\ln \frac{\lambda_{s}}{\lambda_{N}^{\prime 2\pi} \frac{\sigma_{ik}}{\sigma_{ik}}} - \frac{1}{2} \frac{\left(\frac{x_{ik} - \hat{\mu}_{ik}}{\sigma_{ik}}\right)^{2}}{\sigma_{ik}^{2}} \right]
$$
\n
$$
- (\lambda_{s} + \frac{1}{\tau_{0}}) \frac{\left(\frac{x_{i}}{\sigma_{i}} - \frac{1}{\tau_{0}}\right)^{2}}{\left(\frac{x_{i}}{\sigma_{i}} - \frac{1}{\tau_{0}}\right)^{2}}
$$
\n
$$
+ \ln \left[\frac{\lambda_{s} e^{-\left(\lambda_{s} + \frac{1}{\tau_{0}}\right)(T - \nu_{i})} + \frac{1}{\tau_{0}}}{\lambda_{s} + \frac{1}{\tau_{0}}}\right]
$$

Appropriate values for λ_0 , λ_s , λ_N , τ_0 and T (current time)must be provided. The underlined variables are provided for each plot (5 items per plot). (1) is a partial sum which is already precalculated for the previous case. (2) is also a partial sum if it is n. interpreted as Σ t_{ik} (the some of the time intervals $k=2$ between observations). The maximum L_i generated by a plot must be used as a signal to tag that plot as the best choice.

The host computer can used this best value for $\tt L_{\textbf{i}}$ to further classify the track as being in one of the following categories:

- (1) tentative
- (2) initiated, unconfirmed
- (3) confirmed, good
- (4) poor
- (5) dropping
- (6) dropped (tentative)

The host computer could use the maximum likelihood value calculated to determine track initiation, track confirmation and track maintenance. This would involve using a number of threshold values which are

calculated for each track. This operation could be done during the time the maximum likelihood_operation is being performed in the PE array. In addition, all unassociated plots in the track file must be treated as tentative tracks, which would greatly increase the load on the PE array. Those plots not matched to tracks must be treated as false alarms.

The master program running in the host computer must perform the operations given in Figure 1. Progress did not reach the point where this program could be written and run but implementations of the appropriate PE microprograms were developed.

3.2 Implementation

Figure 2 gives the PE microprogram for gate association. As shown, this is indeed very simple as it applies to only one space dimension. (An increase in the number of space dimensions requires a corresponding increase in the number of PEs, one dedicated to each dimension).

Implementation in the PE array can only be justified if the plot information can be stored in the input buffer and accessed at the high data rate

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 \rightarrow (1) determine new tracks (2) determine set of plots Do for all tracks : $r(3)$ load track information into PE array (4) load buffer with plot information (5) run simulator $L(6)$ store tagged plot information Do for all tagged tracks : $r(7)$ load track information into PE array (8) load buffer with tagged plot information (9) run simulator —(10) store associated plot information (11) make appropriate decisions

- (12) display results

Fig.1. Master Program for Plot-to-Track Correlation

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implied by this short microroutine. Otherwise the host computer can prescreen the plot information and allow the PE array to simply perform the maximum likelihood operation.

Figure 3 gives the PE microprogram for the likelihood calculation. Five PEs are required for this operation. Note that a likelihood value is calculated once every microcycle of 16 microinstructions.

3.3 Evaluation

Firstly, note the performance that can be achieved with these microprograms. The gate association routine requires 4 microinstructions. Thus, 1000 plots can be processed in 24 psecs and for 200 tracks, the complete operation would take 4.8 milliseconds. This is a rule-of-thumb calculation assuming a 60 nsec clock cycle time. For the maximum likelihood function, assuming 20 plots within the gate, each track requires 19 usecs and for 200 tracks, the complete operation takes 3.84 milliseconds.

A number of hardware modifications are necessary for this implementation to be practical. A hardware multiplier is required because of the complexity

Fig. 3. Maximum Likelihood Calculation Microprogram

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Fig.3. (cont.) Maximum Likelihood Calculation Microprogram

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of the likelihood calculation. A possible implementation of this device is shown in Figure 4. Note that the input to the multiplier comes from both the external memory and the microprocessor. The output from the multiplier is provided to the PE input multiplexer and it is assumed that a delay of 2 clock cycles is involved in this operation. This hardware multiplier is used in the microprogram for the maximum likelihood calculation and has been implemented in the MACS simulator.

Secondly, in order to support the exponential and logarithm calculations required by the maximum likelihood calculation, the working memory is used as a set of two lookup tables. The value to be used in the calculation of the exponential or logarithm is placed on the working memory bus and one clock cycle later, the required "looked-up" value is provided on this same bus. In order to do this, the working memory must be sufficiently intelligent to use the first value as an address and simply access a word for output on the bus. Such intelligence has been assumed and incorporated into the MACS simulator. It is absolutely necessary in order to make the maximum likelihood calculation feasible.

Fig.4. MACS Processing Element with a Hardware Multiplier

- 4.1 -

4. FFT PROCESSING

4.1 Processing Problem

As noted in the interim report, the philosophy followed here was considerably influenced by the research of Groginsky and Works [4]. The basic computation which must be performed is:

 $x' = x \pm yW^2$

where w^Z = a complex constant and $x, y, x' =$ complex variables.

In addition to a simple mechanism for calculating this function, it is necessary to move data around, basically to select where x and y are to come from in the memory and to determine where x' is to be stored in this memory. Various interconnection schemes can be employed and the approach followed in [4] is to employ shift registers instead of an interconnection network.

The PE array is most amenable to exploitation of such shift registers since data movement from one PE to the next is similar in concept and because of the implicit shifting capability within the PE. A

PE can be used to provide a shifter and if more than 16 words are to be "shifted", more than one such PE can be employed. Figure 5 describes this concept.

In order to simply the analysis of the possible use of a PE array for FFT processing, it was initially assumed that only read computations were involved. That is, x , y , x' and W^Z were real values and only real addition and real multiplication were used.

4.2 Implementation

Figure 6 shows a 15 microinstruction routine. Significantly, in order for a number of PEs to perform FFT processing, each PE performs one stage of the FFT, and each PE uses exactly the same microroutine. The only difference lies in the values of a set of three counters, which are stored in external memories. By changing these values, the number of points can be increased or decreased.

This microprogram makes use of the fact that 16 words of internal memory can be used to provide a shifter. If more words are required due to the size of the computation, additional PEs are used simply for storage and shifting capability without performing any computation.

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Fig.6. FFT Processing Microprogram

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An important fact is that in order to perform the necessary computations, the rotation vector elements $w^{\mathbf{Z}}$ must be calculated and made available to each PE. A potential data transmission problem arises. Figure 7 shows for a 16 point FFT, the rotation vector values to be used by each stage (PE) and also notes when the new rotation vector values need to be made available to the FFT stage. It is significant that for this implementation, there are no "clashes" and the working memory can be used to place this information on the system bus - one new value being placed on the bus for one specific "slot" of one microcycle.

4.3 Evaluation

The results of this study showed the potential for using an architecture of this form in order to process an FFT with the possibility of supporting a high input data rate - one point per microsecond, for example - and matching this with a high output data rate. The pipeline nature of the implementation allows the next block of data to be introduced into the PE array after the current block without any delay or interference and with the same processing rate maintained. The PE array operation need only be stopped if the

Fig.7. FFT Processing Rotation Vector Requirements

number of points to be processed must be changed. If this is necessary, the PE microprograms need not be changed but only the values of three counters stored in external memory of each PE.

The major problem is the data storing and computational power of the PEs. Only real data has been considered. In moving across to the complex data case, two problems arise.

- (1) The amount of data to be transmitted and stored in each PE doubles.
- (2) The number of operations involved in addition doubles and more than quadruples for multiplications.

After studying the implications of these requirements, two possible developments are suggested:

(1) The PE control memory size can be increased. There is no way that the FFT microprogram can be expanded to cope with complex calculations without requiring a microcycle of more than 16 microinstructions. The data rate is considerably reduced with this approach.

(2) The PE data manipulation facilities can be doubled so that the PE array becomes a "complex data" machine. This is an attractive alternative due to the fact that microprocessor slices are being used at present and what is required is only an increase in the number of such slices. Figure 8 shows a possible structure where the only interaction between "real" and "imaginary" streams is in the complex multiplier. This design requires no change in PE microprograms and would provide the capability of handling two parallel real streams for even higher data rates of real computations.

A major limitation which drastically increases the number of PEs required for large FFT calculations is the small size of the internal memory. Another suggested improvement is to increase the size of the PE external memory and provide the implicit shifting capability for addressing the external memory be using appropriate fields in the address section of the control memory. More storage than is available with the 2901 architecture is required and this seems one of the ways that this can be achieved.

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Fig.8. Complex Data Processing Element Structure

5. PARTIAL PLOT CORRELATION

5.1 Processing Problem

A calculation based on the centre of gravity was provided in [5]. This was a quite straightforward problem.

5.2 Implementation

The major requirements for this problem are to perform a series of multiplications and follow this with a division by \overline{c} S_i. The approach proposed in $i=1$ $i=1$ the interim report was examined and discarded in favour of the algorithm given in Figure 9. An example of the required microcode is given in Figure 10.

5.3 Evaluation

This implementation showed the feasibility of performing a division by the "software method" similar to the multiplication routine employed for a two-pole filter. There is no necessity to speed up this division due to the length of time calculating $\sum_{i=1}^{k} S_i r_i$ and k Σ S_i θ and the time necessary to accumulate Σ S_i .
i=1 i=1

Fig.9. Partial Plot Correlation Microprogram (block diagram)

Fig. 9. Partial Plot Correlation Microprogram (block diagram)

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Fig.10. Partial Plot Correlation Microprogram (extract)

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The values S_i are presumed to be binary (0 or 1) or to be small in magnitude - 0 to 15, say.

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6. MTI PROCESSING

6.1 Processing Problem

The procedure described in [6] was noted. Here, a radar arithmetic processing element is described which is specifically designed to handle both a second order filter and an FFT butterfly.

The required second order filter can be given by the following calculations:

After each such calculation, the values of $\texttt{W}_{1}(\texttt{k+1})$ and $\texttt{W}_{2}(\texttt{k+1})$ are generated as follows:

$$
W_1(k+1) = W_0(k)
$$

$$
W_2(k+1) = W_1(k) = W_0(k-1)
$$

 $- 6.1 -$

Use of "intelligent" input, output and working memory buffers are required in order to provide X(k), $W_1^{}(k)$ and $W_2^{}(k)$ for these calculations. The X(k) values are provided by the input buffer and the $W_1(k)$ and $W_2(k)$ values are provided by the working memory buffer.

6.2 Implementation

This implementation is again very straightforward and makes use of the hardware multiplier. The working memory buffer does not have to "juggle" the intermediate values $W_1(k)$ and $W_2(k)$. They are simply output on the system bus and replaced by new values generated by the PE array and placed on the system bus. Figure 11 provides a block description of the required processing.

6.3 Evaluation

There are many ways in which this sort of problem can be microcoded. Indeed, it is easy to see how this sort of microprogram could be expanded to support more complicated filters. The PE array seems admirably suited to such computational problems.

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Fig. 11. MTI Processing Microprogram (block diagram)

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7. CONCLUSION

From this research, it seems that the MACS system meets many of the requirements of digital signal processors. With suitable modifications, it can support the two major activities of all digital processing, namely the FFT and digital filter processing.

A number of deficiencies have become apparent in this design. There is a basic limitation imposed by, using the 2901 microprocessor architecture although there are no suitable alternatives. This microprocessor does not provide a fast means of performing multiplication and the amount of storage available is quite limited. Many signal processing applications require frequent multiplications and more storage than is available here.

A number of recommendations have come from a study of these chosen applications:

- (1) The implementation of a hardware multiplier.
- (2) The implementation of a hybrid multiplier which reduces the amount of software required by introducing some possible manipulation of the operation codes.

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- (3) A variation of the data structure to allow complex operations on complex data.
- (4) An increase in the size of the external memory to 64 words, with a simple addressing mechanism to allow implicit shifting. (This mechanism need only be a counter which could be selected for use in addressing either of the two ports. This would be in addition to the present scheme).
- (5) A lookup table command for use by the working memory.
- (6) A double-length shift operation making use of the Q register and any one of the 16 internal registers.
- (7) Greater flexibility with respect to test and disable operations.

This final point is not so important for numeric computation but seems important for more spatial and logical processing involved in such applications as image processing. Mr. F. Gougeon will be studying the applicability of the MACS processor to image processing in the following months and will note further recommended changes.

The conditional disabling could be modified in three different ways:

- (1) More conditions could be made available for testing. A four-bit field could determine what conditions could be tested.
- (2) Disabling and enabling of a PE could be associated with an "activity bit" within the PEs and these activity bits could be stacked to allow more sophisticated multiway decisions to be made.
- (3) Such activity bits could be toggled, switching "off" one set of PEs whilst another was switched "on".

One area which seems fruitful for further research is in the area of complier design. This PE structure is quite unique with a number of major constraints such as the amount of processing allowable to a PE and the amount of data available for this processing. There sometimes is considerable difficulty determining how to devise an algorithm and how to "fit it into the PE array". Some method of describing the data dependencies of a problem with this structure

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particularly in mind could lead to software which could greatly assist the microprogrammer. A clear description may possibly lead to the feasibility of a compiler specifically designed for generating microcode for this PE array.

Acknowledgements

I would like to thank Francois Gougeon who wàs my Research Associate throughout the term of this research contract. He both designed and programmed the MACS simulator and made the various modifications that were necessary as this research progressed. In addition, he provided the microprograms for the plot-to-track correlation problem and suggested various modifications that were necessary to accommodate these microprograms. The material in Section 2 of this Final Report is drawn from the MACS simulator user manual which he has written.

I would also like to thank Hon Wong Wang, Rama Mwikalo and P. Rajani Kanth, who were Research Assistants on this project. Hon Wong Wang provided much of the input and the microprogram for the FFT processing algorithm. Rama Mwikalo provided the work on the partial plot correlation algorithm and microprogram and P. Rajani Kanth provided some test microprograms for the MACS simulator and also investigated the Kalman filter processing requirements.

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